

ANNEX D-1

AMENDMENTS TO NATIONAL INSTRUMENT 81-104 *COMMODITY POOLS*

- 1. *National Instrument 81-104 Commodity Pools is amended by this Instrument.***
- 2. *The title is amended by replacing “NATIONAL INSTRUMENT 81-104 COMMODITY POOLS” with “NATIONAL INSTRUMENT 81-104 ALTERNATIVE MUTUAL FUNDS”.***
- 3. *Subsection 1.1(1) is amended***
 - (a) by repealing the definitions of “commodity pool”, “independent review committee”, and “precious metals fund”,***
 - (b) by adding “and” at the end of the definition of “Derivatives Fundamentals Course”,***
 - (c) by deleting “and” at the end of the definition of “mutual fund restricted individual”, and***
 - (d) by adding the following definition:***

“alternative mutual fund” has the same meaning as in section 1.1 of NI 81-102;.
- 4. *Section 1.2 is amended***
 - (a) in paragraph (a) by replacing “a commodity pool” with “an alternative mutual fund”, and in subparagraph (i) by replacing “commodity pool” with “alternative mutual fund”, and***
 - (b) in paragraph (b) by replacing “a commodity pool” with “an alternative mutual fund”, and by deleting “or pertaining to the filing of a prospectus to which subsection 3.2(1) applies”.***
- 5. *Section 1.3 is amended***
 - (a) in subsection (1) by replacing “a commodity pool” with “an alternative mutual fund”, and by replacing “commodity pool” with “alternative mutual fund”, and***
 - (b) by repealing subsection (2).***
- 6. *Part 2 is repealed.***
- 7. *Part 3 is repealed.***

8. *Section 4.1 is amended by replacing “a commodity pool” with “an alternative mutual fund” wherever it occurs, and by replacing “commodity pools” with “alternative mutual funds” wherever it occurs.*

9. *Part 5 is repealed.*

10. *Part 6 is repealed.*

11. *Part 8 is repealed.*

12. *Section 11.2 is repealed.*

13. This Instrument comes into force on January 3, 2019.