



Financial and
Consumer
Affairs Authority

Reporting Issuers Listing

Current Date as of September 25, 2018

| Issuer Name | Start Date |
|---|-------------|
| @rgentum Canadian Equity Portfolio | 29-Apr-1998 |
| @rgentum Canadian Performance Portfolio | 29-Apr-1998 |
| @rgentum Discovery Portfolio | 5-May-1999 |
| @rgentum Income Portfolio | 29-Apr-1998 |
| @rgentum International Master Portfolio | 29-Apr-1998 |
| @rgentum Short Term Asset Portfolio | 29-Apr-1998 |
| @rgentum U.S. Market Neutral Portfolio | 9-May-2000 |
| @rgentum U.S. Master Portfolio | 29-Apr-1998 |
| 01 Communicque Laboratory Inc. | 31-Mar-2000 |
| 0944460 B.C. Ltd. | 27-Nov-2007 |
| 1320659 Alberta Ltd. | 11-Jul-2007 |
| 1608557 Ontario Inc. | 30-Apr-2004 |
| 2242749 Ontario Limited | 1-Jan-2011 |
| 3040915 Nova Scotia Limited | 30-Dec-1962 |
| 360networks Inc. (T) | 19-Apr-2000 |
| 407 International Inc. | 21-Jul-1999 |
| 4504020 Canada Inc. | 28-May-2004 |
| *49 North Resources Inc. | 3-Oct-2005 |
| 5N Plus Inc. | 13-Dec-2007 |
| 9342-8530 Quebec Inc. (formerly DiagnoCure Inc.) | 14-Nov-1996 |
| A&W Revenue Royalties Income Fund | 11-Feb-2002 |
| Abacus Mining & Exploration Corporation | 18-Dec-2009 |
| Abbey Exploration Inc. (CT) | 10-Sep-1987 |
| ABcann Global Corporation | 23-Feb-2018 |
| Aberdeen Asia-Pacific Income Investment Company Limited | 30-May-1986 |
| ABI Biotechnology Inc. (CT) | 15-Nov-1984 |
| Aboriginal Global Investment Fund (CT) | 6-Jan-1998 |
| Absolute Software Corporation | 17-Mar-2000 |
| Acadian Core International Equity Fund | 28-Sep-2000 |
| Acadian Timber Corp. | 1-Jan-2010 |
| Acasta Enterprises Inc. | 23-Jul-2015 |
| Acceleware Ltd. | 26-Apr-2011 |
| ACE Aviation Holdings Inc. | 13-Jul-2004 |
| Acerus Pharmaceuticals Corporation | 12-Jul-2012 |
| Acorn Energy, Inc. | 30-Apr-2010 |
| Action Energy Inc. | 12-Dec-2007 |
| ACTIVEnergy Income Fund | 6-Jun-2017 |
| Adaltis Inc. | 23-Nov-2004 |
| ADF Group Inc. | 9-Jul-1999 |
| Admiral Inc. (CT) | 11-Apr-2001 |
| Adobe Systems Incorporated | 10-Apr-2002 |
| Advanced Folio Fund | 5-Jan-2001 |
| Advanced Micro Devices, Inc. | 25-Oct-2006 |
| Advantage Oil & Gas Ltd. | 22-Dec-2009 |
| Advantaged Canadian High Yield Bond Fund | 8-Mar-2011 |



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| Aecon Group Inc. | 22-Sep-2009 |
| Aequus Pharmaceuticals Inc. | 6-Jul-2015 |
| Aero Energy Ltd. (CT) | 27-Mar-1980 |
| AEterna Zentaris Inc. | 11-Dec-1995 |
| AFM Hospitality Corporation | 17-Nov-1994 |
| African Copper PLC | 9-May-2006 |
| African Minerals Limited | 9-Feb-1995 |
| Ag Growth International Inc. | 3-Jun-2009 |
| Agellan Commercial Real Estate Investment Trust | 17-Jan-2013 |
| Agency (CT) | 30-Oct-1978 |
| AGF American Growth Class | 7-Apr-1958 |
| AGF American Growth Fund | 19-Dec-2008 |
| AGF Asian Growth Class | 15-Oct-1991 |
| AGF Asian Growth Fund | 19-Dec-2008 |
| AGF Canadian Growth Equity Class | 3-Apr-1974 |
| AGF Canadian Growth Equity Fund | 13-Oct-1989 |
| AGF Canadian Large Cap Dividend Class | 22-Apr-2008 |
| AGF Canadian Large Cap Dividend Fund | 6-Dec-1985 |
| AGF Canadian Money Market Fund | 27-Feb-1976 |
| AGF Canadian Small Cap Fund | 21-Feb-1996 |
| AGF China Focus Class | 13-Apr-1994 |
| AGF Diversified Income Class | 3-Oct-2011 |
| AGF Diversified Income Fund | 31-Mar-2003 |
| AGF Elements Balanced Portfolio | 22-Nov-2005 |
| AGF Elements Balanced Portfolio Class | 2-Dec-2008 |
| AGF Elements Conservative Portfolio | 22-Nov-2005 |
| AGF Elements Conservative Portfolio Class | 2-Dec-2008 |
| AGF Elements Global Portfolio | 22-Nov-2005 |
| AGF Elements Global Portfolio Class | 2-Dec-2008 |
| AGF Elements Growth Portfolio | 22-Nov-2005 |
| AGF Elements Growth Portfolio Class | 30-Sep-2008 |
| AGF Elements Yield Portfolio | 22-Nov-2005 |
| AGF Elements Yield Portfolio Class | 20-Apr-2016 |
| AGF Emerging Markets Balanced Fund | 3-Nov-2010 |
| AGF Emerging Markets Bond Fund | 3-Nov-2010 |
| AGF Emerging Markets Class | 22-Apr-2008 |
| AGF Emerging Markets Fund | 17-Mar-1994 |
| AGF Equity Income Focus Fund | 23-Apr-2012 |
| AGF European Equity Class | 13-Apr-1994 |
| AGF European Equity Fund | 12-Jan-2015 |
| AGF Fixed Income Plus Fund | 10-Dec-1998 |
| AGF Flex Asset Allocation Fund | 27-Aug-2015 |
| AGF Floating Rate Income Fund | 23-Apr-2012 |
| AGF Global Bond Fund | 20-Apr-2010 |
| AGF Global Convertible Bond Fund | 19-Dec-2014 |



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| AGF Global Dividend Fund | 10-Aug-2007 |
| AGF Global Equity Class | 31-May-1995 |
| AGF Global Equity Fund | 5-Dec-1994 |
| AGF Global Resources Class | 25-Apr-2000 |
| AGF Global Resources Fund | 19-Oct-2006 |
| AGF Global Select Fund | 21-Feb-1996 |
| AGF Global Strategic Balanced Fund | 1-Jan-1998 |
| AGF Global Sustainable Growth Equity Fund | 25-Jun-1993 |
| AGF High Yield Bond Fund | 18-Feb-1994 |
| AGF Income Focus Fund | 23-Apr-2012 |
| AGF Management Limited | 18-Jun-1987 |
| AGF Precious Metals Fund | 22-Sep-1993 |
| AGF Short-Term Income Class | 4-Oct-1994 |
| AGF Strategic Income Fund | 7-Aug-1996 |
| AGF Tactical Fund | 24-Apr-2015 |
| AGF Tactical Income Fund | 2-Apr-2003 |
| AGF Total Return Bond Class | 20-Apr-2016 |
| AGF Total Return Bond Fund | 20-Jun-1994 |
| AGF U.S. Sector Class | 9-Aug-2013 |
| AGF U.S. Small-Mid Cap Fund | 16-Jun-1993 |
| AGFiQ Enhanced Core Canadian Equity ETF | 4-Nov-2016 |
| AGFiQ Dividend Income Fund | 16-Apr-2003 |
| AGFiQ Enhanced Core Emerging Markets Equity ETF | 4-Nov-2016 |
| AGFiQ Enhanced Core Global Multi-Sector Bond ETF | 31-Jan-2018 |
| AGFiQ Enhanced Core International Equity ETF | 4-Nov-2016 |
| AGFiQ Enhanced Core US Equity ETF | 4-Nov-2016 |
| AGFiQ Enhanced Global ESG Factors ETF | 31-Jan-2018 |
| AGFiQ Enhanced Global Infrastructure ETF | 30-Sep-2018 |
| AGFiQ Global Equity Rotation ETF | 4-Nov-2016 |
| AGFiQ MultiAsset Allocation ETF | 4-Nov-2016 |
| AGFiQ MultiAsset Income Allocation ETF | 4-Nov-2016 |
| Aggressive Folio Fund | 5-Jan-2001 |
| AgJunction Inc. | 21-Feb-1997 |
| Agnico Eagle Mines Limited | 28-Aug-1990 |
| AGRA Exchangeco Limited | 30-Dec-1962 |
| *AGT Food and Ingredients Inc. | 15-Sep-2009 |
| AIC Fixed Income Portfolio Fund | 5-Aug-2004 |
| AIC Long-Term Growth Portfolio Fund | 5-Aug-2004 |
| AIC Total Yield Strategic Income Fund | 5-Aug-2004 |
| AimGlobal Technologies Company Inc. (T) | 18-Jun-1997 |
| Aimia Inc. | 25-Jun-2008 |
| AIP Canadian Enhanced Income Class | 18-Mar-2016 |
| AIP Global Macro Class | 18-Mar-2016 |
| Air Canada | 15-Nov-2006 |
| Airgen Corporation (CT) | 30-Nov-1995 |



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| Akela Pharma Inc. | 21-May-2009 |
| Akita Drilling Ltd. | 23-Dec-1992 |
| Akumin Inc. | 24-Nov-2017 |
| Alacer Gold Corp. | 18-Sep-2006 |
| Alamos Gold Inc. | 2-Jul-2015 |
| Alaris Royalty Corp. | 23-May-2006 |
| Alcanna Inc. | 1-Jan-2011 |
| Alexco Resource Corp. | 1-Feb-2010 |
| Algae Biosciences Corporation | 29-May-2008 |
| Algo Group Inc. | 24-Nov-1986 |
| Algold Resources Ltd. | 19-Dec-2013 |
| Algoma Central Corporation | 30-Mar-2011 |
| Algonquin (AirSource) Power LP | 15-May-2006 |
| Algonquin Power & Utilities Corp. | 25-Oct-2000 |
| Alignvest Acquisition II Corporation | 18-May-2017 |
| Alignvest Top 20 Fund | 5-Oct-2017 |
| Alimentation Couche-Tard Inc. | 5-Mar-1999 |
| Alio Gold Inc. | 28-Nov-2016 |
| AlkaLi3 Resources Inc. | 19-Nov-2010 |
| All - Canadian ConsumerFund | 30-Jul-1979 |
| All - Canadian Resources Corporation | 27-May-1981 |
| All in West! Capital Corporation | 21-Mar-2007 |
| All-Equity Fund | 10-May-2001 |
| Allbanc Split Corp. II | 26-Jan-2006 |
| Allegiant Gold Ltd. | 25-Jan-2018 |
| Allegro Balanced Growth Canada Focus Portfolio Class | 30-Jun-2009 |
| Allegro Balanced Growth Portfolio | 24-Jan-2001 |
| Allegro Balanced Growth Portfolio Class | 30-Jun-2009 |
| Allegro Balanced Portfolio | 24-Jan-2001 |
| Allegro Balanced Portfolio Class | 30-Jun-2009 |
| Allegro Growth Canada Focus Portfolio Class | 30-Jun-2009 |
| Allegro Growth Portfolio | 24-Jan-2001 |
| Allegro Growth Portfolio Class | 30-Jul-2009 |
| Allegro Income Balanced Portfolio | 24-Jan-2001 |
| Allegro Income Balanced Portfolio Class | 16-Jan-2015 |
| Allegro Income Portfolio | 24-Jan-2001 |
| Alliance Pipeline Limited Partnership | 31-Mar-2000 |
| Allied Properties Real Estate Investment Trust | 19-Feb-2003 |
| Allnet Secom Inc. (T) | 28-Mar-2000 |
| Alopex Gold Inc. | 30-Jun-2017 |
| AlphaDelta Canadian Focused Equity Class | 9-Jan-2015 |
| AlphaDelta Canadian Growth of Dividend Income Class | 8-Aug-2018 |
| AlphaDelta Global Value Class | 9-Jan-2015 |
| ALPHADELTA GROWTH OF DIVIDEND INCOME CLASS | 9-Jan-2015 |
| Alstom | 23-Jun-1998 |



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| Alston Energy Inc. | 17-Jul-2012 |
| AltaGas Ltd. | 1-Jul-2010 |
| Altagem Resources Inc. (T) | 12-Feb-1998 |
| AltaLink, L.P. | 30-May-2003 |
| Altiplano Minerals Ltd. | 24-Dec-2010 |
| Altitude Resources Inc. | 25-Nov-2011 |
| Altius Minerals Corporation | 7-May-2014 |
| Alto Monthly Income and Enhanced Growth Portfolio | 10-Dec-2004 |
| Alto Monthly Income and Global Growth Portfolio | 14-Jan-2008 |
| Alto Monthly Income and Growth Portfolio | 10-Dec-2004 |
| Alto Monthly Income Portfolio | 10-Dec-2004 |
| Altus Group Limited | 1-Jan-2011 |
| Alvopetro Energy Ltd. | 28-Nov-2013 |
| Amblin Technologies Inc. (T) | 5-Mar-1997 |
| AMCI Productions Ltd. (CT) | 18-Dec-1978 |
| American Agri-Technology Corporation (CT) | 5-Dec-1985 |
| American Core Sectors Dividend Fund | 28-Nov-2013 |
| American Creek Resources Ltd. | 31-Jul-2006 |
| American Eco Corporation (CT) | 21-May-1986 |
| American Hotel Income Properties REIT LP | 12-Feb-2013 |
| American Natural Energy Corporation | 3-Jun-1987 |
| American Pacific Mining Corp. | 27-Feb-2018 |
| Americas Petrogas Inc. | 2-Jun-2014 |
| Amstock Fund (CT) | 20-Dec-1984 |
| AMT International Mining Corporation (T) | 11-Mar-1996 |
| Anaconda Mining Inc. | 11-Apr-2007 |
| ANB Canada Inc. | 31-Jan-2008 |
| Ancom ATM International Inc. (CT) | 18-Jul-1985 |
| Andrew Peller Limited | 1-Jan-2008 |
| Anterra Energy Inc. | 12-Dec-2006 |
| Antibe Therapeutics Inc. | 10-Jun-2013 |
| Anvil Range Mining Corporation (CT) | 7-May-1996 |
| Aphria Inc. | 4-Dec-2015 |
| APMEX Physical - 1 oz. Gold Redeemable Trust | 27-Apr-2012 |
| Appia Energy Corp. | 14-Dec-2012 |
| Aptose Biosciences Inc. | 10-Jul-2007 |
| Aqua-Pure Ventures Inc. | 2-Dec-1999 |
| Aquila Resources Inc. | 24-Dec-2001 |
| Aralez Pharmaceuticals Inc. | 5-Feb-2016 |
| Arbour Energy Inc. | 18-Dec-2001 |
| ARC Resources Ltd. | 1-Jan-2011 |
| Arcs of Fire Tactical Balanced Fund | 17-Oct-2017 |
| Arctic Glacier Income Fund | 25-Feb-1997 |
| Arehada Mining Limited | 12-Apr-2006 |
| Argent Energy Trust | 1-Aug-2012 |



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| Argonaut Gold Inc. | 7-Mar-2017 |
| Argosy Energy Inc. | 30-Sep-2008 |
| Argus Resources Ltd. (CT) | 22-Feb-1980 |
| Arimetco International Inc. (CT) | 18-May-1990 |
| ARISE Technologies Corporation | 13-Apr-2007 |
| Aritzia Inc. | 26-Sep-2016 |
| Arkadia Capital Corp. | 1-Nov-2011 |
| Arlington Place (CT) | 16-Jul-1981 |
| Artis Real Estate Investment Trust | 7-Dec-2004 |
| Asanko Gold Inc. | 11-Feb-2011 |
| Ascalade Communications Inc. | 17-Jun-2005 |
| Ashburton Ventures Inc. | 30-Jul-2007 |
| Ashurst Technology Canada Inc. (CT) | 11-Dec-1978 |
| Ashurst Technology Limited (CT) | 18-Jul-1994 |
| Asian Mineral Resources Limited | 15-Feb-2013 |
| Astorius Resources Ltd. | 4-Dec-2007 |
| Atalaya Mining plc | 13-Dec-2010 |
| ATBIS Canadian Equity Pool | 23-Sep-2016 |
| ATBIS Fixed Income Pool | 23-Sep-2016 |
| ATBIS International Equity Pool | 23-Sep-2016 |
| ATBIS U.S. Equity Pool | 23-Sep-2016 |
| Atco Ltd. | 21-Sep-1982 |
| Ateba Resources Inc. | 2-Sep-1987 |
| Athabasca Oil Corporation | 30-Mar-2010 |
| Athabaska Gold Resources Ltd. (CT) | 1-May-1990 |
| Atico Mining Corporation | 2-Mar-2012 |
| Atlantic City U.S.A. (CT) | 28-Dec-1979 |
| Atlantic Power Corporation | 10-Nov-2004 |
| Atlantic Power Limited Partnership | 9-Jun-1997 |
| Atlantic Power Preferred Equity Ltd. | 18-May-2007 |
| Aton Resources Inc. | 9-May-2011 |
| ATRIUM MORTGAGE INVESTMENT CORPORATION | 29-Aug-2012 |
| ATS Automation Tooling Systems Inc. | 9-Dec-1993 |
| Aura Minerals Inc. | 14-Aug-2009 |
| Aurinia Pharmaceuticals Inc. | 18-Jun-2009 |
| Aurora Cannabis Inc. | 26-Oct-2017 |
| Austral Gold Limited | 19-Aug-2016 |
| Australian REIT Income Fund | 27-Feb-2013 |
| Australis Capital Inc. | 16-Aug-2018 |
| AutoCanada Inc. | 31-Dec-2009 |
| Automotive Finco Corp. | 20-Jun-2017 |
| Automotive Properties Real Estate Investment Trust | 10-Jul-2015 |
| Auxly Cannabis Group Inc. | 27-Sep-2017 |
| Avalon Rare Metals Inc. | 22-Sep-2010 |
| Avatar Energy Ltd. | 23-Feb-2010 |



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|---|-------------|
| Avcorp Industries Inc. | 2-Feb-1988 |
| Avesoro Resource Inc. | 13-May-2011 |
| Aviation Group, Inc. (CT) | 15-Jan-2001 |
| Avidian Gold Corp. | 3-Nov-2014 |
| Avinda Video Incorporated (CT) | 14-Feb-1984 |
| AVINO SILVER & GOLD MINES LTD. | 14-Nov-2016 |
| Avion Gold Corporation | 26-Nov-2009 |
| Axiom All Equity Portfolio | 14-Mar-2005 |
| Axiom Balanced Growth Portfolio | 14-Mar-2005 |
| Axiom Balanced Income Portfolio | 14-Mar-2005 |
| Axiom Canadian Growth Portfolio | 14-Mar-2005 |
| Axiom Diversified Monthly Income Portfolio | 14-Mar-2005 |
| Axiom Foreign Growth Portfolio | 14-Mar-2005 |
| Axiom Global Growth Portfolio | 14-Mar-2005 |
| Axiom Long-Term Growth Portfolio | 31-Aug-2005 |
| Axis.Port Inc. (CT) | 16-Aug-2001 |
| Axxent Inc. (CT) | 29-Oct-1999 |
| Aylen Capital Inc. | 24-May-2013 |
| Azarga Metals Corp. | 23-Dec-2010 |
| Azcar Technologies Incorporated | 18-Dec-1995 |
| Azure Dynamics Corporation | 16-Oct-2007 |
| B.E.S.T Total Return Fund Inc. | 23-Dec-2003 |
| B2Gold Corp. | 29-Nov-2007 |
| BAC Canada Finance Company | 8-Nov-1999 |
| Balanced 60/40 Fund | 10-May-2001 |
| Balanced Folio Fund | 5-Jan-2001 |
| Balanced Fund | 5-Jun-2003 |
| Balanced Income Portfolio | 17-Dec-2015 |
| Balanced Monthly Income Fund | 24-Jul-2006 |
| Balanced Portfolio | 24-Nov-2017 |
| Bald Eagle Golf Corp. (T) | 10-Apr-2001 |
| Ballard Power Systems Inc. | 31-Dec-2008 |
| Balmoral Club Limited Partnership (CT) | 19-Jul-1988 |
| Bank of America Corporation | 1-Jan-2009 |
| Bank of Montreal | 26-Nov-1981 |
| Bannerman Resources Limited | 18-Mar-2008 |
| Banro Corporation | 1-May-2006 |
| Banyan Gold Corp. | 24-Nov-2010 |
| Barclays Bank Plc | 3-Oct-2006 |
| Barisan Gold Corporation | 9-Aug-2011 |
| Barometer Disciplined Leadership Balanced Fund | 13-Jan-2015 |
| Barometer Disciplined Leadership Equity Fund | 8-Jan-2014 |
| Barometer Disciplined Leadership High Income Fund | 3-Jan-2013 |
| Barrick Gold Corporation | 15-Sep-1986 |
| Barsele Minerals Corp. | 25-Sep-2015 |



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| Batero Gold Corp. | 20-Oct-2008 |
| Battery Technologies Inc. | 12-Dec-2000 |
| Bausch Health Companies Inc. | 14-Sep-1999 |
| Baylin Technologies Inc. | 20-Nov-2013 |
| Baytex Energy Corp. | 1-Jan-2011 |
| BCE Inc. | 5-Apr-1989 |
| BDI Mining Corp. | 10-Aug-1983 |
| Beacon Acquisition Partners Inc. | 19-Jun-2007 |
| Beamscope Canada Inc. (CT) | 22-Oct-1993 |
| Bear Creek Mining Corporation | 10-Jul-2008 |
| Bearcat Explorations Ltd. (T) | 17-Jul-1979 |
| Beckett Technologies Corp. (CT) | 14-Mar-1995 |
| Belgravia Capital International Inc. (formerly, IC Potash Corp.) | 11-Mar-2011 |
| Bell Canada | 2-Jun-1975 |
| Bellatrix Exploration Ltd. | 29-Jul-1998 |
| BELLUS Health Inc. | 25-May-2012 |
| Belo Sun Mining Corp. | 18-Mar-2011 |
| Bengal Energy Ltd. | 28-Nov-1996 |
| BetaPro Canadian Gold Miners -2x Daily Bear ETF | 8-Jun-2007 |
| BetaPro Canadian Gold Miners 2x Daily Bull ETF | 8-Jun-2009 |
| BetaPro Canadian Marijuana Companies 2x Daily Bear ETF | 26-Jul-2018 |
| BetaPro Canadian Marijuana Companies 2x Daily Bull ETF | 26-Jul-2018 |
| BetaPro Canadian Marijuana Companies Inverse ETF | 26-Jul-2018 |
| BetaPro Crude Oil -2x Daily Bear ETF | 11-Jan-2008 |
| BetaPro Crude Oil 2x Daily Bull ETF | 14-Jan-2008 |
| BetaPro Gold Bullion -2x Daily Bear ETF | 14-Jan-2008 |
| BetaPro Gold Bullion Bullion 2x Daily Bull ETF | 14-Jan-2008 |
| BetaPro NASDAQ-100® -2x Daily Bear ETF | 16-Jun-2008 |
| BetaPro NASDAQ-100® -2x Daily Bull ETF | 16-Jun-2008 |
| BetaPro Natural Gas -2x Daily Bear ETF | 14-Jan-2008 |
| BetaPro Natural Gas 2x Daily Bull ETF | 14-Jan-2008 |
| BetaPro S&P 500 VIX Short-Term Futures ETF | 13-Dec-2010 |
| BetaPro S&P 500® -2x Daily Bear ETF | 31-Dec-2015 |
| BetaPro S&P 500® 2x Bull ETF | 31-Dec-2015 |
| BetaPro S&P 500® Daily Inverse ETF | 2-Feb-2010 |
| BetaPro S&P/TSX 60™ Daily Inverse ETF | 3-Mar-2009 |
| BetaPro S&P/TSX 60™ -2x Daily Bear ETF | 5-Jan-2007 |
| BetaPro S&P/TSX 60™ 2x Daily Bull ETF | 5-Jan-2007 |
| BetaPro S&P/TSX Capped Energy™ -2x Daily Bear ETF | 31-Dec-2015 |
| BetaPro S&P/TSX Capped Energy™ 2x Daily Bull ETF | 8-Jun-2007 |
| BetaPro S&P/TSX Capped Financials™ -2x Daily Bear ETF | 8-Jun-2007 |
| BetaPro S&P/TSX Capped Financials™ 2x Daily Bull ETF | 8-Jun-2007 |
| BetaPro Silver -2x Daily Bear ETF | 23-Jun-2009 |
| BetaPro Silver 2x Daily Bull ETF | 23-Jun-2009 |
| Betts Cove Minerals Limited (CT) | 22-Feb-1990 |



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| Beutel Goodman American Equity Fund | 14-Dec-1990 |
| Beutel Goodman Balanced Fund | 14-Dec-1990 |
| Beutel Goodman Canadian Dividend Fund | 21-Aug-2003 |
| Beutel Goodman Canadian Equity Fund | 15-Dec-1990 |
| Beutel Goodman Core Plus Bond Fund | 18-Aug-2004 |
| Beutel Goodman Fundamental Canadian Equity Fund | 24-Jun-2014 |
| Beutel Goodman Global Dividend Fund | 18-Aug-2010 |
| Beutel Goodman Global Equity Fund | 11-Jul-2011 |
| Beutel Goodman Income Fund | 14-Dec-1990 |
| Beutel Goodman International Equity Fund | 28-Aug-1992 |
| Beutel Goodman Long Term Bond Fund | 18-Aug-2004 |
| Beutel Goodman Money Market Fund | 14-Dec-1990 |
| Beutel Goodman North American Focused Equity Fund | 23-Aug-2001 |
| Beutel Goodman Short Term Bond Fund | 18-Aug-2010 |
| Beutel Goodman Small Cap Fund | 25-Jan-1995 |
| Beutel Goodman Total World Equity Fund | 23-Aug-2001 |
| Beutel Goodman World Focus Equity Fund | 23-Aug-2006 |
| BF Realty Holdings Limited (CT) | 29-Dec-1980 |
| Big 8 Split Inc. | 28-Aug-2003 |
| Big Pharma Split Corp. | 30-Oct-2017 |
| Big Rock Brewery Inc. | 1-Jan-2011 |
| *Big Sky Finishers (No. 1) Inc. (CT) | 16-Jan-2003 |
| BioExx Specialty Proteins Ltd. | 25-Mar-2010 |
| BioHEP Technologies Ltd. | 11-Apr-2015 |
| Birch Lake Energy Inc. | 28-Mar-2008 |
| Birchcliff Energy Ltd. | 18-Jan-2005 |
| Bird Construction Inc. | 1-Jan-2011 |
| Black Creek Global Balanced Corporate Class | 28-Jun-2011 |
| Black Creek Global Balanced Fund | 29-Jan-2007 |
| Black Creek Global Leaders Corporate Class | 29-Jul-2011 |
| Black Creek Global Leaders Fund | 26-Apr-2000 |
| Black Creek International Equity Corporate Class | 28-Jul-2011 |
| Black Creek International Equity Fund | 25-Sep-2008 |
| Black Diamond Group Limited | 9-Mar-2010 |
| Black Iron Inc. | 17-Mar-2011 |
| Black Widow Resources Inc. | 12-Feb-2013 |
| BlackBerry Limited (formerly Research In Motion Limited) | 20-Oct-1997 |
| Blackbird Energy Inc. | 18-Dec-2014 |
| Blackheath Resources Inc. | 26-Oct-2011 |
| BlackPearl Resources Inc. | 30-Apr-2010 |
| BlackRock All Bond Portfolio | 3-Oct-2013 |
| BlackRock Balanced Portfolio | 1-Oct-2013 |
| BlackRock Conservative Portfolio | 3-Oct-2013 |
| BlackRock Defensive Portfolio | 3-Oct-2013 |
| BlackRock Diversified Monthly Income Portfolio | 3-Oct-2013 |



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| BlackRock Growth Portfolio | 3-Oct-2013 |
| BlackRock MaxGrowth Portfolio | 3-Oct-2013 |
| Blake Resources Ltd. (CT) | 24-Jun-1980 |
| Blind Creek Resources Ltd. | 27-Jun-2011 |
| Block One Capital Inc. | 30-Jul-2010 |
| Blockchain Power Trust | 1-Apr-2014 |
| Blockchain Technologies ETF | 1-Feb-2018 |
| BLOCKCHAINK2 CORP. | 8-Jun-2012 |
| Bloom Select Income Fund | 23-Mar-2012 |
| Bloom U.S. Income & Growth Fund | 26-Feb-2013 |
| Blue Note Mining Inc. | 5-Nov-2007 |
| Blue Ribbon Income Fund | 3-Sep-1997 |
| BlueBay \$U.S. Global Convertible Bond Class (Canada) | 23-Oct-2015 |
| BlueBay \$U.S. Global Convertible Bond Fund (Canada) | 2-Apr-2015 |
| BlueBay Emerging Markets Bond Fund (Canada) | 3-Jul-2018 |
| BlueBay Emerging Markets Corporate Bond Fund | 21-Dec-2011 |
| BlueBay Emerging Markets Local Currency Bond Fund (Canada) | 3-Jul-2018 |
| BlueBay European High Yield Bond Fund | 5-Jul-2017 |
| BlueBay Global Convertible Bond Class | 31-Mar-2014 |
| BlueBay Global Convertible Bond Fund | 14-Nov-2012 |
| BlueBay Global Investment Grade Corporate Bond Fund (Canada) | 17-Nov-2016 |
| BlueBay Global Monthly Income Bond Fund | 4-Jul-2011 |
| BlueBay Global Sovereign Bond Fund (Canada) | 17-Nov-2016 |
| Blueocean Nutrasciences Inc. | 9-Mar-2012 |
| BMG Bullionfund | 22-May-2003 |
| BMG Gold BullionFund | 14-Sep-2009 |
| BMG Silver BullionFund | 27-Sep-2016 |
| BMO Advantaged Canadian Q-Model® Fund | 27-Feb-2017 |
| BMO Advantaged U.S. Q-Model® Fund | 27-Feb-2017 |
| BMO Aggregate Bond Index ETF | 18-Jan-2010 |
| BMO Ascent Balanced Portfolio | 20-Apr-2017 |
| BMO Ascent Conservative Portfolio | 20-Apr-2017 |
| BMO Ascent Equity Growth Portfolio | 20-Apr-2017 |
| BMO Ascent Growth Portfolio | 20-Apr-2017 |
| BMO Ascent Income Portfolio | 20-Apr-2017 |
| BMO Asian Growth & Income Class | 31-Oct-2008 |
| BMO Asian Growth and Income Fund | 27-Aug-2003 |
| BMO Asset Allocation Fund | 10-Mar-1988 |
| BMO Balanced ETF Portfolio | 9-Aug-2013 |
| BMO Balanced ETF Portfolio Class | 21-Apr-2010 |
| BMO Balanced Yield Plus ETF Portfolio | 4-Apr-2012 |
| BMO Bond Fund | 10-Mar-1988 |
| BMO Canadian Dividend ETF | 19-Oct-2011 |



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|--|-------------|
| BMO Canadian Equity Class | 1-Oct-2004 |
| BMO Canadian Equity ETF Fund | 10-Mar-1988 |
| BMO Canadian Equity Fund | 3-Jul-1993 |
| BMO Canadian Government Bond Index ETF | 13-May-2009 |
| BMO Canadian High Dividend Covered Call ETF | 2-Feb-2017 |
| BMO Canadian Large Cap Equity Fund | 7-Jan-1999 |
| BMO Canadian Q-Model® Trust | 27-Feb-2017 |
| BMO Canadian Small Cap Equity Fund | 3-Aug-1993 |
| BMO Canadian Stock Selection Fund | 12-Dec-2013 |
| BMO Canadian Top 15 Small Cap TACTIC Fund | 18-Jan-2018 |
| BMO Capital Trust | 29-Sep-2000 |
| BMO Capital Trust II | 15-Dec-2008 |
| BMO China Equity Index ETF | 18-Jan-2010 |
| BMO Concentrated Global Equity Fund | 11-May-2018 |
| BMO Conservative ETF Portfolio | 9-Aug-2013 |
| BMO Core Bond Fund | 10-Nov-2014 |
| BMO Core Plus Bond Fund | 10-Nov-2014 |
| BMO Corporate Bond Index ETF | 9-Feb-2018 |
| BMO Covered Call Canada High Dividend ETF Fund | 11-May-2018 |
| BMO Covered Call Canadian Banks ETF | 25-Jan-2011 |
| BMO Covered Call Canadian Banks ETF Fund | 26-Apr-2016 |
| BMO Covered Call Dow Jones Industrial Hedged to CAD ETF | 19-Oct-2011 |
| BMO Covered Call Europe High Dividend ETF Fund | 19-Apr-2016 |
| BMO Covered Call U.S. High Dividend ETF Fund | 26-Apr-2016 |
| BMO Covered Call Utilities ETF | 19-Oct-2011 |
| BMO Crossover Bond Fund | 11-May-2018 |
| BMO Discount Bond Index ETF | 4-Feb-2014 |
| BMO Diversified Income Portfolio | 16-May-2006 |
| BMO Dividend Class | 1-Oct-2004 |
| BMO Dividend Fund | 15-Aug-1994 |
| BMO Dow Jones Industrial Average Hedged to CAD Index ETF | 13-May-2009 |
| BMO Emerging Markets Bond Fund | 9-Aug-2013 |
| BMO Emerging Markets Bond Hedged to CAD Index ETF | 18-May-2010 |
| BMO Emerging Markets Fund | 15-Aug-1994 |
| BMO Enterprise Fund | 28-Sep-1972 |
| BMO Equal Weight Banks Index ETF | 19-Oct-2009 |
| BMO Equal Weight Global Base Metals Hedged to CAD Index ETF | 19-Oct-2009 |
| BMO Equal Weight REITs Index ETF | 18-May-2010 |
| BMO Equal Weight US Banks Index ETF | 4-Feb-2014 |
| BMO Equal Weight Utilities Index ETF | 18-Jan-2010 |
| BMO Equity Growth ETF Portfolio | 9-Aug-2013 |
| BMO Equity Growth ETF Portfolio Class | 23-Apr-2010 |
| BMO Europe High Dividend Covered Call ETF | 20-Dec-2017 |



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| Issuer Name | Start Date |
|--|-------------|
| BMO Europe High Dividend Covered Call Hedged to CAD ETF | 25-Aug-2015 |
| BMO European Fund | 15-Aug-1994 |
| BMO FinTech Sector TACTIC Fund | 18-Jan-2018 |
| BMO Fixed Income ETF Portfolio | 9-Aug-2013 |
| BMO Fixed Income Yield Plus ETF Portfolio | 2-Apr-2012 |
| BMO Floating Rate High Yield ETF | 4-Feb-2014 |
| BMO Floating Rate Income Fund | 7-Jul-2005 |
| BMO FundSelect Balanced Portfolio | 18-May-2007 |
| BMO FundSelect Equity Growth Portfolio | 18-May-2007 |
| BMO FundSelect Growth Portfolio | 18-May-2007 |
| BMO FundSelect Income Portfolio | 18-May-2007 |
| BMO Global Balanced Fund | 10-Nov-2014 |
| BMO Global Bank Hedged to CAD Index ETF | 2-Feb-2017 |
| BMO Global Communications Index ETF | 9-Feb-2018 |
| BMO Global Consumer Discretionary Hedged to CAD Index ETF | 2-Feb-2017 |
| BMO Global Consumer Staples Hedged to CAD Index ETF | 2-Feb-2017 |
| BMO Global Diversified Fund | 1-Mar-2006 |
| BMO Global Dividend Class | 7-Nov-2000 |
| BMO Global Dividend Fund | 3-Dec-1996 |
| BMO Global Energy Class | 5-Nov-2008 |
| BMO Global Equity Class | 7-Nov-2000 |
| BMO Global Equity Fund | 16-Apr-2015 |
| BMO Global Growth & Income Fund | 18-Jul-2006 |
| BMO Global Infrastructure Fund | 16-May-2006 |
| BMO Global Infrastructure Index ETF | 13-May-2009 |
| BMO Global Insurance Hedged to CAD Index ETF | 2-Feb-2017 |
| BMO Global Monthly Income Fund | 1-Oct-2004 |
| BMO Global Multi-Sector Bond Fund | 11-May-2018 |
| BMO Global Small Cap Fund | 12-Aug-1994 |
| BMO Global Strategic Bond Fund | 7-Nov-2000 |
| BMO Global Tactical ETF Class | 21-Apr-2010 |
| BMO Government Bond Index ETF | 9-Feb-2018 |
| BMO Greater China Class | 1-Oct-2004 |
| BMO Growth & Income Fund | 24-Oct-1996 |
| BMO Growth ETF Portfolio | 9-Aug-2013 |
| BMO Growth ETF Portfolio Class | 21-Apr-2010 |
| BMO Growth Opportunities Fund | 16-Apr-2015 |
| BMO High Yield US Corporate Bond Hedged to CAD ETF | 19-Oct-2009 |
| BMO High Yield US Corporate Bond Index ETF | 3-Oct-2017 |
| BMO Income ETF Portfolio | 9-Aug-2013 |
| BMO Income ETF Portfolio Class | 23-Apr-2010 |
| BMO India Equity Index ETF | 18-Jan-2010 |
| BMO International Dividend ETF | 4-Nov-2014 |
| BMO International Dividend Hedged to CAD ETF | 25-Aug-2015 |



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| BMO International Equity ETF Fund | 12-Mar-1999 |
| BMO International Equity Fund | 15-Aug-2017 |
| BMO International Value Class | 30-Sep-2009 |
| BMO International Value Fund | 12-Dec-2013 |
| BMO Japan Fund | 15-Aug-2017 |
| BMO Junior Gas Index ETF | 18-May-2010 |
| BMO Junior Gold Index ETF | 18-Jan-2010 |
| BMO Junior Oil Index ETF | 18-May-2010 |
| BMO Laddered Corporate Bond Fund | 4-Apr-2012 |
| BMO LifeStage Plus 2020 Fund | 18-May-2007 |
| BMO LifeStage Plus 2022 Fund | 15-Jan-2009 |
| BMO LifeStage Plus 2025 Fund | 18-May-2007 |
| BMO LifeStage Plus 2026 Fund | 15-Jan-2009 |
| BMO LifeStage Plus 2030 | 18-May-2007 |
| BMO Long Corporate Bond Index ETF | 18-Jan-2010 |
| BMO Long Federal Bond Index ETF | 18-May-2010 |
| BMO Long Provincial Bond Index ETF | 4-Feb-2013 |
| BMO Long-Term US Treasury Bond Index ETF | 2-Feb-2017 |
| BMO Low Volatility Canadian Equity ETF | 19-Oct-2011 |
| BMO Low Volatility Emerging Markets Equity ETF | 29-Jan-2016 |
| BMO Low Volatility International Equity ETF | 25-Aug-2015 |
| BMO Low Volatility International Equity Hedged to CAD ETF | 29-Jan-2015 |
| BMO Low Volatility US Equity ETF | 4-Feb-2013 |
| BMO Low Volatility US Equity Hedged to CAD ETF | 29-Jan-2016 |
| BMO Mid Corporate Bond Index ETF | 18-Jan-2010 |
| BMO Mid Provincial Bond Index ETF | 4-Feb-2013 |
| BMO Mid-Term US IG Corporate Bond Hedged to CAD Index ETF | 4-Feb-2013 |
| BMO Mid-Term US IG Corporate Bond Index ETF | 4-Feb-2013 |
| BMO Mid-Term US Treasury Bond Index ETF | 2-Feb-2017 |
| BMO Money Market Fund | 10-Mar-1988 |
| BMO Monthly Dividend Fund Ltd. | 30-Oct-1985 |
| BMO Monthly High Income Fund II | 15-Oct-2002 |
| BMO Monthly Income ETF | 25-Jan-2011 |
| BMO Monthly Income Fund | 12-Mar-1999 |
| BMO Mortgage and Short-Term Income Fund | 16-Sep-1974 |
| BMO MSCI All Country World High Quality Index ETF | 4-Nov-2014 |
| BMO MSCI Canada Value Index ETF | 3-Oct-2017 |
| BMO MSCI EAFE Hedged to CAD Index ETF | 13-May-2009 |
| BMO MSCI EAFE Index ETF | 4-Feb-2014 |
| BMO MSCI EAFE Value Index ETF | 3-Oct-2017 |
| BMO MSCI Emerging Markets Index ETF | 13-May-2009 |
| BMO MSCI Europe High Quality Hedged to CAD Index ETF | 4-Feb-2014 |
| BMO MSCI USA High Quality Index ETF | 4-Nov-2014 |
| BMO MSCI USA Value Index ETF | 3-Oct-2017 |



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|--|-------------|
| BMO Multi-Factor Equity Fund | 11-May-2018 |
| BMO Nasdaq 100 Equity Hedged to CAD Index ETF | 18-Jan-2010 |
| BMO Nesbitt Burns RRSP Stock Selection Fund | 17-Feb-2000 |
| BMO North American Dividend Fund | 15-Aug-1994 |
| BMO Precious Metals Fund | 3-Dec-1996 |
| BMO Preferred Share Fund | 9-Aug-2013 |
| BMO Private Canadian Core Equity Portfolio | 1-Mar-2002 |
| BMO Private Canadian Corporate Bond Portfolio | 1-Mar-2002 |
| BMO Private Canadian Income Equity Portfolio | 1-Mar-2002 |
| BMO Private Canadian Mid-Term Bond Portfolio | 5-Jul-2000 |
| BMO Private Canadian Money Market Portfolio | 26-Feb-1998 |
| BMO Private Canadian Short-Term Bond Portfolio | 26-Feb-1998 |
| BMO Private Canadian Special Growth Portfolio | 26-Feb-1998 |
| BMO Private Diversified Yield Portfolio | 1-Nov-2002 |
| BMO Private Emerging Markets Equity Portfolio | 1-Nov-2006 |
| BMO Private International Equity Portfolio | 26-Feb-1998 |
| BMO Private U.S. Equity Portfolio | 26-Feb-1998 |
| BMO Private U.S. Growth Equity Portfolio | 5-Jul-2000 |
| BMO Private U.S. Special Equity Portfolio | 1-Nov-2006 |
| BMO Real Return Bond Index ETF | 18-May-2010 |
| BMO Resource Fund | 3-Aug-1993 |
| BMO Retirement Balanced Portfolio | 20-Aug-2015 |
| BMO Retirement Conservative Portfolio | 20-Aug-2015 |
| BMO Retirement Income Portfolio | 20-Aug-2015 |
| BMO Risk Reduction Equity Fund | 20-Aug-2015 |
| BMO Risk Reduction Fixed Income Fund | 20-Aug-2015 |
| BMO S&P 500 Hedged to CAD Index ETF | 13-May-2009 |
| BMO S&P 500 Index ETF | 7-Nov-2012 |
| BMO S&P/TSX Capped Composite Index ETF | 13-May-2009 |
| BMO S&P/TSX Equal Weight Global Gold Index ETF | 7-Nov-2012 |
| BMO S&P/TSX Equal Weight Industrials Index ETF | 7-Nov-2012 |
| BMO S&P/TSX Equal Weight Oil & Gas Index ETF | 19-Oct-2009 |
| BMO S&P/TSX Laddered Preferred Share Index ETF | 7-Nov-2012 |
| BMO SelectClass Balanced Portfolio | 5-Nov-2008 |
| BMO SelectClass Equity Growth Portfolio | 5-Nov-2008 |
| BMO SelectClass Growth Portfolio | 5-Nov-2008 |
| BMO SelectClass Income Portfolio | 5-Nov-2008 |
| BMO SelectTrust Balanced Portfolio | 18-Jul-2006 |
| BMO SelectTrust Conservative Portfolio | 18-Jul-2006 |
| BMO SelectTrust Equity Growth Portfolio | 18-Jul-2006 |
| BMO SelectTrust Fixed Income Portfolio | 9-Aug-2013 |
| BMO SelectTrust Growth Portfolio | 18-Jul-2006 |
| BMO SelectTrust Income Portfolio | 18-Jul-2006 |
| BMO Shiller Select Index ETF | 3-Oct-2017 |
| BMO Short Corporate Bond Index ETF | 19-Oct-2009 |



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| BMO Short Federal Bond Index ETF | 19-Oct-2009 |
| BMO Short Provincial Bond Index ETF | 19-Oct-2009 |
| BMO Short-Term Bond Index ETF | 9-Feb-2018 |
| BMO Short-Term US IG Corporate Bond Hedged to CAD Index ETF | 4-Feb-2014 |
| BMO Short-Term US Treasury Bond Index ETF | 2-Feb-2017 |
| BMO Subordinated Notes Trust | 20-Sep-2007 |
| BMO Tactical Balanced ETF Fund | 16-Apr-2015 |
| BMO Tactical Dividend ETF Fund | 9-Aug-2013 |
| BMO Tactical Global Asset Allocation ETF Fund | 1-Aug-2016 |
| BMO Tactical Global Bond ETF Fund | 16-Apr-2015 |
| BMO Tactical Global Equity ETF Fund | 16-Apr-2015 |
| BMO Target Education 2020 Portfolio | 10-Nov-2014 |
| BMO Target Education 2025 Portfolio | 10-Nov-2014 |
| BMO Target Education 2030 Portfolio | 10-Nov-2014 |
| BMO Target Education 2035 Portfolio | 10-Nov-2014 |
| BMO Target Education Income Portfolio | 10-Nov-2014 |
| BMO U.S. Dividend Fund | 10-Nov-2014 |
| BMO U.S. Dollar Balanced Fund | 9-Aug-2013 |
| BMO U.S. Dollar Dividend Fund | 9-Aug-2013 |
| BMO U.S. Dollar Equity Index Fund | 14-Sep-1998 |
| BMO U.S. Dollar Money Market Fund | 14-Sep-1998 |
| BMO U.S. Dollar Monthly Income Fund | 1-Oct-2004 |
| BMO U.S. Equity Class | 31-Oct-2008 |
| BMO U.S. Equity ETF Fund | 3-Dec-1996 |
| BMO U.S. Equity Fund | 3-Dec-1996 |
| BMO U.S. Equity Plus Fund | 10-Nov-2014 |
| BMO U.S. High Yield Bond Fund | 13-May-2009 |
| BMO U.S. Q-Model® Trust | 27-Feb-2017 |
| BMO U.S. Small Cap Fund | 11-May-2018 |
| BMO U.S. Top 15 Small Cap TACTIC Fund | 18-Jan-2018 |
| BMO Ultra Short-Term Bond ETF | 25-Jan-2011 |
| BMO US Banks Hedged to CAD Index ETF | 18-May-2010 |
| BMO US Dividend ETF | 4-Feb-2013 |
| BMO US Dividend Hedged to CAD ETF | 4-Feb-2013 |
| BMO US Health Care Hedged to CAD Index ETF | 18-May-2010 |
| BMO US High Dividend Covered Call ETF | 4-Feb-2014 |
| BMO US Preferred Share Hedged to CAD Index ETF | 2-Feb-2017 |
| BMO US Preferred Share Index ETF | 2-Feb-2017 |
| BMO US Put Write ETF | 25-Aug-2015 |
| BMO US Put Write Hedged to CAD ETF | 2-Feb-2017 |
| BMO Women in Leadership Fund | 9-Apr-2016 |
| BMO World Bond Fund | 3-Aug-1993 |
| BNP Resources Inc. | 7-Jul-2006 |
| BNS Split Corp. II | 16-Sep-2005 |



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| Boardwalk Real Estate Investment Trust | 3-May-2004 |
| Boardwalk REIT Limited Partnership | 3-May-2004 |
| Boardwalktech Software Corp. | 26-May-2006 |
| Boliden AB (publ) | 11-Jun-1997 |
| Bombardier Inc. | 3-Dec-1980 |
| Bonaventure Estates I Limited Partnership (CT) | 23-Dec-1981 |
| Bonavista Energy Corporation | 1-Jan-2011 |
| Bonterra Energy Corp. | 28-Feb-1994 |
| Boralex Inc. | 14-May-2001 |
| Border Petroleum Limited | 24-Nov-2011 |
| Borealis Infrastructure Trust | 3-Jun-1999 |
| Boston Pizza Royalties Income Fund | 9-Jul-2002 |
| Bown River Exploration Ltd. (CT) | 27-May-1987 |
| Boyd Group Income Fund | 17-Feb-2003 |
| Boyuan Construction Group, Inc. | 26-Oct-2010 |
| BQE Water Inc. | 30-Nov-2006 |
| Bracknell Corporation (CT) | 14-Jan-1983 |
| Bramalea Inc. (CT) | 29-Nov-1984 |
| Brampton Brick Limited | 4-Nov-1986 |
| Brand Leaders Income Fund | 30-Jun-2011 |
| Brandenburg Energy Corp. | 24-Oct-2008 |
| Brandes Canadian Equity Fund | 26-Jun-2002 |
| Brandes Canadian Money Market Fund | 26-Jun-2002 |
| Brandes Corporate Focus Bond Fund | 18-Jan-2007 |
| Brandes Emerging Markets Value Fund | 26-Jun-2002 |
| Brandes Global Balanced Fund | 12-Jun-2003 |
| Brandes Global Equity Fund | 26-Jun-2002 |
| Brandes Global Opportunities Fund | 29-Jun-2012 |
| Brandes Global Small Cap Equity Fund | 26-Jun-2002 |
| Brandes International Equity Fund | 26-Jun-2002 |
| Brandes U.S. Equity Fund | 26-Jun-2002 |
| Brandes U.S. Small Cap Equity Fund | 26-Jun-2002 |
| Brandon Energy Ltd. (CT) | 20-Mar-1996 |
| Breaking Point Developments Inc. | 26-Sep-2008 |
| Bri-Chem Corp. | 12-Dec-2013 |
| Bright Plan | 29-May-2018 |
| Brighter Minds Media Inc. | 13-Mar-2006 |
| Brighton Gardens of Boynton Beach Limited Partnership (CT) | 6-Dec-1993 |
| Bristol Gate Concentrated Canadian Equity ETF | 9-Feb-2018 |
| Bristol Gate Concentrated US Equity ETF | 9-Feb-2018 |
| British Columbia Ferry Services Inc. | 19-May-2004 |
| Brixton Metals Corporation | 29-Jul-2011 |
| Brompton 2017 Flow-Through Limited Partnership | 27-Feb-2017 |
| Brompton Corp. | 11-May-2001 |
| Brompton Lifeco Split Corp. | 29-Mar-2007 |



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| Brompton Oil Split Corp. | 30-Jan-2015 |
| Brompton Resource Class | 26-Sep-2008 |
| Brompton Split Banc Corp. | 28-Oct-2005 |
| Brookfield Asset Management Inc. | 23-Apr-1981 |
| Brookfield Business Partners L.P. | 13-May-2016 |
| Brookfield Finance Inc. | 8-Jun-2015 |
| Brookfield Finance LLC | 17-Feb-2017 |
| Brookfield Global Infrastructure Securities Income Fund | 25-Jun-2013 |
| Brookfield Infrastructure Finance Limited | 12-Jul-2012 |
| Brookfield Infrastructure Finance LLC | 12-Jul-2012 |
| Brookfield Infrastructure Finance Pty Ltd | 12-Jul-2012 |
| Brookfield Infrastructure Finance ULC | 12-Jul-2012 |
| Brookfield Infrastructure Partners L.P. | 21-Dec-2007 |
| Brookfield Infrastructure Preferred Equity Inc. | 12-Jul-2012 |
| Brookfield Investments Corporation | 1-Jan-2007 |
| Brookfield Office Properties Inc. | 3-Mar-1987 |
| Brookfield Property Finance ULC | 1-Jun-2018 |
| Brookfield Property Partners L.P. | 18-Mar-2013 |
| Brookfield Property Preferred Equity Inc. | 1-Jun-2018 |
| Brookfield Property Split Corp. | 20-Jun-2014 |
| Brookfield Real Estate Services Inc. | 1-Jan-2011 |
| Brookfield Renewable Partners L.P. | 28-Nov-2011 |
| Brookfield Renewable Partners ULC | 23-Nov-2011 |
| Brookfield Renewable Power Preferred Equity Inc. | 3-Mar-2010 |
| Brookfield Select Opportunities Income Fund | 25-Apr-2014 |
| Brookfield SoundVest Split Trust | 25-Feb-2005 |
| BRP Inc. | 21-May-2013 |
| Bruin Point Helium Corp. | 30-May-1984 |
| Brunswick Resources Inc. | 29-Mar-2007 |
| BSM Technologies Inc. | 21-Feb-2013 |
| BSR Real Estate Investment Trust | 11-May-2018 |
| BTB Real Estate Investment Trust | 27-Sep-2006 |
| Buffalo Coal Corp. | 15-Feb-2011 |
| Buffalo Gold Ltd. | 28-Nov-2000 |
| Builders Capital Mortgage Corp. | 25-Oct-2013 |
| Burcon NutraScience Corporation | 10-Jun-2009 |
| Burnstone Ventures Inc. | 10-Sep-1986 |
| C International Income Fund | 5-May-2006 |
| C.F.P.C. (1990) L.P. (CT) | 28-Apr-1989 |
| C.H.I. Grand Forks Hotel Limited Partnership (CT) | 30-Nov-1988 |
| CAE Inc. | 19-Jul-1989 |
| Caldwell Balanced Fund | 18-Jun-1996 |
| Caldwell Canadian Value Momentum Fund | 4-Aug-2017 |
| Caldwell U.S. Dividend Advantage Fund | 28-May-2015 |
| Calfrac Well Services Ltd. | 1-Mar-1989 |



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| Calian Technology Ltd. | 7-Sep-1993 |
| Callidus Capital Corporation | 15-Apr-2014 |
| Callitis Health Inc. | 30-Sep-2005 |
| Calmena Energy Services Inc. | 31-Dec-2008 |
| Calyx Bio-Ventures Inc. | 22-Oct-2008 |
| Cambridge American Equity Corporate Class | 3-Aug-2001 |
| Cambridge American Equity Fund | 30-May-1989 |
| Cambridge Asset Allocation Fund | 28-Jul-2017 |
| Cambridge Balanced Yield Pool | 7-Jun-2017 |
| Cambridge Bond Fund | 27-Feb-2015 |
| Cambridge Canadian Asset Allocation Corporate Class | 28-Dec-2007 |
| Cambridge Canadian Dividend Corporate Class | 21-Dec-2015 |
| Cambridge Canadian Dividend Fund | 31-Aug-2004 |
| Cambridge Canadian Equity Corporate Class | 28-Dec-2007 |
| Cambridge Canadian Equity Fund | 28-Jul-2017 |
| Cambridge Canadian Growth Companies Fund | 11-Feb-2011 |
| Cambridge Global Dividend Corporate Class | 30-Jul-2013 |
| Cambridge Global Dividend Fund | 30-Jul-2013 |
| Cambridge Global Equity Corporate Class | 28-Dec-2007 |
| Cambridge Global Equity Fund | 28-Jul-2017 |
| Cambridge Global High Income Fund | 22-Jun-2004 |
| Cambridge Growth Companies Corporate Class | 31-Jul-2014 |
| Cambridge Growth Companies Fund | 28-Jul-2017 |
| Cambridge Monthly Income Corporate Class | 30-Dec-2011 |
| Cambridge Monthly Income Fund | 30-Dec-2011 |
| Cambridge Pure Canadian Equity Corporate Class | 21-Dec-2015 |
| Cambridge Pure Canadian Equity Fund | 11-Feb-2011 |
| Cambridge U.S. Dividend Fund | 13-Jun-2006 |
| Cambridge U.S. Dividend Registered Fund | 24-Dec-2014 |
| Cambridge U.S. Dividend US\$ Fund | 27-Mar-2015 |
| *Cameco Corporation | 28-Jun-1991 |
| Campbell Resources Inc. | 13-Nov-1987 |
| Can-Global REIT Income Fund | 29-Oct-2012 |
| Canaccord Genuity Group Inc. | 23-Jun-2004 |
| Canaccord Genuity Growth Corp. | 14-Sep-2018 |
| Canacol Energy Ltd. | 13-Jul-2010 |
| Canada 3000 Inc. (CT) | 30-Jun-2000 |
| Canada Goose Holdings Inc. | 15-Mar-2017 |
| Canada Jetlines Ltd. | 28-Mar-2008 |
| Canada Life Assurance Company, The | 26-Oct-1999 |
| Canada Life Capital Corporation Inc. | 15-Jun-2001 |
| Canada Life Capital Trust | 8-Mar-2002 |
| Canada Life Financial Corporation | 26-Oct-1999 |
| Canadian Advantaged Convertibles Fund | 14-Dec-2010 |
| Canadian Agra Foods Inc. (CT) | 24-May-1994 |



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| Canadian Airlines Corporation (CT) | 5-Dec-1983 |
| Canadian Apartment Properties Real Estate Investment Trust | 14-May-1997 |
| Canadian Banc Corp. | 29-Jun-2005 |
| Canadian Convertibles Plus Fund | 24-Feb-2011 |
| Canadian Credit Card Trust II | 21-Apr-2015 |
| Canadian Crude Oil Index ETF | 5-May-2015 |
| Canadian Dividend Class (Laketon) | 30-Nov-2015 |
| Canadian Dollar Cash Management Fund | 1-Jun-2006 |
| Canadian Equity Alpha Corporate Class | 30-Jul-2008 |
| Canadian Equity Class | 6-Jul-2005 |
| Canadian Equity Class (Laketon) | 7-Jul-2016 |
| Canadian Equity Fund | 13-Aug-1997 |
| Canadian Equity Fund (Laketon) | 5-Jan-2001 |
| Canadian Equity Fund(Mawer Funds) | 12-Jun-1991 |
| Canadian Equity Growth Corporate Class | 2-Oct-2007 |
| Canadian Equity Growth Pool | 28-Apr-2000 |
| Canadian Equity Small Cap Corporate Class | 2-Oct-2007 |
| Canadian Equity Small Cap Pool | 17-Jan-2000 |
| Canadian Equity Value Corporate Class | 2-Oct-2007 |
| Canadian Equity Value Pool | 20-Apr-1976 |
| Canadian Fixed Income Corporate Class | 2-Oct-2007 |
| Canadian Fixed Income Fund | 13-Aug-1997 |
| Canadian Fixed Income Pool | 15-May-1972 |
| Canadian General Investments, Limited | 18-Aug-1998 |
| Canadian Growth Class (GWLIM) | 7-Jul-2016 |
| Canadian Growth Fund (GWLIM) | 5-Jan-2001 |
| Canadian High Income Equity Fund | 28-Jan-2010 |
| Canadian Imperial Bank of Commerce | 26-Jan-1981 |
| Canadian Investment Grade Preferred Share Fund (P2L) | 29-Nov-2016 |
| Canadian Life Companies Split Corp. | 31-Mar-2005 |
| Canadian Low Volatility Class (London Capital) | 7-Jul-2016 |
| Canadian Manoir Industries Limited | 21-Jul-1986 |
| Canadian National Railway Company | 19-May-1987 |
| Canadian Natural Resources Limited | 15-Mar-1979 |
| Canadian Overseas Petroleum Limited | 15-Dec-2009 |
| Canadian Pacific Railway Company | 7-May-1987 |
| Canadian Pacific Railway Limited | 10-Aug-2001 |
| Canadian Platinum Corp. | 15-Dec-2010 |
| Canadian Preferred Share Trust | 23-Jun-2015 |
| Canadian Resources Income Trust | 29-Nov-1996 |
| CANADIAN SCHOLARSHIP TRUST FAMILY SAVINGS PLAN | 18-Jul-2000 |
| Canadian Scholarship Trust Founders' Plan | 18-Jul-2000 |
| Canadian Scholarship Trust Group Savings Plan | 18-Jul-2000 |
| Canadian Scholarship Trust Group Savings Plan 2001 | 18-Jul-2000 |
| Canadian Scholarship Trust Individual Savings Plan | 18-Jul-2000 |



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| Canadian Small Company Equity Fund | 14-May-2001 |
| Canadian Tire Corporation Limited | 4-Dec-1989 |
| Canadian Utilities & Telecom Income Fund | 30-Nov-2010 |
| Canadian Utilities Limited | 28-Oct-1970 |
| Canadian Value Class (FGP) | 6-Jul-2007 |
| Canadian Western Bank | 26-Apr-1984 |
| CANADIAN ZINC CORPORATION | 23-Jul-2014 |
| Canalands Resources Corporation (CT) | 29-Jul-1983 |
| Canarc Resource Corp. | 6-Nov-1992 |
| CanAsia Financial Inc. | 1-Dec-2008 |
| Candente Gold Corp. | 24-Mar-2011 |
| Canfor Corporation | 27-Jun-1983 |
| Canfor Pulp Products Inc. | 1-Jan-2011 |
| Canlan Ice Sports Corp. | 31-Dec-1989 |
| Canna 8 Investment Trust | 10-Jul-2018 |
| Cannabis Growth Opportunity Corporation | 17-Jan-2018 |
| Cannabis Strategies Acquisition Corp. | 15-Dec-2017 |
| CannaRoyalty Corp. | 8-Feb-2017 |
| CannTrust Holdings Inc. | 11-Aug-2017 |
| Canoe Bond Advantage Class | 13-Dec-2011 |
| Canoe Bond Advantage Fund | 13-Dec-2011 |
| Canoe Canadian Asset Allocation Class | 14-Feb-2011 |
| Canoe Canadian Corporate Bond Fund | 17-Dec-2009 |
| Canoe Canadian Monthly Income Class | 14-Feb-2011 |
| Canoe EIT Income Fund | 25-Jul-1997 |
| Canoe Energy Class | 14-Feb-2011 |
| Canoe Energy Income Class | 13-Dec-2011 |
| Canoe Enhanced Income Class | 13-Dec-2011 |
| Canoe Enhanced Income Fund | 13-Dec-2011 |
| Canoe Equity Class | 14-Feb-2011 |
| Canoe Equity Income Class | 13-Dec-2011 |
| Canoe Floating Rate Income Fund | 4-Jul-2013 |
| Canoe Global Equity Income Class | 20-Dec-2013 |
| Canoe Global Income Class | 22-Jul-2014 |
| Canoe Global Income Fund | 15-Aug-2013 |
| Canoe Mining Ventures Corp. | 9-Dec-2011 |
| Canoe North American Monthly Income Class | 14-Dec-2012 |
| Canoe Premium Income Fund | 17-Dec-2009 |
| Canoe Strategic High Yield Class | 14-Dec-2012 |
| Canoe Strategic High Yield Fund | 14-Dec-2012 |
| Canoe Trust Fund | 7-Sep-2018 |
| Canopy Growth Corporation | 9-May-2014 |
| Canoro Resources Ltd. | 13-Dec-2007 |
| Canshore Exploration Limited (CT) | 6-Aug-1979 |
| Canso Credit Income Fund | 29-Jun-2010 |



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| Canso Select Opportunities Corporation | 7-Sep-2018 |
| Cansorb Industries Inc. (CT) | 19-May-1987 |
| *Canterra Seeds Holdings Ltd. | 5-Feb-2004 |
| CanWel Building Materials Group Ltd. | 1-Feb-2010 |
| *CAPA Software Publishing Corporation (CT) | 1-Apr-1990 |
| Capital Desjardins Inc. | 3-May-2002 |
| Capital Group Canadian Core Plus Fixed Income Fund (Canada) | 22-Apr-2008 |
| Capital Group Canadian Focused Equity Fund (Canada) | 23-Mar-2006 |
| Capital Group Capital Income Builder (Canada) | 14-Aug-2018 |
| Capital Group Emerging Markets Total Opportunities Fund (Canada) | 7-Feb-2012 |
| Capital Group Global Balanced Fund (Canada) | 18-Aug-2015 |
| Capital Group Global Equity Fund (Canada) | 9-Oct-2002 |
| Capital Group International Equity Fund (Canada) | 8-Dec-2000 |
| Capital Group U.S. Equity Fund (Canada) | 8-Dec-2000 |
| Capital Group World Bond Fund (Canada) | 1-Sep-2017 |
| Capital Oil And Gas Corp. (CT) | 17-Jul-1980 |
| Capital Power Corporation | 25-Jun-2009 |
| Capstone Infrastructure Corporation | 1-Jan-2011 |
| Capstone Mining Corp. | 22-Feb-2007 |
| Captech Multicom Inc. | 11-Jun-1999 |
| Cara Operations Limited | 1-Apr-2015 |
| Cardinal Energy Ltd. | 10-Dec-2013 |
| Cardinal Resources Limited | 8-Nov-2017 |
| CARDS II Trust | 30-Sep-2004 |
| Cargojet Inc. | 1-Jan-2011 |
| Caribbean Utilities Company, Ltd. | 26-Nov-1993 |
| *Carling Place Limited Partnership | 19-Oct-1983 |
| Carmanah Resources Ltd. (CT) | 7-Jul-1997 |
| Carmanah Technologies Corporation | 23-Apr-2015 |
| Carriage Lane Estates Limited Partnership (CT) | 5-Oct-1983 |
| CARS and PARS Programme | 19-Jan-2007 |
| Cascades Inc. | 28-Sep-1984 |
| Cash Management Class | 6-Jul-2005 |
| Cash Management Pool | 21-Apr-1995 |
| CatchMark Timber Trust, Inc. | 11-Dec-2013 |
| Caterpillar Financial Services Limited | 11-Jun-2001 |
| Cathay Forest Products Corp. | 11-Dec-2009 |
| Cathedral Energy Services Ltd. | 18-Dec-2009 |
| Caulfield Resources Ltd. (CT) | 16-Jul-1980 |
| Cautivo Mining Inc. | 11-Jul-2017 |
| Cayenne Gold Mines Ltd. | 4-May-2007 |
| CBO Resources Corp. (CT) | 17-Jul-1979 |
| CC&L Core Income and Growth Fund | 8-Jun-2012 |



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| CC&L Diversified Income Portfolio | 26-Jan-2006 |
| CC&L Equity Income and Growth Fund | 3-May-2012 |
| CC&L Global Alpha Fund | 8-May-2014 |
| CC&L High Yield Bond Fund | 3-May-2012 |
| CCL Industries Inc. | 21-Apr-1980 |
| CDC Corporation | 23-Feb-2004 |
| CED-OR Corporation | 1-May-1994 |
| *Cedar Ridge Apartments Limited Partnership | 27-Mar-1984 |
| *Celebrations Le Club L.P. (CT) | 14-Feb-1986 |
| Celestica Inc. | 29-Jun-1998 |
| CellStop Systems Inc. | 16-Jun-1997 |
| Celtic Tiger Minerals Exploration Inc. | 13-Sep-2012 |
| Cenovus Energy Inc. | 2-Nov-2009 |
| Centamin plc | 30-Dec-2011 |
| Centerra Gold Inc | 22-Jun-2004 |
| Central 1 Credit Union | 31-Aug-1995 |
| Central Asia Goldfields Corporation (CT) | 29-Dec-1993 |
| Central Guaranty Trustco Limited (CT) | 5-Jun-1986 |
| Central Guaranty Trustfunds-Canadian Money Market Fund (CT) | 22-Mar-1988 |
| Centric Health Corporation | 25-Oct-2011 |
| Sequence Energy Ltd. | 21-Aug-2007 |
| Ceres Global Ag Corp. | 14-Dec-2007 |
| CERF Incorporated | 1-Oct-2011 |
| Ceridian HCM Holding Inc. | 25-Apr-2018 |
| Cerro Grande Mining Corporation | 25-Mar-1985 |
| Cerro Mining Corp. | 2-Mar-1990 |
| Cervus Equipment Corporation | 14-Nov-2000 |
| CES Energy Solutions Corp. | 1-Jan-2010 |
| CGI Group Inc. | 11-Dec-2000 |
| CGI Mutualization Corporation (CT) | 2-Jul-1992 |
| CGX Energy Inc. | 11-Aug-2010 |
| CH Financial Corporation (CT) | 25-Feb-1987 |
| Champion Iron Limited | 31-Mar-2014 |
| Chancellor Group Ltd. (CT) | 14-Nov-1989 |
| Charan Industries Inc. (CT) | 4-Dec-1985 |
| Charlie O Company, Inc.(CT) | 21-Jul-1980 |
| Charlotte Resources Ltd. | 8-Apr-2011 |
| Charlotte's Web Holdings, Inc. | 31-Dec-2018 |
| Chartwell Mews (CT) | 19-Dec-1978 |
| Chartwell Retirement Residences | 31-Oct-2003 |
| Chatham House Apartments L.P. (CT) | 2-Dec-1982 |
| Chauvco Resources International Ltd. (CT) | 11-Sep-1981 |
| Chemokine Therapeutics Corp. | 17-Dec-2004 |
| Chemtrade Electrochem Inc. | 8-Jul-2011 |



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| Chemtrade Logistics Income Fund | 12-Jul-2001 |
| Chesswood Group Limited | 1-Jan-2011 |
| Cheyenne Energy Inc. | 20-Jun-2002 |
| Chieftain Metals Corp. | 22-May-2013 |
| Chinook Energy Inc. | 29-Jun-2010 |
| CHIP Mortgage Trust | 18-Nov-2005 |
| Choice Properties Real Estate Investment Trust | 26-Jun-2013 |
| Choom Holdings Inc. | 25-Sep-2007 |
| Chorus Aviation Inc. | 31-Dec-2010 |
| Chorus II Aggressive Growth Portfolio | 18-Nov-2011 |
| Chorus II Balanced Growth Portfolio | 18-Nov-2011 |
| Chorus II Conservative Low Volatility Portfolio | 18-Nov-2011 |
| Chorus II Corporate Class Aggressive Growth Portfolio | 18-Nov-2011 |
| Chorus II Corporate Class Balanced Low Volatility Portfolio | 18-Nov-2011 |
| Chorus II Corporate Class Conservative Low Volatility Portfolio | 18-Nov-2011 |
| Chorus II Corporate Class Growth Portfolio | 18-Nov-2011 |
| Chorus II Corporate Class Maximum Growth Portfolio | 18-Nov-2011 |
| Chorus II Corporate Class Moderate Low Volatility Portfolio | 18-Nov-2011 |
| Chorus II Growth Portfolio | 18-Nov-2011 |
| Chorus II Maximum Growth Portfolio | 18-Nov-2011 |
| Chorus II Moderate Low Volatility Portfolio | 18-Nov-2011 |
| Chou Asia Fund | 15-Sep-2003 |
| Chou Associates Fund | 19-Oct-2001 |
| Chou Bond Fund | 15-Sep-2005 |
| Chou Europe Fund | 15-Sep-2003 |
| Chou RRSP Fund | 19-Oct-2001 |
| Churchill 10 Debenture Corp. | 18-May-2010 |
| Churchill 10 Real Estate Limited Partnership | 18-May-2010 |
| Churchill 11 Debenture Corp. | 7-Apr-2011 |
| Churchill 11 Real Estate Limited Partnership | 7-Apr-2011 |
| Churchill IV Debenture Corp. | 30-May-2006 |
| Churchill IV Real Estate Limited Partnership | 30-May-2006 |
| CI American Managers Corporate Class | 3-Aug-2001 |
| CI American Small Companies Corporate Class | 3-Aug-2001 |
| CI American Small Companies Fund | 27-Sep-1988 |
| CI American Value Corporate Class | 31-Dec-2001 |
| CI American Value Fund | 1-Nov-1996 |
| CI Can-Am Small Cap Corporate Class | 30-Sep-2005 |
| CI Canadian Investment Corporate Class | 13-Jul-2003 |
| CI Canadian Investment Fund | 1-Nov-1996 |
| CI Canadian Small/Mid Cap Fund | 12-Nov-1992 |
| CI Financial Corp. | 1-Jan-2009 |
| CI G5 20 2038 Q3 Fund | 3-Jul-2013 |
| CI G5 20 2038 Q4 Fund | 27-Sep-2013 |
| CI G5 20 2039 Q2 Fund | 12-May-2014 |



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| CI G5 20 2039 Q3 Fund | 27-Jun-2014 |
| CI G5 20 2040 Q1 Fund | 19-Dec-2014 |
| CI G5 20 2040 Q4 Fund | 30-Sep-2015 |
| CI G5 20i 2035 Q1 Fund | 19-Dec-2014 |
| CI G5 20i 2035 Q2 Fund | 26-Mar-2015 |
| CI G5 20i 2036 Q1 Fund | 8-Dec-2015 |
| CI G5 20i 2036 Q2 Fund | 31-Mar-2016 |
| CI Global Corporate Class | 3-Aug-2001 |
| CI Global Fund | 4-Mar-1986 |
| CI Global Health Sciences Corporate Class | 3-Aug-2001 |
| CI Global High Dividend Advantage Corporate Class | 26-Feb-2007 |
| CI Global High Dividend Advantage Fund | 25-Jan-2006 |
| CI Global Small Companies Corporate Class | 3-Aug-2001 |
| CI Global Small Companies Fund | 22-Apr-1993 |
| CI Global Value Corporate Class | 3-Aug-2001 |
| CI Global Value Fund | 14-Jun-1996 |
| CI Income Fund | 16-Aug-2010 |
| CI International Value Corporate Class | 3-Aug-2001 |
| CI International Value Fund | 14-Jun-1996 |
| CI Money Market Fund | 16-Oct-1990 |
| CI Pacific Corporate Class | 3-Aug-2001 |
| CI Pacific Fund | 9-Nov-1981 |
| CI Short-Term Corporate Class | 3-Aug-2001 |
| CI Short-Term US\$ Corporate Class | 3-Aug-2001 |
| CI US Money Market Fund | 1-Feb-1995 |
| CIBC Asia Pacific Fund | 30-Aug-1993 |
| CIBC Asia Pacific Index Fund | 10-Aug-2000 |
| CIBC Balanced Fund | 13-Dec-1974 |
| CIBC Balanced Growth Passive Portfolio | 18-Jul-2017 |
| CIBC Balanced Index Fund | 23-Nov-1998 |
| CIBC Balanced Passive Portfolio | 18-Jul-2017 |
| CIBC Canadian Bond Fund | 14-Dec-1988 |
| CIBC Canadian Bond Index Fund | 25-Aug-1997 |
| CIBC Canadian Equity Fund | 14-Dec-1988 |
| CIBC Canadian Equity Value Fund | 18-Aug-1997 |
| CIBC Canadian Index Fund | 11-Jul-1996 |
| CIBC Canadian Real Estate Fund | 25-Aug-1997 |
| CIBC Canadian Resources Fund | 11-Jul-1995 |
| CIBC Canadian Short-Term Bond Index Fund | 30-Aug-1993 |
| CIBC Canadian Small-Cap Fund | 9-Aug-1991 |
| CIBC Canadian T-Bill Fund | 7-Dec-1990 |
| CIBC Capital Trust | 5-Mar-2009 |
| CIBC Conservative Passive Portfolio | 18-Jul-2017 |
| CIBC Disciplined U.S. Equity Fund | 6-Sep-2006 |
| CIBC Dividend Fund | 9-Aug-1991 |



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| CIBC Dividend Income Fund | 11-May-2005 |
| CIBC Emerging Markets Fund | 10-Nov-1995 |
| CIBC Emerging Markets Index Fund | 10-Aug-2000 |
| CIBC Energy Fund | 11-Jul-1996 |
| CIBC European Equity Fund | 10-Nov-1995 |
| CIBC European Index Fund | 17-Aug-1998 |
| CIBC Financial Companies Fund | 17-Aug-1998 |
| CIBC Global Bond Fund | 2-Sep-1994 |
| CIBC Global Bond Index Fund | 20-Jan-1998 |
| CIBC Global Equity Fund | 14-Dec-1988 |
| CIBC Global Monthly Income Fund | 6-Sep-2006 |
| CIBC Global Technology Fund | 10-Nov-1995 |
| CIBC International Equity Fund | 6-Sep-2006 |
| CIBC International Index Fund | 20-Jan-1998 |
| CIBC International Small Companies Fund | 25-Aug-1997 |
| CIBC Latin American Fund | 14-Aug-1996 |
| CIBC Managed Aggressive Growth Portfolio (formerly CIBC Managed Aggressive Growth RRSP Portfolio) | 16-Jan-2002 |
| CIBC Managed Balanced Growth Portfolio (formerly, CIBC Managed Balanced Growth RRSP Portfolio) | 16-Jan-2002 |
| CIBC Managed Balanced Portfolio | 16-Jan-2002 |
| CIBC Managed Growth Portfolio (formerly, CIBC Managed Growth RRSP Portfolio) | 16-Jan-2002 |
| CIBC Managed Income Plus Portfolio | 16-Jan-2002 |
| CIBC Managed Income Portfolio | 16-Jan-2002 |
| CIBC Managed Monthly Income Balanced Portfolio | 6-Sep-2006 |
| CIBC Money Market Fund | 14-Dec-1988 |
| CIBC Monthly Income Fund | 17-Aug-1998 |
| CIBC Nasdaq Index Fund | 10-Aug-2000 |
| CIBC Precious Metals Fund | 11-Jul-1996 |
| CIBC Short-Term Income Fund | 2-Feb-1988 |
| CIBC U.S Dollar Money Market Fund | 13-Mar-1991 |
| CIBC U.S. Dollar Managed Balanced Portfolio | 3-Oct-2002 |
| CIBC U.S. Dollar Managed Growth Portfolio | 3-Oct-2002 |
| CIBC U.S. Dollar Managed Income Portfolio | 3-Oct-2002 |
| CIBC U.S. Equity Index Fund | 13-Mar-1991 |
| CIBC U.S. Index RRSP Fund | 11-Jul-1996 |
| CIBC U.S. Small Companies Fund | 10-Nov-1995 |
| CIM INTERNATIONAL GROUP INC. (formerly Golden Bridge Development Corporation) | 5-May-2010 |
| Cineplex Inc. | 1-Jan-2011 |
| Cinram International Limited Partnership | 5-May-2006 |
| Cintech Solutions, Inc. | 25-Jan-1994 |
| Cipher Pharmaceuticals Inc. | 10-Mar-2004 |
| Circle Of Two (CT) | 22-Aug-1979 |



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|--|-------------|
| CIS Commax Hedge Fund (CT) | 12-Apr-1994 |
| CIS Global Telecommunications Fund (CT) | 12-Apr-1994 |
| Citadel Income Fund | 31-Jan-2005 |
| Citigroup Finance Canada ULC | 14-May-1970 |
| Citigroup Inc. | 8-Oct-1998 |
| Clarke Inc. | 6-Mar-1998 |
| Clarus Corporation (CT) | 20-Jan-1987 |
| Classic Education Savings Plan | 1-Jul-2000 |
| Clean Commodities Corp. | 19-Dec-2011 |
| Clean Harbors, Inc. | 31-Jul-2009 |
| Clean Seed Capital Group Ltd. | 27-Jun-2011 |
| Clearpoint Global Dividend Fund | 9-Jun-2006 |
| Clearpoint Short Term Income Fund | 13-Feb-2017 |
| Clearwater Seafoods Incorporated | 2-Oct-2011 |
| Clipper Apartment Project | 7-Dec-1979 |
| Cloud Nine Education Group Ltd. | 29-Aug-2016 |
| *CMI Terminal Ltd. | 22-Dec-1998 |
| CMP 2017 Resource Limited Partnership | 24-Jan-2017 |
| CMP 2018 Resource Limited Partnership | 26-Jan-2018 |
| CMX Gold & Silver Corp. | 12-Apr-2012 |
| CNH Capital Canada Receivables Trust | 12-Oct-2001 |
| CNH Capital Canada Wholesale Trust | 7-May-2004 |
| Co-Operators General Insurance Company | 29-May-1997 |
| Coachman House Limited Partnership | 22-Nov-1982 |
| Cobalt 27 Capital Corp. | 19-Nov-2009 |
| Cogeco Communications Inc. | 23-Jun-1993 |
| Cogeco Inc | 5-Jan-1994 |
| CohBar, Inc. | 18-Dec-2014 |
| Coin Hodl Inc. | 2-May-2011 |
| Coincapital STOXX B.R.A.I.N Index Fund | 13-Sep-2018 |
| Coincapital STOXX Blockchain Patents Innovation Index Fund | 13-Sep-2018 |
| Colabor Group Inc. | 23-May-2006 |
| Colborne Centre I L.P. (CT) | 1-Aug-1986 |
| Cold Creek Capital Inc. | 21-Jun-2006 |
| COLLIERS INTERNATIONAL GROUP INC. | 17-Jun-1993 |
| Colossus Minerals Inc. | 6-Feb-2008 |
| Colson Capital Corp. | 14-Jun-2017 |
| Columbus Gold Corp. | 11-May-2012 |
| Cominar Real Estate Investment Trust | 11-May-1998 |
| Communicorp Corporation | 27-Jan-1994 |
| Compass Balanced Growth Portfolio | 8-Dec-2005 |
| Compass Balanced Portfolio | 31-Dec-2005 |
| Compass Conservative Balanced Portfolio | 31-Dec-2005 |
| Compass Conservative Portfolio | 8-Dec-2005 |
| Compass Growth Portfolio | 8-Dec-2005 |



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| Compass Maximum Growth Portfolio | 8-Dec-2005 |
| Computer Modelling Group Ltd. | 3-Mar-1997 |
| Comstock Metals Ltd. | 12-Dec-2008 |
| Comterm Inc. (CT) | 25-Jun-1981 |
| Concert Industries Ltd. | 14-Dec-1999 |
| Concordia Healthcare Corp. | 5-Mar-2014 |
| Condor Petroleum Inc. | 31-Mar-2011 |
| Conifex Timber Inc. | 1-Mar-2017 |
| Connacher Oil and Gas Limited | 24-Aug-2005 |
| Connected Wealth Core Income Class | 6-Nov-2015 |
| Conservative Folio Fund | 5-Jan-2001 |
| Conservative Fund | 11-Sep-2014 |
| Conservative Income Portfolio | 17-Dec-2015 |
| Conservative Portfolio | 24-Oct-2017 |
| CONSTELLATION COPPER CORPORATION | 23-Aug-2006 |
| Constellation Software Inc. | 11-May-2006 |
| Continental Gold Inc. | 16-May-2016 |
| Copper Mesa Mining Corporation (formerly Ascendant Copper Corporation) | 27-Mar-2008 |
| Copper Mountain Mining Corporation | 17-Sep-2009 |
| Copper North Mining Corp. | 17-Oct-2011 |
| Copper Reef Mining Corporation | 14-Dec-2007 |
| Cordy Oilfield Services Inc. | 18-Aug-1999 |
| Core Bond Fund (Portico) | 5-Jan-2001 |
| Core Canadian Dividend Trust | 30-Oct-2006 |
| Core Plus Bond Fund (Portico) | 30-Dec-1974 |
| Coro Mining Corp. | 13-Jun-2007 |
| Corporate Bond Fund (Portico) | 5-Jan-2001 |
| Correvio Pharma Corp. | 15-May-2018 |
| Corridor Resources Inc. | 7-Dec-2006 |
| Cortex Business Solutions Inc. | 4-Jul-2000 |
| Corus Entertainment Inc. | 8-Apr-1983 |
| Corvec Research & Development (CT) Western) Limited Partnership | 5-Oct-1982 |
| Cott Corporation | 8-Jan-1992 |
| Counsel All Equity Portfolio | 21-Jan-2002 |
| Counsel All Equity Portfolio Class | 4-Nov-2013 |
| Counsel Balanced Portfolio | 21-Jan-2002 |
| Counsel Balanced Portfolio Class | 24-Apr-2013 |
| Counsel Canadian Core Fixed Income | 8-Jan-2016 |
| Counsel Canadian Dividend | 13-Jan-2009 |
| Counsel Canadian Dividend Class | 24-Apr-2013 |
| Counsel Canadian Growth | 13-Jan-2009 |
| Counsel Canadian Growth Class | 24-Apr-2013 |
| Counsel Canadian Value | 13-Jan-2009 |



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| Counsel Canadian Value Class | 24-Apr-2013 |
| Counsel Conservative Portfolio | 21-Jan-2002 |
| Counsel Conservative Portfolio Class | 24-Apr-2013 |
| Counsel Defensive Global Equity | 8-Jan-2016 |
| Counsel Fixed Income | 1-Jun-2001 |
| Counsel Global Dividend | 12-Jul-2012 |
| Counsel Global Fixed Income | 26-May-2014 |
| Counsel Global Low Volatility Equity | 8-Jan-2016 |
| Counsel Global Real Estate | 13-Jan-2009 |
| Counsel Global Small Cap | 3-Jun-2005 |
| Counsel Global Trend Strategy | 26-May-2014 |
| Counsel Growth Portfolio | 30-Sep-2002 |
| Counsel Growth Portfolio Class | 24-Apr-2013 |
| Counsel High Yield Fixed Income | 16-Jul-2012 |
| Counsel International Value | 1-Jan-2009 |
| Counsel Managed High Yield Portfolio | 16-Jul-2012 |
| Counsel Managed Portfolio | 21-May-1999 |
| Counsel Managed Yield Portfolio | 27-Oct-2010 |
| Counsel Money Market | 15-Feb-2000 |
| Counsel Regular Pay Portfolio | 20-Jan-2004 |
| Counsel Retirement Accumulation Portfolio | 8-Jan-2016 |
| Counsel Retirement Foundation Portfolio | 8-Jan-2016 |
| Counsel Retirement Income Portfolio | 8-Jan-2016 |
| Counsel Retirement Preservation Portfolio | 8-Jan-2016 |
| Counsel Short Term Bond | 15-Jan-2010 |
| Counsel U.S. Value | 13-Jan-2009 |
| Counsel U.S.Growth | 13-Jan-2009 |
| Coupon Corporation Ltd. | 25-Mar-1991 |
| Covington Fund II Inc. | 6-Sep-2011 |
| CPI Card Group Inc. | 8-Oct-2015 |
| Crailar Technologies Inc. | 30-Jun-2011 |
| CRC Royalty Corporation | 7-Nov-2011 |
| Credit Suisse AG | 17-Apr-2008 |
| Crescent Point Energy Corp. | 2-Jul-2009 |
| Crescita Therapeutics Inc. | 1-Mar-2016 |
| Crew Energy Inc. | 24-Jul-2003 |
| CRH Medical Corporation | 30-May-2003 |
| Crispin Energy Inc. | 29-Apr-2005 |
| Crius Energy Trust | 5-Nov-2012 |
| Crombie Real Estate Investment Trust | 13-Mar-2006 |
| Cronos Group Inc. | 2-Mar-2017 |
| Crosswinds Holdings Inc. | 18-Aug-2005 |
| Crown Capital Partners Inc. | 30-Jun-2015 |
| Crown Point Energy Inc. | 9-Dec-2011 |
| CRS Preferred NT Ltd. (CT) | 29-Nov-1993 |



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| CT Real Estate Investment Trust | 10-Oct-2013 |
| CU Inc. | 26-Jul-1999 |
| Curragh Inc. (CT) | 25-May-1990 |
| Currie Rose Resources Inc. | 24-Jul-1984 |
| Curtains (CT) | 8-Dec-1980 |
| Custom Petroleum Corporation (CT) | 19-May-1981 |
| CVF Technologies Corporation | 9-Jul-1998 |
| CWB Core Equity Fund | 12-Jul-2012 |
| CWB Core Fixed Income Fund | 12-Jul-2012 |
| CWB Onyx Balanced Solution | 5-Feb-2016 |
| CWB Onyx Canadian Equity Fund | 5-Feb-2016 |
| CWB Onyx Conservative Solution | 5-Feb-2016 |
| CWB Onyx Diversified Income Fund | 5-Feb-2016 |
| CWB Onyx Global Equity Fund | 5-Feb-2016 |
| CWB Onyx Growth Solution | 5-Feb-2016 |
| CWC Energy Services Corp. | 21-Oct-2009 |
| Cymat Technologies Ltd. | 4-Aug-2006 |
| Cymbria Corporation | 20-Oct-2008 |
| D-Box Technologies Inc. | 4-Jun-2007 |
| Dalradian Resources Inc. | 12-Jul-2010 |
| Danier Leather Inc. | 8-May-1998 |
| DATA Communications Management Corp. | 1-Jan-2012 |
| DataWind Inc. | 30-Jun-2014 |
| Datel Industries Inc. (CT) | 10-Nov-1983 |
| Davie Yards Inc. | 12-Feb-2008 |
| Davis Distributing Limited (CT) | 20-Jun-1986 |
| DCI Acquisition Inc.(Do Not Use) | 17-Apr-2003 |
| Dean Witter Cornerstone Fund II | 6-Jan-1993 |
| Dean Witter Cornerstone Fund III | 6-Jan-1993 |
| Dean Witter Cornerstone Fund IV | 6-Jan-1993 |
| Deans Knight Income Corporation | 9-Mar-2009 |
| Death Bite (CT) | 23-Dec-1981 |
| Deb Canadian Explorations 1977 (CT) | 29-Nov-1977 |
| Deb Canadian Explorations 1978 (CT) | 22-Jun-1978 |
| Deb Resources 1988 (CT) | 26-Aug-1990 |
| DECISIVE DIVIDEND CORPORATION | 2-Jul-2013 |
| *Dee Explorations (1982) Limited | 1-Jan-1983 |
| DeepRock Minerals Inc. | 27-Aug-2018 |
| Deer Valley Shopping Centre Limited Partnership (CT) | 3-May-1983 |
| Delphi Energy Corp. | 26-May-2005 |
| Delta 9 Cannabis Inc. | 20-Dec-2017 |
| Denbury Resources Inc. | 10-Apr-1987 |
| Denison Mines Corp. | 1-Dec-2006 |
| Denison Oil Corporation | 4-Mar-2004 |
| Denninghouse Inc. | 10-Nov-1993 |



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| Desjardins 1-5 year Laddered Canadian Corporate Bond Index ETF | 24-Mar-2017 |
| Desjardins 1-5 year Laddered Canadian Government Bond Index ETF | 24-Mar-2017 |
| Desjardins American Equity Growth Currency Neutral Fund | 8-Apr-2016 |
| Desjardins American Equity Growth Fund | 9-Jan-2004 |
| Desjardins American Equity Value Fund | 9-Jan-2004 |
| Desjardins Canada Multifactor-Controlled Volatility ETF | 24-Mar-2017 |
| Desjardins Canadian Bond Fund | 2-Nov-1999 |
| Desjardins Canadian Equity Fund | 24-Nov-2016 |
| Desjardins Canadian Equity Income Fund | 12-Jul-2013 |
| Desjardins Canadian Equity Value Fund | 20-Dec-2002 |
| Desjardins Canadian Preferred Share Fund | 8-Apr-2016 |
| Desjardins Canadian Preferred Share Index ETF | 24-Mar-2017 |
| Desjardins Canadian Short Term Bond Index ETF | 24-Mar-2017 |
| Desjardins Canadian Small Cap Equity Fund | 2-Nov-1999 |
| Desjardins Canadian Universe Bond Index ETF | 24-Mar-2017 |
| Desjardins Developed ex-USA ex-Canada Multifactor-Controlled Volatility ETF | 24-Mar-2017 |
| Desjardins Dividend Growth Fund | 16-Jan-2009 |
| Desjardins Dividend Income Fund | 2-Nov-1999 |
| Desjardins Emerging Markets Bond Fund | 26-Sep-2013 |
| Desjardins Emerging Markets Fund | 22-Jan-2007 |
| Desjardins Emerging Markets Multifactor-Controlled Volatility ETF | 24-Mar-2017 |
| Desjardins Emerging Markets Opportunities Fund | 26-Sep-2014 |
| Desjardins Enhanced Bond Fund | 9-Jan-2004 |
| Desjardins Floating Rate Income Fund | 7-May-2014 |
| Desjardins Global Balanced Strategic Income Fund | 7-Jun-2016 |
| Desjardins Global Dividend Fund | 2-Nov-1999 |
| Desjardins Global Equity Fund | 20-Jun-2018 |
| Desjardins Global Equity Growth Fund | 15-Jan-2015 |
| Desjardins Global Equity Value Fund | 2-Nov-1999 |
| Desjardins Global Inflation Linked Bond Fund | 9-Jan-2004 |
| Desjardins Global Infrastructure Fund | 26-Sep-2013 |
| Desjardins Global Small Cap Equity Fund | 9-Jan-2004 |
| Desjardins Global Tactical Bond Fund | 4-Apr-2018 |
| Desjardins IBrix Canadian Equity Focus Fund | 15-Jan-2015 |
| Desjardins IBrix Canadian High Dividend Equity Fund | 15-Jan-2015 |
| Desjardins IBrix Global Bond Fund | 4-Apr-2018 |
| Desjardins IBrix Global Equity Focus Fund | 15-Jan-2015 |
| Desjardins IBrix Low Volatility Global Equity Fund | 15-Jan-2015 |
| Desjardins Money Market Fund | 2-Nov-1999 |
| Desjardins Overseas Equity Growth Fund | 23-Mar-2010 |
| Desjardins Overseas Equity Value Fund | 2-Nov-1999 |



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| Desjardins Quebec Balanced Fund | 2-Nov-1999 |
| Desjardins RI Active Canadian Bond - Low CO2 ETF | 12-Sep-2018 |
| Desjardins RI Canada - Low CO2 Index ETF | 12-Sep-2018 |
| Desjardins RI Canada Multifactor - Low CO2 ETF | 12-Sep-2018 |
| Desjardins RI Developed ex-USA ex-Canada Multifactor - Low CO2 ETF | 12-Sep-2018 |
| Desjardins RI Emerging Markets Multifactor - Low CO2 ETF | 12-Sep-2018 |
| Desjardins RI Global Multifactor - Fossil Fuel Reserves Free ETF | 12-Sep-2018 |
| Desjardins RI USA - Low CO2 Index ETF | 12-Sep-2018 |
| Desjardins RI USA Multifactor - Low CO2 ETF | 12-Sep-2018 |
| Desjardins Short-Term Income Fund | 2-Nov-1999 |
| Desjardins SocieTerra American Equity Fund | 7-Jun-2016 |
| Desjardins SocieTerra Canadian Bond Fund | 5-Jun-2015 |
| Desjardins SocieTerra Canadian Equity Fund | 1-Nov-2017 |
| Desjardins SocieTerra Cleantech Fund | 7-Jun-2016 |
| Desjardins SocieTerra Emerging Markets Equity Fund | 20-Jun-2018 |
| Desjardins SocieTerra Environment Fund | 2-Nov-1999 |
| Desjardins SocieTerra Environmental Bond Fund | 7-Jun-2016 |
| Desjardins SocieTerra International Equity Fund | 20-Jun-2018 |
| Desjardins SocieTerra Positive Change Fund | 20-Jun-2018 |
| Desjardins Tactical Balanced Fund | 2-Nov-1999 |
| Desjardins USA Multifactor-Controlled Volatility ETF | 24-Mar-2017 |
| Detour Gold Corporation | 25-Jan-2007 |
| Deutsche Bank Aktiengesellschaft | 1-Aug-2008 |
| Develcon Electronics Ltd. (CT) | 24-Jun-1982 |
| Devine Entertainment Corporation | 7-Oct-1996 |
| Devon Energy Corporation | 22-Jul-1980 |
| DFA Canadian Core Equity Fund | 1-Jun-2004 |
| DFA Canadian Vector Equity Fund | 4-Jul-2011 |
| DFA Five-Year Global Fixed Income Fund | 20-Oct-2003 |
| DFA Global 50EQ-50FI Portfolio | 3-Jul-2014 |
| DFA Global 70EQ-30FI Portfolio | 3-Jul-2014 |
| DFA Global 80e20i Portfolio | 4-Jul-2012 |
| DFA Global Balanced Fund | 4-Jul-2011 |
| DFA Global Conservative Fund | 4-Jul-2011 |
| DFA Global Equity Portfolio | 4-Jul-2011 |
| DFA Global Investment Grade Fixed Income Fund | 13-Jan-2009 |
| DFA Global Real Estate Securities Fund | 7-Jan-2008 |
| DFA Global Targeted Credit Fund | 1-Sep-2015 |
| DFA International Core Equity Fund | 2-Jun-2005 |
| DFA International Vector Equity Fund | 20-Oct-2003 |
| DFA U.S. Core Equity Fund | 2-Jun-2005 |
| DFA U.S. Vector Equity Fund | 20-Oct-2003 |
| DHX Media Ltd. | 12-May-2006 |



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| Issuer Name | Start Date |
|--|-------------|
| Diagem Inc. | 31-May-2002 |
| Diamond Fields International Ltd. | 12-May-1982 |
| Diamond Ridge (CT) | 2-Nov-1981 |
| Diatec Resources Ltd. (CT) | 9-Jun-1983 |
| Difference Capital Financial Inc. | 29-Nov-1993 |
| Dion Entertainment Corp. | 27-Jul-1993 |
| DIRTT Environmental Solutions Ltd. | 21-Nov-2013 |
| Discovery 2017 Flow-Through Limited Partnership | 18-Oct-2017 |
| Discovery Air Inc. | 31-Mar-2006 |
| Discovery Quay - Building I L.P. (CT) | 6-Jun-1983 |
| Disenco Energy PLC | 3-Jan-2007 |
| Distinct Infrastructure Group Inc. | 5-Dec-2016 |
| Distinction Balanced Class | 8-Jul-2008 |
| Distinction Bold Class | 4-Jul-2008 |
| Distinction Conservative Class | 4-Jul-2008 |
| Distinction Growth Class | 8-Jul-2008 |
| Distinction Prudent Class | 8-Jul-2008 |
| Diversified Fixed Income Folio Fund | 7-Jul-2016 |
| Diversified Royalty Corp. | 29-Apr-2010 |
| Divestco Inc. | 16-Jun-2006 |
| Dividend 15 Split Corp. | 26-Feb-2004 |
| Dividend 15 Split Corp. II | 27-Nov-2006 |
| Dividend Class (GWLIM) | 9-Jul-2012 |
| Dividend Fund (GWLIM) | 6-Jul-2007 |
| Dividend Growth Split Corp. | 19-May-2011 |
| Dividend Select 15 Corp. | 28-Oct-2010 |
| Dixie Road Limited Partnership (CT) | 16-Oct-1986 |
| DMP Power Global Growth Class | 16-May-2005 |
| DMP Resource Class | 27-Nov-2000 |
| DMP Value Balanced Class | 27-Dec-2006 |
| Dollarama Inc. | 8-Oct-2009 |
| Domtar Corporation | 7-Mar-2007 |
| Donnelley Financial Solutions, Inc. | 20-Sep-2016 |
| Dorel Industries Inc. | 22-Nov-1991 |
| Dorsett Square Limited Partnership (CT) | 8-Sep-1982 |
| DoubleLine Income Solutions Trust | 25-Oct-2013 |
| DragonWave Inc. | 13-Apr-2007 |
| Dream Global Real Estate Investment Trust | 22-Jul-2011 |
| Dream Hard Asset Alternatives Trust | 7-Jul-2014 |
| Dream Industrial Real Estate Investment Trust | 26-Sep-2012 |
| Dream Office Real Estate Investment Trust | 30-Jun-2003 |
| DREAM Unlimited Corp. | 30-May-2013 |
| Drone Delivery Canada Corp. (formerly Asher Resources Corporation) | 26-May-2017 |
| Dundee Consolidated Properties | 30-Jun-2003 |



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|---|-------------|
| Dundee Corporation | 15-Jul-1980 |
| Dundee Energy Limited | 30-Jun-1993 |
| Dundee Global Resource Class | 15-Apr-2015 |
| Dundee Precious Metals Inc | 17-Oct-1983 |
| Dynamic Active Canadian Dividend Fund | 11-Jan-2017 |
| Dynamic Active Core Bond Private Pool | 15-May-2014 |
| Dynamic Active Credit Strategies Private Pool | 15-May-2014 |
| Dynamic Active Crossover Bond Fund | 11-Jan-2017 |
| Dynamic Active Global Dividend Fund | 11-Jan-2017 |
| Dynamic Active Global Financial Services Fund | 13-Sep-2017 |
| Dynamic Active Investment Grade Floating Rate Fund | 16-Mar-2018 |
| Dynamic Active Preferred Shares Fund | 11-Jan-2017 |
| Dynamic Active Tactical Bond Fund | 13-Sep-2017 |
| Dynamic Active U.S. Dividend Fund | 11-Jan-2017 |
| Dynamic Active U.S. Mid Cap Fund | 13-Sep-2017 |
| Dynamic Advantage Bond Class | 20-Dec-2007 |
| Dynamic Advantage Bond Fund | 27-Nov-2000 |
| Dynamic Alternative Managed Risk Private Pool Class | 29-May-2015 |
| Dynamic Alternative Yield Class | 10-Sep-2012 |
| Dynamic Alternative Yield Fund | 19-Sep-2011 |
| Dynamic American Value Class | 22-Mar-2011 |
| Dynamic American Value Fund | 23-Nov-1979 |
| Dynamic Asia Pacific Equity Fund | 3-May-1994 |
| Dynamic Asset Allocation Private Pool | 15-May-2014 |
| Dynamic Blue Chip Balanced Class | 22-Mar-2011 |
| Dynamic Blue Chip Balanced Fund | 4-Mar-1979 |
| Dynamic Blue Chip Equity Fund | 25-Nov-1987 |
| Dynamic Canadian Bond Fund | 23-Nov-1979 |
| Dynamic Canadian Dividend Fund | 29-May-2006 |
| Dynamic Canadian Equity Private Pool Class | 15-May-2014 |
| Dynamic Canadian Value Class | 25-Jan-2001 |
| Dynamic Conservative Yield Private Pool | 27-Feb-2015 |
| Dynamic Corporate Bond Strategies Class | 10-Sep-2012 |
| Dynamic Corporate Bond Strategies Fund | 31-Oct-2011 |
| Dynamic Diversified Real Asset Fund | 1-Apr-2005 |
| Dynamic Dividend Advantage Class | 5-Dec-2011 |
| Dynamic Dividend Fund | 3-Sep-1985 |
| Dynamic Dividend Income Class | 27-Dec-2006 |
| Dynamic Dividend Income Fund | 13-Dec-2002 |
| Dynamic Dividend Value Fund | 26-Apr-1993 |
| Dynamic Dollar-Cost Averaging Fund | 12-May-1997 |
| Dynamic Energy Income Fund | 28-Aug-2003 |
| Dynamic Equity Income Fund | 16-Jan-1997 |
| Dynamic European Equity Fund | 30-Aug-1989 |
| Dynamic Financial Services Fund | 26-Nov-1973 |



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| Issuer Name | Start Date |
|---|-------------|
| Dynamic Focus+Real Estate Fund | 15-Jan-1997 |
| Dynamic Global All-Terrain Fund | 3-Sep-2015 |
| Dynamic Global Asset Allocation Class | 21-Oct-2011 |
| Dynamic Global Balanced Fund | 25-Nov-2013 |
| Dynamic Global Discovery Class | 26-Sep-2007 |
| Dynamic Global Discovery Fund | 27-Nov-2000 |
| Dynamic Global Dividend Value Class | 27-Dec-2006 |
| Dynamic Global Dividend Value Fund | 14-Feb-2006 |
| Dynamic Global Energy Class | 11-Jun-2007 |
| Dynamic Global Equity Fund | 25-Nov-2013 |
| Dynamic Global Equity Income Fund | 24-Aug-2016 |
| Dynamic Global Equity Private Pool Class | 15-May-2014 |
| Dynamic Global Infrastructure Class | 2-Sep-2015 |
| Dynamic Global Strategic Yield Fund | 24-Aug-2016 |
| Dynamic Global Value Balanced Fund | 30-Apr-2007 |
| Dynamic Global Yield Private Pool | 15-May-2014 |
| Dynamic Global Yield Private Pool Class | 15-May-2014 |
| Dynamic High Yield Bond Fund | 20-Dec-1995 |
| Dynamic High Yield Credit Fund | 27-Dec-2012 |
| Dynamic International Equity Fund | 26-Apr-1993 |
| Dynamic Investment Grade Floating Rate Fund | 29-Aug-2013 |
| Dynamic iShares Active Canadian Dividend ETF | 17-Jan-2017 |
| Dynamic iShares Active Crossover Bond ETF | 17-Jan-2017 |
| Dynamic iShares Active Global Dividend ETF | 17-Jan-2017 |
| Dynamic iShares Active Global Financial Services ETF | 13-Sep-2017 |
| Dynamic iShares Active Investment Grade Floating Rate ETF | 16-Mar-2018 |
| Dynamic iShares Active Preferred Shares ETF | 17-Jan-2017 |
| Dynamic iShares Active Tactical Bond ETF | 13-Sep-2017 |
| Dynamic iShares Active U.S. Dividend ETF | 17-Jan-2017 |
| Dynamic iShares Active U.S. Mid Cap ETF | 13-Sep-2017 |
| Dynamic Money Market Class | 25-Jan-2001 |
| Dynamic Money Market Fund | 6-Apr-1998 |
| Dynamic Power American Growth Class | 25-Jan-2001 |
| Dynamic Power American Growth Fund | 16-Jul-1998 |
| Dynamic Power Balanced Fund | 16-Jul-1998 |
| Dynamic Power Canadian Growth Fund | 26-Apr-1993 |
| Dynamic Power Global Balanced Class | 25-Jun-2008 |
| Dynamic Power Global Growth Class | 25-Jan-2001 |
| Dynamic Power Global Growth Fund | 17-Dec-2010 |
| Dynamic Power Global Navigator Class | 25-Jun-2008 |
| Dynamic Power Small Cap Fund | 20-Dec-1995 |
| Dynamic Precious Metals Fund | 23-Jan-1984 |
| Dynamic Preferred Yield Class | 2-Apr-2013 |
| Dynamic Premium Bond Private Pool | 18-Jan-2016 |
| Dynamic Premium Bond Private Pool Class | 18-Jan-2016 |



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| Issuer Name | Start Date |
|---|-------------|
| Dynamic Premium Yield Class | 2-Mar-2016 |
| Dynamic Premium Yield Fund | 11-Oct-2013 |
| Dynamic Short Term Bond Fund | 31-Dec-2009 |
| Dynamic Small Business Fund | 4-Jun-1997 |
| Dynamic Strategic Gold Class | 16-Jul-2009 |
| Dynamic Strategic Resource Class | 14-Nov-2011 |
| Dynamic Strategic Yield Class | 3-Jul-2009 |
| Dynamic Strategic Yield Fund | 18-Feb-2009 |
| Dynamic Total Return Bond Class | 24-Aug-2010 |
| Dynamic Total Return Bond Fund | 24-Aug-2010 |
| Dynamic U.S. Dividend Advantage Class | 3-May-2013 |
| Dynamic U.S. Equity Income Fund | 24-Aug-2016 |
| Dynamic U.S. Equity Private Pool Class | 15-May-2014 |
| Dynamic U.S. Sector Focus Class | 19-Sep-2014 |
| Dynamic U.S. Strategic Yield Fund | 24-Aug-2016 |
| Dynamic U.S. Value Balanced Fund | 23-Sep-2013 |
| Dynamic Value Balanced Fund | 6-Apr-1998 |
| Dynamic Value Fund of Canada | 29-Dec-1995 |
| DynamicEdge Balanced Class Portfolio | 30-Jan-2008 |
| DynamicEdge Balanced Growth Class Portfolio | 30-Jan-2008 |
| DynamicEdge Balanced Growth Portfolio | 30-Jan-2008 |
| DynamicEdge Balanced Income Portfolio | 14-Jul-2004 |
| DynamicEdge Balanced Portfolio | 30-Jan-2008 |
| DynamicEdge Conservative Class Portfolio | 31-Jan-2012 |
| DynamicEdge Defensive Portfolio | 31-Jan-2012 |
| DynamicEdge Equity Class Portfolio | 30-Jan-2008 |
| DynamicEdge Equity Portfolio | 30-Jan-2008 |
| DynamicEdge Growth Class Portfolio | 30-Jan-2008 |
| DynamicEdge Growth Portfolio | 30-Jan-2008 |
| E Split Corp. | 19-Jun-2018 |
| E TRADE Financial Corporation | 5-Mar-1999 |
| E-L Financial Corporation Limited | 31-Aug-2004 |
| EAFE Equity Fund | 13-Aug-1997 |
| Eagle Credit Card Trust | 8-Nov-2005 |
| Eagle Energy Inc. | 27-Jan-2016 |
| Eagle Plains Resources Ltd. | 7-Jun-1995 |
| EarlyRain Inc. (T) | 22-Sep-2000 |
| East Africa Metals Inc. | 4-Apr-2013 |
| East Coast Investment Grade Income Fund | 27-Apr-2012 |
| Eastern Platinum Limited | 23-Dec-2010 |
| Easy Street Adventures Inc. (CT) | 18-Feb-1994 |
| Echelon Financial Holdings Inc. | 1-Dec-2005 |
| Eclipse Residential Mortgage Investment Corporation | 30-May-2013 |
| ECN Capital Corp. | 3-Oct-2016 |
| eCobalt Solutions Inc. | 3-Mar-2011 |



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| Issuer Name | Start Date |
|---|-------------|
| EcoSynthetix Inc. | 27-Jul-2011 |
| EdgePoint Canadian Growth & Income Portfolio | 11-Nov-2008 |
| EdgePoint Canadian Portfolio | 11-Nov-2008 |
| EdgePoint Global Growth & Income Portfolio | 11-Nov-2008 |
| EdgePoint Global Portfolio | 11-Nov-2008 |
| Edinburgh House (CT) | 2-Dec-1980 |
| eFunds Bloomberg U.S. Internet Index Fund (CT) | 9-Mar-2000 |
| EHP Advantage Alternative Fund | 16-Aug-2018 |
| EHP Advantage International Alternative Fund | 16-Aug-2018 |
| EHP Global Arbitrage Alternative Fund | 16-Aug-2018 |
| EHP Guardian Alternative Fund | 16-Aug-2018 |
| EHP Guardian International Alternative Fund | 16-Aug-2018 |
| EHP Select Alternative Fund | 16-Aug-2018 |
| Eldorado Gold Corporation | 23-Dec-1996 |
| Electrovaya Inc. | 3-Nov-2000 |
| Element Fleet Management Corp. | 13-Aug-2012 |
| Elephant Hill Capital Inc. | 24-Apr-2018 |
| Emblem Corp. | 31-Oct-2017 |
| Emera Incorporated | 11-Feb-1999 |
| Emerald Health Therapeutics, Inc. (formerly T-Bird Pharma Inc.) | 26-Jan-2017 |
| Emerging Markets Equity Corporate Class | 2-Oct-2007 |
| Emerging Markets Equity Fund | 13-Aug-1997 |
| Emerging Markets Equity Pool | 3-Nov-2005 |
| Empire Company Limited | 15-Apr-1982 |
| Empire Life Dividend Growth Mutual Fund | 5-Jan-2012 |
| Empire Life Emblem Aggressive Growth Portfolio | 5-Jan-2012 |
| Empire Life Emblem Balanced Portfolio | 5-Jan-2012 |
| Empire Life Emblem Conservative Portfolio | 5-Jan-2012 |
| Empire Life Emblem Diversified Income Portfolio | 17-Jan-2014 |
| Empire Life Emblem Growth Portfolio | 5-Jan-2012 |
| Empire Life Emblem Moderate Growth Portfolio | 5-Jan-2012 |
| Empire Life Monthly Income Mutual Fund | 5-Jan-2012 |
| Enablence Technologies Inc | 19-Oct-2007 |
| Enbridge Gas Distribution Inc. | 19-May-1982 |
| Enbridge Inc. | 5-Dec-1986 |
| Enbridge Income Fund | 23-Jun-2003 |
| Enbridge Income Fund Holdings Inc. | 17-Dec-2010 |
| Enbridge Pipelines Inc. | 5-Dec-1986 |
| EnCana Corporation | 10-Aug-2001 |
| Encanto Potash Corp. | 31-Mar-2014 |
| Endeavour Mining Corporation | 14-Sep-2010 |
| Endeavour Silver Corp. | 28-Sep-2009 |
| Endo International plc | 28-Feb-2014 |
| EnerCare Inc. | 1-Jan-2011 |



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|--|-------------|
| EnerCare Solutions Inc. | 1-Jan-2011 |
| Enerdynamic Hybrid Technologies Corp. | 1-Mar-2011 |
| Enerflex Ltd. | 1-Jun-2011 |
| Energex Minerals Ltd. (CT) | 10-Dec-1979 |
| Energy Credit Opportunities Income Fund | 29-May-2015 |
| Energy Fuels Inc. | 25-Mar-2011 |
| Energy Income Fund | 28-Sep-2005 |
| Enerplus Corporation | 1-Jan-2011 |
| Engineer Gold Mines Ltd. | 1-Jun-2018 |
| Enhanced Income Corporate Class | 2-Oct-2007 |
| Enhanced Income Portfolio | 17-Dec-2015 |
| EnQuest Energy Services Corp. | 12-Apr-2006 |
| Enseco Energy Services Corp. | 23-Oct-2006 |
| Enterprise Group, Inc. | 28-Jun-2004 |
| Entrec Corporation | 14-Feb-2012 |
| Entree Resources Ltd. | 20-Nov-2007 |
| EnWave Corporation | 9-Nov-2017 |
| EPCOR Utilities Inc. | 22-Jun-1999 |
| Epic Fusion Corp. | 1-Jul-2013 |
| Epoch European Equity Fund | 25-Oct-1994 |
| Epoch Global Equity Class | 23-Jul-2010 |
| Epoch Global Equity Fund | 23-Dec-1993 |
| Epoch Global Shareholder Yield Currency Neutral Fund | 29-Jul-2016 |
| Epoch Global Shareholder Yield Fund | 24-Jul-2007 |
| Epoch International Equity Fund | 26-Jul-2013 |
| Epoch U.S. Blue Chip Equity Currency Neutral Fund | 17-Apr-2007 |
| Epoch U.S. Blue Chip Equity Fund | 19-Jan-1996 |
| Epoch U.S. Large-Cap Value Class | 23-Jul-2010 |
| Epoch U.S. Large-Cap Value Fund | 4-Nov-2002 |
| Epoch U.S. Shareholder Yield Fund | 28-Feb-2014 |
| Epsilon Energy Ltd. | 22-Feb-2012 |
| EQ Inc. | 28-May-2009 |
| *Equilar Technologies Corporation (CT) | 26-Sep-2000 |
| Equinox Gold Corp. | 6-Oct-2016 |
| Equitable Group Inc. | 11-Mar-2004 |
| Equium Global Tactical Allocation Fund | 24-Mar-2017 |
| Erdene Resource Development Corporation | 5-Mar-2004 |
| Ero Copper Corp. | 11-Oct-2017 |
| ERRA Resources 1990 (CT) Exploration and Development L.P. | 10-Aug-1990 |
| Espial Group Inc. | 1-Jun-2007 |
| Esrey Resources Ltd. | 8-Sep-2011 |
| Essential Energy Services Ltd. | 29-Apr-2010 |
| Euro Ressources S.A. | 28-Feb-1995 |
| Euro Sun Mining Inc. | 28-Oct-2010 |



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|--|-------------|
| Eurogas International Inc. | 26-Mar-2009 |
| Europe Blue-Chip Dividend & Growth Fund | 27-Feb-2014 |
| European Commercial Real Estate Investment Trust | 3-May-2017 |
| European Dividend Growth Fund | 26-Jun-2017 |
| European Focused Dividend Fund | 25-Oct-2017 |
| Eurotin Inc. | 31-Aug-2011 |
| Evertz Technologies Limited | 23-Jun-2006 |
| Evolve Active Canadian Preferred Share ETF | 15-Aug-2017 |
| Evolve Active Core Fixed Income ETF | 23-Mar-2018 |
| Evolve Active Short Duration Bond ETF | 15-Aug-2017 |
| Evolve Active US Core Equity ETF | 15-Aug-2017 |
| Evolve Automobile Innovation Index ETF | 9-Aug-2017 |
| Evolve Blockchain ETF | 27-Feb-2018 |
| Evolve Cyber Security Index ETF | 9-Aug-2017 |
| Evolve Global Healthcare Enhanced Yield ETF | 9-Aug-2017 |
| Evolve Innovation Index ETF | 23-Apr-2018 |
| Evolve Marijuana ETF | 7-Feb-2018 |
| Evolve North American Gender Diversity Index ETF | 9-Aug-2017 |
| Evolve US Banks Enhanced Yield ETF | 9-Aug-2017 |
| Evolved Digital Systems Inc. | 1-Feb-1996 |
| Evrin Resources Corp. | 30-Sep-2008 |
| exactEarth Ltd. | 4-Feb-2016 |
| Exall Energy Corporation | 1-Feb-2011 |
| Excel Money Market Fund | 4-Dec-2007 |
| Excellon Resources Inc. | 2-Jun-2009 |
| Excelsior Mining Corp. | 24-Jun-2014 |
| Exchange Income Corporation | 4-Sep-2009 |
| Exco Technologies Limited | 6-Oct-1986 |
| Exemplar Canadian Focus Portfolio | 30-Apr-2008 |
| Exemplar Diversified Portfolio | 28-Apr-2009 |
| Exemplar Growth and Income Fund | 20-Mar-2015 |
| Exemplar Investment Grade Fund | 4-Jul-2014 |
| Exemplar Leaders Fund | 28-Aug-2007 |
| Exemplar Performance Fund | 11-Mar-2014 |
| Exemplar Tactical Corporate Bond Fund | 4-Jul-2014 |
| EXFO Electro-Optical Engineering Inc. | 29-Jun-2000 |
| Explor Resources Inc. | 23-Feb-1996 |
| Exro Technologies Inc | 11-Apr-2014 |
| Extencicare Inc. | 1-Jul-2012 |
| Faircourt Gold Income Corp. | 30-Oct-2007 |
| Faircourt Split Trust | 28-Feb-2006 |
| Fairfax Africa Holdings Corporation | 8-Feb-2017 |
| Fairfax Financial Holdings Limited | 3-Jul-1986 |
| Fairfax India Holdings Corporation | 23-Jan-2015 |
| FairWest Energy Corporation | 23-May-2007 |



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| Falco Resources Ltd. (formerly Falco Pacific Resource Group Inc.) | 15-Nov-2016 |
| Falcon Oil & Gas Ltd. | 6-Mar-2006 |
| Family Group Education Savings Plan | 23-Aug-2000 |
| Family Single Student Education Savings Plan | 23-Aug-2000 |
| Fantom Technologies Inc. (T) | 14-May-1986 |
| *FarmGro Organic Foods Inc. (CT) | 28-Jan-2000 |
| Federated Strategic Value U.S. Equity Dividend Fund | 9-Dec-2015 |
| Fédération des caisses Desjardins du Québec | 1-Jan-2017 |
| Fennec Pharmaceuticals Inc. | 28-May-2001 |
| Feronia Inc. | 5-Aug-2008 |
| Fidelity 20/80 Fund | 21-Apr-2015 |
| Fidelity American Balanced Currency Neutral Fund | 26-Apr-2016 |
| Fidelity American Balanced Fund | 30-Oct-2015 |
| Fidelity American Disciplined Equity Class | 17-Jun-2002 |
| Fidelity American Disciplined Equity Currency Neutral Class | 25-Sep-2009 |
| Fidelity American Disciplined Equity Currency Neutral Fund | 25-Sep-2009 |
| Fidelity American Disciplined Equity Fund | 17-Jun-2002 |
| Fidelity American Equity Class | 23-Dec-2013 |
| Fidelity American Equity Currency Neutral Class | 16-May-2014 |
| Fidelity American Equity Fund | 9-Oct-2002 |
| Fidelity American High Yield Currency Hedged Fund | 19-Oct-2006 |
| Fidelity American High Yield Currency Neutral Investment Trust | 5-Oct-2017 |
| Fidelity American High Yield Fund | 14-Feb-1994 |
| Fidelity American High Yield Investment Trust | 5-Oct-2017 |
| Fidelity AsiaStar Class | 16-Mar-2007 |
| Fidelity AsiaStar Fund | 25-Apr-2006 |
| Fidelity Asset Allocation Currency Neutral Private Pool | 2-Dec-2011 |
| Fidelity Asset Allocation Private Pool | 2-Dec-2011 |
| Fidelity Balanced Class Portfolio | 16-Mar-2007 |
| Fidelity Balanced Currency Neutral Private Pool | 25-Sep-2009 |
| Fidelity Balanced Income Currency Neutral Private Pool | 25-Sep-2009 |
| Fidelity Balanced Income Private Pool | 5-Nov-2008 |
| Fidelity Balanced Managed Risk Portfolio | 2-Nov-2016 |
| Fidelity Balanced Portfolio | 16-Mar-2007 |
| Fidelity Balanced Private Pool | 5-Nov-2008 |
| Fidelity Canadian Aggressive Fund | 18-Jul-2000 |
| Fidelity Canadian Asset Allocation Class | 16-Mar-2007 |
| Fidelity Canadian Asset Allocation Fund | 20-Dec-1994 |
| Fidelity Canadian Balanced Class | 6-Sep-2001 |
| Fidelity Canadian Balanced Fund | 21-Sep-1998 |
| Fidelity Canadian Bond Fund | 9-Feb-1988 |
| Fidelity Canadian Disciplined Equity Class | 6-Sep-2001 |
| Fidelity Canadian Disciplined Equity Fund | 21-Sep-1998 |



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| Fidelity Canadian Equity Investment Trust | 5-Nov-2008 |
| Fidelity Canadian Equity Private Pool | 5-Nov-2008 |
| Fidelity Canadian Focused Equity Investment Trust | 16-May-2014 |
| Fidelity Canadian Growth Company Class | 6-Sep-2001 |
| Fidelity Canadian Growth Company Fund | 6-Jul-1994 |
| Fidelity Canadian High Dividend Index ETF | 4-Sep-2018 |
| Fidelity Canadian High Dividend Index ETF Fund | 4-Sep-2018 |
| Fidelity Canadian Large Cap Fund | 9-Feb-1988 |
| Fidelity Canadian Money Market Fund | 25-Jan-1991 |
| Fidelity Canadian Money Market Investment Trust | 1-Jun-2018 |
| Fidelity Canadian Opportunities Class | 20-Oct-2004 |
| Fidelity Canadian Real Return Bond Index Investment Trust | 1-Jun-2017 |
| Fidelity Canadian Short Term Bond Fund | 18-Jan-1995 |
| Fidelity Canadian Short Term Fixed Income Investment Trust | 4-Jan-2017 |
| Fidelity Canadian Short Term Income Class | 6-Sep-2001 |
| Fidelity China Class | 16-Mar-2007 |
| Fidelity China Fund | 31-Mar-2006 |
| Fidelity ClearPath 2005 Portfolio | 19-Oct-2005 |
| Fidelity ClearPath 2010 Portfolio | 19-Oct-2005 |
| Fidelity ClearPath 2015 Portfolio | 19-Oct-2005 |
| Fidelity ClearPath 2020 Portfolio | 19-Oct-2005 |
| Fidelity ClearPath 2025 Portfolio | 19-Oct-2005 |
| Fidelity ClearPath 2030 Portfolio | 19-Oct-2005 |
| Fidelity ClearPath 2035 Portfolio | 19-Oct-2005 |
| Fidelity ClearPath 2040 Portfolio | 19-Oct-2005 |
| Fidelity ClearPath 2045 Portfolio | 19-Oct-2005 |
| Fidelity ClearPath 2050 Portfolio | 16-May-2014 |
| Fidelity ClearPath 2055 Portfolio | 16-May-2014 |
| Fidelity ClearPath Income Portfolio | 19-Oct-2005 |
| Fidelity ClearPath® 2060 Portfolio | 4-Apr-2018 |
| Fidelity Concentrated Canadian Equity Investment Trust | 5-Nov-2008 |
| Fidelity Concentrated Canadian Equity Private Pool | 5-Nov-2008 |
| Fidelity Concentrated Value Investment Trust | 1-May-2012 |
| Fidelity Concentrated Value Private Pool | 1-May-2012 |
| Fidelity Conservative Income Private Pool | 14-Nov-2014 |
| Fidelity Conservative Managed Risk Portfolio | 2-Nov-2016 |
| Fidelity Convertible Securities Investment Trust | 2-Dec-2011 |
| Fidelity Corporate Bond Capital Yield Class | 17-Sep-2010 |
| Fidelity Corporate Bond Fund | 17-Sep-2010 |
| Fidelity Dividend Class | 16-Mar-2007 |
| Fidelity Dividend Fund | 10-May-2005 |
| Fidelity Dividend Investment Trust | 16-Oct-2015 |
| Fidelity Dividend Plus Class | 23-Jan-2013 |
| Fidelity Dividend Plus Fund | 10-May-2005 |
| Fidelity Emerging Markets Class | 18-Mar-2008 |



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|--|-------------|
| Fidelity Emerging Markets Debt Investment Trust | 2-Dec-2011 |
| Fidelity Emerging Markets Equity Investment Trust | 2-Dec-2011 |
| Fidelity Emerging Markets Fund | 1-Nov-1994 |
| Fidelity Emerging Markets Investment Trust | 5-Oct-2017 |
| Fidelity Emerging Markets Local Currency Debt Investment Trust | 4-Apr-2018 |
| Fidelity Europe Class | 6-Sep-2001 |
| Fidelity Europe Fund | 1-Jun-1992 |
| Fidelity Event Driven Opportunities Class | 3-Nov-2014 |
| Fidelity Event Driven Opportunities Currency Neutral Class | 17-Oct-2017 |
| Fidelity Event Driven Opportunities Fund | 31-Oct-2014 |
| Fidelity Far East Class | 6-Sep-2001 |
| Fidelity Far East Fund | 25-Sep-1991 |
| Fidelity Floating Rate High Income Currency Neutral Fund | 16-May-2014 |
| Fidelity Floating Rate High Income Fund | 1-Oct-2013 |
| Fidelity Floating Rate High Income Investment Trust | 2-Dec-2011 |
| Fidelity Focus Consumer Industries Class | 6-Sep-2001 |
| Fidelity Focus Consumer Industries Fund | 29-May-1997 |
| Fidelity Focus Financial Services Class | 6-Sep-2001 |
| Fidelity Focus Financial Services Fund | 29-May-1997 |
| Fidelity Focus Health Care Fund | 29-May-1997 |
| Fidelity Focus Natural Resources Class | 6-Sep-2001 |
| Fidelity Focus Natural Resources Fund | 29-May-1997 |
| Fidelity Focus Technology Class | 6-Sep-2001 |
| Fidelity Focus Technology Fund | 29-May-1997 |
| Fidelity Focus Telecommunications Class | 6-Sep-2001 |
| Fidelity Focus Telecommunications Fund | 30-Jun-2000 |
| Fidelity Founders Class | 4-Apr-2018 |
| Fidelity Founders Currency Neutral Class | 4-Apr-2018 |
| Fidelity Founders Investment Trust | 4-Apr-2018 |
| Fidelity Global Asset Allocation Currency Neutral Private Pool | 17-Oct-2017 |
| Fidelity Global Asset Allocation Fund | 20-Jan-1993 |
| Fidelity Global Asset Allocation Private Pool | 17-Oct-2017 |
| Fidelity Global Balanced Class Portfolio | 16-Mar-2007 |
| Fidelity Global Balanced Portfolio | 16-Mar-2007 |
| Fidelity Global Bond Currency Neutral Fund | 16-Mar-2007 |
| Fidelity Global Bond Currency Neutral Investment Trust | 5-Oct-2017 |
| Fidelity Global Bond Fund | 16-Mar-2007 |
| Fidelity Global Bond Investment Trust | 5-Oct-2017 |
| Fidelity Global Concentrated Equity Currency Neutral Fund | 17-Oct-2017 |
| Fidelity Global Concentrated Equity Fund | 20-Nov-2001 |
| Fidelity Global Credit Investment Trust | 5-Oct-2017 |
| Fidelity Global Disciplined Equity Class | 17-Jun-2002 |
| Fidelity Global Disciplined Equity Currency Neutral Class | 25-Sep-2009 |
| Fidelity Global Disciplined Equity Currency Neutral Fund | 4-Nov-2009 |



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|--|-------------|
| Fidelity Global Disciplined Equity Fund | 17-Jun-2002 |
| Fidelity Global Dividend Class | 16-Mar-2007 |
| Fidelity Global Dividend Fund | 16-Mar-2007 |
| Fidelity Global Dividend Investment Trust | 23-Jan-2013 |
| Fidelity Global Equity Currency Neutral Private Pool | 25-Sep-2009 |
| Fidelity Global Equity Investment Trust | 5-Nov-2008 |
| Fidelity Global Equity Private Pool | 5-Nov-2008 |
| Fidelity Global Growth and Value Class | 1-Jun-2018 |
| Fidelity Global Growth and Value Currency Neutral Class | 1-Jun-2018 |
| Fidelity Global Growth and Value Investment Trust | 1-Jun-2018 |
| Fidelity Global Growth Class Portfolio | 16-Mar-2007 |
| Fidelity Global Growth Portfolio | 16-Mar-2007 |
| Fidelity Global Health Care Class | 6-Sep-2001 |
| Fidelity Global High Yield Investment Trust | 1-Oct-2013 |
| Fidelity Global Income Class Portfolio | 16-Mar-2007 |
| Fidelity Global Income Portfolio | 30-Jun-2007 |
| Fidelity Global Innovators Class | 17-Oct-2017 |
| Fidelity Global Innovators Currency Neutral Class | 17-Oct-2017 |
| Fidelity Global Innovators Investment Trust | 17-Oct-2017 |
| Fidelity Global Intrinsic Value Currency Neutral Class | 16-Oct-2015 |
| Fidelity Global Large Cap Currency Neutral Class | 30-Mar-2011 |
| Fidelity Global Large Cap Equity Class | 30-Mar-2011 |
| Fidelity Global Large Cap Fund | 30-Mar-2011 |
| Fidelity Global Monthly Income Currency Neutral Fund | 26-Apr-2016 |
| Fidelity Global Monthly Income Fund | 16-Mar-2007 |
| Fidelity Global Opportunities Class | 23-Dec-2013 |
| Fidelity Global Real Estate Class | 16-Mar-2007 |
| Fidelity Global Real Estate Fund | 19-Oct-2006 |
| Fidelity Global Real Estate Investment Trust | 5-Oct-2017 |
| Fidelity Global Small Cap Equity Class | 30-Mar-2011 |
| Fidelity Global Small Cap Fund | 30-Mar-2011 |
| Fidelity Global Small/Mid Cap Equity Class | 21-Apr-2015 |
| Fidelity Global Small/Mid Cap Equity Investment Trust | 21-Apr-2015 |
| Fidelity Greater Canada Class | 3-Jan-2008 |
| Fidelity Greater Canada Fund | 3-Jan-2008 |
| Fidelity Growth America Class | 6-Sep-2001 |
| Fidelity Growth America Fund | 21-Sep-1990 |
| Fidelity Growth Class Portfolio | 30-Nov-2007 |
| Fidelity Growth Portfolio | 16-Mar-2007 |
| Fidelity High Income Commercial Real Estate Investment Trust | 2-Dec-2011 |
| Fidelity Income Allocation Fund | 10-May-2005 |
| Fidelity Income Class Portfolio | 16-Mar-2007 |
| Fidelity Income Portfolio | 16-Mar-2007 |
| Fidelity Insights Class | 4-Jan-2017 |



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|---|-------------|
| Fidelity Insights Currency Neutral Class | 4-Jan-2017 |
| Fidelity Insights Investment Trust | 4-Jan-2017 |
| Fidelity International Concentrated Equity Currency Neutral Fund | 17-Oct-2017 |
| Fidelity International Disciplined Equity Class | 16-Mar-2007 |
| Fidelity International Disciplined Equity Currency Neutral Class | 25-Sep-2009 |
| Fidelity International Disciplined Equity Currency Neutral Fund | 4-Nov-2009 |
| Fidelity International Disciplined Equity Fund | 25-Apr-2006 |
| Fidelity International Disciplined Equity Investment Trust | 5-Oct-2017 |
| Fidelity International Equity Currency Neutral Private Pool | 25-Sep-2009 |
| Fidelity International Equity Investment Trust | 5-Nov-2008 |
| Fidelity International Equity Private Pool | 5-Nov-2008 |
| Fidelity International Growth Investment Trust | 1-Oct-2013 |
| Fidelity International High Dividend Index ETF | 4-Sep-2018 |
| Fidelity International High Dividend Index ETF Fund | 4-Sep-2018 |
| Fidelity International Portfolio Class | 6-Sep-2001 |
| Fidelity International Portfolio Fund | 9-Feb-1988 |
| Fidelity International Value Fund | 25-Apr-2006 |
| Fidelity Investment Grade Total Bond Currency Neutral Fund | 9-Jan-2018 |
| Fidelity Investment Grade Total Bond Fund | 9-Jan-2018 |
| Fidelity Japan Class | 6-Sep-2001 |
| Fidelity Japan Fund | 6-Jul-1993 |
| Fidelity Latin America Fund | 17-Jan-1994 |
| Fidelity Monthly Income Class | 3-Apr-2018 |
| Fidelity Monthly Income Fund | 17-Oct-2003 |
| Fidelity Multi-Sector Bond Currency Neutral Fund | 1-May-2017 |
| Fidelity Multi-Sector Bond Fund | 1-May-2017 |
| Fidelity North American Dividend Private Pool | 1-Oct-2013 |
| Fidelity North American Equity Class | 16-Oct-2015 |
| Fidelity North American Equity Investment Trust | 16-Oct-2015 |
| Fidelity NorthStar Balanced Currency Neutral Fund | 16-May-2014 |
| Fidelity NorthStar Balanced Fund | 16-May-2014 |
| Fidelity NorthStar Class | 9-Oct-2002 |
| Fidelity NorthStar Currency Neutral Class | 30-Mar-2011 |
| Fidelity NorthStar Currency Neutral Fund | 1-May-2017 |
| Fidelity NorthStar Fund | 30-Jun-2007 |
| Fidelity Overseas Class | 23-Dec-2013 |
| Fidelity Overseas Fund | 18-May-1999 |
| Fidelity Premium Fixed Income Capital Yield Private Pool | 17-Sep-2010 |
| Fidelity Premium Fixed Income Private Pool | 5-Nov-2008 |
| Fidelity Premium Money Market Private Pool | 5-Nov-2008 |
| Fidelity Premium Tactical Fixed Income Capital Yield Private Pool | 1-May-2012 |
| Fidelity Small Cap America Class | 6-Sep-2001 |



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|---|-------------|
| Fidelity Small Cap America Currency Neutral Class | 16-May-2014 |
| Fidelity Small Cap America Fund | 29-Mar-1994 |
| Fidelity Special Situations Class | 18-Mar-2008 |
| Fidelity Special Situations Fund | 16-Mar-2007 |
| Fidelity Strategic Income Currency Neutral Fund | 26-Apr-2016 |
| Fidelity Tactical Fixed Income Fund | 1-May-2012 |
| Fidelity Tactical Global Dividend ETF Fund | 4-Sep-2018 |
| Fidelity Tactical High Income Currency Neutral Fund | 16-May-2014 |
| Fidelity Tactical High Income Fund | 16-May-2014 |
| Fidelity Tactical Strategies Fund | 30-Mar-2011 |
| Fidelity True North Class | 6-Sep-2001 |
| Fidelity True North Fund | 21-Aug-1996 |
| Fidelity U.S. All Cap Currency Neutral Class | 16-May-2014 |
| Fidelity U.S. All Cap Fund | 23-Jan-2013 |
| Fidelity U.S. Balanced Private Pool | 1-Oct-2013 |
| Fidelity U.S. Bond Investment Trust | 14-Nov-2014 |
| Fidelity U.S. Dividend Currency Neutral Fund | 29-Oct-2012 |
| Fidelity U.S. Dividend for Rising Rates Currency Neutral Index ETF | 4-Sep-2018 |
| Fidelity U.S. Dividend for Rising Rates Currency Neutral Index ETF Fund | 4-Sep-2018 |
| Fidelity U.S. Dividend for Rising Rates Index ETF | 4-Sep-2018 |
| Fidelity U.S. Dividend for Rising Rates Index ETF Fund | 4-Sep-2018 |
| Fidelity U.S. Dividend Fund | 29-Oct-2012 |
| Fidelity U.S. Dividend Investment Trust | 29-Oct-2012 |
| Fidelity U.S. Dividend Registered Fund | 23-Jan-2013 |
| Fidelity U.S. Equity Currency Neutral Private Pool | 25-Sep-2009 |
| Fidelity U.S. Equity Investment Trust | 5-Nov-2008 |
| Fidelity U.S. Equity Private Pool | 5-Nov-2008 |
| Fidelity U.S. Focused Stock Currency Neutral Class | 16-May-2014 |
| Fidelity U.S. Growth Class | 30-Nov-2013 |
| Fidelity U.S. High Dividend Currency Neutral Index ETF | 4-Sep-2018 |
| Fidelity U.S. High Dividend Currency Neutral Index ETF Fund | 4-Sep-2018 |
| Fidelity U.S. High Dividend Index ETF | 4-Sep-2018 |
| Fidelity U.S. High Dividend Index ETF Fund | 4-Sep-2018 |
| Fidelity U.S. Money Market Fund | 25-Jan-1996 |
| Fidelity U.S. Money Market Investment Trust | 5-Oct-2017 |
| Fidelity U.S. Monthly Income Currency Neutral Fund | 16-May-2014 |
| Fidelity U.S. Monthly Income Fund | 29-Oct-2012 |
| Fidelity U.S. Multi-Cap Investment Trust | 1-Oct-2013 |
| Fidelity U.S. Small/Mid Cap Equity Investment Trust | 2-Dec-2011 |
| Fiera Capital Core Canadian Equity Fund | 21-Jul-1998 |
| Fiera Capital Corporation | 15-Dec-2017 |
| Fiera Capital Defensive Global Equity Fund | 9-Sep-2014 |
| Fiera Capital Diversified Bond Fund | 25-Nov-1985 |



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|--|-------------|
| Fiera Capital Global Equity Fund | 25-Nov-1985 |
| Fiera Capital High Income Fund | 12-Sep-2001 |
| Fiera Capital Income and Growth Fund | 25-Nov-1985 |
| Fiera Capital International Equity Fund | 5-Jan-2017 |
| Fiera Capital U.S. Equity Fund | 25-Aug-2008 |
| Fiera Quantum Income Opportunities Fund | 21-Oct-2013 |
| Fimplan International Inc. (CT) | 24-Oct-1980 |
| Filo Mining Corp. | 22-Feb-2018 |
| Final Assignment (CT) | 19-Nov-1979 |
| Financial 15 Split Corp. | 30-Oct-2003 |
| Finning International Inc. | 4-Jun-1981 |
| Firan Technology Group Corporation | 20-Jun-1983 |
| Firm Capital American Realty Partners Corp. | 23-May-2017 |
| Firm Capital Mortgage Investment Corporation | 1-Jan-2011 |
| Firm Capital Property Trust | 29-Nov-2012 |
| First Asset 1-5 Year Laddered Government Strip Bond Index ETF | 31-May-2013 |
| First Asset Active Canadian Dividend ETF | 21-Jul-2014 |
| First Asset Active Credit ETF | 13-Jan-2015 |
| First Asset Active Utility & Infrastructure ETF | 13-Jan-2015 |
| First Asset Cambridge Core U.S. Equity ETF | 15-Sep-2016 |
| First Asset Cambridge Global Dividend ETF | 20-Jul-2017 |
| First Asset Can-Materials Covered Call ETF | 17-May-2011 |
| First Asset Canadian Buyback Index ETF | 24-Aug-2016 |
| First Asset Canadian Convertible Bond ETF | 17-May-2011 |
| First Asset Canadian Convertible Bond Fund | 27-Oct-2009 |
| First Asset Canadian Dividend Opportunity Fund | 19-Apr-2012 |
| First Asset Canadian REIT ETF | 10-Jul-2015 |
| First Asset CanBanc Income Class ETF | 18-Sep-2015 |
| First Asset Core Canadian Equity ETF | 12-Jan-2015 |
| First Asset Core Canadian Equity Income Class ETF | 3-May-2016 |
| First Asset Core U.S. Equity ETF | 12-Jan-2015 |
| First Asset Diversified Convertible Debenture Fund | 31-Oct-2000 |
| First Asset Energy Giants Covered Call ETF | 27-Jan-2015 |
| First Asset Enhanced Government Bond ETF | 20-Jul-2018 |
| First Asset Enhanced Short Duration Bond ETF | 23-Aug-2017 |
| First Asset European Bank ETF | 21-Jul-2014 |
| First Asset Global Financial Sector ETF | 25-Apr-2016 |
| First Asset Health Care Giants Covered Call ETF | 5-Jun-2018 |
| First Asset Investment Grade Bond ETF | 2-Aug-2016 |
| First Asset Long Duration Fixed Income ETF | 5-May-2016 |
| First Asset Morningstar Canada Dividend Target 30 Index ETF | 20-Jan-2012 |
| First Asset Morningstar Canada Momentum Index ETF | 20-Jan-2012 |
| First Asset Morningstar Canada Value Index ETF | 20-Jan-2012 |
| First Asset Morningstar International Momentum Index ETF | 21-Oct-2014 |



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| Issuer Name | Start Date |
|--|-------------|
| First Asset Morningstar International Value Index ETF | 21-Oct-2014 |
| First Asset Morningstar National Bank Québec Index ETF | 20-Jan-2012 |
| First Asset Morningstar US Dividend Target 50 Index ETF | 20-Jan-2012 |
| First Asset Morningstar US Momentum Index ETF | 15-Oct-2013 |
| First Asset Morningstar US Value Index ETF | 15-Oct-2013 |
| First Asset MSCI Canada Low Risk Weighted ETF | 30-Jan-2014 |
| First Asset MSCI Canada Quality Index Class ETF | 3-May-2016 |
| First Asset MSCI Europe Low Risk Weighted ETF | 30-Jan-2014 |
| First Asset MSCI International Low Risk Weighted ETF | 3-Aug-2017 |
| First Asset MSCI USA Low Risk Weighted ETF | 30-Jan-2014 |
| First Asset MSCI World Low Risk Weighted ETF | 30-Jan-2014 |
| First Asset North American Convertibles Fund | 20-Apr-2011 |
| First Asset Preferred Share ETF | 5-May-2016 |
| First Asset Reit Income Fund | 7-Jun-2010 |
| First Asset Short Term Government Bond Index Class ETF | 24-Feb-2016 |
| First Asset Tech Giants Covered Call ETF | 21-Oct-2011 |
| First Asset U.S. & Canada Lifeco Income ETF | 26-Aug-2014 |
| First Asset U.S. Buyback Index ETF | 24-Aug-2016 |
| First Asset U.S. Tactical Sector Allocation Index ETF | 24-Aug-2016 |
| First Asset U.S. TrendLeaders Index ETF | 23-Jun-2017 |
| First Asset Utility Plus Fund | 14-Jan-2011 |
| First Capital Realty Inc. | 18-Mar-1994 |
| First Majestic Silver Corp. | 25-Jul-2007 |
| First Mining Finance Corp. (formerly Albion Petroleum Ltd.) | 13-Nov-2015 |
| First National Financial Corporation | 1-Jan-2011 |
| First Nickel Inc. | 23-Jun-2004 |
| First Premium U.S. Income Trust | 24-Jan-1997 |
| First Quantum Minerals Ltd. | 30-Mar-2009 |
| First Trust AlphaDEX European Dividend Index ETF (CAD-Hedged) | 29-Jan-2014 |
| First Trust AlphaDEX U.S. Consumer Discretionary Sector Index ETF | 20-Oct-2014 |
| First Trust AlphaDEX U.S. Consumer Staples Sector Index ETF | 20-Oct-2014 |
| First Trust AlphaDEX U.S. Energy Sector Index ETF | 20-Oct-2014 |
| First Trust AlphaDEX U.S. Financial Sector Index ETF | 20-Oct-2014 |
| First Trust AlphaDEX U.S. Health Care Sector Index ETF | 20-Oct-2014 |
| First Trust AlphaDEX U.S. Industrials Sector Index ETF | 20-Oct-2014 |
| First Trust AlphaDEX U.S. Materials Sector Index ETF | 20-Oct-2014 |
| First Trust AlphaDEX U.S. Technology Sector Index ETF | 20-Oct-2014 |
| First Trust AlphaDEX U.S. Utilities Sector Index ETF | 20-Oct-2014 |
| First Trust AlphaDEX™ Emerging Market Dividend ETF (CAD-Hedged) | 3-May-2013 |
| First Trust Canadian Capital Strength ETF | 16-Nov-2001 |
| First Trust Dorsey Wright U.S. Sector Rotation Index ETF | 20-Sep-2016 |



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|---|-------------|
| (CAD-Hedged) | |
| First Trust Global Risk Managed Income Index ETF | 16-Jul-2014 |
| First Trust Indxx Innovative Transaction and Process ETF | 19-Mar-2018 |
| First Trust International Capital Strength ETF | 7-May-2018 |
| First Trust Senior Loan ETF (CAD-Hedged) | 3-May-2013 |
| First Trust Short Duration High Yield Bond ETF (CAD-Hedged) | 25-Jan-2016 |
| First Trust Tactical Bond Index ETF | 12-Jun-2015 |
| First Trust Value Line® Dividend Index ETF (CAD-Hedged) | 3-May-2013 |
| FIRSTSERVICE CORPORATION | 1-Jun-2015 |
| Fisher Oil & Gas Corporation (CT) | 16-Feb-1981 |
| Fission Uranium Corp. | 25-Apr-2014 |
| Flaherty & Crumrine Investment Grade Fixed Income Fund | 26-Nov-2004 |
| Flex First Plan | 21-Dec-2011 |
| Flow Capital Corp. | 19-Jul-2011 |
| Flying Monkey Capital Corp. | 1-Apr-2015 |
| FMF Capital Group Ltd. | 16-Mar-2005 |
| Focused Canadian Equity Class (CGOV) | 4-Jul-2013 |
| Folkstone Capital Corp. | 27-Aug-2013 |
| Fontana Place L.P. (CT) | 3-Nov-1981 |
| Foraco International S.A. | 18-Jun-2007 |
| Forbes Energy Services Ltd. | 22-May-2008 |
| Ford Auto Securitization Trust | 9-Feb-2009 |
| Ford Credit Canada Company | 12-Sep-1986 |
| Forest Gate Energy Inc. | 21-Oct-2003 |
| Forstrong Global Strategist Balanced Fund | 11-Aug-2016 |
| Forstrong Global Strategist Growth Fund | 11-Aug-2016 |
| Forstrong Global Strategist Income Fund | 11-Aug-2016 |
| Fortaleza Energy Inc. | 27-Feb-2007 |
| Fortified Trust | 1-Feb-2016 |
| Fortis Inc. | 14-Nov-1987 |
| FortisAlberta Inc. | 18-Oct-2004 |
| FortisBC Energy Inc. | 22-Oct-1986 |
| FortisBC Inc. | 23-Nov-2004 |
| Fortress Paper Ltd. | 20-Jun-2007 |
| Fortuna Silver Mines Inc. | 3-Feb-2017 |
| Fortune Bay Corp. | 27-Jun-2016 |
| Fortune Minerals Limited | 19-Jul-2007 |
| Fourseas Asialink Corporation (CT) | 28-Apr-1993 |
| FP Newspapers Inc. | 31-Dec-2010 |
| Fracmaster Ltd. (CT) | 1-Oct-1993 |
| France Telecom | 20-Oct-1997 |
| Franchise Services of North America Inc. | 19-Dec-2006 |
| Franco-Nevada Corporation | 30-Nov-2007 |
| Franklin ActiveQuant Canadian Corporate Class | 9-Sep-2004 |
| Franklin ActiveQuant Canadian Fund | 9-Sep-2004 |



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|---|-------------|
| Franklin ActiveQuant U.S. Corporate Class | 29-Feb-2008 |
| Franklin ActiveQuant U.S. Fund | 29-Feb-2008 |
| Franklin Bissett Bond Fund | 3-Nov-1997 |
| Franklin Bissett Canada Plus Equity Fund | 8-Jun-2017 |
| Franklin Bissett Canadian All Cap Balanced Corporate Class | 7-Jan-2009 |
| Franklin Bissett Canadian All Cap Balanced Fund | 7-Jan-2009 |
| Franklin Bissett Canadian Balanced Corporate Class | 13-Dec-2006 |
| Franklin Bissett Canadian Balanced Fund | 3-Nov-1997 |
| Franklin Bissett Canadian Bond Fund | 4-Jan-2018 |
| Franklin Bissett Canadian Dividend Corporate Class | 22-Sep-2010 |
| Franklin Bissett Canadian Dividend Fund | 16-Dec-2003 |
| Franklin Bissett Canadian Equity Corporate Class | 7-Jun-2001 |
| Franklin Bissett Canadian Equity Fund | 3-Nov-1997 |
| Franklin Bissett Canadian Government Bond Fund | 10-Nov-2016 |
| Franklin Bissett Canadian Short Term Bond Fund | 16-Dec-2003 |
| Franklin Bissett Corporate Bond Fund | 13-Dec-2006 |
| Franklin Bissett Dividend Income Corporate Class | 21-Jun-2012 |
| Franklin Bissett Dividend Income Fund | 3-Nov-1997 |
| Franklin Bissett Energy Corporate Class | 14-Jun-2007 |
| Franklin Bissett Microcap Fund | 3-Nov-1997 |
| Franklin Bissett Money Market Corporate Class | 7-Jun-2001 |
| Franklin Bissett Money Market Fund | 1-Jan-1987 |
| Franklin Bissett Monthly Income and Growth Fund | 29-May-2015 |
| Franklin Bissett Small Cap Corporate Class | 7-Jun-2001 |
| Franklin Bissett Small Cap Fund | 3-Nov-1997 |
| Franklin Global Growth Corporate Class | 7-Jun-2001 |
| Franklin Global Growth Fund | 29-Feb-2008 |
| Franklin Global Small-Mid Cap Fund | 25-Jun-2014 |
| Franklin High Income Fund | 10-Feb-2003 |
| Franklin Income Corporate Class | 27-Jun-2013 |
| Franklin Income Fund | 27-Jun-2013 |
| Franklin Liberty Canadian Investment Grade Corporate ETF | 21-Apr-2017 |
| Franklin Liberty Core Balanced ETF | 10-Jan-2018 |
| Franklin Liberty Global Aggregate Bond ETF (CAD Hedged) | 9-Apr-2018 |
| Franklin Liberty Risk Managed Canadian Equity ETF | 21-Apr-2017 |
| Franklin Liberty Senior Loan ETF (CAD Hedged) | 9-Apr-2018 |
| Franklin Liberty U.S. Investment Grade Corporate ETF (CAD Hedged) | 9-Apr-2018 |
| Franklin LibertyQT Emerging Markets Index ETF | 10-Nov-2017 |
| Franklin LibertyQT Global Dividend Index ETF | 10-Nov-2017 |
| Franklin LibertyQT International Equity Index ETF | 21-Apr-2017 |
| Franklin LibertyQT U.S. Equity Index ETF | 21-Apr-2017 |
| Franklin Mutual Beacon Corporate Class | 7-Jun-2001 |
| Franklin Mutual Beacon Fund | 17-Jan-1997 |
| Franklin Mutual European Fund | 24-Sep-2015 |



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| Franklin Mutual Global Discovery Corporate Class | 10-Feb-2003 |
| Franklin Mutual Global Discovery Fund | 10-Feb-2003 |
| Franklin Quotential Balanced Growth Corporate Class Portfolio | 4-Jun-2004 |
| Franklin Quotential Balanced Growth Portfolio | 10-Jun-2002 |
| Franklin Quotential Balanced Income Corporate Class Portfolio | 4-Jun-2004 |
| Franklin Quotential Balanced Income Portfolio | 10-Jun-2002 |
| Franklin Quotential Diversified Equity Corporate Class Portfolio | 4-Jun-2004 |
| Franklin Quotential Diversified Equity Portfolio | 3-Jun-2003 |
| Franklin Quotential Diversified Income Corporate Class Portfolio | 4-Jun-2004 |
| Franklin Quotential Diversified Income Portfolio | 31-Jan-2003 |
| Franklin Quotential Fixed Income Portfolio | 10-Nov-2016 |
| Franklin Quotential Growth Corporate Class Portfolio | 4-Jun-2004 |
| Franklin Quotential Growth Portfolio | 10-Jun-2002 |
| Franklin Select U.S. Equity Fund | 31-Dec-2015 |
| Franklin Strategic Income Fund | 10-Feb-2003 |
| Franklin Target Return Fund | 13-Apr-2017 |
| Franklin Templeton Canadian Large Cap Fund | 26-Jun-2008 |
| Franklin U.S. Monthly Income Hedged Corporate Class | 27-Jun-2013 |
| Franklin U.S. Opportunities Corporate Class | 7-Jun-2001 |
| Franklin U.S. Opportunities Fund | 7-Apr-1997 |
| Franklin U.S. Rising Dividends Corporate Class | 10-Jan-2006 |
| Franklin U.S. Rising Dividends Fund | 3-Nov-1997 |
| Franklin U.S. Rising Dividends Hedged Corporate Class | 24-Oct-2012 |
| Fraser Papers Inc. | 30-Jun-2004 |
| Freehold Royalties Ltd. | 1-Jan-2011 |
| Freshii Inc. | 25-Jan-2017 |
| Friday Night Inc. | 24-Apr-2008 |
| Friedberg Asset Allocation Fund | 19-May-2009 |
| Friedberg Global-Macro Hedge Fund | 8-Sep-2006 |
| Front Street Rollover Fund Limited | 5-Nov-2007 |
| Frontenac Mortgage Investment Corporation | 24-Aug-2010 |
| Frontera Energy Corporation | 23-Jan-2008 |
| FrontFour Holdings Inc. and FrontFour Capital Group LLC | 24-Jun-2008 |
| FT Balanced Growth Private Wealth Pool | 21-Apr-2017 |
| FT Balanced Income Private Wealth Pool | 21-Apr-2017 |
| FT Capital Ltd. (T) | 30-Nov-1983 |
| FT Growth Private Wealth Pool | 21-Apr-2017 |
| Fuel Cell Technologies Ltd. | 1-Apr-2006 |
| FuelCell Energy Inc. | 31-Oct-2003 |
| Future Beach Corporation (T) | 16-Dec-1999 |
| *Fytokem Products Inc. | 18-Aug-1997 |



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|---|-------------|
| Gabriel Resources Ltd. | 10-Jul-2003 |
| Galileo Growth and Income Fund | 7-Nov-2006 |
| Galileo High Income Plus Fund | 14-Nov-2006 |
| Galore Resources Inc. | 31-Jan-2007 |
| Gamehost Inc. | 31-Dec-2010 |
| Gandalf Technologies Inc. (CT) | 24-Jul-1987 |
| Garda World Security Corporation | 30-May-2006 |
| Garden Oaks (CT) | 2-Nov-1981 |
| *Gardiner Dam Terminal Ltd. | 20-Jan-2000 |
| GASFRAC Energy Services Inc. | 6-Dec-2010 |
| Gateway Low Volatility U.S. Equity Fund | 17-Sep-2015 |
| Gaz Metro Inc. | 18-Jun-1982 |
| Gazit Canada Financial Inc. | 13-Jan-2014 |
| Gazit-Globe Ltd. | 13-Jan-2014 |
| GBLT Corp. | 9-Feb-2016 |
| GC One Equity Income Portfolio | 20-Dec-2017 |
| GC One Fixed Income Portfolio | 20-Dec-2017 |
| GDI Integrated Facility Services Inc. | 7-May-2015 |
| GE Acquireco ULC | 31-May-2006 |
| GE Capital Canada Funding Company | 15-Jul-2002 |
| Gear Energy Ltd. | 19-Mar-2014 |
| General Mining Properties Ltd. | 7-Jul-1998 |
| General Moly, Inc. | 25-Apr-2014 |
| General Motors Company | 17-Nov-2010 |
| General Systems Research Ltd. (CT) | 4-Oct-1984 |
| Genesis Land Development Corp. | 8-Sep-1999 |
| Genesis Metals Corp. | 29-Dec-2010 |
| Genesis Trust II | 1-Dec-2011 |
| Genesis Worldwide Inc. | 25-Jun-2007 |
| Genus Dividend Equity Fund | 25-Oct-2017 |
| Genus Fossil Free CanGlobe Equity Fund | 25-Oct-2017 |
| Genus Fossil Free Corporate Bond Fund | 25-Oct-2017 |
| Genus Fossil Free Dividend Equity Fund | 25-Oct-2017 |
| Genus Fossil Free High Impact Equity Fund | 25-Oct-2017 |
| Genus Government Bond Fund | 25-Oct-2017 |
| Genus Short-Term Corporate Bond Fund | 25-Oct-2017 |
| Genworth MI Canada Inc. | 29-Jun-2009 |
| Geodrill Limited | 10-Dec-2010 |
| Geologix Explorations Inc | 2-Mar-2010 |
| GeoPetro Resources Company | 15-Mar-2006 |
| George Weston Limited | 24-Sep-1986 |
| Gibson Energy Inc. | 6-Jun-2011 |
| Gildan Activewear Inc. | 10-Jun-1998 |
| *Girex Bancorp Inc. (CT) | 26-Sep-2001 |
| Gitennes Exploration Inc. | 15-Oct-1991 |



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| Glacier Credit Card Trust | 19-Jun-2001 |
| Glencore Canada Corporation | 22-Jun-1994 |
| Glendale International Corp. | 1-Oct-1967 |
| GLG LIFE TECH CORPORATION | 20-Nov-2009 |
| Global All Cap Equity Fund (Setanta) | 29-Jun-2018 |
| Global Alpha Worldwide Growth Fund | 20-Jul-2015 |
| Global Blockchain Mining Corp. | |
| Global Blockchain Technologies Corp. | 19-Mar-2010 |
| Global Dividend Class (Setanta) | 6-Jul-2007 |
| Global Dividend Fund (Setanta) | 30-Nov-2015 |
| Global Dividend Growers Income Fund | 27-Feb-2013 |
| Global Dividend Growth Split Corp. | 24-May-2018 |
| Global Equity Allocation Pool | 6-Sep-2018 |
| Global Equity Class (Setanta) | 4-Jul-2013 |
| Global Fixed Income Corporate Class | 2-Oct-2007 |
| Global Fixed Income Pool | 15-Apr-1994 |
| Global Healthcare Dividend Fund | 25-Sep-2014 |
| Global Healthcare Income & Growth ETF | 28-Mar-2018 |
| Global Iman Fund | 17-Dec-2009 |
| Global Infrastructure Equity Fund (London Capital) | 30-Nov-2015 |
| Global Innovation Dividend Fund | 22-Feb-2018 |
| Global Investments Capital Corp. | 1-Sep-2017 |
| Global Low Volatility Fund (ILIM) | 7-Jul-2016 |
| Global Managed Volatility Fund | 27-Mar-2012 |
| Global Monthly Income Fund (London Capital) | 30-Nov-2015 |
| Global Real Estate & E-Commerce Dividend Fund | 20-Sep-2018 |
| Global Real Estate Dividend Growers Corp. | 25-Jun-2015 |
| Global Real Estate Fund (London Capital) | 11-Jul-2008 |
| Global Resource Champions Split Corp. | 28-Apr-2016 |
| Global Telecom & Utilities Income Fund | 28-Feb-2011 |
| Global Water Resources, Inc. | 3-May-2016 |
| Global Water Solutions Fund | 30-Jan-2015 |
| Globevest Capital Secured Put Writing Fund | 3-Jan-2014 |
| GLOBEVEST CAPITAL TACTICAL COVERED OPTIONS FUND | 2-May-2016 |
| Gluskin Sheff + Associates Inc. | 19-May-2006 |
| GMP Capital Inc. | 15-May-2009 |
| Go Vacations 1987 Limited Partnership (CT) | 22-Apr-1987 |
| Go Vacations Inc. (CT) | 30-Nov-1993 |
| goeasy Ltd. | 4-Dec-2003 |
| GoGold Resources Inc. | 25-Nov-2014 |
| Gold Reserve Inc. | 11-Mar-1997 |
| Gold Standard Ventures Corp. | 7-Aug-2018 |
| Goldbelt Empires Limited | 23-Sep-2015 |
| Goldcorp Inc. | 7-Jun-1983 |



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| Golden Minerals Company | 2-Sep-2011 |
| *Golden Opportunities Fund Inc. | 6-Nov-1998 |
| Golden Share Resources Corporation | 19-Dec-2007 |
| Golden Star Resources Ltd. | 3-May-1994 |
| Golden Trend Petroleum Ltd. (CT) | 6-Dec-1994 |
| Goldplay Exploration Ltd. | 22-Dec-2016 |
| Goldstake Explorations Inc. | 5-Aug-1983 |
| Goodwood Capital Fund | 29-Dec-1999 |
| Goran Capital Inc. | 9-Jun-1986 |
| Gossan Resources Limited | 5-Oct-1982 |
| Govermedia Plus Canada Corp. | 8-Jan-2018 |
| Gran Colombia Gold Corp. | 5-Aug-2011 |
| Gran Tierra Energy Inc. | 29-Jul-2015 |
| Grand Okanagan Resort Limited Partnership (CT) | 4-Oct-1990 |
| Granite Oil Corp. | 22-Feb-2010 |
| Granite Real Estate Investment Trust | 3-Jan-2013 |
| Granite REIT Holdings Limited Partnership | 3-Jan-2013 |
| Granite REIT Inc. | 3-Jan-2013 |
| Gray Wolf Capital Corporation | 28-Sep-1994 |
| Great Basin Gold Ltd. | 12-Apr-2007 |
| Great Canadian Gaming Corporation | 25-May-2004 |
| Great Panther Silver Limited | 10-Nov-2009 |
| *Great Western Minerals Group Ltd.(CT) | 20-Jul-2000 |
| Great-West Lifeco Inc. | 16-Jun-1986 |
| Greater Toronto Airports Authority | 24-Nov-1997 |
| Green Thumb Industries Inc. | 26-Jul-2018 |
| Greenfields Petroleum Corporation | 3-Nov-2010 |
| Greenscape Capital Group Inc. | 1-Oct-2009 |
| GreenSpace Brands Inc. | 22-Feb-2016 |
| Greenstone Resources Ltd. (CT) | 12-Nov-1996 |
| Grey Fox, The (CT) | 22-Aug-1980 |
| Greystone Canadian Bond Fund | 4-Nov-2014 |
| Greystone Canadian Equity Income & Growth Fund | 15-Sep-2016 |
| Greystone Global Equity Fund | 26-Apr-2016 |
| Greyvest Capital Inc. (T) | 18-Aug-1988 |
| Grizzly Discoveries Inc. | 2-Dec-2004 |
| Grosvenor House (CT) | 2-Dec-1980 |
| Groundstar Resources Limited | 19-Dec-2012 |
| Growth & Income Class | 22-Apr-2016 |
| Growth 100 Fund | 10-May-2001 |
| Growth 80/20 Fund | 10-May-2001 |
| Growth and Income Class (GWLIM) | 9-Jul-2012 |
| Growth and Income Fund (GWLIM) | 4-Jul-2017 |
| Growth Fund | 10-May-2001 |
| Growth Portfolio | 24-Oct-2017 |



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| GrowthWorks Canadian Fund Ltd. | 12-Feb-1990 |
| GrowthWorks Commercialization Fund Ltd. | 14-Jan-2005 |
| Gryphon Gold Corporation | 12-May-2011 |
| GSI Group Inc. | 18-Sep-1995 |
| GT Group Telecom Inc. (T) | 9-Mar-2000 |
| Guardian Balanced Fund | 30-Mar-2011 |
| Guardian Balanced Income Fund | 8-Apr-2013 |
| Guardian Canadian Bond Fund | 30-Mar-2011 |
| Guardian Canadian Equity Fund | 30-Mar-2011 |
| Guardian Canadian Equity Select Fund | 28-Apr-2017 |
| Guardian Canadian Focused Equity Fund | 22-Apr-2016 |
| Guardian Canadian Growth Equity Fund | 30-Mar-2011 |
| Guardian Canadian Plus Equity Fund | 30-Mar-2011 |
| Guardian Canadian Short-Term Investment Fund | 30-Mar-2011 |
| Guardian Canadian Small/Mid Cap Equity Fund | 30-Mar-2011 |
| Guardian Emerging Markets Equity Fund | 22-Apr-2016 |
| Guardian Equity Income Fund | 30-Mar-2011 |
| Guardian Exploration Inc. | 21-Apr-2006 |
| Guardian Fundamental Global Equity Fund | 22-Apr-2015 |
| Guardian Global Dividend Growth Fund | 30-Mar-2011 |
| Guardian Global Equity Fund | 30-Mar-2011 |
| Guardian Growth & Income Fund | 8-Apr-2013 |
| Guardian High Yield Bond Fund | 30-Mar-2011 |
| Guardian International Equity Fund | 30-Mar-2011 |
| Guardian International Equity Select Fund | 28-Apr-2017 |
| Guardian Investment Grade Corporate Bond Fund | 20-Apr-2018 |
| Guardian Managed Income & Growth Portfolio | 22-Apr-2015 |
| Guardian Managed Income Portfolio | 22-Apr-2015 |
| Guardian Private Wealth Bond Fund | 4-Apr-2012 |
| Guardian Short Duration Bond Fund | 24-Apr-2014 |
| Guardian U.S. Equity All Cap Growth Fund | 20-Apr-2018 |
| Guardian U.S. Equity Fund | 30-Mar-2011 |
| Guardian U.S. Equity Select Fund | 28-Apr-2017 |
| Gulf & Pacific Equities Corp. | 17-May-2000 |
| Guyana Goldfields Inc. | 19-Jan-2010 |
| GVIC Communications Corp. | 29-May-1996 |
| H&R Finance Trust | 1-Oct-2008 |
| H&R Real Estate Investment Trust | 10-Dec-1996 |
| Haemacure Corporation | 17-Jun-1996 |
| Halifax Receivables Trust | 30-May-2013 |
| *Hallmark Place Limited Partnership (CT) | 2-Nov-1984 |
| Hamilton Capital Australian Financials Yield ETF | 10-Aug-2018 |
| Hamilton Capital Canadian Bank Dynamic-Weight ETF | 10-Aug-2018 |
| Hamilton Capital European Financials ETF | 10-Aug-2018 |
| Hamilton Capital Global Bank ETF | 19-Jan-2016 |



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| Hamilton Capital Global Financials Yield ETF | 19-Jan-2016 |
| Hamilton Capital International Financials ETF | 10-Aug-2018 |
| Hamilton Capital U.S. Mid-Cap Financials ETF (USD) | 8-Aug-2017 |
| Hamilton Park Plaza Limited Partnership | 13-Mar-1985 |
| Hammond Manufacturing Company Limited | 28-May-1986 |
| Hammond Power Solutions Inc. | 1-Jan-2001 |
| Hampton Financial Corporation | 12-Jan-2015 |
| Hanfeng Evergreen Inc. | 1-Apr-2005 |
| HANWEI ENERGY SERVICES CORP. | 19-Jul-2007 |
| Harbour Canadian Dividend Fund | 25-Aug-2004 |
| Harbour Corporate Class | 3-Aug-2001 |
| Harbour Fund | 4-Jul-1997 |
| Harbour Global Equity Corporate Class | 7-Jan-2002 |
| Harbour Global Equity Fund | 28-Jul-2017 |
| Harbour Global Growth & Income Corporate Class | 27-Dec-2002 |
| Harbour Growth & Income Corporate Class | 31-Jul-2006 |
| Harbour Growth & Income Fund | 4-Jul-1997 |
| Harbour Star Capital Inc. | 11-Jan-2017 |
| Harbour Voyageur Corporate Class | 28-Jul-2011 |
| Hardwoods Distribution Inc. | 1-Jul-2011 |
| Harmony Balanced Growth Portfolio | 6-Jun-2007 |
| Harmony Balanced Growth Portfolio Class | 12-Aug-2008 |
| Harmony Balanced Portfolio | 7-Jan-2004 |
| Harmony Canadian Equity Pool | 12-Dec-1996 |
| Harmony Canadian Fixed Income Pool | 12-Dec-1996 |
| Harmony Conservative Portfolio | 7-Jan-2004 |
| Harmony Diversified Income Pool | 1-Nov-2011 |
| Harmony Global Fixed Income Pool | 1-Nov-2011 |
| Harmony Growth Plus Portfolio | 7-Jan-2004 |
| Harmony Growth Plus Portfolio Class | 12-Aug-2008 |
| Harmony Growth Portfolio | 7-Jan-2004 |
| Harmony Growth Portfolio Class | 12-Aug-2008 |
| Harmony Maximum Growth Portfolio | 7-Jan-2004 |
| Harmony Maximum Growth Portfolio Class | 12-Aug-2008 |
| Harmony Money Market Pool | 28-Jan-2000 |
| Harmony Overseas Equity Pool | 12-Dec-1996 |
| Harmony U.S. Equity Pool | 31-Mar-1998 |
| Harmony Yield Portfolio | 17-Jan-2005 |
| Harry Tracy - Desperado (CT) | 15-Dec-1980 |
| Hartland Pipeline Services Ltd. (CT) | 24-Apr-1998 |
| Harvest Banks & Buildings Income ETF | 20-Dec-2017 |
| Harvest Banks & Buildings Income Fund | 7-Oct-2009 |
| Harvest Brand Leaders Plus Income ETF | 17-Oct-2016 |
| Harvest Canadian Income & Growth Fund | 20-Jun-2012 |
| Harvest Energy Leaders Plus Income ETF | 17-Oct-2016 |



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| Harvest European Leaders Income ETF | 29-Jan-2018 |
| Harvest Global REIT Leaders ETF | 15-Jun-2017 |
| Harvest Global Resource Leaders ETF | 29-Jan-2018 |
| Harvest Healthcare Leaders Income ETF | 17-Oct-2016 |
| Harvest One Cannabis Inc. | 4-Dec-2017 |
| Harvest Operations Corp. | 19-Apr-2004 |
| Harvest Tech Achievers Growth & Income ETF | 22-Jun-2017 |
| Harvest US Bank Leaders Income ETF | 29-Jan-2018 |
| Harvest US Equity Plus Income ETF | 17-Oct-2016 |
| Havilah Mining Corporation | 20-Jul-2018 |
| Headway Property Investment 77-II (CT) | 3-Aug-1977 |
| Headway Property Investment 78-II (CT) | 1-Nov-1983 |
| Headway Property Investment 78-III (CT) | 4-Dec-1978 |
| Healthcare Special Opportunities Fund | 26-Jun-2015 |
| Heating Oil Partners Income Fund | 13-May-2002 |
| Hecla Mining Company | 1-Jun-2013 |
| Heliuss Medical Technologies, Inc. | 23-Mar-2016 |
| Hemisphere Energy Corporation | 3-Dec-2013 |
| Heritage Global Inc. | 20-Mar-2014 |
| Heritage Plans | 12-Mar-1987 |
| Heroux-Devtek Inc. | 19-May-2000 |
| *Hi-Weigh Investors Limited Partnership (CT) | 18-Jan-2001 |
| High Arctic Energy Services Inc. | 29-Jun-2007 |
| High Growth Portfolio | 24-Oct-2017 |
| High Liner Foods Incorporated | 23-Sep-1991 |
| Highvista Gold Inc. | 27-Apr-2010 |
| *Highway 40 Inland Terminal Corp. (CT) | 14-Feb-1997 |
| Hillcrest Petroleum Ltd. | 3-Mar-2011 |
| Hirose Group Ltd. (CT) | 15-Aug-1990 |
| HLS Therapeutics Inc. | 14-Mar-2018 |
| HMZ Metals Inc. | 29-Mar-2005 |
| Hollinger Inc. | 26-Sep-1988 |
| Holloway Lodging Corporation | 31-Dec-2012 |
| Home Capital Group Inc. | 5-Mar-1979 |
| Homeserve Technologies Inc. | 29-Aug-1996 |
| Horizon North Logistics Inc. | 1-Jun-2006 |
| Horizons Absolute Return Global Currency ETF | 6-Jul-2016 |
| Horizons Active A.I. Global Equity ETF | 19-Oct-2017 |
| Horizons Active Cdn Bond ETF | 6-Sep-2012 |
| Horizons Active Cdn Dividend ETF | 13-Jan-2010 |
| Horizons Active Cdn Municipal Bond ETF | 4-Aug-2015 |
| Horizons Active Corporate Bond ETF | 12-Jul-2010 |
| Horizons Active Emerging Market Dividend ETF | 5-Oct-2012 |
| Horizons Active Emerging Markets Bond ETF | 25-Jul-2018 |
| Horizons Active Floating Rate Bond ETF | 19-Nov-2010 |



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| Horizons Active Floating Rate Preferred Share ETF | 26-Aug-2013 |
| Horizons Active Floating Rate Senior Loan ETF | 27-Aug-2014 |
| Horizons Active Global Dividend ETF | 12-Jul-2010 |
| Horizons Active Global Fixed Income ETF | 1-Oct-2010 |
| Horizons Active High Yield Bond ETF | 21-Dec-2012 |
| Horizons Active Intl Developed Markets Equity ETF | 19-Oct-2017 |
| Horizons Active Preferred Share ETF | 19-Nov-2010 |
| Horizons Active U.S. Floating Rate Bond (USD) ETF | 30-Jan-2012 |
| Horizons Active US Dividend ETF | 4-Feb-2015 |
| Horizons Balanced TRI ETF Portfolio | 25-Jul-2018 |
| Horizons Blockchain Technology & Hardware Index ETF | 15-Jun-2018 |
| Horizons Canadian Dollar Currency ETF | 2-May-2016 |
| Horizons Canadian Midstream Oil & Gas Index ETF | 9-Jul-2014 |
| Horizons Cdn High Dividend Index ETF | 5-Apr-2016 |
| Horizons Cdn Insider Index ETF | 8-Jan-2015 |
| Horizons Cdn Select Universe Bond ETF | 15-Apr-2014 |
| Horizons China High Dividend Yield Index ETF | 5-Jan-2016 |
| Horizons Conservative TRI ETF Portfolio | 25-Jul-2018 |
| Horizons Crude Oil ETF | 23-Jun-2009 |
| Horizons Emerging Marijuana Growers Index ETF | 6-Feb-2018 |
| Horizons Enhanced Income Energy ETF | 14-Mar-2011 |
| Horizons Enhanced Income Equity ETF | 14-Mar-2011 |
| Horizons Enhanced Income Financials ETF | 7-Apr-2011 |
| Horizons Enhanced Income Gold Producers ETF | 14-Mar-2011 |
| Horizons Enhanced Income International Equity ETF | 6-Sep-2011 |
| Horizons Enhanced Income US Equity (USD) ETF | 6-Sep-2011 |
| Horizons EURO STOXX 50® Index ETF | 5-Apr-2016 |
| Horizons Global Risk Parity ETF | 6-Jul-2016 |
| Horizons Gold ETF | 23-Jun-2009 |
| Horizons Gold Yield ETF | 21-Feb-2012 |
| Horizons Inovestor Canadian Equity Index ETF | 30-Oct-2017 |
| Horizons Intl Developed Markets Equity Index ETF | 29-Aug-2017 |
| Horizons Managed Global Opportunities ETF | 4-Aug-2015 |
| Horizons Medical Marijuana Life Sciences ETF | 28-Mar-2017 |
| Horizons Morningstar Hedge Fund Index ETF | 19-Apr-2012 |
| Horizons NASDAQ-100® Index ETF | 5-Apr-2016 |
| Horizons Natural Gas ETF | 23-Jun-2009 |
| Horizons Natural Gas Yield ETF | 21-Feb-2012 |
| Horizons Robotics and Automation Index ETF | 9-Nov-2017 |
| Horizons S&P 500 CAD Hedged Index ETF | 26-Aug-2016 |
| Horizons S&P 500® Index (C\$ Hedged) ETF | 26-Nov-2010 |
| Horizons S&P/TSX 60 Equal Weight Index ETF | 12-Jul-2010 |
| Horizons S&P/TSX Capped Energy Index ETF | 30-Aug-2013 |
| Horizons S&P/TSX Capped Financials Index ETF | 30-Aug-2013 |
| Horizons Seasonality Rotation ETF | 18-Nov-2009 |



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| Horizons Silver ETF | 23-Jun-2009 |
| Horizons U.S. Dollar Currency ETF | 1-Apr-2011 |
| Horizons US 7-10 Year Treasury Bond CAD Hedged ETF | 26-Aug-2016 |
| Horizons US 7-10 Year Treasury Bond ETF | 30-Mar-2015 |
| HPIL Resources Ltd. (CT) | 29-Jun-1978 |
| HRT Participações em Petróleo S.A. | 29-Apr-2011 |
| HSBC AsiaPacific Fund | 26-Nov-1993 |
| HSBC Bank Canada | 22-Jun-2000 |
| HSBC BRIC Equity Fund | 18-Dec-2006 |
| HSBC Canadian Balanced Fund | 22-Mar-1989 |
| HSBC Canadian Bond Fund | 30-Dec-1994 |
| HSBC Canadian Bond Pooled Fund | 17-Jun-1999 |
| HSBC Canadian Dividend Income Pooled Fund | 17-Jun-1999 |
| HSBC Canadian Equity Pooled Fund | 17-Jun-1999 |
| HSBC Canadian Money Market Fund | 22-Mar-1989 |
| HSBC Canadian Money Market Pooled Fund | 17-Jun-1999 |
| HSBC Canadian Short-Term Bond Fund | 29-Jun-2012 |
| HSBC Chinese Equity Fund | 16-Dec-2003 |
| HSBC Dividend Fund | 30-Dec-1994 |
| HSBC Emerging Markets Debt Fund | 27-Sep-2011 |
| HSBC Emerging Markets Debt Pooled Fund | 20-Dec-2010 |
| HSBC Emerging Markets Fund | 21-Oct-1994 |
| HSBC Emerging Markets Pooled Fund | 21-Dec-2009 |
| HSBC Equity Fund | 22-Mar-1989 |
| HSBC European Fund | 21-Oct-1994 |
| HSBC Global Corporate Bond Fund | 9-Jun-2015 |
| HSBC Global Equity Fund | 22-Dec-1997 |
| HSBC Global Equity Volatility Focused Fund | 9-Jun-2015 |
| HSBC Global High Yield Bond Pooled Fund | 21-Nov-2006 |
| HSBC Global Inflation Linked Bond Pooled Fund | 21-Dec-2009 |
| HSBC Global Real Estate Equity Pooled Fund | 18-Dec-2014 |
| HSBC Indian Equity Fund | 18-Dec-2008 |
| HSBC International Equity Pooled Fund | 17-Jun-1999 |
| HSBC Monthly Income Fund | 17-Dec-2004 |
| HSBC Mortgage Fund | 20-Sep-1993 |
| HSBC Mortgage Pooled Fund | 22-Nov-2004 |
| HSBC Small Cap Growth Fund | 30-Dec-1994 |
| HSBC Small Cap Growth Pooled Fund | 17-Jun-1999 |
| HSBC U.S. Dollar Money Market Fund | 22-Dec-1997 |
| HSBC U.S. Dollar Monthly Income Fund | 17-Dec-2010 |
| HSBC U.S. Equity Fund | 21-Oct-1994 |
| HSBC U.S. Equity Pooled Fund | 17-Jun-1999 |
| HSBC Wealth Compass Aggressive Growth Fund | 9-Apr-2018 |
| HSBC Wealth Compass Balanced Fund | 9-Apr-2018 |
| HSBC Wealth Compass Conservative Fund | 9-Apr-2018 |



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| HSBC Wealth Compass Growth Fund | 9-Apr-2018 |
| HSBC Wealth Compass Moderate Conservative Fund | 9-Apr-2018 |
| HSBC World Selection Diversified Aggressive Growth Fund | 17-Dec-2004 |
| HSBC World Selection Diversified Balanced Fund | 17-Dec-2004 |
| HSBC World Selection Diversified Conservative Fund | 17-Dec-2004 |
| HSBC World Selection Diversified Growth Fund | 17-Dec-2004 |
| HSBC World Selection Diversified Moderate Conservative Portfolio | 17-Dec-2004 |
| *HTC Pureenergy Inc. | 30-Nov-2000 |
| Hudbay Minerals Inc. | 15-Dec-2004 |
| Hudson's Bay Company | 19-Nov-2012 |
| Hunt Mining Corp. | 24-Nov-2010 |
| Huntington Exploration Inc. | 23-Sep-1996 |
| Husky Energy Inc. | 18-Apr-1988 |
| Hydro One Inc. | 24-May-2000 |
| Hydro One Limited | 29-Oct-2015 |
| Hydro66 Holdings Corp. | 24-Jun-2008 |
| Hydrogenics Corporation | 27-Oct-2009 |
| IA Clarington American Fund | 17-Dec-1999 |
| IA Clarington Bond Fund | 4-Aug-1998 |
| IA Clarington Canadian Balanced Class | 18-Jul-2011 |
| IA Clarington Canadian Balanced Fund | 18-Sep-1996 |
| IA Clarington Canadian Conservative Equity Class | 18-Jul-2011 |
| IA Clarington Canadian Conservative Equity Fund | 3-Oct-2000 |
| IA Clarington Canadian Dividend Fund | 1-Sep-1999 |
| IA Clarington Canadian Growth Class | 28-Aug-2000 |
| IA Clarington Canadian Income Fund | 3-Dec-1996 |
| IA Clarington Canadian Leaders Class | 8-Jul-2008 |
| IA Clarington Canadian Small Cap Class | 4-Jul-2008 |
| IA Clarington Canadian Small Cap Fund | 17-Mar-1997 |
| IA Clarington Core Plus Bond Fund | 20-Jun-2014 |
| IA Clarington Dividend Growth Class | 23-Jan-2009 |
| IA Clarington Emerging Markets Bond Fund | 27-Sep-2017 |
| IA Clarington Floating Rate Income Fund | 7-Nov-2013 |
| IA Clarington Focused Balanced Class | 5-Jun-2012 |
| IA Clarington Focused Balanced Fund | 5-Jun-2012 |
| IA Clarington Focused Canadian Equity Class | 5-Jun-2012 |
| IA Clarington Focused U.S. Equity Class | 31-Mar-2015 |
| IA Clarington Global Bond Fund | 27-Sep-2017 |
| IA Clarington Global Dividend Fund | 27-Oct-2006 |
| IA Clarington Global Equity Exposure Fund | 7-Feb-2005 |
| IA Clarington Global Growth & Income Fund | 26-Sep-2014 |
| IA Clarington Global Opportunities Class | 28-Aug-2000 |
| IA Clarington Global Opportunities Fund | 19-Aug-1998 |
| IA Clarington Global Tactical Income Class | 12-Jul-2010 |



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| IA Clarington Global Tactical Income Fund | 22-Jan-2001 |
| IA Clarington Global Value Fund | 17-Dec-1999 |
| IA Clarington Global Yield Opportunities Fund | 27-Sep-2017 |
| IA Clarington Growth & Income Fund | 22-Dec-2014 |
| IA Clarington Inhance Balanced SRI Portfolio | 26-Nov-2009 |
| IA Clarington Inhance Bond SRI Fund | 22-Dec-2016 |
| IA Clarington Inhance Canadian Equity SRI Class | 26-Nov-2009 |
| IA Clarington Inhance Conservative SRI Portfolio | 26-Nov-2009 |
| IA Clarington Inhance Global Equity SRI Class | 26-Nov-2009 |
| IA Clarington Inhance Growth SRI Portfolio | 26-Nov-2009 |
| IA Clarington Inhance Monthly Income SRI Fund | 26-Nov-2009 |
| IA Clarington Money Market Fund | 17-Dec-1999 |
| IA Clarington Montage Balanced Portfolio | 15-Apr-2016 |
| IA Clarington Montage Conservative Portfolio | 15-Apr-2016 |
| IA Clarington Montage Growth Portfolio | 15-Apr-2016 |
| IA Clarington Montage Maximum Growth Portfolio | 15-Apr-2016 |
| IA Clarington Montage Moderate Portfolio | 15-Apr-2016 |
| IA Clarington Monthly Income Balanced Fund | 4-Aug-1998 |
| IA Clarington North American Opportunities Class | 22-Dec-2014 |
| IA Clarington Real Return Bond Fund | 11-Sep-2006 |
| IA Clarington Sarbit Activist Opportunities Class | 22-Mar-2013 |
| IA Clarington Sarbit U.S. Equity Class | 18-Jul-2011 |
| IA Clarington Sarbit U.S. Equity Fund | 25-Jan-2000 |
| IA Clarington Short Term Bond Fund | 12-Jul-2010 |
| IA Clarington Short-Term Income Class | 28-Aug-2000 |
| IA Clarington Strategic Corporate Bond Class | 8-Feb-2012 |
| IA Clarington Strategic Corporate Bond Fund | 22-Sep-2011 |
| IA Clarington Strategic Equity Income Class | 23-Jan-2009 |
| IA Clarington Strategic Equity Income Fund | 4-Aug-1998 |
| IA Clarington Strategic Income Class | 8-Feb-2012 |
| IA Clarington Tactical Bond Class | 12-Jul-2010 |
| IA Clarington Tactical Bond Fund | 12-Jul-2010 |
| IA Clarington Tactical Income Class | 23-Jan-2009 |
| IA Clarington Tactical Income Fund | 6-May-2003 |
| IA Clarington Target Click 2020 Fund | 7-Feb-2005 |
| IA Clarington Target Click 2025 Fund | 7-Feb-2005 |
| IA Clarington Target Click 2030 Fund | 4-Jul-2008 |
| IA Clarington U.S. Dividend Growth Registered Fund | 22-Dec-2015 |
| IA Clarington U.S. Dollar Floating Rate Income Fund | 22-Dec-2015 |
| IA Clarington Yield Opportunities Fund | 22-Dec-2015 |
| IAMGold Corporation | 4-Mar-1996 |
| iAnthus Capital Holdings, Inc. | 15-Nov-2016 |
| Ibex Technologies Inc. | 13-Jun-1996 |
| IBI Group Inc. | 1-Jan-2011 |
| IBM Acquisition Inc. | 15-Mar-2000 |



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| ICC International Cannabis Corporation (formerly Shogun Capital Corp.) | 14-Nov-2017 |
| Icon Laser Eye Centers, Inc. (CT) | 13-Feb-2001 |
| IDS Intelligent Detection Systems Inc. (CT) | 24-Dec-1997 |
| If You Could See What I Hear (CT) | 3-Dec-1980 |
| *IFCI International Corp.(CT) | 7-Sep-2012 |
| IG AGF Canadian Balanced Fund | 28-Feb-1996 |
| IG AGF Canadian Diversified Growth Class | 21-Oct-2002 |
| IG AGF Canadian Diversified Growth Fund | 18-Aug-1999 |
| IG AGF Global Equity Class | 21-Oct-2002 |
| IG AGF Global Equity Fund | 28-Feb-1996 |
| IG AGF U.S. Growth Class | 21-Oct-2002 |
| IG AGF U.S. Growth Fund | 18-Aug-1999 |
| IG Beutel Goodman Canadian Balanced Fund | 9-Jul-1996 |
| IG Beutel Goodman Canadian Equity Class | 21-Oct-2002 |
| IG Beutel Goodman Canadian Equity Fund | 7-Sep-1996 |
| IG Beutel Goodman Canadian Small Cap Fund | 7-Sep-1996 |
| IG Bissett Canadian Equity Class | 11-Aug-2004 |
| IG Bissett Canadian Equity Fund | 11-Aug-2004 |
| IG FI Canadian Allocation Fund | 20-Nov-2000 |
| IG FI Canadian Equity Class | 21-Oct-2002 |
| IG FI Canadian Equity Fund | 20-Nov-2000 |
| IG FI U.S. Large Cap Equity Class | 12-Jul-2010 |
| IG Mackenzie Cundill Global Value Class | 20-Jul-2007 |
| IG Mackenzie Cundill Global Value Fund | 20-Jul-2007 |
| IG Mackenzie Emerging Markets Pool | 11-May-2018 |
| IG Mackenzie Floating Rate Income Fund | 15-Jul-2014 |
| IG Mackenzie Global Inflation-Linked Pool | 11-May-2018 |
| IG Mackenzie Global Precious Metals Class | 25-Jul-2008 |
| IG Mackenzie Income Fund | 12-Aug-1999 |
| IG Mackenzie Ivy European Class | 21-Oct-2002 |
| IG Mackenzie Ivy European Fund | 18-Aug-1999 |
| IG Mackenzie Ivy Foreign Equity Class | 21-Oct-2002 |
| IG Mackenzie Maxxum Canadian Equity Growth Class | 20-Jul-2006 |
| IG Mackenzie Maxxum Canadian Equity Growth Fund | 18-Jul-2006 |
| IG Mackenzie Maxxum Dividend Growth Fund | 18-Aug-1999 |
| IG Mackenzie Sentinel Strategic Income Fund | 11-Jan-2013 |
| IG Mackenzie Universal Emerging Markets Class | 21-Oct-2002 |
| IG Putnam Emerging Markets Income Fund | 15-Jul-2014 |
| IG Putnam Low Volatility U.S. Equity Class | 13-Dec-2013 |
| IG Putnam Low Volatility U.S. Equity Fund | 13-Dec-2013 |
| IG Putnam U.S. Growth Class | 25-Nov-2011 |
| IG Putnam U.S. Growth Fund | 25-Nov-2011 |
| IG Putnam U.S. High Yield Income Fund | 25-Jul-2008 |
| IG T. Rowe Price U.S. Large Cap Equity Fund | 12-Jul-2010 |



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|---|-------------|
| IG Templeton International Equity Class | 21-Oct-2002 |
| IGM Financial Inc. | 15-Sep-1978 |
| Ikkuma Resources Corp. | 31-Jul-2014 |
| iLOOKABOUT Corp. | 11-May-2017 |
| Image Sculpting International Inc. (T) | 20-Sep-2000 |
| Imasight Corp. | 2-Aug-2005 |
| IMAX Corporation | 30-Oct-1996 |
| imaxx Canadian Bond Fund | 3-Jun-2002 |
| imaxx Canadian Dividend Plus Fund | 1-Jun-2006 |
| imaxx Canadian Fixed Pay Fund | 3-Jun-2002 |
| imaxx Equity Growth Fund | 3-Jun-2002 |
| imaxx Global Fixed Pay Fund | 18-May-2017 |
| imaxx Short Term Bond Fund | 3-Jun-2002 |
| Impax Energy Services Income Trust | 2-Jun-2006 |
| Imperial Canadian Bond Pool | 15-Oct-1998 |
| Imperial Canadian Dividend Pool | 21-Nov-2003 |
| Imperial Canadian Equity Pool | 15-Oct-1998 |
| Imperial Emerging Economies Pool | 28-Jun-1999 |
| Imperial Equity High Income Pool | 21-Nov-2003 |
| Imperial Global Equity Income Pool | 31-Jan-2008 |
| Imperial International Bond Pool | 30-Jun-1999 |
| Imperial International Equity Pool | 15-Oct-1998 |
| Imperial Metals Corporation | 30-Oct-1979 |
| Imperial Money Market Pool | 15-Oct-1998 |
| Imperial Oil Limited | 5-Jun-1989 |
| Imperial Overseas Equity Pool | 21-Nov-2003 |
| Imperial Short-Term Bond Pool | 15-Oct-1998 |
| Imperial Square Edmonton, Phase II (CT) | 26-Nov-1980 |
| Imperial Square, Westchase Limited (CT) | 8-Nov-1982 |
| Imperial U.S. Equity Pool | 15-Oct-1998 |
| Impression Plan | 2-Jul-2003 |
| IMRIS Inc. | 26-Oct-2007 |
| IMV Inc. | 27-Aug-2012 |
| Income 40/60 Fund | 10-May-2001 |
| Income Financial Trust | 29-Jan-1999 |
| Income Fund (Portico) | 5-Jan-2001 |
| Incubus (CT) | 21-Nov-1980 |
| INDEXPLUS Income Fund | 6-Jun-2017 |
| Indigo Books & Music Inc. | 26-Nov-1996 |
| Indiva Limited | 7-Feb-2018 |
| Industrial Alliance Insurance and Financial Services Inc. | 5-Jun-1996 |
| *Information Services Corporation | 27-Jun-2013 |
| Infrastructure Materials Corp. | 12-Dec-2011 |
| Innergex Renewable Energy Inc. | 28-Nov-2007 |
| Innovative Composites International Inc. | 18-Nov-2010 |



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| Innovative Wireline Solutions Inc. | 10-May-2006 |
| Inovalis Real Estate Investment Trust | 3-Apr-2013 |
| InPlay Oil Corp. | 23-Jan-2015 |
| *Input Capital Corp. | 27-Sep-2013 |
| INSCAPE Corporation | 28-Nov-1997 |
| Intact Financial Corporation | 9-Dec-2004 |
| Integra Balanced Fund | 7-Jan-1988 |
| Integra Bond Fund | 29-Nov-1996 |
| Integra Canadian Value Growth Fund | 17-Mar-1999 |
| Integra Equity Fund | 29-Nov-1996 |
| Integra International Equity Fund | 29-Nov-1996 |
| Integra Short Term Investment Fund | 13-Jan-1994 |
| Integra U.S. Value Growth Fund | 27-Jul-1998 |
| Integrated Asset Management Corp. | 16-Dec-1999 |
| Integrity Gaming Corp. | 23-Apr-2014 |
| INTELLIPHARMACEUTICS INTERNATIONAL INC. | 22-Oct-2009 |
| Inter Pipeline Ltd. | 19-Nov-1997 |
| Interfor Corporation | 7-Jul-1986 |
| Intermap Technologies Corporation | 18-Oct-2005 |
| International Bond Fund (CLI) | 30-Nov-2015 |
| International Core Equity Class (Putnam) | 7-Jul-2016 |
| International Core Equity Fund (Putnam) | 7-Jul-2016 |
| International Display Corporation (CT) | 6-Apr-1979 |
| International Equity Alpha Corporate Class | 30-Jul-2008 |
| International Equity Alpha Pool | 6-Sep-2018 |
| International Equity Growth Corporate Class | 31-Dec-2007 |
| International Equity Growth Pool | 28-Apr-2000 |
| International Equity Value Corporate Class | 2-Oct-2007 |
| International Equity Value Currency Hedged Corporate Class | 30-Jul-2008 |
| International Equity Value Pool | 28-Apr-2000 |
| International Falcon Resources Inc. (T) | 14-Jun-1982 |
| *International ProSoya Corporation (CT) | 19-Apr-1996 |
| International Utility Structures Inc. | 15-Nov-1995 |
| International Wallcoverings Ltd. (CT) | 18-May-1994 |
| Interquest Resources Corp. (CT) | 9-Jul-1982 |
| InterRent Real Estate Investment Trust | 7-Feb-2007 |
| Intertape Polymer Group Inc. | 17-Feb-1992 |
| Intrinsyc Technologies Corporation | 23-Mar-2006 |
| INV Metals Inc. | 14-Mar-2006 |
| Invesco 1-5 Year Laddered Corporate Bond Index ETF Fund | 14-Jan-2010 |
| Invesco Active Multi-Sector Credit Fund | 21-Oct-1994 |
| Invesco Allocation Fund | 1-Jun-2004 |
| Invesco Canada Money Market Fund | 30-Sep-1996 |
| Invesco Canadian Class | 6-Oct-1997 |
| Invesco Canadian Dividend Index Class | 12-Nov-2009 |



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| Invesco Canadian Endeavour Fund | 16-Aug-1988 |
| Invesco Canadian Focus Fund | 17-Aug-2009 |
| Invesco Canadian Fund | 2-Sep-1981 |
| Invesco Canadian Interest Fund | 9-Feb-1987 |
| Invesco Canadian Opportunity Class | 15-Oct-2001 |
| Invesco Canadian Plus Dividend Class | 3-Apr-2007 |
| Invesco Canadian Preferred Share Index EFT Class | 14-Jan-2010 |
| Invesco Canadian Premier Growth Class | 11-Jan-1995 |
| Invesco Canadian Premier Growth Fund | 29-Jan-1990 |
| Invesco Canadian Premiere Balanced Fund | 28-Jul-1992 |
| Invesco Canadian Real Return Bond Index Fund | 14-Jan-2010 |
| Invesco Canadian Small Companies Fund | 22-Apr-1998 |
| Invesco Core Canadian Balanced Class | 15-Oct-2001 |
| Invesco Diversified Yield Class | 13-Aug-2007 |
| Invesco Emerging Markets Class | 7-Jan-2011 |
| Invesco European Growth Class | 25-Oct-2000 |
| Invesco Europlus Fund | 29-Sep-1997 |
| Invesco FTSE RAFI Canadian Fundamental Index Class | 12-Nov-2009 |
| Invesco FTSE RAFI Global+ ETF Fund | 14-Jan-2010 |
| Invesco FTSE RAFI U.S. Fundamental ETF Fund | 14-Jan-2010 |
| Invesco Global Balanced Class | 12-Aug-2002 |
| Invesco Global Balanced Fund | 19-Oct-1999 |
| Invesco Global Bond Fund | 24-May-2016 |
| Invesco Global Companies Fund | 2-Sep-1981 |
| Invesco Global Diversified Companies Class | 18-May-2001 |
| Invesco Global Diversified Income Fund | 24-May-2016 |
| Invesco Global Dividend Achievers ETF Fund | 14-Jan-2010 |
| Invesco Global Dividend Class | 3-Apr-2007 |
| Invesco Global Dividend Income Fund | 4-Apr-2017 |
| Invesco Global Endeavour Class | 12-Aug-2002 |
| Invesco Global Endeavour Fund | 8-Jun-1993 |
| Invesco Global Growth Class | 30-Sep-1996 |
| Invesco Global Monthly Income Fund | 4-Apr-2017 |
| INVESCO Global Real Estate Fund | 31-Oct-2007 |
| Invesco Global Small Companies Class | 1-Jun-2007 |
| INVESCO Inc. | 9-Apr-1992 |
| Invesco Income Growth Fund | 13-Aug-1987 |
| Invesco Indo-Pacific Fund | 26-Sep-1994 |
| Invesco Intactive 2023 Portfolio | 21-May-2008 |
| Invesco Intactive 2028 Portfolio | 21-May-2008 |
| Invesco Intactive 2033 Portfolio | 21-May-2008 |
| Invesco Intactive 2038 Portfolio | 21-May-2008 |
| Invesco Intactive Balanced Growth Portfolio | 11-May-2005 |
| Invesco Intactive Balanced Growth Portfolio Class | 21-Apr-2011 |
| Invesco Intactive Balanced Income Portfolio | 11-May-2005 |



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| Invesco Intactive Balanced Income Portfolio Class | 21-Apr-2011 |
| Invesco Intactive Diversified Income Portfolio Class | 21-Apr-2011 |
| Invesco Intactive Diversified Income Portfolios | 11-May-2005 |
| Invesco Intactive Growth Portfolio Class | 21-Apr-2011 |
| Invesco Intactive Maximum Growth Portfolio | 11-May-2005 |
| Invesco Intactive Maximum Growth Portfolio Class | 21-Apr-2011 |
| Invesco Intactive Strategic Yield Portfolio Fund | 10-Apr-2012 |
| Invesco International Companies Class | 31-May-2007 |
| Invesco International Companies Fund | 19-Oct-1999 |
| Invesco International Growth Class | 26-Jun-2000 |
| Invesco International Growth Fund | 17-Aug-2009 |
| Invesco S&P 500 Equal Weight Index ETF | 16-May-2018 |
| Invesco S&P 500 Low Volatility Index ETF Fund | 31-Jul-2013 |
| Invesco S&P Europe 350 Equal Weight Index ETF | 18-Sep-2018 |
| Invesco S&P/TSX Composite Low Volatility Index ETF Class | 31-Jul-2013 |
| Invesco Select Balanced Fund | 14-Nov-1989 |
| Invesco Short-Term Income Class | 24-Oct-1995 |
| Invesco Tactical Bond ETF Fund | 11-Aug-2010 |
| Invesco Trimark Dialogue Growth Portfolio | 11-May-2005 |
| Invesco U.S. Companies Class | 18-May-2001 |
| Invesco U.S. Companies Fund | 19-Oct-1999 |
| Invesco U.S. High Yield Bond Index Fund | 14-Jan-2010 |
| Invesco U.S. Money Market Fund | 21-Jan-2000 |
| Invesco U.S. Small Companies Class | 12-Aug-2002 |
| Invesque Inc. | 25-Jul-2007 |
| Investment Grade Infrastructure Bond Fund | 26-Jan-2015 |
| Investment Grade Managed Duration Income Fund | 28-Jan-2016 |
| Investors Canadian Bond Fund | 15-Apr-1994 |
| Investors Canadian Corporate Bond Fund | 29-Apr-2011 |
| Investors Canadian Equity Class | 21-Oct-2002 |
| Investors Canadian Equity Fund | 4-Oct-1983 |
| Investors Canadian Equity Income Fund | 10-Dec-2004 |
| Investors Canadian Growth Class | 20-Jul-2006 |
| Investors Canadian Growth Fund | 18-Jul-2006 |
| Investors Canadian High Yield Income Fund | 9-Jul-1996 |
| Investors Canadian Large Cap Value Class | 21-Oct-2002 |
| Investors Canadian Large Cap Value Fund | 29-Dec-1971 |
| Investors Canadian Money Market Class | 21-Oct-2002 |
| Investors Canadian Money Market Fund | 6-May-1985 |
| Investors Canadian Natural Resource Fund | 9-Jul-1996 |
| Investors Canadian Small Cap Class | 21-Oct-2002 |
| Investors Canadian Small Cap Fund | 9-Jul-1996 |
| Investors Canadian Small Cap Growth Class | 21-Oct-2002 |
| Investors Canadian Small Cap Growth Fund | 24-Dec-1997 |
| Investors Core Canadian Equity Class | 25-Nov-2011 |



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| Issuer Name | Start Date |
|---|-------------|
| Investors Core U.S. Equity Class | 25-Nov-2011 |
| Investors Core U.S. Equity Fund | 25-Nov-2011 |
| Investors Cornerstone Portfolio | 30-Jun-2009 |
| Investors Dividend Class | 13-Dec-2013 |
| Investors Dividend Fund | 16-Mar-1978 |
| Investors European Equity Class | 21-Oct-2002 |
| Investors European Equity Fund | 31-Aug-1990 |
| Investors European Mid-Cap Equity Class | 21-Oct-2002 |
| Investors European Mid-Cap Equity Fund | 5-Jun-2000 |
| Investors Fixed Income Flex Portfolio | 4-Mar-2011 |
| Investors Global Bond Fund | 21-Jul-1992 |
| Investors Global Class | 21-Oct-2002 |
| Investors Global Consumer Companies Class | 21-Oct-2002 |
| Investors Global Dividend Fund | 10-Jan-2006 |
| Investors Global Financial Services Class | 21-Oct-2002 |
| Investors Global Financial Services Fund | 23-Jan-2001 |
| Investors Global Fixed Income Flex Portfolio | 15-Jul-2014 |
| Investors Global Fund | 14-Oct-1986 |
| Investors Global Health Care Class | 21-Oct-2002 |
| Investors Global Infrastructure Class | 21-Oct-2002 |
| Investors Global Natural Resources Class | 21-Oct-2002 |
| Investors Global Real Estate Fund | 14-Jan-2008 |
| Investors Global Science & Technology Class | 21-Oct-2002 |
| Investors Global Science & Technology Fund | 13-Oct-1998 |
| Investors Greater China Class | 11-Aug-2006 |
| Investors Group Equity Pool | 10-Jul-2015 |
| Investors Group Income Pool | 10-Jul-2015 |
| Investors Growth Plus Portfolio | 4-Jan-1989 |
| Investors Growth Portfolio | 4-Jan-1989 |
| Investors Income Plus Portfolio | 4-Jan-1989 |
| Investors International Equity Class | 30-Jul-2009 |
| Investors International Small Cap Class | 21-Oct-2002 |
| Investors Low Volatility Canadian Equity Class | 10-Jul-2015 |
| Investors Low Volatility Canadian Equity Fund | 6-Jul-2015 |
| Investors Low Volatility Global Equity Class | 10-Jul-2015 |
| Investors Low Volatility Global Equity Fund | 10-Jul-2015 |
| Investors Mortgage and Short Term Interest Fund | 16-Oct-1973 |
| Investors Mutual Of Canada | 28-Jan-1974 |
| Investors North American Equity Class | 21-Oct-2002 |
| Investors North American Equity Fund | 27-Nov-1962 |
| Investors Pacific International Class | 21-Oct-2002 |
| Investors Pacific International Fund | 31-Aug-1990 |
| Investors Pan Asian Growth Class | 21-Oct-2002 |
| Investors Pan Asian Growth Fund | 23-Jan-2001 |
| Investors Quebec Enterprise Class | 21-Oct-2002 |



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| Investors Quebec Enterprise Fund | 14-May-1999 |
| Investors Real Property Fund | 13-Dec-1983 |
| Investors Retirement Growth Portfolio | 4-Jan-1989 |
| Investors Retirement Plus Portfolio | 4-Jan-1989 |
| Investors Summa SRI Class | 21-Oct-2002 |
| Investors Summa SRI Fund | 9-Jan-1987 |
| Investors Syndicate Limited | 9-May-1989 |
| Investors U.S. Dividend Growth Fund | 8-Jan-2007 |
| Investors U.S. Dividend Registered Fund | 16-Jan-2015 |
| Investors U.S. Large Cap Value Class | 21-Oct-2002 |
| Investors U.S. Large Cap Value Fund | 22-Dec-1972 |
| Investors U.S. Money Market Fund | 21-Apr-1997 |
| Investors U.S. Opportunities Class | 1-Jan-2003 |
| Investors U.S. Opportunities Fund | 9-Jul-1996 |
| Investors U.S. Small Cap Class | 21-Oct-2002 |
| Invictus MD Strategies Corp. | 11-Apr-2014 |
| Iona Energy Inc | 30-Sep-2009 |
| IPC Balanced ETF Portfolio | 11-Sep-2018 |
| IPC Growth ETF Portfolio | 11-Sep-2018 |
| IPC Income ETF Portfolio | 11-Sep-2018 |
| IPL Plastics Inc. | 21-Jun-2018 |
| iProfile Canadian Equity Class | 1-Mar-2013 |
| iProfile Canadian Equity Pool | 11-Jan-2001 |
| iProfile Emerging Markets Class | 1-Mar-2013 |
| iProfile Emerging Markets Pool | 1-Nov-2001 |
| iProfile Fixed Income Pool | 11-Jan-2001 |
| iProfile International Equity Class | 1-Mar-2013 |
| iProfile International Equity Pool | 11-Jan-2001 |
| iProfile U.S. Equity Class | 1-Mar-2013 |
| iProfile U.S. Equity Pool | 11-Jan-2001 |
| ISE Limited | 12-Feb-2010 |
| iShares 1-10 Year Laddered Corporate Bond Index Fund | 16-May-2011 |
| iShares 1-10 Year Laddered Government Bond Index Fund | 16-May-2011 |
| iShares 1-5 Year Laddered Corporate Bond Index ETF | 26-Nov-2008 |
| iShares 1-5 Year Laddered Government Bond Index ETF | 4-Dec-2007 |
| iShares Advantaged Convertible Bond Index Fund | 8-Jun-2011 |
| iShares All-Cap MSCI Emerging Markets Index ETF | 10-Apr-2013 |
| iShares Balanced Growth CorePortfolio Fund | 9-Apr-2007 |
| iShares Balanced Income CorePortfolio Fund | 9-Apr-2007 |
| iShares Broad Emerging Markets Fund | 18-Jul-2008 |
| iShares Canadian Corporate Bond Index ETF | 6-Nov-2006 |
| iShares Canadian Financial Monthly Income ETF | 28-Feb-2007 |
| iShares Canadian Fundamental Index ETF | 20-Feb-2006 |
| iShares Canadian Government Bond Index ETF | 6-Nov-2006 |
| iShares Canadian HYBrid Corporate Bond Index ETF | 17-Sep-2010 |



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| iShares Canadian Real Return Bond Index ETF | 6-Nov-2006 |
| iShares Canadian Select Dividend Index ETF | 7-Dec-2005 |
| iShares Canadian Short Term Bond Index ETF | 2-Nov-2000 |
| iShares Canadian Universe Bond Index ETF | 2-Nov-2000 |
| iShares Canadian Value Index ETF | 6-Nov-2006 |
| iShares CDN Dow Jones Canada TopCap Growth Index Fund | 6-Nov-2006 |
| iShares China Index ETF | 22-Jan-2010 |
| iShares Conservative Short Term Strategic Fixed Income ETF | 21-Aug-2015 |
| iShares Conservative Strategic Fixed Income ETF | 21-Aug-2015 |
| iShares Core Canadian Long Term Bond Index ETF | 6-Nov-2006 |
| iShares Core Canadian Short Term Corporate + Maple Bond Index ETF | 1-Sep-2011 |
| iShares Core MSCI All Country World ex Canada Index ETF | 5-Feb-2015 |
| iShares Core MSCI Canadian Quality Dividend Index ETF | 2-Jun-2017 |
| iShares Core MSCI EAFE IMI Index ETF | 10-Apr-2013 |
| iShares Core MSCI EAFE IMI Index ETF (CAD-Hedged) | 5-Feb-2015 |
| iShares Core MSCI Global Quality Dividend Index ETF | 2-Jun-2017 |
| iShares Core MSCI Global Quality Dividend Index ETF (CAD-Hedged) | 2-Jun-2017 |
| iShares Core MSCI US Quality Dividend Index ETF | 2-Jun-2017 |
| iShares Core MSCI US Quality Dividend Index ETF (CAD-Hedged) | 2-Jun-2017 |
| iShares Core S&P 500 Index ETF | 10-Apr-2013 |
| iShares Core S&P 500 Index ETF (Cad-Hedged) | 23-May-2001 |
| iShares Core S&P U.S. Total Market Index ETF | 5-Feb-2015 |
| iShares Core S&P U.S. Total Market Index ETF (CAD-Hedged) | 5-Feb-2015 |
| iShares Core S&P/TSX Composite High Dividend Index ETF | 11-Apr-2011 |
| iShares Core Short Term High Quality Canadian Bond Index ETF | 10-Jul-2014 |
| iShares Diversified Monthly Income ETF | 7-Dec-2005 |
| iShares Edge MSCI Min Vol EAFE Index ETF (CAD-Hedged) | 30-Mar-2016 |
| iShares Edge MSCI Min Vol Global Index ETF | 30-Mar-2016 |
| iShares Edge MSCI Min Vol USA Index ETF (CAD-Hedged) | 30-Mar-2016 |
| iShares Equal Weight Banc & Lifeco ETF | 31-Jan-2008 |
| iShares FactorSelect™ MSCI Canada Index ETF | 21-Sep-2015 |
| iShares FactorSelect™ MSCI EAFE Index ETF | 21-Sep-2015 |
| iShares FactorSelect™ MSCI EAFE Index ETF (CAD-Hedged) | 21-Sep-2015 |
| iShares FactorSelect™ MSCI USA Index ETF | 21-Sep-2015 |
| iShares FactorSelect™ MSCI USA Index ETF (CAD-Hedged) | 21-Sep-2015 |
| iShares Floating Rate Index ETF | 15-Nov-2011 |
| iShares Global Agriculture Index ETF | 4-Dec-2007 |
| iShares Global Healthcare Index ETF (CAD-Hedged) | 11-Apr-2011 |
| iShares Global Infrastructure Index Fund | 18-Jul-2008 |



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| iShares Global Monthly Advantaged Dividend Index Fund | 9-Apr-2007 |
| iShares Global Real Estate Index Fund | 18-Jul-2008 |
| iShares Gold Bullion ETF | 1-Feb-2010 |
| iShares High Quality Canadian Bond Index ETF | 1-May-2009 |
| iShares India Index ETF | 22-Jan-2010 |
| iShares International Fundamental Index ETF | 21-Aug-2006 |
| iShares Jantzi Social Index ETF | 4-May-2007 |
| iShares Japan Fundamental Index Fund (CAD-Hedged) | 21-Aug-2006 |
| iShares JPMorgan USD Emerging Markets Bond Index Fund (CAD-Hedged) | 11-Apr-2011 |
| iShares MSCI Canada Minimum Volatility Index Fund | 16-Jul-2012 |
| iShares MSCI EAFE Index ETF (CAD-Hedged) | 4-Sep-2001 |
| iShares MSCI EAFE Minimum Volatility Index Fund | 16-Jul-2012 |
| iShares MSCI Emerging Markets Index ETF | 15-Jun-2009 |
| iShares MSCI Emerging Markets Minimum Volatility Index Fund | 16-Jul-2012 |
| iShares MSCI Europe IMI Index ETF | 14-Apr-2014 |
| iShares MSCI Europe IMI Index ETF (CAD-Hedged) | 14-Apr-2014 |
| iShares MSCI USA Minimum Volatility Index Fund | 16-Jul-2012 |
| iShares MSCI World Index ETF | 15-Jun-2009 |
| iShares NASDAQ 100 Index ETF (CAD-Hedged) | 11-Apr-2011 |
| iShares Premium Money Market ETF | 4-Dec-2007 |
| iShares S&P Global Consumer Discretionary Index ETF (CAD-Hedged) | 20-Mar-2013 |
| iShares S&P Global Industrials Index ETF (CAD-Hedged) | 20-Mar-2013 |
| iShares S&P Global Water Index Fund | 9-Apr-2007 |
| iShares S&P U.S. Mid-Cap Index ETF | 28-Jul-2015 |
| iShares S&P U.S. Mid-Cap Index ETF (CAD-Hedged) | 28-Jul-2015 |
| iShares S&P/TSX 60 Index ETF | 24-Sep-1999 |
| iShares S&P/TSX Canadian Dividend Aristocrats Index ETF | 21-Aug-2006 |
| iShares S&P/TSX Canadian Preferred Share Index ETF | 9-Apr-2007 |
| iShares S&P/TSX Capped Composite Index ETF | 16-Feb-2001 |
| iShares S&P/TSX Capped Consumer Staples Index ETF | 11-Apr-2011 |
| iShares S&P/TSX Capped Energy Index ETF | 16-Feb-2001 |
| iShares S&P/TSX Capped Financials Index ETF | 16-Feb-2001 |
| iShares S&P/TSX Capped Information Technology Index ETF | 16-Feb-2001 |
| iShares S&P/TSX Capped Materials Index ETF | 7-Dec-2005 |
| iShares S&P/TSX Capped REIT Index ETF | 27-Aug-2002 |
| iShares S&P/TSX Capped Utilities Index ETF | 11-Apr-2011 |
| iShares S&P/TSX Completion Index ETF | 16-Feb-2001 |
| iShares S&P/TSX Global Base Metals Index ETF | 11-Apr-2011 |
| iShares S&P/TSX Global Gold Index ETF | 16-Feb-2001 |
| iShares S&P/TSX North American Preferred Stock Index ETF (CAD-Hedged) | 31-Dec-2010 |
| iShares S&P/TSX SmallCap Index ETF | 4-May-2007 |



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| iShares Short Duration High Income ETF (CAD-Hedged) | 3-Dec-2010 |
| iShares Short Term Strategic Fixed Income ETF | 15-Jan-2015 |
| iShares Silver Bullion Fund | 29-Jun-2009 |
| iShares U.S. High Dividend Equity Index ETF | 5-Feb-2015 |
| iShares U.S. High Dividend Equity Index ETF (CAD-Hedged) | 16-Jul-2012 |
| iShares U.S. High Yield Bond Index ETF (CAD-Hedged) | 22-Jan-2010 |
| iShares U.S. High Yield Fixed Income Index ETF (CAD-Hedged) | 1-May-2009 |
| iShares U.S. IG Corporate Bond Index Fund (CAD-Hedged) | 22-Jan-2010 |
| iShares U.S. Small Cap Index ETF (CAD-Hedged) | 4-May-2007 |
| iShares US Dividend Growers Index ETF (CAD-Hedged) | 16-May-2011 |
| iShares US Fundamental Index Fund | 21-Aug-2006 |
| Itafos | 3-Feb-2012 |
| itemus inc. (CT) | 25-Oct-1995 |
| IVACO INC | 15-Jul-1980 |
| Ivanhoe Energy Inc. | 3-Jun-1997 |
| Ivanhoe Mines Ltd. | 16-Oct-2012 |
| Ivernia Inc. | 1-Apr-2005 |
| IVG Enterprises Ltd. | 30-Sep-1997 |
| IVRnet Inc. | 23-Aug-2000 |
| J.D.S. Investments Limited (CT) | 27-Jul-1987 |
| Jaguar Mining Inc. | 16-Jul-1986 |
| Jaguar Resources Inc. | 11-Apr-2012 |
| James Richardson & Sons, Limited | 4-May-2007 |
| Jamieson Wellness Inc. | 29-Jun-2017 |
| Jarvis Mutual Partnership (CT) | 18-Jun-1986 |
| Jaws Acquisition Corp. | 10-Dec-1999 |
| JAWZ Inc. | 29-Jan-2000 |
| Jayden Resources Inc. | 24-Mar-2010 |
| JFT Strategies Fund | 25-Apr-2012 |
| Jiminex Inc. | 28-Feb-2008 |
| JINHUA CAPITAL CORPORATION | 16-Apr-2010 |
| Joffre Resources Ltd. (CT) | 26-May-1981 |
| John Deere Canada Funding Inc. | 5-Jun-2012 |
| John Hancock Financial Services, Inc. | 26-Nov-2008 |
| Journey Energy Inc. | 13-Jun-2014 |
| June 2020 Corporate Bond Trust | 30-Sep-2016 |
| June 2021 Investment Grade Bond Pool | 24-Feb-2017 |
| Jura Energy Corporation | 16-Mar-1999 |
| Just Energy Group Inc. | 1-Jan-2011 |
| K-Bro Linen Inc. | 1-Jan-2011 |
| Kane Biotech Inc. | 21-Mar-2003 |
| *Kannata Ridge Golf Resort Ltd. (CT) | 15-Oct-1992 |
| Kap Resources Ltd. (CT) | 15-Mar-1995 |
| *Karnalyte Resources Inc. | 7-Dec-2010 |



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|---|-------------|
| Katanga Mining Limited | 25-May-2009 |
| Katapult Technology Corp. | 27-Oct-2017 |
| Keek Inc. | 21-Aug-2007 |
| Kelt Exploration Ltd. | 26-Feb-2013 |
| Kelvin Energy Ltd. (CT) | 15-Nov-1978 |
| Kerr Mines Inc. | 27-Jun-2014 |
| Kestrel Gold Inc. | 27-Aug-2007 |
| Kew Media Group Inc. | 3-Jun-2016 |
| Keyera Corp. | 1-Jan-2011 |
| KHAN RESOURCES INC. | 18-Jul-2006 |
| Khiron Life Sciences Corp. | 6-Sep-2018 |
| Killam Apartment Limited Partnership | 1-Jan-2016 |
| Killam Apartment Real Estate Investment Trust | 1-Jan-2016 |
| Kimpex International Inc. (CT) | 21-Apr-1994 |
| Kinaxis Inc. | 3-Jun-2014 |
| Kinburn Technology Corporation (CT) | 15-May-1987 |
| Kinder Morgan Canada Limited | 25-May-2017 |
| *Kindersley Inn Venture Fund Inc.(CT) | 2-Dec-1987 |
| King's Bay Gold Corporation | 5-Apr-2002 |
| *Kingsland Energy Corp. | 21-Dec-1994 |
| Kingsway 2007 General Partnership | 4-Jul-2007 |
| Kingsway Financial Services Inc. | 11-Dec-1995 |
| Kinross Gold Corporation | 30-Mar-1992 |
| *Kipp & Zonen Inc. (CT) | 21-Mar-1990 |
| Kirkland Lake Gold Ltd. | 11-Dec-2009 |
| Klondike Fever (CT) | 14-Nov-1979 |
| kneat.com, inc. | 5-Mar-2014 |
| Knight Therapeutics Inc. | 28-Feb-2014 |
| KP Tissue Inc. | 6-Dec-2012 |
| La Fosse Platinum Group Inc. (CT) | 4-Aug-1987 |
| LAB Research Inc. | 24-Jul-2006 |
| Labrador Iron Mines Holdings Limited | 26-Nov-2007 |
| Labrador Iron Ore Royalty Corporation | 1-Jul-2010 |
| Lafarge Canada Inc. | 7-Mar-1980 |
| Lago Dourado Minerals Ltd. | 8-Nov-2010 |
| Lake Louise Limited Partnership | 17-Oct-1988 |
| Lakeview Hotel Investment Corp. | 31-Dec-2012 |
| Lakewood Forest Products Ltd. (CT) | 30-Nov-1984 |
| Landmark Global Financial Corporation | 31-Jan-1992 |
| Lanesborough Real Estate Investment Trust | 27-Aug-2002 |
| Laramide Resources Ltd. | 17-Jun-2008 |
| Lattice Biologics Ltd. | 22-Feb-1996 |
| Launch Resources Inc. | 8-Mar-1979 |
| Laurentian Bank Of Canada | 31-Jan-1983 |
| Lava Systems Inc. (CT) | 30-Oct-1996 |



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|---|-------------|
| Lawrence Park Strategic Income Fund | 24-Jun-2013 |
| Lazard Emerging Markets Multi-Asset Fund | 25-Feb-2013 |
| Lazard Global Balanced Income Fund | 4-Nov-2014 |
| Lazard Global Equity Income Fund | 25-Feb-2013 |
| Lazard Global Managed Volatility Fund | 9-Sep-2015 |
| LDIC North American Energy Infrastructure Fund | 19-Apr-2013 |
| LDIC North American Small Business Fund (Corporate Class) | 26-May-2015 |
| Le Chateau Inc. | 13-Dec-1983 |
| Leader Energy Services Ltd. | 12-Nov-2003 |
| LEAGOLD MINING CORPORATION | 1-Mar-2017 |
| Leed NT Corp. (CT) | 28-Aug-1996 |
| Legacy Education Savings Plan (formerly, Global Educational Trust Plan) | 19-Oct-1998 |
| Legacy Education Savings Plan and Advanced Education Savings Plan | 1-Feb-2016 |
| Legend Power Systems Inc. | 3-Apr-2018 |
| Lehman Trikes Inc. | 30-Nov-2001 |
| Lehndorff Canadian Properties (CT) | 10-May-1979 |
| Leith Wheeler Balanced Fund | 28-May-1998 |
| Leith Wheeler Canadian Dividend Fund | 23-Dec-2010 |
| Leith Wheeler Canadian Equity Fund | 28-May-1998 |
| Leith Wheeler Carbon Constrained Canadian Equity Fund | 25-May-2018 |
| Leith Wheeler Core Bond Fund | 28-May-1998 |
| Leith Wheeler Corporate Advantage Fund | 29-May-2014 |
| Leith Wheeler Emerging Markets Equity Fund | 29-May-2017 |
| Leith Wheeler High Yield Bond Fund | 28-May-2015 |
| Leith Wheeler International Equity Plus Fund | 31-Oct-2007 |
| Leith Wheeler Money Market Fund | 28-May-1998 |
| Leith Wheeler Multi Credit Fund | 29-May-2017 |
| Leith Wheeler Preferred Share Fund | 25-May-2018 |
| Leith Wheeler Short Term Income Fund | 25-May-2018 |
| Leith Wheeler U.S. Dividend Fund | 26-Sep-2016 |
| Leith Wheeler U.S. Equity Fund | 28-May-1998 |
| Leith Wheeler U.S. Small/Mid-Cap Equity Fund | 31-Oct-2016 |
| Leo Acquisitions Corp. | 9-Nov-2010 |
| LeoNovus Inc. | 5-Dec-2017 |
| Leucrotta Exploration Inc. | 6-Aug-2014 |
| Levon Resources Ltd. | 9-Jul-2015 |
| Levtech Medical Technologies Ltd. (CT) | 11-Jul-1986 |
| Lexagene Holdings Inc. (formerly, Wolfeye Resource Corp.) | 12-Dec-2017 |
| LGC Skyrota Wind Energy Corp. | 2-Jun-2009 |
| LGX Oil & Gas Inc. | 15-Sep-2010 |
| Liberty Gold Corp. | 6-Apr-2011 |
| Life & Banc Split Corp. | 29-Sep-2006 |
| LifePoints Balanced | 4-May-2007 |



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|---|-------------|
| LifePoints Balanced Class | 28-Oct-2008 |
| LifePoints Balanced Growth | 10-Aug-2000 |
| LifePoints Balanced Growth Class | 28-Oct-2008 |
| LifePoints Long-Term Growth | 10-Aug-2000 |
| LifePoints Long-Term Growth Class | 28-Oct-2008 |
| *Lightcollar Inc.(CT) | 7-Sep-2012 |
| Lightstream Resources Ltd. | 1-Oct-2009 |
| Linamar Corporation | 20-Jan-1986 |
| Lincluden Balanced Fund | 18-Apr-2007 |
| Lincoln's Hill 31 L.P. (CT) | 7-Dec-1982 |
| Lions Bay Capital Inc. | 26-Aug-2010 |
| Lions Petroleum Inc. | 27-Jun-2001 |
| Livent Inc. (CT) | 11-May-1993 |
| LiveWell Canada Inc. | 30-Jun-2015 |
| Loblaw Companies Limited | 23-Apr-1981 |
| Loewen Group Inc. (T) | 29-Apr-1987 |
| Logistec Corporation | 11-Jun-1986 |
| Loh's (CT) Sinfully Good Ice Cream & Cookies Inc. | 17-Sep-1986 |
| Loncor Resources Inc. | 3-Oct-2012 |
| Long Duration Bond Fund | 2-Jun-2003 |
| Long Duration Credit Bond Fund | 3-Apr-2013 |
| Lonsdale Apartment Project | 8-Dec-1980 |
| Loomis Sayles Global Diversified Corporate Bond Class | 13-Jun-2017 |
| Loomis Sayles Global Diversified Corporate Bond Fund | 13-Jun-2017 |
| Loomis Sayles Strategic Monthly Income Fund | 17-Sep-2015 |
| LOOPShare LTD. | 25-Mar-2010 |
| Low Volatility U.S. Equity Income Fund | 27-Feb-2013 |
| LSC Communications, Inc. | 20-Sep-2016 |
| Lucky Minerals Inc. | 22-Nov-2017 |
| Lululemon Athletica Inc. | 26-Jul-2007 |
| Lundin Gold Inc. | 12-Jul-2016 |
| Lundin Mining Corporation | 20-Apr-2009 |
| Lunetterie New Look Inc. | 1-May-2005 |
| Lupaka Gold Corp. | 15-Jun-2011 |
| LWP Capital Inc. | 30-Jun-2011 |
| LXRandCO, Inc. | 25-Sep-2015 |
| Lydian International Limited | 7-Apr-2010 |
| Lynngold Resources Inc. (CT) | 31-Aug-1985 |
| Lysander TDV Fund | 23-Nov-2015 |
| Lysander-18 Asset Management Canadian Equity Fund | 30-Dec-2014 |
| Lysander-Canso Balanced Fund | 23-Dec-2011 |
| Lysander-Canso Bond Fund | 23-Dec-2011 |
| Lysander-Canso Broad Corporate Bond Fund | 30-Dec-2016 |
| Lysander-Canso Corporate Value Bond Fund | 23-Dec-2011 |
| Lysander-Canso Equity Fund | 18-Sep-2013 |



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|---|-------------|
| Lysander-Canso Short Term and Floating Rate Fund | 18-Sep-2013 |
| Lysander-Canso U.S. Credit Fund | 30-Dec-2014 |
| Lysander-Crusader Equity Income Fund | 30-Dec-2014 |
| Lysander-Fulcra Corporate Securities Fund | 30-Dec-2016 |
| Lysander-Roundtable Low Volatility Equity Fund | 31-Dec-2015 |
| Lysander-Seamark Balanced Fund | 30-Dec-2014 |
| Lysander-Seamark Total Equity Fund | 30-Dec-2014 |
| Lysander-Slater Preferred Share ActivETF | 30-Jul-2015 |
| Lysander-Slater Preferred Share Dividend Fund | 20-Jan-2015 |
| Lysander-Triasima All Country Equity Fund | 31-Dec-2015 |
| Lysander-Triasima Balanced Income Fund | 31-Dec-2015 |
| M Split Corp. | 29-Mar-2007 |
| M8 Entertainment Inc | 22-Dec-1997 |
| Maccabi Ventures Inc. | 23-Jul-2015 |
| MacDonald Mines Exploration Ltd. | 22-Dec-1997 |
| Mackenzie All China Equity Fund | 4-Oct-2017 |
| Mackenzie Balanced ETF Portfolio | 16-Jan-2018 |
| Mackenzie Canadian Aggregate Bond Index ETF | 10-Jan-2018 |
| Mackenzie Canadian All Cap Dividend Class | 6-Oct-2011 |
| Mackenzie Canadian All Cap Dividend Fund | 12-Nov-1998 |
| Mackenzie Canadian All Cap Value Fund | 12-Nov-1998 |
| Mackenzie Canadian All Corporate Bond Index ETF | 10-Jan-2018 |
| Mackenzie Canadian Balanced Fund | 25-Jan-1988 |
| Mackenzie Canadian Bond Fund | 18-Jan-1989 |
| Mackenzie Canadian Equity Index ETF | 10-Jan-2018 |
| Mackenzie Canadian Growth Balanced Class | 5-Oct-2016 |
| Mackenzie Canadian Growth Balanced Fund | 16-Nov-1996 |
| Mackenzie Canadian Growth Class | 10-Aug-2016 |
| Mackenzie Canadian Growth Fund | 29-Jan-1998 |
| Mackenzie Canadian Large Cap Dividend & Growth Fund | 29-Jan-1998 |
| Mackenzie Canadian Large Cap Dividend Class | 13-Nov-2003 |
| Mackenzie Canadian Large Cap Dividend Fund | 21-Oct-1986 |
| Mackenzie Canadian Large Cap Equity Index ETF | 10-Jan-2018 |
| Mackenzie Canadian Resource Fund | 16-Jan-1978 |
| Mackenzie Canadian Short Term Fixed Income ETF | 9-Nov-2017 |
| Mackenzie Canadian Short Term Income Fund | 17-Jan-1994 |
| Mackenzie Canadian Short-Term Bond Index ETF | 10-Jan-2018 |
| Mackenzie Canadian Short-Term Yield Class | 26-Oct-2000 |
| Mackenzie Canadian Small Cap Class | 14-Jul-2010 |
| Mackenzie Canadian Small Cap Value Fund | 12-Nov-1998 |
| Mackenzie China A-Shares CSI 300 Index ETF | 10-Jan-2019 |
| Mackenzie Conservative ETF Portfolio | 16-Jan-2018 |
| Mackenzie Conservative Income ETF Portfolio | 16-Jan-2018 |
| Mackenzie Core Plus Canadian Fixed Income ETF | 4-Apr-2016 |
| Mackenzie Core Plus Global Fixed Income ETF | 4-Apr-2016 |



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|---|-------------|
| Mackenzie Corporate Bond Fund | 4-Jan-2001 |
| Mackenzie Cundill Canadian Balanced Fund | 29-Sep-1998 |
| Mackenzie Cundill Canadian Security Class | 13-Nov-2003 |
| Mackenzie Cundill Canadian Security Fund | 8-May-1987 |
| Mackenzie Cundill International Fund | 14-Feb-2017 |
| Mackenzie Cundill Recovery Class | 19-Dec-2011 |
| Mackenzie Cundill Recovery Fund | 29-Sep-1998 |
| Mackenzie Cundill US Class | 21-May-2003 |
| Mackenzie Cundill Value Class | 26-Oct-2000 |
| Mackenzie Cundill Value Fund | 30-Apr-1984 |
| Mackenzie Emerging Markets Fund | 9-Mar-2018 |
| Mackenzie Emerging Markets Large Cap Fund | 9-Mar-2018 |
| Mackenzie Emerging Markets Small Cap Fund | 9-Mar-2018 |
| Mackenzie Floating Rate Income ETF | 4-Apr-2016 |
| Mackenzie Floating Rate Income Fund | 1-May-2013 |
| Mackenzie Global Concentrated Equity Fund | 16-Sep-1998 |
| Mackenzie Global Dividend Fund | 27-Jun-2007 |
| Mackenzie Global Equity Income Fund | 26-Nov-2014 |
| Mackenzie Global Growth Class | 28-Dec-2000 |
| Mackenzie Global High Yield Bond Fund | 18-Apr-2017 |
| Mackenzie Global High Yield Fixed Income ETF | 4-Apr-2017 |
| Mackenzie Global Inflation-Linked Fund | 14-Feb-2017 |
| Mackenzie Global Leadership Impact ETF | 4-Oct-2017 |
| Mackenzie Global Resource Class | 26-Oct-2000 |
| Mackenzie Global Small Cap Fund | 6-Nov-1973 |
| Mackenzie Global SRI Balanced Fund | 4-Oct-2017 |
| Mackenzie Global Strategic Income Fund | 30-Nov-2005 |
| Mackenzie Global Tactical Bond Fund | 10-Apr-2014 |
| Mackenzie Global Tactical Investment Grade Bond Fund | 6-May-2015 |
| Mackenzie Gold Bullion Class | 22-Dec-2009 |
| Mackenzie Growth ETF Portfolio | 16-Jan-2018 |
| Mackenzie Growth Fund | 9-Feb-1976 |
| Mackenzie High Diversification Canadian Equity Class | 14-Jun-2016 |
| Mackenzie High Diversification Emerging Markets Equity Fund | 14-Jun-2016 |
| Mackenzie High Diversification European Equity Fund | 14-Jun-2016 |
| Mackenzie High Diversification Global Equity Fund | 14-Jun-2016 |
| Mackenzie High Diversification International Equity Fund | 14-Jun-2016 |
| Mackenzie High Diversification US Equity Fund | 14-Jun-2016 |
| Mackenzie International Divided Fund | 14-Feb-2017 |
| Mackenzie International Equity Index ETF | 10-Jan-2018 |
| Mackenzie International Equity Index ETF (CAD-Hedged) | 10-Jan-2018 |
| Mackenzie International Growth Fund | 24-Sep-1985 |
| Mackenzie Investment Grade Floating Rate Fund | 10-Apr-2014 |
| Mackenzie Ivy Canadian Balanced Class | 10-Aug-2016 |



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| Mackenzie Ivy Canadian Balanced Fund | 22-Oct-1992 |
| Mackenzie Ivy Canadian Fund | 22-Oct-1992 |
| Mackenzie Ivy Enterprise Class | 26-Oct-2000 |
| Mackenzie Ivy European Class | 1-Nov-2002 |
| Mackenzie Ivy Foreign Equity Class | 4-Oct-2012 |
| Mackenzie Ivy Foreign Equity Currency Neutral Class | 4-Oct-2012 |
| Mackenzie Ivy Foreign Equity Fund | 22-Oct-1992 |
| Mackenzie Ivy Global Balanced Class | 10-Aug-2016 |
| Mackenzie Ivy Global Balanced Fund | 22-Nov-1993 |
| Mackenzie Ivy Global Equity ETF | 9-Nov-2017 |
| Mackenzie Master Limited Partnership | 18-Apr-1995 |
| Mackenzie Maximum Diversification All World Developed ex North America Index ETF | 6-Jun-2016 |
| Mackenzie Maximum Diversification All World Developed Index ETF | 6-Jun-2016 |
| Mackenzie Maximum Diversification Canada Index ETF | 6-Jun-2016 |
| Mackenzie Maximum Diversification Developed Europe Index ETF | 6-Jun-2016 |
| Mackenzie Maximum Diversification Emerging Markets Index ETF | 6-Jun-2016 |
| Mackenzie Maximum Diversification US Index ETF | 6-Jun-2016 |
| Mackenzie Moderate Growth ETF Portfolio | 16-Jan-2018 |
| Mackenzie Monthly Income Balanced Portfolio | 26-Nov-2014 |
| Mackenzie Monthly Income Conservative Portfolio | 26-Nov-2014 |
| Mackenzie Multi-Strategy Absolute Return Fund | 30-Apr-2018 |
| Mackenzie Portfolio Completion ETF | 9-Nov-2017 |
| Mackenzie Precious Metals Class | 26-Oct-2000 |
| Mackenzie Private Canadian Focused Equity Pool | 23-Nov-2015 |
| Mackenzie Private Canadian Focused Equity Pool Class | 23-Nov-2015 |
| Mackenzie Private Global Conservative Income Balanced Pool | 23-Nov-2015 |
| Mackenzie Private Global Equity Pool | 23-Nov-2015 |
| Mackenzie Private Global Equity Pool Class | 23-Nov-2015 |
| Mackenzie Private Global Fixed Income Pool | 23-Nov-2015 |
| Mackenzie Private Global Income Balanced Pool | 23-Nov-2015 |
| Mackenzie Private Income Balanced Pool | 23-Nov-2015 |
| Mackenzie Private Income Balanced Pool Class | 23-Nov-2015 |
| Mackenzie Private US Equity Pool | 23-Nov-2015 |
| Mackenzie Private US Equity Pool Class | 23-Nov-2015 |
| Mackenzie Saxon Stock Class | 14-Jul-2010 |
| Mackenzie Sentinel Income Fund | 29-Oct-1975 |
| Mackenzie Sentinel Money Market Fund | 11-Jan-1991 |
| Mackenzie Sentinel Strategic Income Class | 3-Nov-2009 |
| Mackenzie Sovereign Bond Fund | 26-Nov-2014 |
| Mackenzie Strategic Bond Fund | 1-May-2013 |
| Mackenzie Unconstrained Bond ETF | 4-Apr-2016 |



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| Mackenzie Unconstrained Fixed Income Fund | 6-Oct-2014 |
| Mackenzie Universal Emerging Markets Class | 26-Oct-2000 |
| Mackenzie Universal International Stock Capital Class | 26-Oct-2000 |
| Mackenzie Universal North American Growth Class | 26-Oct-2000 |
| Mackenzie US All Cap Growth Fund | 12-Jan-1995 |
| Mackenzie US Dividend Fund | 10-Apr-2014 |
| Mackenzie US Dividend Registered Fund | 10-Apr-2015 |
| Mackenzie US High Yield Bond Index ETF (CAD-Hedged) | 10-Jan-2018 |
| Mackenzie US Investment Grade Corporate Bond Index ETF (CAD-Hedged) | 10-Jan-2018 |
| Mackenzie US Large Cap Equity Index ETF | 10-Jan-2018 |
| Mackenzie US Large Cap Equity Index ETF (CAD Hedged) | 10-Jan-2018 |
| Mackenzie US Mid Cap Growth Class | 4-Oct-2012 |
| Mackenzie US Mid Cap Growth Currency Neutral Class | 4-Oct-2017 |
| Mackenzie US Small Cap Fund | 31-Mar-2018 |
| Mackenzie US Strategic Income Fund | 18-Apr-2017 |
| Mackenzie US TIPS Index ETF (CAD-Hedged) | 10-Jan-2018 |
| Mackenzie USD Global Strategic Income Fund | 6-May-2015 |
| Mackenzie USD Global Tactical Bond Fund | 6-May-2015 |
| Mackenzie USD Ultra Short Duration Income Fund | 6-May-2016 |
| Madalena Energy Inc. | 2-Nov-2010 |
| Madison Pacific Properties Inc. | 9-Dec-1985 |
| Maestro Balanced Portfolio | 10-Jul-2015 |
| Maestro Balanced Portfolio Class | 10-Jul-2015 |
| Maestro Growth Focused Portfolio | 10-Jul-2015 |
| Maestro Growth Focused Portfolio Class | 10-Jul-2015 |
| Maestro Income Balanced Portfolio | 10-Jul-2015 |
| Maestro Income Balanced Portfolio Class | 10-Jul-2015 |
| MAG Silver Corp. | 10-May-2010 |
| Magellan Aerospace Corporation | 9-Apr-1987 |
| Magellan Real Estate Investment Fund Limited Partnership (T) | 4-Dec-1995 |
| Magic Show (CT) | 13-Nov-1980 |
| MagIndustries Corp. | 11-Jun-2009 |
| Magna International Inc. | 15-Dec-1983 |
| Magnum Energy Inc. | 4-May-2011 |
| Magnum Hunter Resources Corporation | 3-May-2011 |
| Magor Corporation | 27-Feb-2013 |
| Mainstream Minerals Corporation | 1-Jun-2007 |
| Major Drilling Group International Inc. | 27-Feb-1995 |
| Mammoth Resources Corp. | 4-Mar-2011 |
| Mandalay Resources Corporation | 29-Jul-2010 |
| Manfrey Capital Corporation (CT) | 4-May-1973 |
| Manitok Energy Inc. | 28-Nov-2011 |
| Manitou Gold Inc. | 19-Feb-2010 |
| *Manitou Springs Venture Capital Corporation | 29-Nov-1989 |



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| Mann Oil Resources Inc. (CT) | 10-May-1983 |
| Manulife Asia Equity Class | 23-Aug-2011 |
| Manulife Balanced Equity Private Pool | 14-Dec-2012 |
| Manulife Balanced Income Private Trust | 10-Jun-2013 |
| Manulife Balanced Portfolio | 1-May-2017 |
| Manulife Bond Fund | 7-Dec-1999 |
| Manulife Canadian Balanced Fund | 19-Aug-2010 |
| Manulife Canadian Bond Fund | 8-Jul-2008 |
| Manulife Canadian Dividend Growth Class | 30-Dec-2009 |
| Manulife Canadian Dividend Growth Fund | 8-Nov-1994 |
| Manulife Canadian Growth and Income Private Trust | 5-Aug-2015 |
| Manulife Canadian Investment Class | 8-Jul-2008 |
| Manulife Canadian Investment Fund | 24-May-2011 |
| Manulife Canadian Unconstrained Bond Fund | 27-Apr-2007 |
| Manulife Canadian Universe Bond Fund | 25-Aug-2004 |
| Manulife China Class | 24-Aug-2004 |
| Manulife Conservative Portfolio | 2-Jun-2017 |
| Manulife Corporate Bond Fund | 28-Aug-2003 |
| Manulife Corporate Fixed Income Private Trust | 14-Dec-2012 |
| Manulife Diversified Alpha Portfolio | 2-Aug-2017 |
| Manulife Diversified Investment Fund | 8-Jul-2008 |
| Manulife Dividend Income Class | 23-Mar-2012 |
| Manulife Dividend Income Fund | 23-Mar-2012 |
| Manulife Dividend Income Plus Class | 31-Mar-2001 |
| Manulife Dividend Income Plus Fund | 23-Aug-2000 |
| Manulife Dollar-Cost Averaging Fund | 23-Jan-2009 |
| Manulife Emerging Markets Fund | 21-May-2014 |
| Manulife Finance (Delaware), L.P. | 8-Dec-2006 |
| Manulife Financial Capital Trust II | 6-Jul-2009 |
| Manulife Financial Corporation | 24-Sep-1999 |
| Manulife Floating Rate Income Fund | 19-Aug-2010 |
| Manulife Floating Rate Senior Loan Fund | 26-Apr-2013 |
| Manulife Fundamental Balanced Class | 23-Mar-2012 |
| Manulife Fundamental Dividend Class | 12-Jul-2013 |
| Manulife Fundamental Dividend Fund | 27-Jun-2007 |
| Manulife Fundamental Equity Class | 24-May-2011 |
| Manulife Fundamental Equity Fund | 28-Aug-2003 |
| Manulife Fundamental Income Class | 30-Dec-2009 |
| Manulife Fundamental Income Fund | 3-Jul-2002 |
| Manulife Global Balanced Fund | 2-Aug-2013 |
| Manulife Global Balanced Private Trust | 11-Oct-2013 |
| Manulife Global Dividend Growth Class | 30-Dec-2009 |
| Manulife Global Dividend Growth Fund | 30-Jun-2004 |
| Manulife Global Dividend Income Fund | 1-Jan-2007 |
| Manulife Global Equity Class | 20-Aug-2009 |



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| Manulife Global Equity Unconstrained Class | 30-Dec-2009 |
| Manulife Global Equity Unconstrained Fund | 4-Jan-2001 |
| Manulife Global Fixed Income Private Trust | 14-Dec-2012 |
| Manulife Global Infrastructure Class | 3-Apr-2008 |
| Manulife Global Infrastructure Fund | 24-Apr-2008 |
| Manulife Global Managed Volatility Portfolio | 2-Aug-2013 |
| Manulife Global Small Cap Balanced Fund | 23-Aug-2011 |
| Manulife Global Small Cap Fund | 8-Jul-2008 |
| Manulife Global Strategic Balanced Yield Fund | 1-Aug-2014 |
| Manulife Global Unconstrained Bond Fund | 2-Jul-2013 |
| Manulife Growth Opportunities Class | 29-Oct-2002 |
| Manulife Growth Opportunities Fund | 13-Nov-1998 |
| Manulife Growth Portfolio | 1-May-2017 |
| Manulife Income Fund 2022 | 2-Aug-2017 |
| Manulife Income Fund 2027 | 2-Aug-2017 |
| Manulife Income Fund 2032 | 2-Aug-2017 |
| Manulife Income Fund 2037 | 2-Aug-2017 |
| Manulife Income Fund 2042 | 2-Aug-2017 |
| Manulife Income Fund 2047 | 2-Aug-2017 |
| Manulife Income Fund 2052 | 2-Aug-2017 |
| Manulife Income Fund 2057 | 2-Aug-2017 |
| Manulife Income Fund 2062 | 2-Aug-2017 |
| Manulife Income Fund 2067 | 2-Aug-2017 |
| Manulife International Equity Private Trust | 4-Aug-2016 |
| Manulife International Focused Fund | 24-Jun-1993 |
| Manulife International Value Equity Fund | 23-Aug-2011 |
| Manulife Moderate Portfolio | 1-May-2017 |
| Manulife Monthly High Income Class | 21-Mar-2001 |
| Manulife Monthly High Income Fund | 6-Oct-1997 |
| Manulife Multifactor Canadian Large Cap Index ETF | 24-Mar-2017 |
| Manulife Multifactor Canadian SMID Cap Index ETF | 6-Nov-2017 |
| Manulife Multifactor Developed International Index ETF | 24-Mar-2017 |
| Manulife Multifactor Emerging Markets Index ETF | 21-Aug-2018 |
| Manulife Multifactor U.S. Large Cap Index ETF | 24-Mar-2017 |
| Manulife Multifactor U.S. Mid Cap Index ETF | 24-Mar-2017 |
| Manulife Multifactor U.S. Small Cap Index ETF | 6-Nov-2017 |
| Manulife Quantitative Fixed Income Fund 2022 | 2-Aug-2017 |
| Manulife Quantitative Fixed Income Fund 2027 | 2-Aug-2017 |
| Manulife Quantitative Fixed Income Fund 2032 | 2-Aug-2017 |
| Manulife Quantitative Fixed Income Fund 2037 | 2-Aug-2017 |
| Manulife Quantitative Fixed Income Fund 2042 | 2-Aug-2017 |
| Manulife Short Term Yield Class | 29-Oct-2002 |
| Manulife Simplicity Balanced Portfolio | 31-Dec-2001 |
| Manulife Simplicity Conservative Portfolio | 27-Apr-2005 |
| Manulife Simplicity Global Balanced Portfolio | 25-Aug-2006 |



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| Manulife Simplicity Growth Portfolio | 24-Aug-2001 |
| Manulife Simplicity Moderate Portfolio | 27-Apr-2005 |
| Manulife Strategic Balanced Yield Fund | 23-Mar-2012 |
| Manulife Strategic Dividend Bundle | 5-Aug-2015 |
| Manulife Strategic Income Fund | 1-Dec-2005 |
| Manulife Strategic Investment Grade Global Bond Fund | 16-Mar-2015 |
| Manulife Tactical Income Fund | 27-Jun-2007 |
| Manulife Tax-Managed Growth Fund | 24-Aug-2001 |
| Manulife U.S. All Cap Equity Class | 23-Aug-2011 |
| Manulife U.S. All Cap Equity Fund | 23-Aug-2011 |
| Manulife U.S. Balanced Private Trust | 10-Jun-2013 |
| Manulife U.S. Balanced Value Private Trust | 16-Mar-2015 |
| Manulife U.S. Dividend Class | 24-Dec-2013 |
| Manulife U.S. Dollar Strategic Income Fund | 16-Mar-2015 |
| Manulife U.S. Equity Fund | 8-Jul-2008 |
| Manulife U.S. Monthly High Income Fund | 18-Dec-2013 |
| Manulife U.S. Opportunities Class | 21-Mar-2001 |
| Manulife U.S. Opportunities Fund | 8-Nov-1999 |
| Manulife U.S. Regional Bank Trust | 26-Jun-2014 |
| Manulife Value Balanced Class | 23-Aug-2011 |
| Manulife Value Balanced Fund | 23-Aug-2011 |
| Manulife Value Fund | 25-Jun-1992 |
| Manulife World Investment Class | 25-Aug-2006 |
| Manulife World Investment Fund | 24-May-2011 |
| Manulife Yield Opportunities Fund | 29-Dec-2009 |
| Maple Gold Mines Ltd. | 29-Jul-2011 |
| Maple Leaf 2013 Oil & Gas Income Limited Partnership | 24-Oct-2013 |
| Maple Leaf Foods Inc. | 23-Sep-1991 |
| Maple Leaf Income Class | 18-Mar-2011 |
| Maple Leaf Resource Class | 18-Mar-2011 |
| Maple Leaf Short Duration 2017-II Flow-Through Limited Partnership - National Class | 28-Sep-2017 |
| Maple Leaf Short Duration 2017-II Flow-Through Limited Partnership - Quebec Class | 28-Sep-2017 |
| Maple Leaf Short Duration 2018 Flow-Through Limited Partnership - National Class | 30-Jan-2018 |
| Maple Leaf Short Duration 2018 Flow-Through Limited Partnership - Quebec Class | 30-Jan-2018 |
| Maplewood International Real Estate Investment Trust | 16-Sep-2013 |
| Marathon Gold Corporation | 30-Nov-2010 |
| Marathon Oil Corporation | 17-Oct-2007 |
| Margaux Resources Ltd. | 13-Dec-2011 |
| Maricann Group Inc. | 29-Mar-2018 |
| Marijuana Opportunities Fund | 17-May-2017 |
| Marquee Energy Ltd. (formerly, Alberta Oil Sands Inc.) | 22-Mar-2004 |



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|--|-------------|
| Marquest American Dividend Growth Fund | 23-Jun-2014 |
| Marquest American Dividend Growth Fund (Corporate Class) | 29-Jul-2010 |
| Marquest Canadian Bond Fund | 4-Jun-1998 |
| Marquest Canadian Fixed Income Fund | 2-Dec-2014 |
| Marquest Canadian Resource Fund | 28-Jun-2002 |
| Marquest Canadian Resource Fund (Corporate Class) | 25-Jun-2004 |
| Marquest Covered Call Canadian Banks Plus Fund | 23-Jul-2014 |
| Marquest Covered Call Canadian Banks Plus Fund (Corporate Class) | 14-Nov-2011 |
| Marquest Global Balanced Fund | 8-Sep-2006 |
| Marquest Money Market Fund | 17-Aug-1987 |
| Marquest Monthly Pay Fund | 27-Jun-2003 |
| Marquest Monthly Pay Fund (Corporate Class) | 7-Jul-2011 |
| Marquest Mutual Funds Inc. - Energy Series Fund | 27-Nov-2007 |
| Marquest Mutual Funds Inc. - Explorer Series Fund | 9-May-2007 |
| Marquest Mutual Funds Inc. - Flex Dividend and Income Growth Series Fund | 21-Oct-2008 |
| Marquest Short Term Income Fund (Corporate Class) | 25-Jun-2004 |
| Marquest Small Companies Fund | 28-Sep-2004 |
| Marquis Balanced Class Portfolio | 23-Mar-2012 |
| Marquis Balanced Growth Class Portfolio | 22-Jun-2012 |
| Marquis Balanced Growth Portfolio | 23-Feb-2004 |
| Marquis Balanced Income Portfolio | 13-Jul-2004 |
| Marquis Balanced Portfolio | 20-Dec-2002 |
| Marquis Equity Portfolio | 20-Feb-2004 |
| Marquis Growth Portfolio | 11-Oct-2002 |
| Marquis Institutional Balanced Growth Portfolio | 12-Aug-2003 |
| Marquis Institutional Balanced Portfolio | 12-Aug-2003 |
| Marquis Institutional Bond Portfolio | 16-Feb-2000 |
| Marquis Institutional Canadian Equity Portfolio | 21-Jan-1999 |
| Marquis Institutional Equity Portfolio | 12-Aug-2003 |
| Marquis Institutional Global Equity Portfolio | 21-Jan-1999 |
| Marquis Institutional Growth Portfolio | 13-Jul-2004 |
| Marquis Resources Corp. (CT) | 7-Nov-1983 |
| Marret High Yield Bond Fund | 14-Feb-2014 |
| Marret High Yield Strategies Fund | 28-May-2009 |
| Marret Multi-Strategy Income Fund | 28-Feb-2011 |
| Marret Resource Corp. | 1-Aug-2008 |
| Marret Short Duration High Yield Fund | 14-Feb-2014 |
| Martello Court L.P. (CT) | 19-Oct-1987 |
| Mason Resources Corp. | 9-May-2017 |
| Master Credit Card Trust II | 2-May-2013 |
| *Master Investments Ltd. (CT) | 26-Oct-1989 |
| *Master Manufacturing Inc.(CT) | 29-May-1990 |
| Master Resources & Development Ltd.(CT) | 15-Apr-1985 |



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| Matco Balanced Fund | 17-Dec-2008 |
| Matco Canadian Equity Income Fund | 17-Dec-2008 |
| Matco Fixed Income Fund | 23-Jun-2017 |
| Matco Global Equity Fund | 23-Jun-2017 |
| Matco Small Cap Fund | 17-Dec-2008 |
| Matrix 2013 Short Duration National Class | 17-Apr-2013 |
| Matrix 2013 Short Duration Québec Class | 17-Apr-2013 |
| Matrix Asset Management Inc. | 15-Jan-2010 |
| Matrix Energy Technologies Inc. | 5-Oct-2009 |
| Matt And Jenny (CT) | 25-Sep-1979 |
| Maudore Minerals Ltd | 6-Dec-2013 |
| MAV Beauty Brands Inc. | 28-Jun-2018 |
| *Maverick Minerals Corp.(CT) | 7-Sep-2012 |
| Maverix Metals Inc. | 6-Sep-2017 |
| Mawer Balanced Fund | 12-Jun-1991 |
| Mawer Canadian Bond Fund | 12-Jun-1991 |
| Mawer Canadian Money Market Fund | 12-Jun-1991 |
| Mawer Emerging Markets Equity Fund | 27-May-2016 |
| Mawer Global Balanced Fund | 2-Jul-2013 |
| Mawer Global Bond Fund | 1-Jun-2015 |
| Mawer Global Equity Fund | 12-Aug-2009 |
| Mawer Global Small Cap Fund | 10-Aug-2007 |
| Mawer International Equity Fund | 12-Jun-1991 |
| Mawer New Canada Fund | 12-Jun-1991 |
| Mawer Tax Effective Balanced Fund | 12-Jun-1991 |
| Maxar Technologies Ltd. | 4-Jul-2000 |
| Maxwell Communications Finance Canada Limited (CT) | 24-Oct-1988 |
| MBN Corporation | 4-Jun-2007 |
| MCAN Mortgage Corporation | 11-Oct-1991 |
| McCoy Global Inc. | 29-Oct-1997 |
| McEwen Mining Inc. | 4-Aug-2006 |
| McLean Futures Fund II (CT) | 27-Jul-1979 |
| MCP Exchangeco Inc. | 12-Jun-2012 |
| MD American Growth Fund | 14-Sep-1992 |
| MD American Value Fund | 24-Aug-2000 |
| MD Bond Fund | 22-Jun-1988 |
| MD Dividend Growth Fund | 19-Jan-2007 |
| MD Equity Fund | 3-Oct-1983 |
| MD Fossil Fuel Free Bond Fund | 27-May-2016 |
| MD Fossil Fuel Free Equity Fund | 27-May-2016 |
| MD Growth Investments Limited | 1-Jun-1972 |
| MD International Growth Fund | 24-Aug-2000 |
| MD International Value Fund | 28-Jan-2004 |
| MD Money Fund | 30-Sep-1983 |
| MD Precision Balanced Growth Portfolio | 11-Jan-2010 |



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|---|-------------|
| MD Precision Balanced Income Portfolio | 19-Apr-2012 |
| MD Precision Canadian Balanced Growth Fund | 14-Sep-1992 |
| MD Precision Conservative Portfolio | 11-Jan-2010 |
| MD Precision Maximum Growth Portfolio | 11-Jan-2010 |
| MD Precision Moderate Balanced Portfolio | 11-Jan-2010 |
| MD Precision Moderate Growth Portfolio | 19-Apr-2012 |
| MD Precision Canadian Moderate Growth Fund | 14-Sep-1992 |
| MD Select Fund | 5-Nov-1993 |
| MD Short-Term Bond Fund | 22-Sep-1995 |
| MD Strategic Opportunities Fund | 5-Feb-2014 |
| MD Strategic Yield Fund | 5-Feb-2014 |
| MDC Partners Inc. | 11-Mar-1997 |
| MDPIM Canadian Bond Pool | 12-Feb-2003 |
| MDPIM Canadian Equity Pool | 24-Aug-2000 |
| MDPIM Canadian Index Pool | 4-Apr-2017 |
| MDPIM Canadian Long Term Bond Pool | 31-Mar-2010 |
| MDPIM Dividend Pool | 22-Jan-2007 |
| MDPIM Emerging Markets Equity Pool | 12-May-2014 |
| MDPIM International Equity Pool | 12-Feb-2003 |
| MDPIM International Index Pool | 4-Apr-2017 |
| MDPIM Strategic Opportunities Pool | 30-Jan-2013 |
| MDPIM Strategic Yield Pool | 30-Jan-2013 |
| MDPIM U.S. Index Pool | 4-Apr-2017 |
| MDPIM US Equity Pool | 24-Aug-2000 |
| Meadowbrook Apartment Project | 29-Jun-1981 |
| Med-Chem Health Care Limited (CT) | 26-Nov-1996 |
| Mediagrif Interactive Technologies Inc. | 25-Sep-2000 |
| Medical Facilities Corporation | 19-Mar-2004 |
| Medicare Inc. | 2-Mar-2001 |
| MedReleaf Corp. | 30-May-2017 |
| Medx Health Corp. | 27-Jun-2002 |
| MEG Energy Corp. | 26-Jul-2010 |
| Mega Uranium Ltd. | 19-Oct-2009 |
| Megawheels Technologies Inc. | 30-Mar-1999 |
| Melanie (CT) | 1-Oct-1980 |
| Melcor Real Estate Investment Trust | 19-Apr-2013 |
| Melodia 100 Percent Equity Growth Portfolio | 8-Apr-2016 |
| Melodia Aggressive Growth Portfolio | 28-Mar-2013 |
| Melodia Balanced Growth Portfolio | 28-Mar-2013 |
| Melodia Conservative Income Portfolio | 28-Mar-2013 |
| Melodia Diversified Growth Portfolio | 28-Mar-2013 |
| Melodia Diversified Income Portfolio | 30-Sep-2013 |
| Melodia Maximum Growth Portfolio | 28-Mar-2013 |
| Melodia Moderate Growth Portfolio | 28-Mar-2013 |
| Melodia Moderate Income Portfolio | 28-Mar-2013 |



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| Issuer Name | Start Date |
|---|-------------|
| Melodia Very Conservative Income Portfolio | 30-Sep-2013 |
| Memex Inc. | 27-Oct-2011 |
| Mercal Capital Corp. | 4-Apr-2016 |
| Mercator Minerals Ltd. | 22-Jan-2009 |
| Merit Energy Ltd. (CT) | 16-Jun-1997 |
| Meritage Aggressive Growth Class Portfolio | 29-Mar-2011 |
| Meritage Aggressive Growth Income Portfolio | 26-Sep-2006 |
| Meritage Aggressive Growth Portfolio | 26-Sep-2006 |
| Meritage American Equity Portfolio | 25-Sep-2007 |
| Meritage Balanced Income Portfolio | 26-Sep-2006 |
| Meritage Balanced Portfolio | 26-Sep-2006 |
| Meritage Canadian Equity Class Portfolio | 29-Mar-2011 |
| Meritage Canadian Equity Portfolio | 30-Oct-2013 |
| Meritage Conservative Income Portfolio | 26-Sep-2006 |
| Meritage Conservative Portfolio | 26-Sep-2006 |
| Meritage Diversified Fixed Income Portfolio | 30-Oct-2013 |
| Meritage Global Aggressive Growth Class Portfolio | 29-Feb-2016 |
| Meritage Global Aggressive Growth Portfolio | 29-Feb-2016 |
| Meritage Global Balanced Portfolio | 29-Aug-2014 |
| Meritage Global Conservative Portfolio | 29-Feb-2016 |
| Meritage Global Equity Class Portfolio | 29-Mar-2011 |
| Meritage Global Equity Portfolio | 26-Sep-2006 |
| Meritage Global Growth Class Portfolio | 29-Feb-2016 |
| Meritage Global Growth Portfolio | 29-Feb-2016 |
| Meritage Global Moderate Portfolio | 29-Feb-2016 |
| Meritage Growth Class Portfolio | 29-Mar-2011 |
| Meritage Growth Income Portfolio | 26-Sep-2006 |
| Meritage Growth Portfolio | 26-Sep-2006 |
| Meritage International Equity Portfolio | 25-Sep-2007 |
| Meritage Moderate Income Portfolio | 31-Dec-2006 |
| Meritage Moderate Portfolio | 26-Sep-2006 |
| Meritage Tactical ETF Balanced Portfolio | 29-Feb-2016 |
| Meritage Tactical ETF Equity Portfolio | 23-Aug-2016 |
| Meritage Tactical ETF Fixed Income Portfolio | 23-Aug-2016 |
| Meritage Tactical ETF Growth Portfolio | 29-Feb-2016 |
| Meritage Tactical ETF Moderate Portfolio | 31-Dec-2016 |
| Meritas Balanced Portfolio | 2-Feb-2004 |
| Meritas Canadian Bond Fund | 27-Mar-2001 |
| Meritas Growth & Income Portfolio | 12-Apr-2010 |
| Meritas Growth Portfolio | 12-Oct-2010 |
| Meritas Income & Growth Portfolio | 12-Oct-2010 |
| Meritas Income Portfolio | 6-Apr-2011 |
| Meritas International Equity Fund | 27-Mar-2001 |
| Meritas Jantzi Social Index Fund | 27-Mar-2001 |
| Meritas Maximum Growth Portfolio | 7-Apr-2011 |



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| Issuer Name | Start Date |
|---|-------------|
| Meritas Monthly Dividend and Income Fund | 1-Feb-2006 |
| Meritas Strategic Income Fund | 27-Mar-2001 |
| Meritas U.S. Equity Fund | 27-Mar-2001 |
| Merrill Lynch Financial Assets Inc. | 16-Jun-1995 |
| Meteor Creek Resources Inc. (T) | 12-Dec-2000 |
| Methanex Corporation | 21-Oct-1982 |
| Metro Inc. | 17-May-1993 |
| Metro Vancouver Properties Corp. | 29-Oct-1999 |
| Micro Mining Technologies Ltd. (T) | 20-Dec-2000 |
| Microcomp 83 R & D Program L.P. (CT) | 1-Nov-1983 |
| MicroPlanet Technology Corp. | 1-Jun-2004 |
| Mid Cap Canada Fund (GWLIM) | 5-Jan-2001 |
| *Mid West Venture Corporation (CT) | 24-Dec-1987 |
| Midas Gold Corp. | 30-Jun-2011 |
| Middle Age Crazy (CT) | 21-Jun-1979 |
| Middlefield Canadian Dividend Growers Class | 5-Jul-2002 |
| Middlefield Global Agriculture Class | 27-May-2009 |
| Middlefield Global Dividend Growers Class | 13-May-2014 |
| Middlefield Global Energy Class | 23-Jul-2009 |
| Middlefield Global Innovation Class | 14-Jun-2018 |
| Middlefield Healthcare & Life Sciences Dividend Fund | 26-Jun-2017 |
| Middlefield Healthcare & Wellness Dividend Fund | 20-Sep-2016 |
| Middlefield High Yield Class | 21-Jun-2001 |
| Middlefield Income Plus Class | 8-Jun-2000 |
| Middlefield Mutual Funds Limited - Middlefield Short-Term Income Class | 15-Jun-2004 |
| Middlefield Real Estate Class | 13-Jun-2011 |
| Middlefield US Dividend Growth Class | 13-May-2014 |
| Midnight Matinee/Mr. Patman (CT) | 28-Jan-1980 |
| Millenium Biologix Corporation | 6-Feb-2001 |
| Millennial Lithium Corp. | 14-Sep-2017 |
| Millrock Resources Inc. | 8-May-1979 |
| MINCO SILVER CORPORATION | 13-Nov-2006 |
| Mindoro Resources Ltd. | 24-Apr-2001 |
| Mindready Solutions Inc. | 12-Dec-2000 |
| Minera IRL Limited | 15-Oct-2010 |
| Mint Income Fund | 5-Mar-1996 |
| Minto Apartment Real Estate Investment Trust | 22-Jun-2018 |
| Mirabela Nickel Limited | 25-May-2007 |
| Mirati Therapeutics, Inc. | 28-Jun-2013 |
| Misdeal (CT) | 5-Dec-1980 |
| Mitel Networks Corporation | 21-Apr-2010 |
| MLD Core Fund | 7-Jun-2018 |
| MM Fund | 14-May-2018 |
| MNP Petroleum Corporation | 2-May-2011 |



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|--|-------------|
| Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.) | 20-Jul-2017 |
| Moderate Folio Fund | 5-Jan-2001 |
| Moderate Portfolio | 24-Nov-2017 |
| Mogo Finance Technology Inc. | 18-Jun-2015 |
| Molson Coors Brewing Company | 9-Feb-2005 |
| Molson Coors Canada Inc. | 9-Feb-2005 |
| Moly Mines Limited | 20-Oct-2006 |
| Monarch Resources Limited (CT) | 6-Jun-1994 |
| Moneda LatAm Corporate Bond Fund | 28-Oct-2011 |
| Money Market Fund | 21-Oct-1986 |
| Money Market Fund | 13-Aug-1997 |
| Monogen Inc. | 1-Aug-1997 |
| Monthly Income Fund (London Capital) | 14-Aug-2010 |
| *Moon Lake Golf and Country Club Ltd. | 1-Nov-1998 |
| Morguard Corporation | 1-Oct-1967 |
| Morguard North American Residential Real Estate Investment Trust | 9-Apr-2012 |
| Morguard Real Estate Investment Trust | 2-Oct-1997 |
| Morien Resources Corp. | 9-Nov-2012 |
| Morneau Shepell Inc. | 1-Jan-2011 |
| Morningstar Aggressive Portfolio | 12-May-2017 |
| Morningstar Balanced Portfolio | 12-May-2017 |
| Morningstar Conservative Portfolio | 12-May-2017 |
| Morningstar Growth Portfolio | 12-May-2017 |
| Morningstar Moderate Portfolio | 12-May-2017 |
| Morningstar Strategic Canadian Equity Fund | 15-Sep-2016 |
| Mosaic Capital Corporation | 24-Oct-2012 |
| Mountain Province Diamonds Inc. | 2-Dec-2009 |
| Mountain World Entertainment Ltd. (CT) | 1-Aug-1997 |
| MRF 2017 Resource Limited Partnership | 27-Jan-2017 |
| MRF 2018 Resource Limited Partnership | 26-Jan-2018 |
| Mukuba Resources Limited | 28-Jan-2010 |
| Mullen Group Ltd. | 1-May-2009 |
| Multi-Asset Equity Completion | 6-Apr-2017 |
| Multi-Asset Fixed Income | 16-Dec-2011 |
| Multi-Asset Fixed Income Class | 16-Jan-2012 |
| Multi-Asset Growth & Income | 19-Feb-2010 |
| Multi-Asset Growth & Income Class | 26-Feb-2010 |
| Multi-Asset Growth Strategy | 17-Jul-2001 |
| Multi-Asset Growth Strategy Class | 28-Oct-2008 |
| Multi-Asset Income Strategy | 10-Aug-2000 |
| Multibanc NT Financial Corp (CT) | 30-Jan-1987 |
| Murchison Minerals Ltd. | 10-Dec-2004 |
| Mutli-Asset Income Strategy Class | 16-Jan-2012 |



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| *N.S.L. Holdings Ltd. (CT) | 7-Nov-1988 |
| Nabors Industries Ltd. | 15-Dec-2017 |
| NADG NNN Real Estate Investment Trust | 27-Jul-2017 |
| Naitonal Bank International Currency Neutral Index Fund | 6-Nov-1998 |
| Namaste Technologies Inc. | 20-Feb-2018 |
| Namibia Rare Earths Inc. | 7-Apr-2011 |
| Napa/Carneros Winegrowers Limited Partnership (CT) | 28-Oct-1987 |
| National Bank Balanced Diversified Fund | 2-Apr-2003 |
| National Bank Canadian Index Fund | 6-Nov-1998 |
| National Bank Consensus American Equity Fund | 23-Nov-2007 |
| National Bank Consensus International Equity Fund | 23-Nov-2007 |
| National Bank Conservative Diversified Fund | 2-Apr-2003 |
| National Bank Global Diversified Equity Fund | 1-Apr-2003 |
| National Bank Growth Diversified Fund | 2-Apr-2003 |
| National Bank International Index Fund | 2-Apr-2003 |
| National Bank Moderate Diversified Fund | 2-Apr-2003 |
| National Bank of Canada | 18-Jan-1982 |
| National Bank Precious Metals Fund | 2-Aug-1994 |
| National Bank Quebec Growth Fund | 2-Apr-2003 |
| National Bank Resource Fund | 29-Dec-1989 |
| National Bank Science and Technology Fund | 11-Aug-1995 |
| National Bank Secure Diversified Fund | 1-Apr-2003 |
| National Bank Small Cap Fund | 25-Mar-2002 |
| National Bank U.S. Currency Neutral Index Fund | 6-Nov-1998 |
| National Bank U.S. Equity Fund | 5-Jul-1993 |
| National Bank U.S. Index Fund | 2-Apr-2003 |
| National Bank US Dividend Fund | 7-Jan-2014 |
| National FibreTech Inc. (CT) | 22-Dec-1993 |
| National Health Stores Inc. | 2-Oct-2000 |
| Natixis Canadian Bond Class | 13-Jun-2017 |
| Natixis Canadian Bond Fund | 13-Jun-2017 |
| Natixis Canadian Cash Fund | 13-Jun-2017 |
| Natixis Canadian Dividend Class | 13-Jun-2017 |
| Natixis Canadian Dividend Registered Fund | 13-Jun-2017 |
| Natixis Canadian Preferred Share Class | 13-Jun-2017 |
| Natixis Global Equity Class | 13-Jun-2017 |
| Natixis Intrinsic Balanced Class | 13-Jun-2017 |
| Natixis Intrinsic Growth Registered Fund | 13-Jun-2017 |
| Natixis Intrinsic Growth Tax Managed Fund | 13-Jun-2017 |
| Natixis Strategic Balanced Class | 13-Jun-2017 |
| Natixis Strategic Balanced Registered Fund | 13-Jun-2017 |
| Natixis U.S. Dividend Plus Registered Fund | 13-Jun-2017 |
| Natixis U.S. Growth Class | 13-Jun-2017 |
| Natixis U.S. Growth Registered Fund | 13-Jun-2017 |
| Nautilus Minerals Inc. | 23-Mar-2012 |



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| Nav Canada | 6-Dec-1996 |
| Navigator Acquisition Corp. | 5-Sep-2018 |
| Navis Resources Corp. | 14-Aug-1990 |
| NBC Asset Trust | 16-Jan-2008 |
| NBI Balanced Portfolio | 12-May-2017 |
| NBI Bond Fund | 2-Apr-2003 |
| NBI Canadian All Cap Equity Fund | 1-Apr-2003 |
| NBI Canadian Bond Index Fund | 15-May-2018 |
| NBI Canadian Bond Private Portfolio | 19-May-2015 |
| NBI Canadian Equity Fund | 2-Apr-2003 |
| NBI Canadian Equity Growth Fund | 25-Nov-1987 |
| NBI Canadian Equity Index Fund | 14-May-2018 |
| NBI Canadian Equity Private Portfolio | 19-May-2015 |
| NBI Canadian High Conviction Equity Private Portfolio | 19-May-2015 |
| NBI Canadian Preferred Equity Private Portfolio | 19-May-2015 |
| NBI Canadian Small Cap Equity Private Portfolio | 31-Dec-2016 |
| NBI Conservative Portfolio | 12-May-2017 |
| NBI Corporate Bond Fund | 3-Jun-2010 |
| NBI Corporate Bond Private Portfolio | 19-May-2015 |
| NBI Diversified Bond Private Portfolio | 19-May-2015 |
| NBI Dividend Fund | 2-Apr-2003 |
| NBI Emerging Markets Equity Private Portfolio | 19-May-2015 |
| NBI Equity Income Private Portfolio | 19-May-2015 |
| NBI Floating Rate Income Fund | 6-Jan-2014 |
| NBI Global Bond Fund | 2-Apr-2003 |
| NBI Global Equity Fund | 2-Apr-2003 |
| NBI Global Real Assets Income Fund | 28-Jan-2016 |
| NBI Global Tactical Bond Fund | 6-Jan-2014 |
| NBI Growth Portfolio | 12-May-2017 |
| NBI High Yield Bond Fund | 25-Mar-2002 |
| NBI High Yield Bond Private Portfolio | 19-May-2015 |
| NBI Income Fund | 7-Mar-1989 |
| NBI International Equity Index Fund | 15-May-2018 |
| NBI International Equity Private Portfolio | 19-May-2015 |
| NBI International High Conviction Equity Private Portfolio | 19-May-2015 |
| NBI Jarislowsky Fraser Select Balanced Fund | 12-Oct-2010 |
| NBI Jarislowsky Fraser Select Canadian Equity Fund | 12-Oct-2010 |
| NBI Jarislowsky Fraser Select Income Fund | 12-Oct-2010 |
| NBI Moderate Portfolio | 12-May-2017 |
| NBI Money Market Fund | 25-Mar-2002 |
| NBI Multiple Asset Class Private Portfolio | 19-May-2015 |
| NBI Municipal Bond Plus Private Portfolio | 28-Jan-2016 |
| NBI North American Dividend Private Portfolio | 19-May-2015 |
| NBI Preferred Equity Fund | 16-Oct-2012 |
| NBI Secure Portfolio | 12-May-2017 |



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| NBI Strategic U.S. Income and Growth Fund | 28-Oct-2015 |
| NBI Tactical Equity Private Portfolio | 11-Oct-2017 |
| NBI Tactical Fixed Income Private Portfolio | 11-Oct-2017 |
| NBI Tactical Mortgage & Income Fund | 2-Apr-2003 |
| NBI U.S. Equity Index Fund | 15-May-2018 |
| NBI U.S. Equity Private Portfolio | 19-May-2015 |
| NBI U.S. High Conviction Equity Private Portfolio | 19-May-2015 |
| NBI Unconstrained Fixed Income Fund | 22-Nov-2016 |
| NCM Balanced Income Portfolio | 29-Aug-2018 |
| NCM Conservative Income Portfolio | 29-Aug-2018 |
| NCM Energy Plus Class | 20-Dec-2006 |
| NCM Entrepreneurs Class of Norrep Opportunities Corp. | 23-Apr-2010 |
| NCM Global Income Growth Class of Norrep Opportunities Corp. | 6-Jul-2016 |
| NCM Growth and Income Portfolio | 29-Aug-2018 |
| NCM High Income Fund | 4-Jul-2017 |
| NCM Income Growth Class of Norrep Opportunities Corp. | 31-Dec-2005 |
| NCM Premium Growth Class | 17-Feb-2012 |
| NCM Small Companies Class | 23-Dec-2004 |
| NCM Tactical Opportunities Class | 16-Sep-2011 |
| NCM US Dividend Plus Class | 31-Dec-2005 |
| NDX Growth & Income Fund | 29-Nov-2013 |
| NEI Balanced RS Fund | 28-Sep-1992 |
| NEI Balanced Yield Portfolio | 15-Dec-2014 |
| NEI Canadian Bond Fund | 23-Jan-1978 |
| NEI Canadian Dividend Fund | 7-Jun-2004 |
| NEI Canadian Equity Fund | 9-Jun-1998 |
| NEI Canadian Equity RS Fund | 20-Sep-2002 |
| NEI Canadian Small Cap Equity Fund | 6-Jul-1993 |
| NEI Canadian Small Cap Equity RS Fund | 9-Jan-1995 |
| NEI Conservative Yield Portfolio | 11-Jan-2016 |
| NEI Emerging Markets Fund | 6-Oct-2000 |
| NEI Environmental Leaders Fund | 11-Jan-2016 |
| NEI Ethical Global Equity Fund | 22-Dec-1999 |
| NEI Generational Leaders Fund | 11-Oct-2016 |
| NEI Global Dividend RS Fund | 31-Oct-2007 |
| NEI Global Equity Fund | 9-Jun-1998 |
| NEI Global High Yield Bond Fund | 14-Jun-2005 |
| NEI Global Total Return Bond Fund | 20-Sep-2013 |
| NEI Global Value Fund | 11-Oct-2016 |
| NEI Growth and Income Fund | 9-Jun-1998 |
| NEI International Equity RS Fund | 20-Sep-2003 |
| NEI Money Market Fund | 6-Oct-2000 |
| NEI Select Balanced Portfolio | 22-Dec-2000 |
| NEI Select Balanced RS Portfolio | 7-Dec-2009 |



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| NEI Select Growth Portfolio | 22-Dec-2000 |
| NEI Select Growth RS Portfolio | 7-Dec-2009 |
| NEI Select Income & Growth Portfolio | 27-Jun-2003 |
| NEI Select Income & Growth RS Portfolio | 4-Jul-2005 |
| NEI Select Income RS Portfolio | 7-Oct-2011 |
| NEI Select Maximum Growth Portfolio | 22-Dec-2000 |
| NEI Tactical Yield Portfolio | 27-Aug-2010 |
| NEI U.S. Dividend Fund | 6-Oct-2000 |
| NEI U.S. Equity RS Fund | 23-Jan-1978 |
| Nemaska Lithium Inc. | 4-Jul-2016 |
| Neo Performance Materials Inc. | 1-Dec-2017 |
| Neovasc Inc. | 16-Apr-2007 |
| Neptune Technologies & Bioresources Inc. | 12-Jan-2015 |
| Nevada Bob's Golf Inc. (CT) | 23-Dec-1997 |
| Nevada Copper Corp. | 25-Jul-2011 |
| Nevada Zinc Corporation | 22-Jul-2011 |
| New Commerce Split Fund | 26-Mar-2010 |
| New Flyer Industries Inc. | 15-Aug-2005 |
| New Gold Inc. | 23-Feb-2006 |
| New Guinea Gold Corporation | 13-Jul-1983 |
| New Leaders Class | 22-Apr-2016 |
| New Look Eyewear Inc. | 2-Mar-2010 |
| New Millennium Iron Corp. | 22-Feb-2011 |
| New Point Exploration Corp. | 9-Nov-2017 |
| New Zealand Energy Corp. | 20-Jul-2011 |
| Newfoundland Capital Corporation Limited | 20-Apr-1987 |
| Newfoundland Power Inc. | 29-Sep-1981 |
| NewGrowth Corp. | 22-Jun-1992 |
| NewKidCo International Inc. (formerly SoftQuad International Inc.) (T) | 2-Apr-2001 |
| Newmont Mining Corporation | 4-Feb-1987 |
| NewNorth Projects Ltd. | 21-May-2002 |
| Newport Exploration Ltd | 26-Mar-1981 |
| Newstrike Resources Ltd. | 13-Feb-2018 |
| Nexco Resources Inc. | 15-Feb-2017 |
| NexGen Energy Ltd. | 18-Mar-2014 |
| Nexient Learning Inc. | 17-Oct-1986 |
| NexJ Health Holdings Inc. | 25-Jan-2016 |
| NexJ Systems Inc. | 12-May-2011 |
| Next Edge AHL Fund | 13-Nov-2009 |
| Next Edge Bio-Tech Plus Fund | 2-Dec-2014 |
| Nexus Gold Corp. | 25-Sep-2009 |
| Nexus Real Estate Investment Trust | 7-Jul-2014 |
| NEXX Systems, Inc. | 14-May-2010 |
| NHC Communications Inc. | 25-May-2000 |



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|---|-------------|
| Niko Resources Ltd. | 28-Nov-2012 |
| Ninepoint 2018 Flow-Through Limited Partnership | 23-Jan-2018 |
| Ninepoint Concentrated Canadian Equity Fund | 1-Feb-2018 |
| Ninepoint Core Bond Fund | 31-Jul-2018 |
| Ninepoint Diversified Bond Class | 27-Sep-2011 |
| Ninepoint Diversified Bond Fund | 19-Jul-2010 |
| Ninepoint Energy Fund | 26-Mar-2004 |
| Ninepoint Enhanced Balanced Class | 13-Sep-2013 |
| Ninepoint Enhanced Balanced Fund | 29-Mar-2012 |
| Ninepoint Enhanced Equity Class | 29-Mar-2012 |
| Ninepoint Enhanced U.S. Equity Class | 6-Jul-2015 |
| Ninepoint Focused Global Dividend Class | 16-Nov-2015 |
| Ninepoint Focused U.S. Dividend Class | 16-Nov-2015 |
| Ninepoint Global Infrastructure Fund | 29-Aug-2011 |
| Ninepoint Global Real Estate Fund | 6-Jul-2015 |
| Ninepoint Gold and Precious Minerals Fund | 26-Oct-2001 |
| Ninepoint Gold Bullion Fund | 11-Mar-2009 |
| Ninepoint International Small Cap Fund | 1-Feb-2018 |
| Ninepoint Real Asset Class | 4-Jul-2014 |
| Ninepoint Resource Class | 27-Sep-2011 |
| Ninepoint Short-Term Bond Class | 27-Sep-2011 |
| Ninepoint Short-Term Bond Fund | 19-Jul-2010 |
| Ninepoint Silver Bullion Fund | 3-May-2011 |
| Ninepoint Silver Equities Class | 6-Feb-2012 |
| Ninepoint UIT Alternative Health Fund | 20-Sep-2016 |
| NioCorp Developments Ltd. | 25-Mar-2015 |
| Nobilis Health Corp. | 9-May-2007 |
| Noranda Income Fund | 19-Apr-2002 |
| Norbord Inc. | 24-Jun-1987 |
| Nordic Oil and Gas Ltd. | 21-Jun-2002 |
| Norrep Core Canadian | 6-Jul-2016 |
| Norrep Core Global | 7-Jul-2016 |
| Norrep Fund | 30-May-2001 |
| Norrep Short Term Income Fund | 16-Sep-2011 |
| Nortel Networks Corporation | 1-Oct-1967 |
| Nortel Networks Limited | 1-Oct-1967 |
| North America Frac Sand, Inc.(CT) | 21-Apr-2016 |
| North American Energy Partners Inc. | 22-Nov-2006 |
| North American Financial 15 Split Corp. | 29-Sep-2004 |
| North American High Yield Bond Fund (Putnam) | 7-Jul-2016 |
| North American Palladium Ltd. | 1-Jan-1992 |
| North American Preferred Share Advantage Fund | 19-Apr-2013 |
| North American Specialty Class | 7-Jul-2016 |
| North American Tungsten Corporation Ltd. | 8-Mar-2011 |
| North Growth Canadian Equity Fund | 16-May-2012 |



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| North Growth U.S. Equity Advisor Fund | 23-Oct-2009 |
| North Shore Limited Partnership (CT) | 26-Nov-1981 |
| *North West Food And Fuel Corp. (CT) | 20-Dec-1982 |
| *North West Terminal Ltd. | 24-Feb-1994 |
| Northern Dynasty Minerals Ltd. | 20-Jan-2017 |
| Northern Empire Resources Corp. | 2-Feb-2011 |
| Northern Financial Corporation | 10-May-2000 |
| Northern Frontier Corp. | 5-Sep-2013 |
| Northern Iron Corp. | 11-Aug-2011 |
| *Northern Lights Big Game Corp. (CT) | 30-Sep-1988 |
| Northern Uranium Corp. | 21-Nov-2005 |
| Northisle Copper and Gold Inc | 17-Oct-2011 |
| Northland Power Inc. | 1-Jan-2011 |
| Northland Resources SE | 19-Nov-2010 |
| Northstar Aerospace | 31-Oct-1985 |
| Northview Apartment Real Estate Investment Trust | 21-May-2002 |
| NorthWest Healthcare Properties Real Estate Investment Trust | 17-Mar-2010 |
| NorZinc Ltd. | 6-Sep-2018 |
| NorZinc Ltd. | 6-Sep-2018 |
| Nothing Personal (CT) | 10-Dec-1979 |
| NOVA Gas Transmission Ltd. | 1-Feb-1982 |
| Nova Scotia Power Incorporated | 4-Aug-1992 |
| Nova Village Limited Partnership | 18-Dec-1981 |
| NovaGold Resources Inc. | 17-May-1990 |
| Novelion Therapeutics Inc. | 30-Mar-1988 |
| Novoheart Holdings Inc. | 30-Apr-2018 |
| NSGold Corporation | 16-Apr-2009 |
| Nu-Life Corp. | 27-Jan-1997 |
| Nuinsco Resources Limited | 8-Jun-1999 |
| *Nutrien Ltd. | 2-Jan-2018 |
| NuVista Energy Ltd. | 3-Jul-2003 |
| Nuvo Pharmaceuticals Inc. | 12-Feb-1997 |
| Oakmark International Natixis Class | 17-Sep-2015 |
| Oakmark International Natixis Registered Fund | 17-Sep-2015 |
| Oakmark Natixis Class | 17-Sep-2015 |
| Oakmark Natixis Registered Fund | 17-Sep-2015 |
| OceanaGold Corporation | 25-Jun-2007 |
| OceanRock Balanced Portfolio | 12-Oct-2010 |
| OceanRock Canadian Equity Fund | 22-Dec-2005 |
| OceanRock Growth & Income Portfolio | 22-Dec-2005 |
| OceanRock Growth Portfolio | 12-Oct-2010 |
| OceanRock Income & Growth Portfolio | 12-Oct-2010 |
| OceanRock Income Portfolio | 7-Apr-2011 |
| OceanRock International Equity Fund | 22-Dec-2005 |



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| OceanRock Maximum Growth Portfolio | 7-Apr-2011 |
| OceanRock US Equity Fund | 22-Dec-2005 |
| Oceanus Resources Corporation | 14-Jun-2016 |
| OCP Credit Strategy Fund | 28-Oct-2009 |
| OCP Senior Credit Fund | 26-Oct-2010 |
| OFEK CAPITAL CORP. | 16-May-2000 |
| Off Your Rocker (CT) | 15-Nov-1979 |
| Oil Optimization Inc. | 15-Oct-2010 |
| Oilsands Quest Inc. | 16-Nov-2007 |
| Old PSG Wind-down Ltd. | 3-Mar-2011 |
| Omega Preferred Equity Fund | 23-Nov-2007 |
| Omineca Mining and Metals Ltd. | 20-May-2011 |
| Oncolytics Biotech Inc. | 17-Feb-2016 |
| ONE Financial Real Property Development Trust (2008-1) | 23-Apr-2009 |
| ONE Financial Real Property Income Fund (2008-1) | 23-Apr-2009 |
| ONE Global Equity ETF | 10-Sep-2015 |
| ONE North American Core Plus Bond ETF | 24-Aug-2018 |
| ONEnergy Inc. | 31-Dec-2000 |
| Onex Corporation | 21-Apr-1987 |
| Ontario Power Generation Inc. | 31-Mar-2000 |
| Open Text Corporation | 21-Jun-1999 |
| Opimian California Vineyards Corporation (CT) | 2-Feb-1984 |
| Options Clearing Corporation | 31-Oct-1977 |
| Orbit Garant Drilling Inc. | 20-Jun-2008 |
| Orbite Technologies Inc. | 16-Jul-2013 |
| Orbus Pharma Inc. | 4-Feb-1981 |
| Orca Gold Inc. | 16-Mar-2011 |
| Orex Minerals Inc. | 12-Feb-2013 |
| Orezone Gold Corporation | 25-Feb-2009 |
| OrganiGram Holdings Inc. | 27-May-2016 |
| Organto Foods Inc. | 2-Jun-2008 |
| Orinoco Tours Limited (CT) | 19-Dec-1988 |
| Orla Mining Ltd. | 9-Feb-2018 |
| Orocobre Limited | 18-Feb-2011 |
| ORTHO REGENERATIVE TECHNOLOGIES INC. | 29-Apr-2016 |
| Orvana Minerals Corp. | 22-Dec-1989 |
| Oryx Petroleum Corporation Limited | 8-May-2013 |
| Osisko Gold Royalties Ltd. | 2-Mar-2015 |
| OutdoorPartner Media Corporation | 11-Aug-2006 |
| Oyster Oil and Gas Ltd. | 16-Jul-2007 |
| Pacgen Life Science Corporation | 29-Nov-2006 |
| Pacific Paradym Energy Inc. | 28-Apr-2004 |
| Pacific Vector Holdings Inc. | 3-Nov-2010 |
| Painted Pony Energy Ltd. | 8-May-2007 |
| Palamina Corp. | 9-Jun-2015 |



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|--|-------------|
| Pallet Pallet Inc. (CT) | 21-Nov-1994 |
| Palliser Oil & Gas Corporation | 31-Mar-2011 |
| Palm Beach County Utilities Corp. (CT) | 2-Sep-1986 |
| Palos Equity Income Fund | 22-Feb-2011 |
| Pan American Silver Corp. | 11-Feb-2005 |
| Pan Ocean Explorations Inc. (CT) | 31-Jul-1979 |
| Pan Ocean Oil Corp. (CT) | 15-Jul-1983 |
| Pan Orient Energy Corp. | 8-Apr-2005 |
| Par / Mor II (CT) | 10-Jan-1984 |
| Para-Tech Energy Services Inc. (T) | 26-Jan-1995 |
| Parallel Energy Trust | 14-Apr-2011 |
| Paramount Resources Ltd. | 29-Nov-1978 |
| Parex Resources Inc. | 6-Nov-2009 |
| Park Estates (CT) | 19-Dec-1980 |
| Park Lawn Corporation | 28-Nov-2013 |
| Park Meditech Inc. (CT) | 18-Aug-1994 |
| Park Place (CT) | 1-Dec-1983 |
| Park Square Properties Limited Partnership | 24-Jun-1983 |
| Parkland Fuel Corporation | 1-Jan-2011 |
| Parkland Holdings Limited Partnership | 4-Jul-2002 |
| Parkview Village I L.P. (CT) | 16-Dec-1982 |
| Parkview Village II L.P. (CT) | 16-Dec-1982 |
| Partners Real Estate Investment Trust | 10-May-2007 |
| Partners Value Investments LP | 5-Nov-1986 |
| Partners Value Investments LP | 4-Jul-2016 |
| Partners Value Split Corp. | 27-Aug-2001 |
| Pathfinder Convertible Debenture Fund | 30-Oct-2009 |
| Patriot One Technologies Inc. | 23-Aug-2017 |
| Pattern Energy Group Inc. | 25-Sep-2013 |
| PAY LINX FINANCIAL CORPORATION | 20-Jun-2008 |
| Peachtree Network Inc. (CT) | 10-Mar-2000 |
| Pegasus Gold Inc. (CT) | 1-Oct-1967 |
| Pelangio Exploration Inc. | 14-Dec-2011 |
| Pembina Pipeline Corporation | 1-Oct-2010 |
| Pender Canadian Opportunities Fund | 24-Nov-2010 |
| Pender Corporate Bond Fund | 29-May-2009 |
| Pender North American Small Cap Fund | 26-Jun-2018 |
| Pender Small Cap Opportunities Fund | 29-May-2009 |
| Pender Strategic Growth and Income Fund | 24-Nov-2010 |
| Pender US All Cap Equity Fund | 28-Jun-2013 |
| Pender Value Fund | 28-Jun-2013 |
| Pengrowth Energy Corporation | 1-Jan-2011 |
| Penn West Petroleum Ltd. | 1-Jan-2011 |
| *Peragis Inc. (CT) | 16-Jul-1996 |
| Peregrine Diamonds Ltd. | 22-Aug-2014 |



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| Perkins Oil Company (CT) | 22-Jan-1981 |
| Permex Petroleum Corporation | 7-Mar-2018 |
| Perpetual Energy Inc. | 30-Jun-2010 |
| PERSEUS MINING LIMITED | 28-Jan-2010 |
| Pershing Gold Corporation | 15-Mar-2017 |
| Peruvian Precious Metals Corp. | 16-Jun-2005 |
| PESA Corporation | 1-May-2006 |
| Petro Viking Energy Inc. | 19-May-2010 |
| Petro-Victory Energy Corp. | 11-Jul-2014 |
| PetroCorp Group Inc. | 1-May-2007 |
| PetroMaroc Corporation plc (formerly Longreach Oil and Gas Limited) | 25-Jul-2011 |
| Petroshale Inc. | 7-May-1979 |
| PetroTal Corp. | 6-Mar-2008 |
| Petrowest Corporation | 1-Jul-2011 |
| Peyto Exploration & Development Corp. | 1-Jan-2011 |
| PFB Corporation | 10-Jun-1987 |
| Pharma Patch Plc (CT) | 30-Jun-1994 |
| PharmaGap Inc. (formerly Sebring Resources Ltd.) | 15-Aug-2008 |
| Philip Services Corp. (CT) | 28-Nov-1990 |
| Phillips, Hager & North \$U.S. Money Market Fund | 13-Oct-1992 |
| Phillips, Hager & North Balanced Fund | 13-Oct-1992 |
| Phillips, Hager & North Balanced Pension Trust | 10-Jul-2001 |
| Phillips, Hager & North Bond Fund | 13-Oct-1992 |
| Phillips, Hager & North Canadian Equity Fund | 13-Oct-1992 |
| Phillips, Hager & North Canadian Equity Pension Trust | 29-Jun-2004 |
| Phillips, Hager & North Canadian Equity Plus Pension Trust | 1-May-1998 |
| Phillips, Hager & North Canadian Equity Underlying Fund | 12-Jan-2011 |
| Phillips, Hager & North Canadian Equity Underlying Fund II | 7-Aug-2014 |
| Phillips, Hager & North Canadian Equity Value Class | 22-Oct-2014 |
| Phillips, Hager & North Canadian Equity Value Fund | 13-Nov-2009 |
| Phillips, Hager & North Canadian Growth Fund | 13-Oct-1992 |
| Phillips, Hager & North Canadian Income Fund | 27-Jun-2005 |
| Phillips, Hager & North Canadian Money Market Fund | 13-Oct-1992 |
| Phillips, Hager & North Community Values Bond Fund | 28-Aug-2002 |
| Phillips, Hager & North Conservative Equity Income Fund | 30-Jun-2016 |
| Phillips, Hager & North Currency-Hedged Overseas Equity Fund | 27-Jun-2006 |
| Phillips, Hager & North Currency-Hedged U.S. Equity Fund | 27-Jul-2006 |
| Phillips, Hager & North Dividend Income Fund | 13-Oct-1992 |
| Phillips, Hager & North Global Equity Fund | 8-Dec-2000 |
| Phillips, Hager & North High Yield Bond Fund | 11-Jul-2000 |
| Phillips, Hager & North Inflation-Linked Bond Fund | 26-Jun-2009 |
| Phillips, Hager & North LifeTime 2015 Fund | 12-Jan-2011 |
| Phillips, Hager & North LifeTime 2020 Fund | 12-Jan-2011 |



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| Phillips, Hager & North LifeTime 2025 Fund | 12-Jan-2011 |
| Phillips, Hager & North LifeTime 2030 Fund | 12-Jan-2011 |
| Phillips, Hager & North LifeTime 2035 Fund | 12-Jan-2011 |
| Phillips, Hager & North LifeTime 2040 Fund | 12-Jan-2011 |
| Phillips, Hager & North LifeTime 2045 Fund | 12-Jan-2011 |
| Phillips, Hager & North LifeTime 2050 Fund | 29-Jun-2018 |
| Phillips, Hager & North Long Inflation-linked Bond Fund | 12-Jan-2011 |
| Phillips, Hager & North Monthly Income Class | 18-Oct-2012 |
| Phillips, Hager & North Monthly Income Fund | 30-Dec-2009 |
| Phillips, Hager & North Overseas Equity Class | 21-Dec-2011 |
| Phillips, Hager & North Overseas Equity Fund | 8-Dec-2000 |
| Phillips, Hager & North Short Term Bond & Mortgage Fund | 14-Jan-1994 |
| Phillips, Hager & North Small Float Fund | 10-Jul-2002 |
| Phillips, Hager & North Total Return Bond Fund | 11-Jul-2000 |
| Phillips, Hager & North U.S. Dividend Income Fund | 10-Jul-2002 |
| Phillips, Hager & North U.S. Equity Fund | 31-Oct-1992 |
| Phillips, Hager & North U.S. Growth Fund | 3-May-1993 |
| Phillips, Hager & North U.S. Multi-Style All-Cap Equity Class | 21-Dec-2011 |
| Phillips, Hager & North U.S. Multi-Style All-Cap Equity Fund | 29-Jun-2010 |
| Phillips, Hager & North Vintage Fund | 23-Apr-1986 |
| Phoenix Capital Inc. | 8-Feb-1983 |
| Phoenix Capital Income Trust | 14-Jun-2005 |
| Phoenix Health Group Inc. (CT) | 8-Sep-1986 |
| PHX Energy Services Corp. | 1-Jan-2011 |
| Picton Mahoney Fortified Equity Fund | 2-Nov-2015 |
| Picton Mahoney Fortified Income Fund | 2-Nov-2015 |
| Picton Mahoney Fortified Multi-Asset Fund | 2-Nov-2015 |
| Picton Mahoney Tactical Income Fund | 27-Sep-2012 |
| PIMCO Balanced Income Fund (Canada) | 24-Jul-2015 |
| PIMCO Canadian Total Return Bond Fund | 10-Jan-2011 |
| PIMCO Flexible Global Bond Fund | 10-Jan-2011 |
| PIMCO Global Income Opportunities Fund | 27-Feb-2014 |
| PIMCO Investment Grade Credit Fund (Canada) | 24-Jul-2015 |
| PIMCO Monthly Income Fund (Canada) | 10-Jan-2011 |
| PIMCO Unconstrained Bond Fund (Canada) | 24-Jul-2014 |
| Pine Cliff Energy Ltd. | 9-Mar-2005 |
| PineBridge Investment Grade Preferred Securities Fund | 30-May-2014 |
| Pineridge (CT) | 18-Dec-1980 |
| Pinnacle Balanced Portfolio | 25-Apr-2005 |
| Pinnacle Growth Portfolio | 22-Apr-2005 |
| Pinnacle Income Portfolio | 25-Apr-2005 |
| Pinnacle Renewable Holdings Inc. | 30-Jan-2018 |
| Pioneer Metals Corporation | 23-Apr-1987 |
| Pizza Pizza Royalty Corp. | 31-Dec-2012 |
| Plaintree Systems Inc. | 27-May-1993 |



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| Platinova A/S | 15-Oct-1991 |
| Platinova Resources Ltd | 15-Oct-1991 |
| Platinum Group Metals Ltd. | 18-Oct-2010 |
| Plato Gold Corp. | 27-Apr-2005 |
| Playa El Agua Hotel L.P. (CT) | 19-Dec-1988 |
| Plaza Retail REIT | 1-Jan-2014 |
| POET Technologies Inc. | 7-Oct-2016 |
| Point Loma Resources Ltd. | 12-Dec-2017 |
| Points International Ltd. | 4-Jun-2008 |
| Polaris Infrastructure Inc. | 12-May-2011 |
| Pollard Banknote Limited | 14-May-2010 |
| Ponderosa Fund | 4-Aug-2010 |
| Ponds Limited Partnership (CT) | 15-May-1984 |
| Portex Minerals Inc. | 11-May-2007 |
| Portfolio Series Balanced Fund | 16-Nov-1988 |
| Portfolio Series Balanced Growth Fund | 18-Dec-2001 |
| Portfolio Series Conservative Balanced Fund | 18-Dec-2001 |
| Portfolio Series Conservative Fund | 13-Nov-1997 |
| Portfolio Series Growth Fund | 18-Dec-2001 |
| Portfolio Series Income Fund | 13-Nov-1997 |
| Portfolio Series Maximum Growth Fund | 18-Dec-2001 |
| Portland 15 of 15 Fund | 24-Apr-2017 |
| Portland Advantage Fund | 2-Oct-2012 |
| Portland Canadian Balanced Fund | 2-Oct-2012 |
| Portland Canadian Focused Fund | 2-Oct-2012 |
| Portland Global Banks Fund | 26-Jun-2007 |
| Portland Global Dividend Fund | 27-Apr-2007 |
| Portland Global Income Fund | 28-Jan-2005 |
| Portland Value Fund | 6-Nov-2015 |
| Porto Energy Corp. | 18-Mar-2011 |
| Poseidon Concepts Corp. | 30-Nov-2005 |
| Posera-HDX Limited | 7-Oct-2011 |
| Postmedia Network Canada Corp. | 8-Jun-2011 |
| Potash Ridge Corporation | 28-Nov-2012 |
| *Pound-Maker Investments Ltd. | 26-Jul-1990 |
| Power Corporation Of Canada | 1-Oct-1967 |
| Power Financial Corporation | 19-May-1985 |
| PowerShares 1-10 Year Laddered Investment Grade Corporate Bond Index ETF | 25-Aug-2017 |
| PowerShares 1-3 Year Laddered Floating Rate Note Index ETF | 11-Jul-2014 |
| PowerShares 1-5 Year Laddered All Government Bond Index ETF | 20-Feb-2018 |
| PowerShares 1-5 Year Laddered Investment Grade Corporate Bond Index ETF | 8-Jun-2011 |



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| PowerShares Canadian Dividend Index ETF | 8-Jun-2011 |
| PowerShares Canadian Preferred Share Index ETF | 8-Jun-2011 |
| PowerShares Diversified Yield Fund | 14-Jan-2010 |
| PowerShares DWA Global Momentum Index ETF | 7-Mar-2016 |
| PowerShares FTSE RAFI Canadian Small-Mid Fundamental Index ETF | 31-Mar-2015 |
| PowerShares FTSE RAFI Global Small-Mid Fundamental ETF | 20-Apr-2015 |
| PowerShares FTSE RAFI Global+ Fundamental Index ETF | 31-Mar-2015 |
| PowerShares FTSE RAFI U.S. Fundamental Index ETF | 31-Mar-2015 |
| PowerShares Fundamental High Yield Corporate Bond (CAD Hedged) Index ETF | 8-Jun-2011 |
| PowerShares Global Shareholder Yield ETF | 20-Apr-2015 |
| PowerShares LadderRite U.S. 0-5 Year Corporate Bond Index ETF | 11-Jul-2014 |
| PowerShares Low Volatility Portfolio ETF | 20-Apr-2015 |
| PowerShares QQQ (CAD Hedged) Index ETF | 8-Jun-2011 |
| PowerShares S&P 500 High Dividend Low Volatility Index ETF | 15-Feb-2017 |
| PowerShares S&P 500 Low Volatility (CAD-Hedged) Index ETF | 10-Jan-2012 |
| PowerShares S&P Emerging Markets Low Volatility Index ETF | 11-Jul-2014 |
| PowerShares S&P Global ex. Canada High Dividend Low Volatility Index ETF | 15-Feb-2017 |
| PowerShares S&P International Developed Low Volatility Index ETF | 11-Jul-2014 |
| PowerShares S&P/TSX Composite Low Volatility Index ETF | 5-Apr-2012 |
| PowerShares S&P/TSX REIT Income Index ETF | 25-Aug-2017 |
| PowerShares Senior Loan (CAD-Hedged) Index ETF | 10-Jan-2012 |
| PowerShares Tactical Bond ETF | 9-Aug-2012 |
| PowerShares Ultra Liquid Long Term Government Bond Index ETF | 31-Dec-2011 |
| Poynt Corporation | 17-Jul-2007 |
| Prairie Provident Resources Inc. | 12-Sep-2016 |
| PrairieSky Royalty Ltd. | 22-May-2014 |
| Precious Metals and Mining Trust | 30-May-2006 |
| Precision Assessment Technology Corporation | 27-Nov-1996 |
| Precision Drilling Corporation | 1-Jun-2010 |
| Preferred Dental Technologies Inc. | 31-May-2016 |
| Premier Gold Mines Limited | 25-Oct-2011 |
| Premium Brands Holdings Corporation | 14-Mar-2007 |
| Premium Income Corporation | 29-Jul-2010 |
| Preo Software Inc. | 24-Jul-2008 |
| Pretium Resources Inc. | 10-Dec-2010 |
| Prime Dividend Corp. | 31-Oct-2005 |
| Primerica Aggressive Growth Fund | 9-Sep-1997 |



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| Primerica Balanced Yield Fund | 9-Sep-1997 |
| Primerica Canadian Balanced Growth Fund | 9-Sep-1997 |
| Primerica Canadian Money Market Fund | 12-Dec-2001 |
| Primerica Global Balanced Growth Fund | 9-Sep-1997 |
| Primerica Income Fund | 9-Sep-1997 |
| *PrimeWest Mortgage Investment Corporation | 6-Dec-2007 |
| PrimeWest Oil and Gas Corp. | 6-Mar-2001 |
| Printera Corporation | 6-Oct-1986 |
| Prizm Income Fund | 31-Oct-2003 |
| Priviti Oil & Gas Opportunities Limited Partnership 2013 | 19-Jun-2013 |
| Pro Real Estate Investment Trust | 19-Nov-2013 |
| Pro-Trans Ventures Inc. | 18-Jun-2009 |
| Probe Exploration Inc. (CT) | 7-Apr-1997 |
| Prodigy Ventures Inc. | 29-Sep-2008 |
| Production Enhancement Group, Inc. | 29-Mar-2006 |
| Profab Energy Services Ltd. (CT) | 10-Sep-1998 |
| Profound Medical Corp. | 7-Nov-2016 |
| ProMetic Life Sciences Inc. | 14-Jul-1998 |
| Proper Channels (CT) | 19-Nov-1979 |
| Prosper Gold Corp. | 28-Jan-2008 |
| Providence Gold Mines Inc. | 6-Nov-2012 |
| Provigo Inc. | 30-Jun-1981 |
| Pulse Seismic Inc. | 22-Sep-1988 |
| Pure Multi-Family REIT LP | 3-Jul-2012 |
| Purpose Alternate Yield Fund | 31-Jul-2017 |
| Purpose Alternative Strategies Fund | 31-Jul-2017 |
| Purpose Best Ideas Fund | 23-Apr-2014 |
| Purpose Canadian Financial Income Fund | 19-Oct-2016 |
| Purpose Conservative Income Fund | 19-Oct-2016 |
| Purpose Core Dividend Fund | 12-Aug-2013 |
| Purpose Diversified Real Asset Fund | 12-Aug-2013 |
| Purpose Duration Hedged Real Estate Fund | 23-Apr-2014 |
| Purpose Energy Credit Fund | 8-Feb-2018 |
| Purpose Enhanced Dividend Fund | 26-Oct-2017 |
| Purpose Enhanced U.S. Equity Fund | 31-Jul-2014 |
| Purpose Global Financials Income Fund | 27-Jun-2018 |
| Purpose Global Innovators Fund | 6-Jul-2011 |
| Purpose Global Resource Fund | 19-Jun-2006 |
| Purpose High Interest Savings ETF | 9-Oct-2013 |
| Purpose International Dividend Fund | 20-Oct-2014 |
| Purpose International Tactical Hedged Equity Fund | 20-Oct-2014 |
| Purpose Monthly Income Fund | 12-Aug-2013 |
| Purpose Multi-Asset Income Fund | 2-Sep-2015 |
| Purpose Multi-Strategy Market Neutral Fund | 31-Jul-2014 |
| Purpose Premium Money Market Fund | 23-Dec-2014 |



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| Purpose Premium Yield Fund | 8-Jan-2016 |
| Purpose Short Duration Tactical Bond Fund | 8-Jul-2017 |
| Purpose Special Opportunities Fund | 1-Dec-2009 |
| Purpose Tactical Hedged Equity Fund | 12-Aug-2013 |
| Purpose Tactical Investment Grade Bond Fund | 23-Dec-2014 |
| Purpose Total Return Bond Fund | 12-Aug-2013 |
| Purpose US Cash Fund | 19-Oct-2015 |
| Purpose US Dividend Fund | 20-Oct-2014 |
| Purpose US Preferred Share Fund | 19-Dec-2016 |
| Pursuit American Fund (CT) | 10-Oct-1986 |
| Pursuit Canadian Equity Fund (CT) | 24-Sep-1986 |
| Pursuit Income Fund (CT) | 10-Oct-1986 |
| Pursuit Money Market Fund (CT) | 1-Feb-1988 |
| Pyrogenesis Canada Inc. | 26-May-2006 |
| Q-Entertainment Inc. (CT) | 20-Aug-1996 |
| Q-Gold Resources Ltd. | 6-Oct-1998 |
| Q-Tel Wireless Inc. (T) | 7-Jan-1991 |
| Q2 Gold Resources Inc. | 18-Jun-2007 |
| QMX Gold Corporation | 26-Aug-2010 |
| Quad/Graphics, Inc. | 2-Jul-2010 |
| Quadrille Retirement Homes L.P.No.1 (CT) | 23-Dec-1988 |
| Quantum International Income Corp. | 17-Jul-2015 |
| Quattro Exploration and Production Ltd. | 18-Oct-2000 |
| Quebecor Inc. | 9-Jun-1983 |
| Queensway Financial Holdings Limited (CT) | 30-May-1996 |
| Quest 84 Energy Program (CT) | 21-Nov-1984 |
| Quest 85 Energy Program L.P. (CT) | 18-Apr-1985 |
| Questerre Energy Corporation | 1-Nov-2007 |
| Questfire Energy Corp. | 30-Sep-2011 |
| Quinte Bay #3 Limited Partnership (CT) | 12-Nov-1985 |
| Quorum Information Technologies Inc. | 20-Jul-2001 |
| Qwest Energy Canadian Resource Class | 6-Apr-2006 |
| R.R. Donnelley & Sons Company | 17-Dec-2003 |
| Rambler Metals and Mining plc | 12-Jan-2016 |
| Randgold Resources Limited | 15-Oct-2009 |
| Range Energy Resources Inc. | 8-Jul-2005 |
| Range Gold Corp. | 30-Apr-2008 |
| Rare Element Resources Ltd. | 13-Dec-2010 |
| RavenSource Fund | 30-Apr-1997 |
| Rayonier Advanced Materials Inc. | 17-Nov-2017 |
| RB Energy Inc. | 25-Jan-2011 |
| RBC \$U.S. High Yield Bond Fund | 2-Jul-2013 |
| RBC \$U.S. Investment Grade Corporate Bond Fund | 2-Jul-2013 |
| RBC \$U.S. Money Market Fund | 26-Jul-1990 |
| RBC \$U.S. Short Term Income Class | 23-Oct-2015 |



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| Issuer Name | Start Date |
|--|-------------|
| RBC \$U.S. Short-Term Corporate Bond Fund | 23-Jan-2018 |
| RBC \$U.S. Strategic Income Bond Fund | 23-Jan-2018 |
| RBC 1-5 Year Laddered Canadian Bond ETF | 11-Jan-2016 |
| RBC 1-5 Year Laddered Corporate Bond ETF | 7-Jan-2014 |
| RBC 6-10 Year Laddered Canadian Corporate Bond ETF | 12-Sep-2017 |
| RBC Advisor Canadian Bond Fund | 8-Nov-1999 |
| RBC Asia Pacific ex-Japan Equity Fund | 24-Jan-2014 |
| RBC Asian Equity Fund | 23-Jul-1993 |
| RBC Balanced Fund | 14-Dec-1987 |
| RBC Balanced Growth & Income Class | 13-Apr-2015 |
| RBC Balanced Growth & Income Fund | 2-Jul-2013 |
| RBC BlueBay Global Diversified Income (CAD Hedged) ETF | 12-Sep-2017 |
| RBC Bond Fund | 18-Dec-1986 |
| RBC Canadian Bank Yield Index ETF | 31-Aug-2017 |
| RBC Canadian Bond Index ETF | 10-Aug-2017 |
| RBC Canadian Dividend Class | 21-Dec-2011 |
| RBC Canadian Dividend Fund | 7-Jan-1993 |
| RBC Canadian Equity Class | 21-Dec-2011 |
| RBC Canadian Equity Fund | 7-Jul-1995 |
| RBC Canadian Equity Income Class | 21-Dec-2011 |
| RBC Canadian Equity Income Fund | 4-Jul-2006 |
| RBC Canadian Equity Index ETF | 10-Aug-2017 |
| RBC Canadian Government Bond Index Fund | 11-May-2000 |
| RBC Canadian Index Fund | 9-Sep-1998 |
| RBC Canadian Mid Cap Equity Class | 21-Dec-2011 |
| RBC Canadian Money Market Fund | 2-Dec-1986 |
| RBC Canadian Preferred Share ETF | 13-Sep-2016 |
| RBC Canadian Short Term Bond Index ETF | 10-Aug-2017 |
| RBC Canadian Short-Term Income Fund | 31-Jan-1992 |
| RBC Canadian Small & Mid-Cap Resources Fund | 25-Sep-2013 |
| RBC Canadian T-Bill Fund | 29-Jan-1991 |
| RBC Capital Trust | 18-Jul-2000 |
| RBC Conservative Bond Pool | 3-Jul-2018 |
| RBC Conservative Growth & Income Fund | 20-Nov-2014 |
| RBC Core Bond Pool | 3-Jul-2018 |
| RBC Core Plus Bond Pool | 3-Jul-2018 |
| RBC Emerging Markets Bond Fund | 8-Jul-2010 |
| RBC Emerging Markets Dividend Fund | 5-Mar-2013 |
| RBC Emerging Markets Equity Class | 21-Dec-2011 |
| RBC Emerging Markets Equity Fund | 18-Dec-2009 |
| RBC Emerging Markets Equity Index ETF | 10-Aug-2017 |
| RBC Emerging Markets Foreign Exchange Fund | 20-Nov-2014 |
| RBC Emerging Markets Small-Cap Equity Fund | 5-Mar-2013 |
| RBC European Equity Class | 22-Oct-2014 |
| RBC European Equity Fund | 10-Jul-1987 |



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|---|-------------|
| RBC Fossil Fuel Free Global Equity Fund | 5-Jul-2017 |
| RBC Global Balanced Fund | 13-Mar-1998 |
| RBC Global Bond & Currency Fund | 23-Jan-2018 |
| RBC Global Bond Fund | 26-Jul-1991 |
| RBC Global Corporate Bond Fund | 19-Jul-2004 |
| RBC Global Dividend Growth Currency Neutral Fund | 10-May-2016 |
| RBC Global Dividend Growth Fund | 22-Dec-2000 |
| RBC Global Energy Fund | 22-Oct-1980 |
| RBC Global Equity Class | 22-Oct-2014 |
| RBC Global Equity Focus Currency Neutral Fund | 23-Jan-2018 |
| RBC Global Equity Focus Fund | 17-Apr-2014 |
| RBC Global Equity Fund | 24-Jan-2014 |
| RBC Global Government Bond (CAD Hedged) Index ETF | 10-Aug-2017 |
| RBC Global Growth & Income Fund | 15-Jan-2016 |
| RBC Global High Yield Bond Fund | 15-Jul-2003 |
| RBC Global Precious Metals Fund | 10-Nov-1988 |
| RBC Global Resources Class | 21-Dec-2011 |
| RBC Global Resources Fund | 22-Dec-2000 |
| RBC Global Technology Fund | 11-May-2000 |
| RBC High Yield Bond Fund | 28-Sep-2010 |
| RBC International Dividend Growth Fund | 30-Oct-2006 |
| RBC International Equity (CAD Hedged) Index ETF | 26-Apr-2018 |
| RBC International Equity Class | 22-Oct-2014 |
| RBC International Equity Currency Neutral Fund | 17-Apr-2014 |
| RBC International Equity Fund | 7-Jan-1993 |
| RBC International Equity Index ETF | 10-Aug-2017 |
| RBC International Index Currency Neutral Fund | 9-Sep-1998 |
| RBC Jantzi Balanced Fund | 3-Jul-2007 |
| RBC Jantzi Canadian Equity Fund | 3-Jul-2007 |
| RBC Jantzi Global Equity Fund | 3-Jul-2007 |
| RBC Japanese Equity Fund | 22-Jan-2014 |
| RBC Life Science and Technology Fund | 7-Jul-1995 |
| RBC Managed Payout Solution | 19-Jul-2004 |
| RBC Managed Payout Solution - Enhanced | 19-Jul-2004 |
| RBC Managed Payout Solution - Enhanced Plus | 5-Apr-2002 |
| RBC Monthly Income Bond Fund | 28-Sep-2010 |
| RBC Monthly Income Fund | 9-Jul-1997 |
| RBC North American Growth Fund | 7-Jan-1993 |
| RBC North American Value Class | 21-Dec-2011 |
| RBC North American Value Fund | 13-Mar-1998 |
| RBC O'Shaughnessy All Canadian Equity Fund | 16-Jan-2007 |
| RBC O'Shaughnessy Canadian Equity Fund | 7-Oct-1997 |
| RBC O'Shaughnessy International Equity Fund | 17-Dec-2004 |
| RBC O'Shaughnessy U.S. Growth Fund | 7-Oct-1997 |
| RBC O'Shaughnessy U.S. Growth Fund II | 10-Jan-2008 |



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|---|-------------|
| RBC O'Shaughnessy U.S. Value Fund | 7-Oct-1997 |
| RBC O'Shaughnessy U.S. Value Fund (Unhedged) | 23-Jan-2018 |
| RBC O'Shaughnessy Global Equity Fund | 16-Jan-2007 |
| RBC PH&N Short Term Canadian Bond ETF | 12-Sep-2017 |
| RBC Premium \$U.S. Money Market Fund | 26-Oct-2007 |
| RBC Premium Money Market Fund | 10-Feb-1997 |
| RBC Private Canadian Corporate Bond Pool | 21-Aug-2003 |
| RBC Private Canadian Dividend Pool | 21-Aug-2003 |
| RBC Private Canadian Equity Pool | 21-Aug-2003 |
| RBC Private Canadian Growth and Income Equity Pool | 21-Aug-2003 |
| RBC Private Canadian Growth Equity Pool | 21-Aug-2003 |
| RBC Private Canadian Mid Cap Equity Pool | 21-Aug-2003 |
| RBC Private EAFE Equity Pool | 21-Aug-2003 |
| RBC Private Income Pool | 21-Aug-2003 |
| RBC Private Overseas Equity Pool | 24-Aug-2007 |
| RBC Private Short-Term Income Pool | 21-Aug-2003 |
| RBC Private U.S. Growth Equity Pool | 21-Aug-2003 |
| RBC Private U.S. Large-Cap Core Equity Currency Neutral Pool | 11-Jan-2010 |
| RBC Private U.S. Large-Cap Core Equity Pool | 21-Oct-2008 |
| RBC Private U.S. Large-Cap Value Equity Currency Neutral Pool | 11-Jan-2010 |
| RBC Private U.S. Small Cap Equity Pool | 21-Aug-2003 |
| RBC Private World Equity Pool | 21-Aug-2003 |
| RBC Quant Canadian Dividend Leaders ETF | 7-Jan-2014 |
| RBC Quant Canadian Equity Leaders ETF | 1-Jun-2015 |
| RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF | 2-Oct-2014 |
| RBC Quant EAFE Dividend Leaders ETF | 7-Jan-2014 |
| RBC Quant EAFE Equity Leaders (CAD Hedged) ETF | 1-May-2015 |
| RBC Quant EAFE Equity Leaders ETF | 1-May-2015 |
| RBC Quant Emerging Markets Dividend Leaders ETF | 2-Oct-2014 |
| RBC Quant Emerging Markets Equity Leaders ETF | 1-May-2015 |
| RBC Quant European Dividend Leaders (CAD Hedged) ETF | 2-Oct-2014 |
| RBC Quant European Dividend Leaders ETF | 2-Oct-2014 |
| RBC Quant Global Infrastructure Leaders ETF | 13-Sep-2016 |
| RBC Quant Global Real Estate Leaders ETF | 21-Apr-2017 |
| RBC Quant U.S. Dividend Leaders (CAD Hedged) ETF | 2-Oct-2014 |
| RBC Quant U.S. Dividend Leaders ETF | 7-Jan-2014 |
| RBC Quant U.S. Equity Leaders (CAD Hedged) ETF | 1-May-2015 |
| RBC Quant U.S. Equity Leaders ETF | 1-May-2015 |
| RBC QUBE All Country World Equity Fund | 24-Jan-2014 |
| RBC QUBE Canadian Equity Fund | 2-Jul-2013 |
| RBC QUBE Global Equity Fund | 2-Jul-2013 |
| RBC QUBE Low Volatility All Country World Equity Fund | 24-Jan-2014 |
| RBC QUBE Low Volatility Canadian Equity Class | 22-Oct-2014 |



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| RBC QUBE Low Volatility Canadian Equity Fund | 14-Nov-2012 |
| RBC QUBE Low Volatility Global Equity Class | 22-Oct-2014 |
| RBC QUBE Low Volatility Global Equity Fund | 14-Nov-2012 |
| RBC QUBE Low Volatility Gobal Equity Currency Neutral Fund | 23-Jan-2018 |
| RBC QUBE Low Volatility U.S. Equity Class | 22-Oct-2014 |
| RBC QUBE Low Volatility U.S. Equity Currency Neutral Fund | 10-May-2016 |
| RBC QUBE Low Volatility U.S. Equity Fund | 14-Nov-2012 |
| RBC QUBE U.S. Equity Fund | 2-Jul-2013 |
| RBC Retirement 2020 Portfolio | 3-Oct-2016 |
| RBC Retirement 2025 Portfolio | 3-Oct-2016 |
| RBC Retirement 2030 Portfolio | 3-Oct-2016 |
| RBC Retirement 2035 Portfolio | 3-Oct-2016 |
| RBC Retirement 2040 Portfolio | 3-Oct-2016 |
| RBC Retirement 2045 Portfolio | 3-Oct-2016 |
| RBC Retirement 2050 Portfolio | 3-Oct-2016 |
| RBC Retirement Income Solution | 31-Dec-2016 |
| RBC Select Aggressive Growth Portfolio | 16-Jan-2007 |
| RBC Select Balanced Portfolio | 18-Dec-1986 |
| RBC Select Choices Aggressive Growth Portfolio | 17-May-2000 |
| RBC Select Choices Balanced Portfolio | 17-May-2000 |
| RBC Select Choices Conservative Portfolio | 17-May-2000 |
| RBC Select Choices Growth Portfolio | 17-May-2000 |
| RBC Select Conservative Portfolio | 18-Dec-1986 |
| RBC Select Growth Portfolio | 18-Dec-1986 |
| RBC Select Very Conservative Portfolio | 4-Mar-2009 |
| RBC Short Term Income Class | 21-Dec-2011 |
| RBC Short Term U.S. Corporate Bond ETF | 12-Sep-2017 |
| RBC Strategic Global Dividend Leaders ETF | 11-Jan-2016 |
| RBC Strategic Global Equity Leaders ETF | 11-Jan-2016 |
| RBC Strategic Income Bond Fund | 2-Jul-2013 |
| RBC Target 2018 Corporate Bond Index ETF | 7-Sep-2011 |
| RBC Target 2019 Corporate Bond Index ETF | 7-Sep-2011 |
| RBC Target 2020 Corporate Bond Index ETF | 7-Sep-2011 |
| RBC Target 2020 Education Fund | 19-Jul-2004 |
| RBC Target 2021 Corporate Bond Index ETF | 24-Aug-2012 |
| RBC Target 2022 Corporate Bond Index ETF | 26-Aug-2016 |
| RBC Target 2023 Corporate Bond Index ETF | 26-Aug-2016 |
| RBC Target 2024 Corporate Bond Index ETF | 17-Aug-2018 |
| RBC Target 2025 Corporate Bond Index ETF | 17-Aug-2018 |
| RBC Target 2025 Education Fund | 3-Jul-2007 |
| RBC Target 2030 Education Fund | 5-Jul-2012 |
| RBC Target 2035 Education Fund | 3-Jul-2018 |
| RBC Trend Canadian Equity Fund | 17-Nov-2016 |
| RBC U.S. Banks Yield (CAD Hedged) Index ETF | 26-Apr-2018 |



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| RBC U.S. Banks Yield Index ETF | 26-Apr-2018 |
| RBC U.S. Dividend Class | 18-Oct-2012 |
| RBC U.S. Dividend Currency Neutral Fund | 10-Oct-2016 |
| RBC U.S. Dividend Fund | 16-Oct-2001 |
| RBC U.S. Equity (CAD Hedged) Index ETF | 26-Apr-2018 |
| RBC U.S. Equity Class | 21-Dec-2011 |
| RBC U.S. Equity Currency Neutral Fund | 12-Jan-2006 |
| RBC U.S. Equity Fund | 16-May-1973 |
| RBC U.S. Equity Index ETF | 10-Aug-2017 |
| RBC U.S. Equity Value Class | 22-Oct-2014 |
| RBC U.S. Equity Value Fund | 24-Jan-2014 |
| RBC U.S. Index Currency Neutral Fund | 9-Sep-1998 |
| RBC U.S. Index Fund | 9-Sep-1998 |
| RBC U.S. Mid-Cap Equity Currency Neutral Fund | 12-Jan-2006 |
| RBC U.S. Mid-Cap Equity Fund | 9-Mar-1992 |
| RBC U.S. Mid-Cap Value Equity Class | 22-Oct-2014 |
| RBC U.S. Mid-Cap Value Equity Fund | 21-Dec-2011 |
| RBC U.S. Monthly Income Fund | 6-May-2005 |
| RBC U.S. Small-Cap Core Equity Class | 22-Oct-2014 |
| RBC U.S. Small-Cap Core Equity Fund | 21-Dec-2011 |
| RBC U.S. Small-Cap Value Equity Fund | 4-Sep-2015 |
| RBC Vision Women's Leadership MSCI Canada Index ETF | 27-Feb-2018 |
| RCI Corporation (CT) | 31-Aug-1994 |
| RDX Technologies Corporation | 5-Jun-2012 |
| Rea Gold Corporation (CT) | 28-Jul-1997 |
| Real Asset Income and Growth Fund | 28-Apr-2015 |
| Real del Monte Mining Corporation (CT) | 22-Mar-1989 |
| Real Estate Asset Liquidity Trust | 27-Sep-2004 |
| Real Estate Investment Corporate Class | 2-Oct-2007 |
| Real Estate Investment Pool | 21-May-1995 |
| Real Matters Inc. | 5-May-2017 |
| Real Money Fund Of Canada (CT) | 5-Oct-1982 |
| Real Return Bond Fund (Portico) | 7-Jul-2016 |
| REALnorth Opportunities Fund | 22-Dec-2014 |
| *Red Coat Cattle Feeders Inc. | 12-Feb-2004 |
| Red Eagle Mining Corporation | 29-Jun-2015 |
| *Red Earth Energy Limited (CT) | 18-May-1984 |
| Red River Capital Corp. | 27-Apr-2018 |
| Red Rock Energy Inc. | 31-May-2007 |
| Redekop Properties Inc. (CT) | 4-Oct-1994 |
| Redknee Solutions Inc. | 16-Oct-2012 |
| Redline Communications Group Inc. | 18-Oct-2007 |
| RedWater Energy Corp. | 5-Aug-2010 |
| Redwood Behavioral Opportunities Fund | 12-Dec-2014 |
| Redwood Canadian Preferred Share Fund | 14-Oct-2004 |



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|--|-------------|
| Redwood Emerging Markets Dividend Fund | 10-Nov-2010 |
| Redwood Equity Growth Class | 23-Aug-2012 |
| Redwood Income Growth Fund | 23-Aug-2012 |
| Redwood MLP & Infrastructure Income Fund | 5-Nov-2014 |
| Redwood Pension Class | 18-Jan-2008 |
| Redwood Strategic Yield Fund | 30-Jun-2011 |
| Redwood Tactical Asset Allocation Fund | 6-Nov-2015 |
| Redwood Tactical Bond Fund | 29-Jul-2015 |
| Redwood Tactical Credit Fund | 18-Dec-2013 |
| Reed Medical Library (CT) | 4-Dec-1981 |
| Reef Resources Ltd. | 26-Sep-2007 |
| Regency Gold Corp. | 28-Jan-2002 |
| *Regina Butcher (CT) And Baker Venture Fund Inc. | 9-Dec-1986 |
| Reigate Resources (Canada) Ltd. (CT) | 17-Aug-1984 |
| REIT INDEXPLUS Income Fund | 28-Mar-2011 |
| Reko International Group Inc. | 4-May-1994 |
| Relax Inns Vancouver Partnership (CT) | 21-Apr-1986 |
| Remworks Inc. (CT) | 31-Oct-1996 |
| Renaissance Canadian All-Cap Equity Fund | 25-Aug-2011 |
| Renaissance Canadian Balanced Fund | 21-Jan-1999 |
| Renaissance Canadian Bond Fund | 25-Aug-1989 |
| Renaissance Canadian Core Value Fund | 27-Sep-1994 |
| Renaissance Canadian Dividend Fund | 13-Nov-2002 |
| Renaissance Canadian Equity Private Pool | 23-Nov-1999 |
| Renaissance Canadian Fixed Income Private Pool | 23-Nov-1999 |
| Renaissance Canadian Growth Fund | 24-Aug-1987 |
| Renaissance Canadian Monthly Income Fund | 5-Nov-1997 |
| Renaissance Canadian Small Cap Fund | 25-Oct-1996 |
| Renaissance Canadian T-Bill Fund | 24-Aug-1987 |
| Renaissance China Plus Fund | 26-Oct-1998 |
| Renaissance Corporate Bond Capital Yield Fund | 16-Oct-2009 |
| Renaissance Diversified Income Fund | 13-Nov-2002 |
| Renaissance Emerging Markets Equity Private Pool | 23-Nov-1999 |
| Renaissance Emerging Markets Fund | 25-Oct-1996 |
| Renaissance Equity Income Private Pool | 6-Jan-2004 |
| Renaissance Flexible Yield Fund | 20-Apr-2016 |
| Renaissance Floating Rate Income Fund | 10-Sep-2013 |
| Renaissance Global Bond Fund | 15-Oct-1992 |
| Renaissance Global Bond Private Pool | 23-Nov-1999 |
| Renaissance Global Equity Private Pool | 20-Apr-2016 |
| Renaissance Global Focus Currency Neutral Fund | 15-Oct-2010 |
| Renaissance Global Focus Fund | 3-Sep-1999 |
| Renaissance Global Growth Currency Neutral Fund | 15-Oct-2010 |
| Renaissance Global Growth Fund | 25-Nov-1998 |
| Renaissance Global Health Care Fund | 16-Oct-1996 |



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| Renaissance Global Infrastructure Currency Neutral Fund | 15-Oct-2010 |
| Renaissance Global Infrastructure Fund | 21-Aug-2007 |
| Renaissance Global Markets Fund | 15-Oct-1992 |
| Renaissance Global Real Estate Currency Neutral Fund | 15-Oct-2010 |
| Renaissance Global Real Estate Fund | 15-Oct-2010 |
| Renaissance Global Resource Fund | 30-Jul-2002 |
| Renaissance Global Science & Technology Fund | 16-Oct-1996 |
| Renaissance Global Small Cap Fund | 6-Feb-1998 |
| Renaissance Global Value Fund | 6-Feb-1998 |
| Renaissance High Yield Bond Fund | 27-Sep-1994 |
| Renaissance International Dividend Fund | 25-Oct-1996 |
| Renaissance International Equity Currency Neutral Fund | 15-Oct-2010 |
| Renaissance International Equity Fund | 14-Nov-2000 |
| Renaissance International Equity Private Pool | 23-Nov-1999 |
| Renaissance Millennium High Income Fund | 25-Nov-1997 |
| Renaissance Money Market Fund | 25-Aug-1989 |
| Renaissance Multi-Asset Global Balanced Income Private Pool | 20-Apr-2016 |
| Renaissance Multi-Asset Global Balanced Private Pool | 20-Apr-2016 |
| Renaissance Multi-Sector Fixed Income Private Pool | 20-Apr-2016 |
| Renaissance Optimal Conservative Income Portfolio | 10-Sep-2013 |
| Renaissance Optimal Global Equity Currency Neutral Portfolio | 15-Oct-2010 |
| Renaissance Optimal Global Equity Portfolio | 8-Feb-2000 |
| Renaissance Optimal Growth & Income Portfolio | 10-Sep-2013 |
| Renaissance Optimal Income Portfolio | 21-Aug-2007 |
| Renaissance Optimal Inflation Opportunities Portfolio | 25-Aug-2011 |
| Renaissance Real Assets Private Pool | 20-Apr-2016 |
| Renaissance Real Return Bond Fund | 13-Nov-2002 |
| Renaissance Short-Term Income Fund | 25-Aug-1989 |
| Renaissance U.S. Dollar Corporate Bond Fund | 10-Sep-2013 |
| Renaissance U.S. Dollar Diversified Income Fund | 10-Sep-2013 |
| Renaissance U.S. Equity Currency Neutral Private Pool | 9-Dec-2010 |
| Renaissance U.S. Equity Fund | 25-Oct-1996 |
| Renaissance U.S. Equity Growth Currency Neutral Fund | 15-Oct-2010 |
| Renaissance U.S. Equity Growth Fund | 24-Aug-1987 |
| Renaissance U.S. Equity Income Fund | 10-Sep-2013 |
| Renaissance U.S. Equity Private Pool | 23-Nov-1999 |
| Renaissance U.S. Equity Value Fund | 25-Nov-1998 |
| Renaissance U.S. Money Market Fund | 3-Apr-1987 |
| Renaissance Ultra Short-Term Income Private Pool | 23-Nov-1999 |
| Rentown Enterprises Inc. (CT) | 15-Dec-1989 |
| Resolute Forest Products Inc. | 29-Oct-2007 |
| Restaurant Brands International Inc. | 9-Dec-2015 |
| Resverlogix Corp. | 13-Oct-2011 |
| Return Energy Inc. | 31-May-2006 |



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| Revive Therapeutics Ltd. | 5-Dec-2014 |
| Richards Packaging Income Fund | 30-Mar-2004 |
| Richelieu Hardware Ltd. | 14-Jul-1993 |
| Richtree Inc. | 12-Feb-1997 |
| Rider Investment Capital Corp. | 23-Apr-2018 |
| Ridge Land Properties Limited Partnership (CT) | 30-Nov-1983 |
| Ridgewood Canadian Bond Fund | 25-Feb-1999 |
| Ridgewood Canadian Investment Grade Bond Fund | 30-Nov-2009 |
| Ridgewood Tactical Yield Fund | 1-Apr-2011 |
| Ring of Power (CT) | 10-Mar-1980 |
| Rio2 Limited | 24-Jul-2018 |
| RioCan Real Estate Investment Trust | 1-Jun-1988 |
| Ritchie Bros. Auctioneers Incorporated | 23-Jan-2004 |
| River Park Estates Partnership | 6-Dec-1983 |
| Rivergate Estates Phase I Limited Partnership | 2-Jun-1983 |
| Rivergate Estates Phase II Limited Partnership | 2-Sep-1983 |
| Rivergate Estates Phase III Limited Partnership | 3-Aug-1984 |
| RKW Standardbred Limited Partnership (CT) | 26-Jun-1987 |
| RMP Energy Inc. | 3-Jul-2007 |
| Robson Petroleum Ltd. (CT) | 17-Nov-1981 |
| Roca Mines Inc. | 7-Nov-2002 |
| Rochester Resources Ltd. | 3-Jul-1990 |
| RockBridge Resources Inc. | 29-Jul-2008 |
| Rocky Mountain Dealerships Inc. | 11-Dec-2007 |
| Rocky Mountain Liquor Inc. | 11-Mar-2008 |
| Rodinia Oil Corp. | 20-May-2010 |
| Rogers Communications Inc. | 12-Sep-1980 |
| Rogers Sugar Inc. | 1-Jan-2011 |
| Roman Corporation Limited (T) | 3-May-2004 |
| ROMC Trust | 7-Jun-2016 |
| Roots Corporation | 18-Oct-2017 |
| Rosehearty Energy Inc. | 15-Oct-2002 |
| Roxgold Inc. | 17-Mar-2014 |
| Royal Bank of Canada | 24-Nov-1981 |
| Royal Gold, Inc. | 16-Jul-2004 |
| Royal Nickel Corporation | 10-Dec-2010 |
| Royal View Apartments (CT) | 26-Jun-1981 |
| RP Strategic Income Plus Fund | 2-Mar-2016 |
| Rubicon Minerals Corporation | 6-Nov-2009 |
| Rusoro Mining Ltd. | 15-Dec-2008 |
| Russel Metals Inc. | 1-Dec-1983 |
| Russell Investments Canadian Cash Fund | 9-Jul-2013 |
| Russell Investments Canadian Dividend Class | 20-Jul-2009 |
| Russell Investments Canadian Dividend Pool | 20-Jul-2009 |
| Russell Investments Canadian Equity Class | 28-Oct-2008 |



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| Russell Investments Canadian Equity Fund | 10-Aug-2000 |
| Russell Investments Canadian Equity Pool | 5-Jan-1993 |
| Russell Investments Canadian Fixed Income Fund | 10-Aug-2000 |
| Russell Investments Conservative Income | 16-Dec-2011 |
| Russell Investments Conservative Income Class | 16-Jan-2012 |
| Russell Investments Diversified Monthly Income | 4-Nov-2004 |
| Russell Investments Diversified Monthly Income Class | 28-Oct-2008 |
| Russell Investments Emerging Markets Equity Class | 28-Oct-2008 |
| Russell Investments Emerging Markets Equity Pool | 31-Jan-1997 |
| Russell Investments ESG Global Equity Fund | 6-Jul-2017 |
| Russell Investments Fixed Income Class | 28-Oct-2008 |
| Russell Investments Fixed Income Pool | 5-Jan-1993 |
| Russell Investments Focused Canadian Equity Class | 6-Dec-2012 |
| Russell Investments Focused Canadian Equity Pool | 6-Dec-2012 |
| Russell Investments Focused Global Equity Class | 28-Nov-2013 |
| Russell Investments Focused Global Equity Pool | 28-Nov-2013 |
| Russell Investments Focused US Equity Class | 14-Sep-2011 |
| Russell Investments Focused US Equity Pool | 14-Sep-2011 |
| Russell Investments Global Equity Class | 28-Oct-2008 |
| Russell Investments Global Equity Fund | 14-Apr-2005 |
| Russell Investments Global Equity Pool | 29-Jan-1998 |
| Russell Investments Global High Income Bond Class | 4-Jul-2012 |
| Russell Investments Global High Income Bond Pool | 16-Dec-2011 |
| Russell Investments Global Infrastructure Class | 3-Jul-2015 |
| Russell Investments Global Infrastructure Pool | 21-Jan-2013 |
| Russell Investments Global Real Estate Pool | 21-Jan-2013 |
| Russell Investments Global Smaller Companies Class | 26-Oct-2010 |
| Russell Investments Global Smaller Companies Pool | 28-Oct-2010 |
| Russell Investments Global Unconstrained Bond Pool | 25-Oct-2007 |
| Russell Investments Income Essentials | 14-Mar-2008 |
| Russell Investments Income Essentials Class | 28-Oct-2008 |
| Russell Investments Inflation Linked Bond Fund | 1-Apr-2014 |
| Russell Investments Money Market Class | 28-Oct-2008 |
| Russell Investments Money Market Pool | 29-Jan-1998 |
| Russell Investments Multi-Factor Canadian Equity Pool | 5-Jul-2018 |
| Russell Investments Multi-Factor International Equity Pool | 6-Apr-2017 |
| Russell Investments Multi-Factor US Equity Pool | 6-Apr-2018 |
| Russell Investments Overseas Equity Class | 28-Oct-2008 |
| Russell Investments Overseas Equity Fund | 10-Aug-2000 |
| Russell Investments Overseas Equity Pool | 5-Jan-1993 |
| Russell Investments Real Assets | 5-Jul-2018 |
| Russell Investments Short Term Income Class | 4-Jul-2012 |
| Russell Investments Short Term Income Pool | 4-Jul-2012 |
| Russell Investments US Equity Class | 28-Oct-2008 |
| Russell Investments US Equity Fund | 10-Aug-2000 |



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| Russell Investments US Equity Pool | 5-Jan-1993 |
| RYU Apparel Inc. | 25-Jan-2017 |
| S & M Photolabels Inc. (CT) | 10-Dec-1986 |
| S Split Corp. | 27-Apr-2007 |
| Sabina Gold & Silver Corp. | 23-Feb-2011 |
| Saco SmartVision Inc. (CT) | 15-Nov-1996 |
| Samco Gold Limited | 28-Jun-2011 |
| Samex Mining Corp. | 3-Mar-1980 |
| Samoth Oilfield Inc. | 9-Nov-2006 |
| San Gold Corporation | 27-Jul-2010 |
| Sanatana Resources Inc. | 30-Nov-2005 |
| Sandhurst (CT) | 9-Dec-1981 |
| Sandspring Resources Ltd. | 16-Nov-2009 |
| Sandstorm Gold Ltd. | 16-Apr-2009 |
| Santacruz Silver Mining Ltd. | 5-Feb-2013 |
| Saputo Inc. | 6-Oct-1997 |
| Sarama Resources Ltd. | 26-Oct-2011 |
| Sarcee Trail Place (CT) | 21-Nov-1979 |
| Sarment Holding Limited | 27-Jul-2018 |
| *Saskatoon Square Limited Partnership (CT) | 27-Jun-1980 |
| *Saskatoon Tower (CT) | 14-Dec-1979 |
| *SaskWorks Venture Fund Inc. | 22-Dec-1999 |
| Saturn (Solutions) Inc. (T) | 26-Feb-1996 |
| Savaria Corporation | 27-Apr-2018 |
| Sceptre Ventures Inc. | 8-Apr-2010 |
| Scotia Aria Conservative Build Portfolio | 20-Nov-2014 |
| Scotia Aria Conservative Core Portfolio | 20-Nov-2014 |
| Scotia Aria Conservative Pay Portfolio | 20-Nov-2014 |
| Scotia Aria Moderate Build Portfolio | 20-Nov-2014 |
| Scotia Aria Moderate Core Portfolio | 20-Nov-2014 |
| Scotia Aria Moderate Pay Portfolio | 20-Nov-2015 |
| Scotia Aria Progressive Build Portfolio | 20-Nov-2014 |
| Scotia Aria Progressive Core Portfolio | 20-Nov-2014 |
| Scotia Aria Progressive Pay Portfolio | 20-Nov-2014 |
| Scotia Balanced Opportunities Fund | 21-Apr-1972 |
| Scotia Bond Fund | 20-Aug-2009 |
| Scotia Canadian Balanced Fund | 21-Jun-1990 |
| Scotia Canadian Blue Chip Fund | 27-Jan-1987 |
| Scotia Canadian Bond Index Fund | 6-Oct-1999 |
| Scotia Canadian Dividend Class | 24-May-2012 |
| Scotia Canadian Dividend Fund | 23-Dec-1992 |
| Scotia Canadian Equity Blend Class | 23-Nov-2012 |
| Scotia Canadian Growth Fund | 21-Apr-1972 |
| Scotia Canadian Income Fund | 26-May-1988 |
| Scotia Canadian Index Fund | 17-Dec-1996 |



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|---|-------------|
| Scotia Canadian Small Cap Fund | 23-Dec-1992 |
| Scotia CanAm Index Fund | 29-Sep-1993 |
| Scotia Conservative Government Bond Capital Yield Class | 31-Dec-2012 |
| Scotia Conservative Income Fund | 16-Jan-2014 |
| Scotia Diversified Monthly Income Fund | 17-Jun-2005 |
| Scotia Dividend Balanced Fund | 27-Aug-2010 |
| Scotia European Fund | 30-Sep-1996 |
| Scotia Fixed Income Blend Class | 23-Nov-2012 |
| Scotia Floating Rate Income Fund | 17-Jan-2014 |
| Scotia Global Balanced Fund | 27-Aug-2010 |
| Scotia Global Bond Fund | 6-Jul-1994 |
| Scotia Global Dividend Class | 24-May-2012 |
| Scotia Global Dividend Fund | 27-Aug-2010 |
| Scotia Global Growth Fund | 21-Apr-1972 |
| Scotia Global Opportunities Fund | 13-Dec-2000 |
| Scotia Global Small Cap Fund | 13-Dec-2000 |
| Scotia Income Advantage Fund | 11-Jul-2011 |
| Scotia Innova Balanced Growth Portfolio | 15-Jan-2009 |
| Scotia INNOVA Balanced Growth Portfolio Class | 24-May-2012 |
| Scotia Innova Balanced Income Portfolio | 15-Jan-2009 |
| Scotia INNOVA Balanced Income Portfolio Class | 24-May-2012 |
| Scotia Innova Growth Portfolio | 15-Jan-2009 |
| Scotia INNOVA Growth Portfolio Class | 24-May-2012 |
| Scotia Innova Income Portfolio | 15-Jan-2009 |
| Scotia Innova Maximum Growth Portfolio | 15-Jan-2009 |
| Scotia INNOVA Maximum Growth Portfolio Class | 24-May-2012 |
| Scotia International Equity Blend Class | 23-Nov-2012 |
| Scotia International Index Fund | 6-Oct-1999 |
| Scotia International Value Fund | 13-Dec-2000 |
| Scotia Latin American Fund | 4-Oct-1994 |
| Scotia Money Market Fund | 11-Sep-1990 |
| Scotia Mortgage Income Fund | 14-Oct-1992 |
| Scotia Nasdaq Index Fund | 14-Dec-2000 |
| Scotia Pacific Rim Fund | 4-Oct-1994 |
| Scotia Partners Balanced Growth Portfolio | 2-Dec-2002 |
| Scotia Partners Balanced Growth Portfolio Class | 25-Jan-2016 |
| Scotia Partners Balanced Income Portfolio | 2-Dec-2002 |
| Scotia Partners Balanced Income Portfolio Class | 25-Jan-2016 |
| Scotia Partners Growth Portfolio | 2-Dec-2002 |
| Scotia Partners Growth Portfolio Class | 25-Jan-2016 |
| Scotia Partners Income Portfolio | 27-Aug-2010 |
| Scotia Partners Maximum Growth Portfolio | 2-Dec-2002 |
| Scotia Partners Maximum Growth Portfolio Class | 25-Jan-2016 |
| Scotia Premium T-Bill Fund | 26-Aug-1992 |
| Scotia Private Emerging Markets Pool | 13-Sep-2010 |



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|--|-------------|
| Scotia Private American Core-Plus Bond Pool | 30-Jan-2002 |
| Scotia Private Canadian All Cap Equity Pool | 15-Nov-2016 |
| Scotia Private Canadian Corporate Bond Pool | 3-Nov-2003 |
| Scotia Private Canadian Equity Pool | 17-Jun-2005 |
| Scotia Private Canadian Growth Pool | 9-Sep-1997 |
| Scotia Private Canadian Mid Cap Pool | 30-Jan-2002 |
| Scotia Private Canadian Preferred Share Pool | 1-Dec-2011 |
| Scotia Private Canadian Small Cap Pool | 9-Sep-1997 |
| Scotia Private Canadian Value Pool | 9-Sep-1997 |
| Scotia Private Fundamental Canadian Equity Pool | 15-Nov-2016 |
| Scotia Private Global Credit Pool | 31-Dec-2016 |
| Scotia Private Global Equity Pool | 9-Sep-1997 |
| Scotia Private Global High Yield Pool | 3-Oct-2017 |
| Scotia Private Global Infrastructure Pool | 15-Nov-2017 |
| Scotia Private Global Low Volatility Equity Pool | 15-Nov-2016 |
| Scotia Private Global Real Estate Pool | 30-Jan-2002 |
| Scotia Private High Yield Income Pool | 9-Sep-1997 |
| Scotia Private Income Pool | 9-Sep-1997 |
| Scotia Private International Core Equity Pool | 17-Jun-2005 |
| Scotia Private International Equity Pool | 9-Sep-1997 |
| Scotia Private International Small to Mid Cap Value Pool | 30-Jan-2002 |
| Scotia Private North American Dividend Pool | 17-Jun-2005 |
| Scotia Private Premium Income Pool | 1-Jun-2015 |
| Scotia Private Real Estate Income Pool | 23-Nov-2012 |
| Scotia Private Short Term Income Pool | 9-Sep-1997 |
| Scotia Private Short-Mid Government Bond Pool | 2-Nov-2007 |
| Scotia Private Strategic Balanced Pool | 9-Sep-1997 |
| Scotia Private Total Return Bond Pool | 15-Nov-2016 |
| Scotia Private U.S. Large Cap Growth Pool | 29-Jan-2001 |
| Scotia Private U.S. Mid Cap Value Pool | 30-Jan-2002 |
| Scotia Private U.S. Value Pool | 9-Sep-1997 |
| Scotia Resource Fund | 29-Sep-1993 |
| Scotia Schools Trust | 7-May-1999 |
| Scotia Selected Balanced Growth Portfolio | 25-Apr-2003 |
| Scotia Selected Balanced Income Portfolio | 25-Apr-2003 |
| Scotia Selected Growth Portfolio | 25-Apr-2003 |
| Scotia Selected Income Portfolio | 23-Nov-2012 |
| Scotia Selected Maximum Growth Portfolio | 25-Apr-2003 |
| Scotia Short Term Bond Fund | 27-Aug-2010 |
| Scotia Strategic Canadian Equity ETF Portfolio | 23-May-2018 |
| Scotia Strategic Fixed Income ETF Portfolio | 23-May-2018 |
| Scotia Strategic International Equity ETF Portfolio | 23-May-2018 |
| Scotia Strategic U.S. Equity ETF Portfolio | 23-May-2018 |
| Scotia T-Bill Fund | 11-Oct-1991 |
| Scotia U.S. \$ Balanced Fund | 11-Jul-2011 |



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| Scotia U.S. \$ Bond Fund | 4-Dec-1991 |
| Scotia U.S. \$ Money Market Fund | 30-Sep-1996 |
| Scotia U.S. Blue Chip Fund | 4-Jan-1987 |
| Scotia U.S. Dividend Fund | 23-Nov-2012 |
| Scotia U.S. Equity Blend Class | 23-Nov-2012 |
| Scotia U.S. Index Fund | 17-Dec-1996 |
| Scotia U.S. Opportunities Fund | 13-Dec-2000 |
| Scotiabank Capital Trust | 23-Apr-2002 |
| Scotiabank Tier 1 Trust | 1-May-2009 |
| SDX Energy Inc. | 13-Apr-2010 |
| Seabridge Gold Inc. | 11-Apr-2017 |
| Sears Canada Inc. | 16-Aug-1988 |
| Secure Energy Services Inc. | 24-Mar-2010 |
| Securex Ltd. | 3-Oct-1995 |
| Security Home Mortgage Investment Corporation (CT) | 3-Feb-1989 |
| Sedibelo Platinum Mines Limited | 28-Jul-2006 |
| Select 100e Managed Portfolio Corporate Class | 27-Nov-2006 |
| Select 20i80e Managed Portfolio Corporate Class | 27-Nov-2006 |
| Select 30i70e Managed Portfolio Corporate Class | 27-Nov-2006 |
| Select 40i60e Managed Portfolio Corporate Class | 27-Nov-2006 |
| Select 50i50e Managed Portfolio Corporate Class | 27-Nov-2006 |
| Select 60i40e Managed Portfolio Corporate Class | 27-Nov-2006 |
| Select 70i30e Managed Portfolio Corporate Class | 27-Nov-2006 |
| Select 80i20e Managed Portfolio Corporate Class | 27-Nov-2006 |
| Select Canadian Equity Managed Corporate Class | 9-Nov-2005 |
| Select Canadian Equity Managed Fund | 22-Nov-2006 |
| Select Income Managed Corporate Class | 22-Jul-2010 |
| Select International Equity Managed Corporate Class | 12-Nov-2005 |
| Select International Equity Managed Fund | 22-Nov-2006 |
| Select Staging Fund | 12-Nov-2005 |
| Select U.S. Equity Managed Corporate Class | 31-Dec-2005 |
| SemBioSys Genetics Inc. | 25-Nov-2004 |
| Semi-Tech Corporation (CT) | 8-Jul-1992 |
| Sendero Mining Corp. | 26-Aug-2008 |
| Senior Secured Floating Rate Loan Fund | 21-Aug-2013 |
| Sentry All Cap Income Fund | 20-Jan-1997 |
| Sentry Alternative Asset Income Fund | 9-Jun-2015 |
| Sentry Canadian Bond Fund | 31-Aug-2012 |
| Sentry Canadian Income Class | 28-Mar-2006 |
| Sentry Canadian Income Fund | 12-Feb-2002 |
| Sentry Canadian Opportunities Class | 6-Mar-2000 |
| Sentry Conservative Balanced Income Class | 26-Jan-2012 |
| Sentry Conservative Balanced Income Fund | 5-Mar-2010 |
| Sentry Conservative Income Portfolio | 16-Sep-2014 |
| Sentry Conservative Monthly Income Fund | 9-Jun-2015 |



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| Sentry Corporate Bond Class | 31-Aug-2012 |
| Sentry Corporate Bond Fund | 31-Aug-2012 |
| Sentry Diversified Equity Class | 30-May-2011 |
| Sentry Diversified Equity Fund | 28-Jul-2005 |
| Sentry Energy Fund | 24-Feb-2009 |
| Sentry Energy Private Trust | 20-Jun-2017 |
| Sentry Global Growth and Income Class | 29-May-2012 |
| Sentry Global Growth and Income Fund | 29-May-2012 |
| Sentry Global High Yield Bond Class | 28-May-2010 |
| Sentry Global High Yield Bond Fund | 28-May-2010 |
| Sentry Global Infrastructure Fund | 30-Jan-2007 |
| Sentry Global Mid Cap Income Fund | 9-Jun-2015 |
| Sentry Global Monthly Income Fund | 7-Jun-2013 |
| Sentry Global REIT Class | 7-Jun-2013 |
| Sentry Global REIT Fund | 11-Feb-1998 |
| Sentry Growth & Income Fund | 20-Aug-2008 |
| Sentry Growth and Income Portfolio | 16-Sep-2014 |
| Sentry Growth Portfolio | 16-Sep-2014 |
| Sentry Income Portfolio | 16-Sep-2014 |
| Sentry Money Market Class | 28-Mar-2006 |
| Sentry Money Market Fund | 20-Aug-2008 |
| Sentry Precious Metals Class | 15-Apr-2008 |
| Sentry Precious Metals Fund | 11-Feb-1998 |
| Sentry Real Growth Pool Class | 16-Jun-2016 |
| Sentry Real Income 1941-45 Class | 16-Jun-2016 |
| Sentry Select Primary Metals Corp. | 25-May-2007 |
| Sentry Small/Mid Cap Income Fund | 28-Jul-2005 |
| Sentry U.S. Growth and Income Class | 29-May-2012 |
| Sentry U.S. Growth and Income Currency Neutral Class | 13-Apr-2016 |
| Sentry U.S. Growth and Income Fund | 30-May-2011 |
| Sentry U.S. Monthly Income Fund | 1-Mar-2013 |
| Serica Energy PLC | 20-Dec-2007 |
| Serinus Energy plc | 24-Jun-2013 |
| Serrano Resources Ltd. | 28-Nov-2005 |
| Serval Integrated Energy Services (CT) | 8-May-1997 |
| Seven Evergreen Apartment Project | 9-Dec-1980 |
| Seven Generations Energy Ltd. | 29-Oct-2014 |
| Shamaran Petroleum Corp. | 23-Dec-2014 |
| Shape Of Things To Come (CT) | 27-Dec-1978 |
| Shaughnessy House Limited Partnership (CT) | 3-Oct-1984 |
| Shaw Communications Inc. | 8-Apr-1983 |
| ShawCor Ltd. | 1-Oct-1967 |
| Sherobee Glen Limited Partnership | 30-Jul-1982 |
| Sherritt International Corporation | 20-Dec-1995 |
| Sherwood Copper Corporation | |



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|--|-------------|
| Shopify Inc. | 20-May-2015 |
| *Shore Gold Inc. | 15-Sep-1986 |
| Shoreline Energy Corp. | 30-Jun-2005 |
| Short Term Bond Fund | 9-Mar-2006 |
| Short Term Bond Fund (Portico) | 7-Jul-2016 |
| Short Term Income Corporate Class | 2-Oct-2007 |
| Short Term Income Pool | 19-Apr-1993 |
| Short Term Investment Fund | 6-Jul-2012 |
| Sienna Senior Living Inc. | 15-Mar-2010 |
| Sierra Wireless, Inc. | 7-May-1999 |
| Signature Canadian Balanced Fund | 27-Jun-1997 |
| Signature Canadian Bond Corporate Class | 18-Sep-2002 |
| Signature Canadian Bond Fund | 21-Jan-1993 |
| Signature Cash Management Fund | 3-Apr-2013 |
| Signature Corporate Bond Corporate Class | 23-Jul-2003 |
| Signature Corporate Bond Fund | 20-Dec-2001 |
| Signature Diversified Yield Corporate Class | 11-Nov-2009 |
| Signature Diversified Yield II Fund | 11-Feb-2011 |
| Signature Dividend Corporate Class | 18-Sep-2002 |
| Signature Dividend Fund | 30-Oct-1996 |
| Signature Emerging Markets Corporate Class | 3-Aug-2001 |
| Signature Emerging Markets Fund | 16-Sep-1991 |
| Signature Global Bond Corporate Class | 18-Sep-2002 |
| Signature Global Bond Fund | 23-Sep-1992 |
| Signature Global Dividend Corporate Class | 21-Dec-2012 |
| Signature Global Energy Corporate Class | 3-Aug-2001 |
| Signature Global Income & Growth Corporate Class | 22-Feb-2007 |
| Signature Global Income & Growth Fund | 26-Feb-2007 |
| Signature Global Resource Corporate Class | 3-Aug-2001 |
| Signature Global Resource Fund | 15-Apr-1997 |
| Signature Global Technology Corporate Class | 3-Aug-2001 |
| Signature Gold Corporate Class | 14-Dec-2010 |
| Signature High Income Corporate Class | 18-Sep-2002 |
| Signature High Income Fund | 30-Dec-1996 |
| Signature High Yield Bond Corporate Class | 30-Dec-2011 |
| Signature High Yield Bond II Fund | 30-Jul-2013 |
| Signature Income & Growth Corporate Class | 31-Mar-2005 |
| Signature Income & Growth Fund | 16-Nov-2000 |
| Signature Preferred Share Pool | 21-Dec-2015 |
| Signature Select Canadian Corporate Class | 18-Sep-2002 |
| Signature Select Canadian Fund | 20-May-1998 |
| Signature Short-Term Bond Fund | 10-Aug-1976 |
| Signature Tactical Bond Pool | 21-Dec-2015 |
| Siltronics Ltd. (CT) | 29-Sep-1983 |
| Silver Bear Resources Plc | 30-Jun-2017 |



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| Silver Bullion Trust | 17-May-2016 |
| Silver Wheaton Corp. | 9-Dec-2005 |
| Silvercorp Metals Inc | 20-Apr-2006 |
| SilverCrest Metals Inc. | 1-Oct-2015 |
| Silversword Corporation (CT) | 6-Nov-1986 |
| Sintana Energy Inc. (formerly Mobius Resources Inc.) | 6-Aug-2015 |
| Sionna Canadian Balanced Fund | 26-Jun-2002 |
| Sionna Canadian Small Cap Equity Fund | 28-Dec-2006 |
| Sionna Diversified Income Fund | 28-Dec-2006 |
| Sionna Monthly Income Fund | 28-Jun-2011 |
| SIR ROYALTY INCOME FUND | 1-Oct-2004 |
| Sirius XM Holdings Inc. | 25-May-2017 |
| Skeena Resources Limited | 13-May-2005 |
| SKG INTERACTIVE INC. (CT) | 24-Feb-1989 |
| Skylon Growth & Income Trust | 30-Jan-2004 |
| Slate Office REIT | 18-Dec-2012 |
| Slate Retail REIT | 30-Mar-2012 |
| Slater Steel Inc. (T) | 12-Sep-1995 |
| Sleep Country Canada Holdings Inc. | 23-Jun-2015 |
| SLMsoft Inc. (T) | 8-Jul-1997 |
| SmartCentres Real Estate Investment Trust | 24-Oct-2002 |
| SNC-Lavalin Group Inc. | 27-May-1986 |
| Snow Leopard Resources Inc. (T) | 8-May-1997 |
| SNV Group Ltd. (CT) | 8-Sep-1999 |
| Sobeys Inc. | 17-Nov-1998 |
| SocieTerra Balanced Portfolio | 16-Jan-2009 |
| SocieTerra Conservative Portfolio | 16-Jan-2009 |
| SocieTerra Growth Portfolio | 1-Jan-2000 |
| SocieTerra Maximum Growth Portfolio | 16-Jan-2009 |
| Solara Exploration Ltd | 19-Dec-2005 |
| Solaris Copper Inc. | 3-Aug-2018 |
| SOLITARIO ZINC CORP. | 13-Jul-2017 |
| Solium Capital Inc. | 19-Nov-2013 |
| Sona Nanotech Inc. | 15-Jun-2006 |
| Sonde Resources Corp. | 28-Oct-1999 |
| Sonor Investments Limited | 1-Oct-1967 |
| Sonora Diamond Corp. Ltd. (CT) | 27-Feb-1984 |
| Sophiris Bio Inc. | 30-Jun-2004 |
| Source Energy Services Ltd. | 7-Apr-2017 |
| *South West Terminal Ltd. | 15-Nov-1994 |
| Southeast Asia Mining Corp. | 28-Nov-2008 |
| Southern Pacific Resource Corp. | 16-Nov-2007 |
| Southern Tier Gas Producers Ltd. (CT) | 22-Aug-1979 |
| SouthGobi Resources Ltd. | 22-Jan-2010 |
| Southland Tower I L.P. (CT) | 13-Aug-1981 |



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|--|-------------|
| *Southwest Venture Capital Corporation (CT) | 7-May-1987 |
| Spackman Equities Group Inc. | 5-Oct-2007 |
| Spark Power Group Inc. | 25-Jul-2017 |
| Specialty Foods Group Income Fund | 3-Mar-2003 |
| Spectra7 Microsystems Inc. | 24-Mar-2014 |
| Spectral Medical Inc. | 10-Feb-2016 |
| Spey Resources Corp. | 28-Jun-2018 |
| Sphere FTSE Canada Sustainable Yield Index ETF | 4-Apr-2016 |
| Sphere FTSE Emerging Markets Sustainable Yield Index ETF | 4-Apr-2016 |
| Sphere FTSE Europe Sustainable Yield Index ETF | 4-Apr-2016 |
| Spin Master Corp. | 22-Jul-2015 |
| Spinnaker Development Corporation (CT) | 15-May-1984 |
| Sprott 2017 Flow-Through Limited Partnership | 25-Jan-2017 |
| Sprott 2017-II Flow-Through Limited Partnership | 30-Aug-2017 |
| Sprott Energy Opportunities Trust | 7-Dec-2016 |
| Sprott Inc. | 8-May-2008 |
| Sprott Physical Gold and Silver Trust | 16-Jan-2018 |
| Sprott Physical Gold Trust | 25-Feb-2010 |
| Sprott Physical Platinum and Palladium Trust | 18-Dec-2012 |
| Sprott Physical Silver Trust | 27-Oct-2010 |
| Sprott Resource Holdings Inc. (formerly Adriana Resources Inc.) | 4-Apr-2017 |
| Spyglass Resources Corp. | 28-Mar-2013 |
| SRAI Capital Corp. | 6-Jan-2004 |
| SRG Graphite Inc. | 11-May-2018 |
| SSR Mining Inc. | 10-May-2006 |
| St. Augustine Gold and Copper Limited | 5-Jul-2010 |
| St. Clair Paint & Wallpaper Corporation (CT) | 29-Oct-1986 |
| St. James Square Limited Partnership | 18-Aug-1986 |
| Stabell Resources Inc (CT) | 23-Dec-1981 |
| Standard Modern Technologies Corp. (CT) | 19-Jan-1984 |
| Stanley Park Place Apartments (CT) | 8-Dec-1982 |
| Stantec Inc. | 16-Mar-1994 |
| Star Navigation Systems Group Ltd. | 2-Dec-1997 |
| Star Properties Inc. (CT) | 1-Feb-1996 |
| Starlight U.S. Multi-Family (No. 1) Value-Add Fund | 13-Jun-2017 |
| Starlight U.S. Multi-Family (No. 5) Core Fund | 12-Oct-2016 |
| Steadyhand Equity Fund | 13-Feb-2007 |
| Steadyhand Global Equity Fund | 13-Feb-2007 |
| Steadyhand Income Fund | 13-Feb-2007 |
| Steadyhand Savings Fund | 13-Feb-2007 |
| Steadyhand Small-Cap Equity Fund | 13-Feb-2007 |
| Stelco Holdings Inc. | 2-Nov-2017 |
| Stella-Jones Inc. | 14-Jun-1994 |
| STEP Energy Services Ltd. | 25-Apr-2017 |



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| Steppe Gold Ltd. | 27-Sep-2017 |
| Sterling Shoes Inc. | 1-Jul-2010 |
| Stingray Digital Group Inc. | 26-May-2015 |
| Stone Dividend Growth Class | 12-Apr-1958 |
| Stone Europlus Fund | 5-May-2008 |
| Stone Global Balanced Fund | 20-Dec-1996 |
| Stone Global Growth Fund | 31-Dec-1998 |
| Stone Growth Fund | 1-Jun-1995 |
| Stone Investment Group Limited | 22-Dec-2006 |
| Stone Select Growth Class | 5-Aug-2005 |
| Stonehaven Exploration Ltd. | 4-Nov-2011 |
| *Stoney Case Capital Corp. (CT) | 4-May-2000 |
| StorageVault Canada Inc. | 15-Oct-2007 |
| Storm Resources Ltd. | 17-Aug-2010 |
| Stornoway Diamond Corporation | 10-Aug-2006 |
| Strad Energy Services Inc. | 15-Nov-2010 |
| Strategic Oil & Gas Ltd. | 29-Sep-2010 |
| Strategic Resources Inc. | 13-Jul-2005 |
| Strathearn House Group Limited (CT) | 27-Mar-1984 |
| Street Capital Group Inc. | 5-Jun-1986 |
| Strongco Corporation | 1-Jul-2010 |
| Stuart Olson Inc. | 15-Oct-1987 |
| Sulliden Mining Capital Inc. | 5-Aug-2014 |
| Summit Industrial Income REIT | 14-Feb-2013 |
| Summit Limited Partnership (CT) | 10-Nov-1982 |
| Sun Life Assurance Company of Canada | 24-May-2001 |
| Sun Life BlackRock Canadian Balanced Class | 1-Aug-2013 |
| Sun Life BlackRock Canadian Balanced Fund | 2-Mar-2011 |
| Sun Life BlackRock Canadian Composite Equity Class | 1-Aug-2013 |
| Sun Life BlackRock Canadian Composite Equity Fund | 11-Apr-2011 |
| Sun Life BlackRock Canadian Equity Class | 1-Aug-2013 |
| Sun Life BlackRock Canadian Equity Fund | 7-Apr-2011 |
| Sun Life BlackRock Canadian Universe Bond Fund | 11-Apr-2011 |
| Sun Life Canadian Small Cap Equity Class | 31-Jul-2015 |
| Sun Life Capital Trust | 12-Oct-2001 |
| Sun Life Capital Trust II | 18-Nov-2009 |
| Sun Life Dynamic American Value Fund | 30-Jan-2015 |
| Sun Life Dynamic Energy Fund | 31-Jul-2015 |
| Sun Life Dynamic Equity Income Class | 1-Aug-2013 |
| Sun Life Dynamic Equity Income Fund | 15-Jan-2013 |
| Sun Life Dynamic Strategic Yield Class | 1-Aug-2013 |
| Sun Life Dynamic Strategic Yield Fund | 15-Jan-2013 |
| Sun Life Excel China Fund | 27-Jan-2000 |
| Sun Life Excel Emerging Markets Balanced Fund | 8-Oct-2015 |
| Sun Life Excel Emerging Markets Fund | 30-Aug-2011 |



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| Sun Life Excel High Income Fund | 27-Oct-2010 |
| Sun Life Excel India Balanced Fund | 22-Apr-2016 |
| Sun Life Excel India Fund | 21-Dec-1998 |
| Sun Life Excel New India Leaders Fund | 22-Apr-2016 |
| Sun Life Financial | 23-Mar-2000 |
| Sun Life Franklin Bissett Canadian Equity Class | 31-Jul-2015 |
| Sun Life Granite Tactical Completion Fund | 31-Jul-2015 |
| Sun Life Infrastructure Fund | 30-Jan-2015 |
| Sun Life JPMorgan International Equity Fund | 18-Jul-2018 |
| Sun Life Managed Balanced Class | 1-Aug-2013 |
| Sun Life Managed Balanced Growth Class | 1-Aug-2013 |
| Sun Life Managed Balanced Growth Portfolio | 12-Jan-2012 |
| Sun Life Managed Balanced Portfolio | 12-Jan-2012 |
| Sun Life Managed Conservative Class | 1-Aug-2013 |
| Sun Life Managed Conservative Portfolio | 12-Jan-2012 |
| Sun Life Managed Enhanced Income Portfolio | 15-Jan-2013 |
| Sun Life Managed Growth Class | 1-Aug-2013 |
| Sun Life Managed Growth Portfolio | 12-Jan-2012 |
| Sun Life Managed Income Portfolio | 15-Jan-2013 |
| Sun Life Managed Moderate Class | 1-Aug-2013 |
| Sun Life Managed Moderate Portfolio | 12-Jan-2012 |
| Sun Life MFS Canadian Bond Fund | 7-Nov-1988 |
| Sun Life MFS Canadian Equity Growth Class | 1-Aug-2013 |
| Sun Life MFS Canadian Equity Growth Fund | 7-Nov-1988 |
| Sun Life MFS Dividend Income Class | 1-Aug-2013 |
| Sun Life MFS Dividend Income Fund | 27-Mar-2006 |
| Sun Life MFS Global Growth Class | 1-Aug-2013 |
| Sun Life MFS Global Growth Fund | 13-Sep-2010 |
| Sun Life MFS Global Total Return Fund | 13-Sep-2010 |
| Sun Life MFS Global Value Fund | 13-Sep-2010 |
| Sun Life MFS International Growth Class | 1-Aug-2013 |
| Sun Life MFS International Growth Fund | 13-Sep-2010 |
| Sun Life MFS International Value Fund | 13-Sep-2010 |
| Sun Life MFS Low Volatility Global Equity Fund | 31-Jul-2015 |
| Sun Life MFS Low Volatility International Equity Fund | 31-Jul-2015 |
| Sun Life MFS Monthly Income Fund | 30-Aug-2011 |
| Sun Life MFS U.S. Equity Fund | 7-Nov-1988 |
| Sun Life MFS U.S. Growth Class | 1-Aug-2013 |
| Sun Life MFS U.S. Growth Fund | 13-Sep-2010 |
| Sun Life MFS U.S. Value Fund | 13-Sep-2010 |
| Sun Life Milestone 2020 Fund | 13-Sep-2010 |
| Sun Life Milestone 2025 Fund | 13-Sep-2010 |
| Sun Life Milestone 2030 Fund | 13-Sep-2010 |
| Sun Life Milestone 2035 Fund | 13-Sep-2010 |
| Sun Life Milestone Global Equity Fund | 13-Sep-2010 |



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| Sun Life Money Market Class | 1-Aug-2013 |
| Sun Life Money Market Fund | 13-Sep-2010 |
| Sun Life Multi-Strategy Bond Fund | 30-Aug-2011 |
| Sun Life Multi-Strategy Target Return Fund | 13-May-2016 |
| Sun Life NWQ Flexible Income Fund | 24-Jan-2014 |
| Sun Life Ryan Labs U.S. Core Fixed Income Fund | 31-Jul-2017 |
| Sun Life Sentry Global Mid Cap Fund | 30-Jan-2015 |
| Sun Life Sentry Value Class | 1-Aug-2013 |
| Sun Life Sentry Value Fund | 15-Jan-2013 |
| Sun Life Templeton Global Bond Fund | 31-Dec-2015 |
| Sun Life Trimark Canadian Class | 27-Mar-2015 |
| Sun-Times Media Group, Inc. | 1-Aug-1996 |
| Suncoast Petroleum Corporation (CT) | 30-Oct-1980 |
| Suncor Energy Inc. | 5-Mar-1992 |
| Sunmist Energy '86 Inc. (CT) | 2-Jun-1980 |
| SunOpta Inc. | 11-Aug-2003 |
| Sunridge Village (CT) | 19-Dec-1980 |
| *Sunrise Garden Apartments Co-ownership (CT) | 7-Oct-1980 |
| Sunstone Opportunity (2006) Debenture Fund | 20-Sep-2006 |
| Sunstone Opportunity (2006) Realty Trust | 20-Sep-2006 |
| Sunstone Opportunity (2007) Mortgage Fund | 10-Oct-2007 |
| Sunstone Opportunity (2007) Realty Trust | 10-Oct-2007 |
| Sunstone Opportunity Fund (2005) Limited Partnership | 22-Sep-2005 |
| Superior Gold Inc. | 16-Feb-2017 |
| Superior Plus Corp. | 31-May-1993 |
| Supremex Inc. | 1-Jan-2011 |
| Surge Energy Inc. | 25-Jul-2006 |
| *Sweeprite Mfg. Inc. (CT) | 25-Apr-2001 |
| Sydney Advanced Technology (CT) | 10-Nov-1982 |
| Sydney Advanced Technology LP (CT) | 23-Nov-1981 |
| Sylvan Park Estates Limited Partnership (CT) | 31-Aug-1984 |
| Symbility Solutions Inc. | 5-Dec-2012 |
| Symmetry Balanced Portfolio | 21-Nov-2008 |
| Symmetry Balanced Portfolio Class | 21-Nov-2008 |
| Symmetry Canadian Bond Fund | 4-Oct-2012 |
| Symmetry Canadian Equity Fund | 4-Oct-2012 |
| Symmetry Conservative Income Portfolio | 5-Jan-2012 |
| Symmetry Conservative Income Portfolio Class | 5-Jan-2012 |
| Symmetry Conservative Portfolio | 21-Nov-2008 |
| Symmetry Conservative Portfolio Class | 21-Nov-2008 |
| Symmetry Equity Portfolio Class | 6-Dec-2006 |
| Symmetry Fixed Income Portfolio | 8-Feb-2005 |
| Symmetry Growth Portfolio | 21-Nov-2008 |
| Symmetry Growth Portfolio Class | 21-Nov-2008 |
| Symmetry Low Volatility Fund | 4-Oct-2012 |



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|---|-------------|
| Symmetry Moderate Growth Portfolio | 21-Nov-2008 |
| Symmetry Moderate Growth Portfolio Class | 21-Nov-2008 |
| Symphony Floating Rate Senior Loan Fund | 21-Oct-2011 |
| Synergy American Corporate Class | 3-Aug-2001 |
| Synergy American Fund | 23-Sep-1992 |
| Synergy Canadian Corporate Class | 30-Sep-2005 |
| Synergy Global Corporate Class | 29-Jul-2004 |
| Synodon Inc. | 29-Sep-2006 |
| Tactical Sovereign Bond Fund | 27-Jun-1997 |
| TAG Oil Ltd | 29-Apr-2010 |
| Tahera Diamond Corporation | 27-Sep-2004 |
| Tahoe Resources Inc. | 28-May-2010 |
| Taiga Building Products Ltd. | 27-May-1993 |
| Taiga Gold Corp. | 11-Apr-2018 |
| Talon Metals Corp. | 25-Apr-2011 |
| Tamarack Valley Energy Ltd. | 4-Mar-2011 |
| Tangelo Games Corp. | 24-Oct-2013 |
| Tangerine Balanced Growth Portfolio | 18-Nov-2011 |
| Tangerine Balanced Income Portfolio | 11-Dec-2008 |
| Tangerine Balanced Portfolio | 11-Dec-2008 |
| Tangerine Dividend Portfolio | 2-Nov-2016 |
| Tangerine Equity Growth Portfolio | 18-Nov-2011 |
| Tap Capital Corporation (CT) | 1-Jun-1988 |
| Tarku Resources Ltd. (formerly ITUNA CAPITAL CORPORATION) | 30-Mar-2012 |
| Tarquin Group Inc. | 4-Apr-2006 |
| Taseko Mines Limited | 23-Oct-2007 |
| Taurus Footwear Inc. (CT) | 2-Jul-1987 |
| Taylor North American Equity Opportunities Fund | 30-May-2012 |
| TCT Logistics Inc. (T) | 13-Feb-1998 |
| TD Advantage Aggressive Growth Portfolio | 22-Jul-2009 |
| TD Advantage Balanced Growth Portfolio | 22-Jul-2009 |
| TD Advantage Balanced Income Portfolio | 22-Jul-2009 |
| TD Advantage Balanced Portfolio | 22-Jul-2009 |
| TD Advantage Growth Portfolio | 22-Jul-2009 |
| TD Asian Growth Fund | 23-Dec-1994 |
| TD Balanced Growth Fund | 1-Sep-1987 |
| TD Balanced Income Fund | 9-Feb-1988 |
| TD Balanced Index Fund | 23-Jun-1998 |
| TD Canadian Aggregate Bond Index ETF | 1-Mar-2016 |
| TD Canadian Blue Chip Dividend Fund | 15-Apr-2010 |
| TD Canadian Bond Fund | 9-Feb-1988 |
| TD Canadian Bond Index Fund | 5-Jun-1997 |
| TD Canadian Core Plus Bond Fund | 24-Jul-2007 |
| TD Canadian Corporate Bond Fund | 9-Feb-1998 |



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|---|-------------|
| TD Canadian Diversified Yield Fund | 19-Jan-1996 |
| TD Canadian Equity Class | 23-Jul-2010 |
| TD Canadian Equity Fund | 9-Feb-1988 |
| TD Canadian Equity Pool | 28-Jul-2014 |
| TD Canadian Equity Pool Class | 24-Feb-2012 |
| TD Canadian Index Fund | 29-Aug-1985 |
| TD Canadian Large-Cap Equity Fund | 19-Jan-1996 |
| TD Canadian Low Volatility Class | 27-Jul-2012 |
| TD Canadian Low Volatility Fund | 28-Feb-2014 |
| TD Canadian Low Volatility Pool | 27-Jul-2012 |
| TD Canadian Money Market Fund | 9-Feb-1988 |
| TD Canadian Small-Cap Equity Class | 23-Jul-2010 |
| TD Canadian Small-Cap Equity Fund | 20-Nov-1986 |
| TD Canadian Value Class | 23-Jul-2010 |
| TD Canadian Value Fund | 31-Dec-1993 |
| TD Capital Trust III | 8-Sep-2008 |
| TD Capital Trust IV | 16-Jan-2009 |
| TD Comfort Aggressive Growth Portfolio | 25-Jul-2008 |
| TD Comfort Balanced Growth Portfolio | 25-Jul-2008 |
| TD Comfort Balanced Income Portfolio | 25-Jul-2008 |
| TD Comfort Balanced Portfolio | 25-Jul-2008 |
| TD Comfort Conservative Income Portfolio | 28-Jul-2011 |
| TD Comfort Growth Portfolio | 25-Jul-2008 |
| TD Core Canadian Value Fund | 15-Apr-2010 |
| TD Corporate Bond Plus Fund | 1-Sep-2006 |
| TD Diversified Monthly Income Fund | 20-Nov-1986 |
| TD Dividend Growth Class | 23-Jul-2010 |
| TD Dividend Growth Fund | 1-Sep-1987 |
| TD Dividend Income Class | 27-Jul-2012 |
| TD Dividend Income Fund | 13-Oct-1994 |
| TD Dow Jones Industrial Average Index Fund | 17-Apr-1998 |
| TD Emerald Balanced Fund | 27-Mar-1992 |
| TD Emerald Canadian Bond Index Fund | 28-Jun-1991 |
| TD Emerald Canadian Equity Index Fund | 28-Jun-1991 |
| TD Emerald Canadian Short Term Investment Fund | 28-Jun-1991 |
| TD Emerald Canadian Treasury Management - Government of Canada Fund | 26-Jun-2006 |
| TD Emerald Canadian Treasury Management Fund | 26-Jun-2006 |
| TD Emerald International Equity Index Fund | 23-Feb-1995 |
| TD Emerald U.S. Market Index Fund | 1-Aug-1997 |
| TD Emerging Markets Class | 23-Jul-2010 |
| TD Emerging Markets Fund | 23-Nov-1992 |
| TD Emerging Markets Low Volatility Fund | 26-Jul-2013 |
| TD Entertainment & Communications Fund | 14-Nov-1997 |
| TD European Index Fund | 17-Apr-1998 |



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|---|-------------|
| TD Fixed Income Pool | 24-Feb-2012 |
| TD FundSmart Managed Aggressive Growth Portfolio | 6-Nov-1998 |
| TD FundSmart Managed Balanced Growth Portfolio | 6-Nov-1998 |
| TD FundSmart Managed Income & Moderate Growth Portfolio | 6-Nov-1998 |
| TD Global Conservative Opportunities Fund | 28-Jul-2011 |
| TD Global Core Balanced Opportunities Fund | 28-Jul-2011 |
| TD Global Core Plus Bond Fund | 23-Dec-1993 |
| TD Global Equity Focused Fund | 27-Jul-2018 |
| TD Global Equity Pool | 28-Jul-2014 |
| TD Global Equity Pool Class | 24-Feb-2012 |
| TD Global Income Fund | 28-Jul-2017 |
| TD Global Low Volatility Class | 27-Jul-2012 |
| TD Global Low Volatility Fund | 28-Jul-2011 |
| TD Global Risk Managed Equity Class | 28-Jul-2015 |
| TD Global Risk Managed Equity Fund | 28-Jul-2015 |
| TD Global Unconstrained Bond Fund | 28-Jul-2017 |
| TD Health Sciences Fund | 3-Oct-1996 |
| TD High Yield Bond Fund | 23-Jun-1998 |
| TD Income Advantage Portfolio | 3-Oct-2003 |
| TD Income Opportunities Pool | 24-Jul-2007 |
| TD International Equity CAD Hedged Index ETF | 1-Mar-2016 |
| TD International Equity Index ETF | 1-Mar-2016 |
| TD International Growth Class | 23-Jul-2010 |
| TD International Growth Fund | 1-Sep-2006 |
| TD International Index Currency Neutral Fund | 23-Dec-1997 |
| TD International Index Fund | 5-Jun-1997 |
| TD International Stock Fund | 15-Apr-2010 |
| TD Managed Aggressive Growth ETF Portfolio | 26-Jan-2017 |
| TD Managed Aggressive Growth Portfolio | 6-Nov-1998 |
| TD Managed Balanced Growth ETF Portfolio | 26-Jan-2017 |
| TD Managed Balanced Growth Portfolio | 6-Nov-1998 |
| TD Managed Income & Moderate Growth ETF Portfolio | 26-Jan-2017 |
| TD Managed Income & Moderate Growth Portfolio | 6-Nov-1998 |
| TD Managed Income ETF Portfolio | 26-Jan-2017 |
| TD Managed Income Portfolio | 6-Nov-1998 |
| TD Managed Index Aggressive Growth Portfolio | 31-Oct-2008 |
| TD Managed Index Balanced Growth Portfolio | 16-Nov-1999 |
| TD Managed Index Income & Moderate Growth Portfolio | 6-Nov-1998 |
| TD Managed Index Income Portfolio | 6-Nov-1998 |
| TD Managed Index Maximum Equity Growth Portfolio | 6-Nov-1998 |
| TD Managed Maximum Equity Growth ETF Portfolio | 26-Jan-2017 |
| TD Managed Maximum Equity Growth Portfolio | 6-Nov-1998 |
| TD Monthly Income Fund | 23-Jun-1998 |
| TD Nasdaq Index Fund | 18-Nov-1999 |
| TD North American Dividend Fund | 9-Aug-1989 |



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| Issuer Name | Start Date |
|--|-------------|
| TD North American Small-Cap Equity Fund | 28-Jul-2017 |
| TD Opportunities Pool | 15-Jan-2009 |
| TD Precious Metals Fund | 27-Jul-1994 |
| TD Premium Money Market Fund | 14-Apr-1993 |
| TD Real Return Bond Fund | 27-Jul-1994 |
| TD Resource Fund | 31-Dec-1993 |
| TD Retirement Balanced Portfolio | 26-Jul-2013 |
| TD Retirement Conservative Portfolio | 26-Jul-2013 |
| TD Risk Management Pool | 28-Jul-2015 |
| TD Risk Reduction Pool | 26-Jul-2013 |
| TD Risk Reduction Pool - US\$ | 24-Jul-2015 |
| TD S&P 500 CAD Hedged Index ETF | 1-Mar-2016 |
| TD S&P 500 Index ETF | 1-Mar-2016 |
| TD S&P/TSX Capped Composite Index ETF | 1-Mar-2016 |
| TD Science & Technology Fund | 31-Dec-1993 |
| TD Short Term Bond Fund | 18-Sep-1996 |
| TD Short Term Investment Class | 23-Jul-2010 |
| TD Strategic Yield Fund | 27-Jul-2012 |
| TD Tactical Monthly Income Class | 27-Jul-2012 |
| TD Tactical Monthly Income Fund | 27-Jul-2012 |
| TD Tactical Pool | 28-Jul-2014 |
| TD Tactical Pool Class | 24-Feb-2012 |
| TD U.S. Blue Chip Equity Fund | 3-Oct-1996 |
| TD U.S. Corporate Bond Fund | 15-Apr-2010 |
| TD U.S. Dividend Growth Fund | 28-Jul-2017 |
| TD U.S. Equity Portfolio | 7-Oct-2004 |
| TD U.S. Index Currency Neutral Fund | 23-Dec-1997 |
| TD U.S. Index Fund | 16-Oct-1986 |
| TD U.S. Low Volatility Currency Neutral Fund | 29-Jul-2016 |
| TD U.S. Low Volatility Fund | 26-Jul-2013 |
| TD U.S. Mid-Cap Growth Class | 23-Jul-2010 |
| TD U.S. Mid-Cap Growth Fund | 2-Mar-1993 |
| TD U.S. Money Market Fund | 9-Feb-1988 |
| TD U.S. Monthly Income Fund | 28-Jul-2011 |
| TD U.S. Monthly Income Fund - C\$ | 26-Jul-2013 |
| TD U.S. Quantitative Equity Fund | 1-Feb-1993 |
| TD U.S. Risk Managed Equity Class | 28-Jul-2015 |
| TD U.S. Risk Managed Equity Fund | 28-Jul-2015 |
| TD U.S. Small-Cap Equity Fund | 14-Nov-1997 |
| TD Ultra Short Term Bond Fund | 23-Jul-2010 |
| TD US\$ Retirement Portfolio | 28-Jul-2015 |
| TDb Split Corp. | 27-Jul-2007 |
| TearLab Corporation | 9-Dec-2004 |
| Tech Leaders Income ETF | 28-Mar-2018 |
| Teck Resources Limited | 17-Nov-1986 |



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|--|-------------|
| Tecsys Inc. | 17-Jul-1998 |
| Tee-Comm Electronics Inc. (CT) | 25-Oct-1993 |
| Tekmira Pharmaceuticals Corporation | 30-Apr-2007 |
| Telecom Italia S.p.A. | 29-Oct-1997 |
| Telescene Film Group Inc. (CT) | 24-Jun-1997 |
| TELUS Communications Inc. | 8-Jun-1972 |
| TELUS Corporation | 11-Sep-1990 |
| Temple Green Apartment Project | 4-Dec-1981 |
| Temple Hotels Inc. | 31-Dec-2012 |
| Templeton Asian Growth Corporate Class | 29-Dec-2010 |
| Templeton Asian Growth Fund | 29-Dec-2010 |
| Templeton EAFE Developed Markets Fund | 3-Nov-1997 |
| Templeton Emerging Markets Corporate Class | 7-Jun-2001 |
| Templeton Emerging Markets Fund | 25-Sep-1991 |
| Templeton Frontier Markets Corporate Class | 15-Jul-2011 |
| Templeton Frontier Markets Fund | 15-Jul-2011 |
| Templeton Global Bond Fund | 13-Jun-1988 |
| Templeton Global Bond Fund (Hedged) | 14-Aug-2013 |
| Templeton Global Smaller Companies Corporate Class | 7-Jun-2001 |
| Templeton Global Smaller Companies Fund | 5-Jan-1989 |
| Templeton Growth Corporate Class | 7-Jun-2001 |
| Templeton Growth Fund, Ltd. | 28-Aug-1975 |
| Templeton International Stock Corporate Class | 7-Jun-2001 |
| Templeton International Stock Fund | 6-Jan-1989 |
| Ten Peaks Coffee Company Inc. | 1-Jan-2011 |
| Ten Thousand Day War (CT) | 18-Oct-1979 |
| TeraGo Inc. | 20-Jun-2007 |
| Teranga Gold Corporation | 11-Nov-2010 |
| Terato Resources Ltd. (CT) | 8-Jan-1980 |
| Terra Energy Corp. | 17-Mar-2010 |
| Terra Firma Capital Corporation | 6-Oct-2014 |
| TerraVest Capital Inc. | 31-Oct-2012 |
| Tervita Corporation | 19-Jul-2018 |
| Tethys Petroleum Limited | 20-Jun-2007 |
| Tetra Bio-Pharma Inc. | 29-Feb-2008 |
| The Bank of Nova Scotia | 11-Mar-1981 |
| The Caldwell Partners International Inc. | 16-Jun-1989 |
| The Children's Educational Foundation of Canada | 3-May-1991 |
| The Cross Winds Apartment Project | 3-Oct-1980 |
| The Descartes Systems Group Inc. | 14-Jan-1998 |
| The Empire Life Insurance Company | 5-Feb-2016 |
| The GBC American Growth Fund Inc. | 24-Oct-1988 |
| The GBC Canadian Bond Fund | 25-Jan-1990 |
| The GBC Canadian Growth Fund | 28-Nov-1988 |
| The GBC Growth and Income Fund | 4-Jan-2007 |



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| Issuer Name | Start Date |
|--|-------------|
| The GBC International Growth Fund | 11-Jan-1994 |
| The GBC Money Market Fund | 28-Nov-1988 |
| The Green Organic Dutchman Holdings Ltd. | 29-Mar-2018 |
| The Hydrothecary Corporation | 15-Nov-2017 |
| The Keg Royalties Income Fund | 21-May-2002 |
| The Lodge At Kananaskis Limited Partnership | 23-Jun-1986 |
| The Manufacturers Life Insurance Company | 24-May-1994 |
| The Medipattern Corporation | 25-Jul-2007 |
| The Mountain Inn At Ribbon Creek Limited Partnership | 3-Dec-1986 |
| The Needle Capital Corp. | 19-Jun-2017 |
| The North West Company Inc. | 1-Jan-2011 |
| The Second Cup Ltd. | 1-Jan-2011 |
| The Stars Group Inc. | 27-Mar-2012 |
| The TDL Group Corp. | 23-Mar-2006 |
| The Toronto-Dominion Bank | 21-May-1981 |
| The Westaim Corporation | 2-Jul-1996 |
| The Western Investment Company of Canada Limited | 1-Feb-2016 |
| Theratechnologies Inc. | 9-Dec-1993 |
| Thermal Control Technologies Corp. (CT) | 25-Oct-1990 |
| Thermax International Corp. (T) | 2-Apr-1996 |
| theScore, Inc. | 1-Jan-2013 |
| Third CGI Mutualization Corporation (CT) | 2-Jul-1992 |
| Thomson Reuters Corporation | 10-Jun-1987 |
| ThreeD Capital Inc. | 11-Apr-1995 |
| Threegold Resources Inc. | 21-Jun-2006 |
| Threshold (CT) | 4-Dec-1980 |
| THUNDER RIVER ENTERPRISES INC. | 9-Feb-2018 |
| Ticket To Heaven (CT) | 3-Dec-1980 |
| Tidewater Midstream and Infrastructure Ltd. | 8-Apr-2015 |
| Tilray, Inc. | 18-Jul-2018 |
| Timbercreek Financial Corp. | 30-Jun-2016 |
| Timbercreek Global Real Estate Income Fund | 23-Jun-2015 |
| Times Three Wireless Inc. | 30-Nov-2011 |
| Timminco Limited | 23-Jul-1986 |
| Tinka Resources Limited | 28-Mar-2018 |
| Tinkerine Studios Ltd. | 31-Aug-2009 |
| Titan Mining Corporation | 13-Oct-2017 |
| Titanstar Properties Inc. | 3-Oct-2008 |
| Titus Energy Corp | 9-Nov-2010 |
| TLC Vision Corporation | 25-Sep-1996 |
| TMAC Resources Inc. | 29-Jun-2015 |
| TMX Group Limited | 14-Sep-2012 |
| Top 10 Canadian Financial Trust | 16-Feb-2000 |
| Top 10 Split Trust | 31-Jan-2006 |
| Top 20 U.S. Dividend Trust | 13-Sep-2012 |



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|---|-------------|
| TORC Oil & Gas Ltd. | 2-Nov-2005 |
| Torex Gold Resources Inc. | 15-Jan-2010 |
| Toromont Industries Ltd. | 2-Jun-1997 |
| Toronto East (Scarborough) Hotel Development Partnership (CT) | 5-Sep-1984 |
| Toronto Hydro Corporation | 1-May-2003 |
| Torstar Corporation | 1-Oct-1967 |
| Toscana Energy Income Corporation | 16-Jan-2013 |
| Total Energy Services Inc. | 28-Sep-1992 |
| Total Erickson Resources Ltd. | 1-Mar-1984 |
| Touchstone Exploration Inc. | 18-Apr-2000 |
| Tourmaline Oil Corp. | 16-Nov-2010 |
| Tracan Oil & Gas Ltd. (CT) | 14-Jul-1981 |
| TrackX Holdings Inc. | 26-Mar-2007 |
| Tradex Bond Fund | 19-Apr-1993 |
| Tradex Equity Fund Limited | 28-Mar-1989 |
| Tradex Global Equity Fund | 1-May-1995 |
| TransAlta Corporation | 12-May-1975 |
| TransAlta Renewables Inc. | 1-Aug-2013 |
| Transat A.T. Inc. | 17-Jan-1997 |
| TransCanada Corporation | 15-May-2003 |
| TransCanada Pipelines Limited | 17-Apr-1980 |
| TransCanada Trust | 15-May-2017 |
| Transcontinental Inc. | 25-Jun-1986 |
| TransForce Inc. | 14-May-2008 |
| TransGlobe Apartment Real Estate Investment Trust | 7-May-2010 |
| TransGlobe Energy Corporation | 14-Feb-2012 |
| Trapper Resources Ltd. (CT) | 17-Dec-1979 |
| Travelbyus.com Ltd. (formerly Latingold Inc.) (CT) | 15-Oct-1986 |
| Traverse Energy Ltd. | 19-Oct-1995 |
| Tree Island Steel Ltd. | 1-Oct-2012 |
| Trenchant Capital Corp. | 21-Apr-2017 |
| Tretheway L.P. (CT) | 15-Dec-1981 |
| Trevali Mining Corporation | 1-Nov-2011 |
| Trez Capital Mortgage Investment Corporation | 28-May-2012 |
| Trez Capital Senior Mortgage Investment Corporation | 27-Nov-2012 |
| *Tri-Media Integrated Marketing Technologies Inc.(CT) | 19-Oct-2001 |
| Triangulum Corporation (T) | 22-Jun-2000 |
| Trican Well Service Ltd. | 22-Nov-1996 |
| Tricon Capital Group Inc. | 14-May-2010 |
| Trilogy International Partners Inc. | 17-Jun-2015 |
| Trilogy Metals Inc. | 25-Apr-2012 |
| Trimark Canadian Bond Class | 31-May-2007 |
| Trimark Canadian Bond Fund | 21-Oct-1994 |
| Trimark Canadian Resources Fund | 22-Apr-1998 |



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|--|-------------|
| Trimark Floating Rate Income Fund | 18-Jan-2005 |
| Trimark Global High Yield Bond Fund | 19-Oct-1999 |
| Trimark Government Income Fund | 26-Nov-1993 |
| Trimark Select Canadian Growth Fund | 24-Nov-1992 |
| Trimark Select Growth Fund | 24-Apr-1989 |
| TriMetals Mining Inc. | 8-Feb-2007 |
| *TriNexus Holdings Ltd. (CT) | 24-Jan-2001 |
| Trinidad Drilling Ltd. | 10-Mar-2008 |
| *Trirak Industries Corporation (CT) | 22-May-1987 |
| Trisura Group Ltd. | 15-May-2017 |
| Triumph Ventures II Corporation | 22-Nov-2011 |
| True North Commercial Real Estate Investment Trust | 6-Feb-2013 |
| TSO3 Inc. | 17-May-2002 |
| Tuckamore Capital Management Inc. | 1-Apr-2011 |
| Tucows Inc. | 11-Aug-2005 |
| Tudor Corporation Ltd. | 25-Feb-1981 |
| TURNKEY E&P INC. | 29-Nov-2005 |
| Turquoise Hill Resources Ltd. | 14-Jun-1996 |
| Tuscany Energy Ltd. | 9-Jul-2014 |
| TVA Group Inc. | 26-Jul-1999 |
| TVI Pacific Inc. | 26-Mar-1996 |
| TWC Enterprises Limited | 24-Nov-1997 |
| Twin Butte Energy Ltd. | 12-Dec-1996 |
| Twin Richfield Oils Ltd. (CT) | 16-Nov-1979 |
| Tyco International plc | 21-Mar-1991 |
| U.F.X. Enterprises Inc. (CT) | 24-Mar-1999 |
| U.S. and International Equity Class | 11-Jul-2008 |
| U.S. and International Specialty Class | 6-Jul-2006 |
| U.S. Banks Income & Growth Fund | 26-Nov-2015 |
| U.S. Dividend Class (GWLIM) | 7-Jul-2016 |
| U.S. Dividend Fund (GWLIM) | 30-Nov-2015 |
| U.S. Dollar Cash Management Fund | 1-Jun-2006 |
| U.S. Equity Fund | 10-Dec-1992 |
| U.S. Global GO GOLD and Precious Metal Miners ETF | 2-Aug-2017 |
| U.S. High Yield Bond Fund | 11-Aug-2009 |
| U.S. Large Cap Inxex Fund | 15-Jan-1999 |
| U.S. Large Company Equity Fund | 8-Nov-1999 |
| U.S. Low Volatility Fund (Putnam) | 7-Jul-2016 |
| U.S. Small Company Equity Fund | 8-Nov-1999 |
| U.S. Value Class (Putnum) | 6-Jul-2007 |
| U.S. Value Fund (London Capital) | 6-Jul-2007 |
| U.S. Value Fund (Putnam) | 29-Jun-2015 |
| U308 Corp. | 15-Dec-2006 |
| Ucore Rare Metals Inc. | 23-Apr-2013 |
| UEX Corporation | 2-Jul-2002 |



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| Ultra Petroleum Corp. | 28-Nov-1996 |
| Uni-Sélect Inc. | 22-Dec-2010 |
| Union Agriculture Group Corp | |
| Union Gas Limited | 2-Jun-1970 |
| Unique Broadband Systems, Inc. | 14-Jun-2000 |
| Unirom Technologies Inc. (T) | 7-Apr-1981 |
| Unitec International Controls Corp. (T) | 19-Nov-1998 |
| United Keno Hill Mines Limited (CT) | 14-Jun-1984 |
| Ur-Energy Inc. | 18-Nov-2005 |
| Uranium Energy Corp | 2-Sep-2011 |
| Uranium Participation Corporation | 29-Apr-2005 |
| Uravan Minerals Inc. | 23-Apr-1999 |
| Urbana Corporation | 19-Dec-2006 |
| UrtheCast Corp. | 9-Apr-2015 |
| US Equity Alpha Corporate Class | 30-Jul-2008 |
| US Equity Alpha Pool | 6-Sep-2018 |
| US Equity Growth Corporate Class | 2-Oct-2007 |
| US Equity Growth Pool | 28-Apr-2000 |
| US Equity Small Cap Corporate Class | 2-Oct-2007 |
| US Equity Value Corporate Class | 2-Oct-2007 |
| US Equity Value Currency Hedged Corporate Class | 30-Jul-2008 |
| US Equity Value Pool | 15-Apr-1994 |
| US Financial 15 Split Corp. | 28-Jan-2005 |
| US Financials Income Fund | 30-Jan-2015 |
| Vail Resorts, Inc. | 17-Oct-2016 |
| Val-d'Or Mining Corporation (formerly Nunavik Nickel Mines Ltd.) | 5-Oct-2017 |
| Valencia Ventures Inc. | 30-Jul-1996 |
| Valener Inc. | 30-Sep-2010 |
| Valero Energy Corporation | 29-Jun-1992 |
| Valeura Energy Inc. | 14-May-2010 |
| Valhalla Resources Ltd. | 27-Jun-2011 |
| Vanadium One Energy Corp. | 29-May-2007 |
| Vanadiumcorp Resource Inc. | 2-Mar-1984 |
| Vanguard Balanced ETF Portfolio | 17-Jan-2018 |
| Vanguard Canadian Aggregate Bond Index ETF | 8-Nov-2011 |
| Vanguard Canadian Corporate Bond Index ETF | 19-Jan-2017 |
| Vanguard Canadian Government Bond Index ETF | 18-Jan-2017 |
| Vanguard Canadian Long-Term Bond Index ETF | 18-Jan-2017 |
| Vanguard Canadian Short-Term Bond Index ETF | 8-Nov-2011 |
| Vanguard Canadian Short-Term Corporate Bond Index ETF | 16-Oct-2012 |
| Vanguard Canadian Short-Term Government Bond Index ETF | 5-Jul-2017 |
| Vanguard Conservative ETF Portfolio | 17-Jan-2018 |
| Vanguard FTSE All-World ex Canada Index ETF | 18-Jun-2014 |
| Vanguard FTSE Canada All Cap Index ETF | 25-Jul-2013 |



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| Vanguard FTSE Canada Index ETF | 8-Nov-2011 |
| Vanguard FTSE Canadian capped REIT Index ETF | 16-Oct-2012 |
| Vanguard FTSE Canadian High Dividend Yield Index ETF | 16-Oct-2012 |
| Vanguard FTSE Developed All Cap ex North America Index ETF | 26-Aug-2015 |
| Vanguard FTSE Developed All Cap ex North America Index ETF (CAD-hedged) | 26-Aug-2015 |
| Vanguard FTSE Developed Asia Pacific All Cap Index ETF (CAD-hedged) | 30-Jun-2016 |
| Vanguard FTSE Developed Asia Pacific Index ETF | 18-Jun-2014 |
| Vanguard FTSE Developed Europe All Cap Index ETF (CAD-hedged) | 30-Jun-2016 |
| Vanguard FTSE Developed Europe Index ETF | 18-Jun-2014 |
| Vanguard FTSE Developed ex North America High Dividend Yield Index ETF | 11-Jul-2018 |
| Vanguard FTSE Developed ex North America Index ETF | 25-Jul-2013 |
| Vanguard FTSE Developed ex North America Index ETF (CAD-hedged) | 8-Nov-2011 |
| Vanguard FTSE Emerging Markets Index ETF | 8-Nov-2011 |
| Vanguard Global Balanced Fund | 4-May-2018 |
| Vanguard Global Dividend Fund | 4-May-2018 |
| Vanguard Global ex-U.S. Aggregate Bond Index ETF (CAD-hedged) | 18-Jun-2014 |
| Vanguard Global Liquidity Factor ETF | 13-May-2016 |
| Vanguard Global Minimum Volatility ETF | 13-May-2016 |
| Vanguard Global Momentum Factor ETF | 13-May-2016 |
| Vanguard Global Value Factor ETF | 13-May-2016 |
| Vanguard Growth ETF Portfolio | 17-Jan-2018 |
| Vanguard International Growth Fund | 4-May-2018 |
| Vanguard Mining Exploration LP (CT) | 22-Sep-1987 |
| Vanguard S&P 500 Index ETF | 16-Oct-2012 |
| Vanguard S&P 500 Index ETF (CAD-hedged) | 16-Oct-2012 |
| Vanguard U.S. Aggregate Bond Index ETF (CAD-hedged) | 18-Jun-2014 |
| Vanguard U.S. Dividend Appreciation Index ETF | 25-Jul-2013 |
| Vanguard U.S. Dividend Appreciation Index ETF (CAD-hedged) | 25-Jul-2013 |
| Vanguard U.S. Total Market Index ETF | 25-Jul-2013 |
| Vanguard U.S. Total Market Index ETF (CAD-hedged) | 8-Nov-2011 |
| Vanguard Venture Corporation (CT) | 22-Sep-1987 |
| Vanguard Windsor U.S. Value Fund | 4-May-2018 |
| Vantage Point II (CT) | 18-Dec-1980 |
| Vanwin Resources Corporation (CT) | 13-Nov-1984 |
| Varity Corporation (CT) | 31-May-1991 |
| VBI Vaccines Inc. | 13-May-2011 |
| Vecima Networks Inc. | 4-Nov-2005 |



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|-------------------------------------|-------------|
| Velan Inc. | 19-Sep-1996 |
| *Vetek Investments Ltd. (CT) | 17-Jul-1989 |
| VentureLink Innovation Fund Inc. | 10-Sep-2010 |
| Verde AgriTech Plc | 13-Nov-2007 |
| Veritas Canadian Equity Fund | 7-May-2018 |
| Veritas Energy Services Inc. | 16-Nov-1993 |
| Vermilion Energy Inc. | 1-Sep-2010 |
| VersaBank | 20-Aug-2013 |
| VersaPay Corporation | 2-May-2016 |
| Vertex Enhanced Income Fund | 16-Sep-2009 |
| Vertex GrowthFund | 16-Sep-2009 |
| Vertex Resource Group Ltd. | 4-Feb-2015 |
| Vertex Value Fund | 16-Sep-2009 |
| *Vertical Software Corporation (CT) | 18-Sep-1986 |
| Viavi Solutions Inc. | 14-Mar-1996 |
| Victoria Gold Corp | 17-Aug-2010 |
| Victory Capital Corp. | 26-May-2017 |
| Victory Nickel Inc. | 1-Feb-2007 |
| Videodrome And Dreamworld (CT) | 24-Sep-1981 |
| Village Farms International, Inc. | 31-Dec-2009 |
| Virginia Hills Oil Corp. | 29-Jun-2010 |
| Virtutone Networks Inc. | 14-Mar-2006 |
| Visa Inc. | 18-Mar-2008 |
| Visible Gold Mines Inc. | 5-Jul-2007 |
| Visionwall Incorporated | 26-Mar-1997 |
| Vista Gold Corp. | 16-Oct-1984 |
| *Vista Mines Inc. (CT) | 23-Dec-1987 |
| Vitality Products Inc. | 21-Nov-1985 |
| Vivendi Universal S.A. | 1-Oct-1967 |
| ViveRE Communities Inc. | 1-Mar-2012 |
| Vivione Biosciences Inc. | 25-Jan-2012 |
| VM Holding S.A. | 26-Oct-2017 |
| Volcanic Metals Corp. | 30-Oct-2007 |
| Voyageur Minerals Ltd. | 23-Nov-2011 |
| VPI Canadian Balanced Pool | 28-Sep-2007 |
| VPI Canadian Equity Pool | 18-Oct-2005 |
| VPI Foreign Equity Pool | 18-Oct-2005 |
| VPI Income Pool | 18-Oct-2005 |
| VPI Mortgage Pool | 2-Nov-2012 |
| VPI Value Pool | 28-Sep-2007 |
| VSM Medtech Ltd. | 12-Sep-2000 |
| Vuzix Corporation | 9-Dec-2009 |
| W.A.M. Collins Income Pool | 6-Apr-2017 |
| Wainoco 77 Canada (CT) | 1-Sep-1977 |
| Wainoco 80 Canada (CT) | 22-Apr-1980 |



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| Issuer Name | Start Date |
|--|-------------|
| Wajax Corporation | 1-Jan-2011 |
| Waldron Energy Corporation | 31-Oct-2005 |
| *Wall Street Venture Capital Ltd. (CT) | 27-May-1987 |
| Walter Energy, Inc. | 1-Apr-2011 |
| Walton Big Lake Development L.P. | 28-Oct-2010 |
| Walton Edgemont Development Corporation | 29-Jun-2011 |
| Walton Ontario Land L.P. 1 | 29-Jan-2010 |
| Walton Westphalia Development Corporation | 28-Feb-2012 |
| Wasabi Resources Ltd. (CT) | 23-Oct-1980 |
| Waste Connections, Inc. | 1-Oct-2008 |
| Waterford House L.P. (CT) | 2-Jun-1983 |
| WaveRider Communications Inc. | 7-Nov-2001 |
| WE X.L. Holdings Corporation (CT) | 1-Aug-1995 |
| WeedMD Inc. | 3-Jan-2018 |
| Wekusko Gold Resources Ltd. (CT) | 16-Oct-1984 |
| Wells Fargo Canada Corporation | 1-Dec-1995 |
| Werner Dahnz Company Limited (CT) | 28-Aug-1986 |
| *Wescan Goldfields Inc. | 31-Aug-2004 |
| Wesdome Gold Mines Ltd. | 15-Mar-2006 |
| Wespac Mining Corp. (CT) | 2-Dec-1981 |
| West African Resources Limited | 17-Jan-2014 |
| *West Central Pelleting Ltd. | 21-Sep-2001 |
| West Fraser Timber Co. Ltd. | 23-Apr-1986 |
| West High Yield (W.H.Y.) Resources Ltd. | 16-Jun-2006 |
| West Kirkland Mining Inc. | 9-Apr-2014 |
| Westar Mining Ltd. (CT) | 14-Sep-1983 |
| Westcoast Energy Inc. | 26-Jul-1978 |
| Westcore Energy Ltd. | 25-Jan-2008 |
| Western Copper and Gold Corporation | 3-May-2006 |
| Western Energy Services Corp. | 12-Mar-2010 |
| Western Forest Products Inc. | 27-Jul-2004 |
| Western Lithium USA Corporation | 9-May-2014 |
| Western Plains Petroleum Ltd. | 26-May-2005 |
| Western Resources Corp. | 31-Mar-2017 |
| WesternOne Inc. | 31-Dec-2012 |
| Westhampton Court L.P. (CT) | 4-Nov-1983 |
| WestJet Airlines Ltd. | 11-Aug-1998 |
| Westport (CT) | 7-Dec-1981 |
| Westport Fuel Systems Inc. | 29-Jun-1998 |
| Westshore Terminals Investment Corporation | 1-Jan-2011 |
| Westwood Emerging Markets Fund | 2-Apr-2003 |
| Whistler Blackcomb Holdings Inc. | 2-Nov-2010 |
| Whitecap Resources Inc. | 23-Jul-2010 |
| Whitemud Resources Inc. | 16-Feb-2007 |
| Wi-LAN Inc. | 28-Apr-2000 |



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| Issuer Name | Start Date |
|---|-------------|
| Willoughby Investment Pool | 7-Apr-2016 |
| Wilmington Capital Management Inc. | 22-May-1986 |
| Winchester Group Inc. (CT) | 17-Apr-1991 |
| Windsor Point (CT) | 26-Jun-1981 |
| Windsor Resources Inc. (CT) | 22-Jul-1980 |
| Winpak Ltd | 18-Aug-1986 |
| WisdomTree Barclays Yield Enhanced Canada Aggregate Bond Index ETF | 15-Jun-2017 |
| WisdomTree Barclays Yield Enhanced Canada Short-Term Aggregate Bond Index ETF | 15-Jun-2017 |
| WisdomTree Canada Quality Dividend Growth Index ETF | 15-Jun-2017 |
| WisdomTree Emerging Markets Dividend Fund | 8-Jul-2016 |
| WisdomTree Europe Hedged Equity Fund | 8-Jul-2016 |
| WisdomTree International Quality Dividend Growth Dynamic Hedged Index ETF | 8-Jul-2016 |
| WisdomTree International Quality Dividend Growth Fund | 8-Jul-2016 |
| WisdomTree U.S. High Dividend Fund | 8-Jul-2016 |
| WisdomTree U.S. MidCap Dividend Index ETF | 15-Jun-2017 |
| WisdomTree U.S. Quality Dividend Growth Dynamic Hedged Index ETF | 8-Jul-2016 |
| WisdomTree U.S. Quality Dividend Growth Index ETF | 8-Jul-2016 |
| Wolfden Resources Corporation | 2-Oct-2012 |
| *Wood Lily Garden Apartments (CT) | 5-Aug-1983 |
| Woodfine Professional Centres Limited Partnership | 18-Dec-2012 |
| World Financial Split Corp. | 28-Jan-2004 |
| WPT Industrial Real Estate Investment Trust | 19-Apr-2013 |
| WSP Global Inc. | 1-Jan-2014 |
| Xebec Adsorption Inc. | 12-Jun-2009 |
| Xerox Capital LLC | 9-Oct-1996 |
| Xerox Corporation | 6-Feb-1990 |
| Xplore Technologies Corp. | 6-Oct-2000 |
| Xtreme Drilling Corp. | 1-May-2006 |
| XXL Energy Corp. | 1-Nov-2006 |
| Yamana Gold Inc. | 12-Jun-1996 |
| Yangarra Resources Ltd. | 22-Nov-2010 |
| YDreams Global Interactive Technologies Inc. | 31-Jul-2008 |
| Yellow Pages Digital & Media Solutions Limited | 8-Apr-2004 |
| Yellow Pages Limited | 20-Dec-2012 |
| Ynvisible Interactive Inc. | 27-Dec-2017 |
| Yorkville Canadian QVR Class | 15-May-2014 |
| Yorkville EAFE QVR Class | 15-May-2014 |
| Yorkville Enhanced Protection Class | 24-May-2013 |
| Yorkville Global Opportunities Class | 24-May-2013 |
| Yorkville Health Care Opportunities Class | 7-Apr-2015 |
| Yorkville Optimal Return Bond Class | 24-May-2013 |



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|--------------------------|-------------|
| YRI-York Ltd. (CT) | 1-Jan-1967 |
| Yuntone Capital Corp. | 17-Feb-2009 |
| Zara Resources Inc. | 26-Aug-2013 |
| Zargon Oil & Gas Ltd. | 1-Jan-2011 |
| Zenith Epigenetics Corp. | 3-Jun-2013 |
| Zenyatta Ventures Ltd. | 16-Dec-2010 |
| Zeox Corporation | 26-Mar-1998 |
| Zone Resources Inc. | 28-Oct-1998 |
| Zungui Haixi Corporation | 11-Dec-2009 |
| Zymeworks Inc. | 27-Apr-2017 |