In the Matter of The Securities Act, 1988, SS 1988-89, c S-42.2 (the Act)

and

In the Matter of
Trade12
Global Fin Services Limited
and
Exo Capital Markets Limited
(the Respondents)

Adjournment and Extending Order (Section 134)

WHEREAS the Director, Securities Division, Financial and Consumer Affairs Authority of Saskatchewan issued a temporary cease trade order on March 29, 2018 (the Temporary Order) pursuant to section 134 of *The Securities Act, 1988*, SS 1988-89, c S-42.2 (the *Act*) against the Respondents as follows:

- 1. Pursuant to sections 134(1)(a) and 134(3) of the *Act*, the exemptions in Saskatchewan securities laws do not apply to the Respondents up to and including April 13, 2018;
- 2. Pursuant to sections 134(1)(d) and 134(3) of the *Act*, the Respondents shall cease trading in securities and derivatives in Saskatchewan up to and including April 13, 2018;
- 3. Pursuant to sections 134(1)(d.1) and 134(3) of the *Act*, the Respondents shall cease acquiring securities and derivatives for and on behalf of residents of Saskatchewan up to and including April 13, 2018; and
- 4. Pursuant to sections 134(1)(e) and 134(3) of the *Act*, the Respondents shall cease giving advice respecting securities and derivatives in Saskatchewan up to and including April 13, 2018.

AND WHEREAS, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Authority has appointed a panel (the Hearing Panel) to hear this matter;

AND WHEREAS, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

AND WHEREAS the Temporary Order was extended to the end of the day on April 25, 2018 by an Order given by the Hearing Panel on April 10, 2018;

AND WHEREAS the Temporary Order was further extended to the end of the day on September 11, 2018 by an Order given by the Hearing Panel on April 25, 2018;

AND WHEREAS on September 6, 2018 Trade12 requested an adjournment of the First Appearance scheduled for September 10, 2018 at 9:00am (CST);

AND WHEREAS the Hearing Panel is of the opinion that it does not have satisfactory information to make a final decision in this matter;

AND WHEREAS the Hearing Panel is of the opinion that it is in the public interest to make this Order;

THE AUTHORITY HEREBY ORDERS that:

- 1. The First Appearance is adjourned to September 27, 2018 at 10:00am (CST);
- 2. Pursuant to section 134 of the *Act*, the Temporary Order is extended to the end of the day on September 28, 2018 unless the Hearing Panel makes a further order in this matter;
- 3. Staff of the Authority shall send the Respondents a copy of this Order; and
- 4. This Order shall be posted for public notice in the normal course to continue to alert investors and as a further means of notification to the Respondents.

DATED at Regina, Saskatchewan, this 10th day of September, 2018.

Gordon Hamilton Chairperson of the Panel Financial and Consumer Affairs Authority of Saskatchewan