

C.A. No. _____

IN THE COURT OF APPEAL FOR SASKATCHEWAN

BETWEEN:

TRI-LINK CONSULTANTS INC.
and KLAUS LINK

APPELLANTS

- and -

SASKATCHEWAN FINANCIAL SERVICES COMMISSION
RESPONDENT

NOTICE OF APPEAL

TAKE NOTICE:

1. THAT Klaus Link and Tri-Link Consultants Inc., the above named Appellants, hereby appeal to the Court of Appeal from the Order of the Saskatchewan Financial Services Commission ("the Commission") issued on the 21st day of April, 2009.
2. THAT the whole of the Order is being appealed.
3. THAT the source of the Appellants' right of appeal and the Court's jurisdiction to entertain the appeal is section 11 of *The Securities Act, 1988*.
4. THAT the appeal is taken upon the following grounds:
 - a) The Commission erred by proceeding with the hearing of this matter immediately after counsel for the Appellants, who had endorsed a lengthy

Agreed Statement of Facts and Allegations on behalf of the Appellants,
had withdrawn as counsel for the Appellants;

- b) The Commission acted contrary to the rules of natural justice by proceeding when the Appellants did not have legal counsel;
- c) The Appellants were denied their right to legal counsel contrary to section 10(b) of the *Canadian Charter of Rights and Freedoms*;
- d) The penalty imposed by the Commission is excessive and unfair,
- e) In imposing its penalty the Commission did not fully and adequately consider the evidence of the Appellant, Klaus Link or the personal circumstances of the Appellant, Klaus Link;
- f) Such further grounds as counsel may advise and this Honourable Court may allow.

5. THAT the Appellants request the following relief:

- a) That the matter be remitted to the Saskatchewan Financial Services Commission for a new hearing;
- b) Costs.

6. THAT the Appellants' address for service is:

c/o McCrank Stewart Johnson
 Barristers & Solicitors
 208-2208 Scarth Street
 Regina, Saskatchewan, S4P 2J6
 Telephone number: (306) 525-2191
 Fax number: (306) 757-8138
 The lawyers in charge of the file: Hal B. Wellsch and J. Paul Malone.

7. THAT the Appellants request that this appeal be heard at Regina.

DATED at Regina, in the Province of Saskatchewan, this 21st day of May, 2009.

McCRANK STEWART JOHNSON

PER:  _____

TO: The Respondent,
Saskatchewan Financial Services Commission
601-1919 Saskatchewan Drive
Regina, Saskatchewan
S4P 4H2