

APPENDIX B

Amending Instrument for Multilateral Instrument 11-102 *Passport System*

1. Multilateral Instrument 11-102 *Passport System* is amended by this Instrument.

2. Appendix D is amended by repealing the row that contains “Marketplace operation” in the Provision column and substituting the following row:

Marketplace operation	NI 21-101 (only Parts 3, 4, 7, 8, 11 and 13 and sections 5.1(1), 5.1(2), 5.9, 5.10, 6.1, 6.2, 6.3, 6.7, 6.9 and 6.11, as those parts and sections apply to an ATS)
-----------------------	--

3. Appendix D is amended by adding the following row immediately below the row that contains “Use of client brokerage commissions” in the Provision column:

Electronic trading and direct electronic access to marketplaces	NI 23-103 (only sections 3(1), 3(2), 3(3)(a) to 3(3)(d), 3(4) to 3(7), 4, 5(2), 6, 7, 8(b), 8(c), 8(e) to 8(h), 9 and 11)
---	--

4. The provisions of this Instrument come into force on the dates set out below:

(a) section 2 on *;

(b) section 3 on *.