FCAA
Financial and Consumer Affairs Authority

Saskatchewan Equity Crowdfunding Exemption

Portal Individual Information Form GO45-925F6

Instructions:

This form should be completed by each promoter, director, officer, and control person of the company that owns the portal that will be facilitating trades under the Saskatchewan Equity Crowdfunding Exemption. The form must be filed with the Financial and Consumer Affairs Authority of Saskatchewan 30 days before beginning to offer equity crowdfunding and must be accompanied by a Portal Information Form GO45-925F5.

There is no fee payable to the Financial and Consumer Affairs Authority of Saskatchewan.

You must print, sign in front of a witness, and mail this form to:

Financial and Consumer Affairs Authority
Securities Division - Saskatchewan Equity Crowdfunding Exemption
Suite 601 -- 1919 Saskatchewan Drive
Regina, SK S4P 1C2

Print Form

Provide the following information regarding the individual filing this form.

1. Name

Address

Telephone Fax Email

2. Birth Date

Birth Place

3. Portal Name

4. Have you ever been registered or licensed in any capacity with FCAA or any other professional or regulatory entity?

◯ Yes ◯ No

If yes, provide your licence/ registration type, name of the entity, and the date and reason for termination:

5. Have you ever been dismissed or resigned for cause by an employer from a position?

◯ Yes ◯ No

If yes, please provide details:

6. Have you ever been convicted of an offense under any legislation?

Yes No If yes, please provide details:

7. Are you currently up to date on all your financial commitments?

Yes No If no, please provide details:

About the Collection and Use of Personal Information

The personal information required under this form is collected on behalf of, and used by, the Financial and Consumer Affairs Authority of Saskatchewan (FCAA) to administer and enforce the provisions of *The Securities Act, 1988*.

By submitting this form, the individual consents to the collection by the FCAA of this personal information, and any police records, records from other government or non-governmental regulators or self regulatory organizations, credit records and employment records about the individual that the FCAA may need to complete their review of the information submitted in this form relating to the individual's fitness to be involved in offerings under this exemption. FCAA may contact government and private bodies or agencies, individuals, corporations and other organizations for information about the individual.

If you have any questions about the collection and use of this information, contact the FCAA at 306.787.5645

Witness	Signature
Print Name	Print Name
Date	Title