

## **Annex D-2**

### **List of Commenters on CSA Staff Consultation Note 45-401 *Review of Minimum Amount and Accredited Investor Exemptions***

Advocis, The Financial Advisors Association of Canada (Greg Pollock and Dean Owen)

AIMA Alternative Investment Management Association (Ian Pember)

Alta West Mortgage Capital Corporation (Dave McKitrick)

AMBA Alberta Mortgage Brokers Association (Dean Koeller and Dave McKitrick)

Association of Canadian Compliance Professionals (Sandra L. Kegie)

Atnikov, Brenna

Bennett Jones (Nicholas P. Fader)

Bentham, Craig L., Barrister and Solicitor

BLG Borden Ladner Gervais LLP (David Surat and Rebecca Cowdery)

British Columbia Technology Industry Association (Bill Tam)

Burnet, Duckworth & Palmer LLP (Shannon Gangl, Steve Cohen, Alyson Goldman and Bill Maslechko)

Calrossie Investment Management Inc. (David Ramsay)

Calvert Home Mortgage Investment Corporation (Dean Koeller)

Campbell, Donald I. - Law Office, Barrister & Lawyer

Canadian Advocacy Council for Canadian CFA Institute Societies, The (Keith Summers, CFA)

Canadian Bar Association (Quebec)

CBG Cawkell Brodie Glaister LLP (Jacob Kojfman)

CBI Group (Travis Cadman)

Cedar Parks Management Corp. (Jesse Bobrowski)

Compliance Support Services (Stephanie A. McManus)

Computershare (Marc Castonguay)

Contact Capital Advisory Corp. (Peter Murray)

Coulter, Jamie and Melillo, David

CSI Global Education Inc. (Marc Flynn)

CUE – Calgary Urban Equities Limited (Jonathan K. Allen)

CVCA Canada's Venture Capital & Private Equity Association  
Davies Ward Phillips & Vineberg LLP (Brian Kujavsky)  
Dexterity Ventures Inc. (Gena Rotstein)  
Doucet McBride Lawyers (Harold Geller)  
Enlightened Private Capital Inc. (Norman Light)  
Exempt Analyst (William McNarland, CFA)  
Exempt Market Consultants (Nancy Bacon)  
Exempt Market Dealers Association of Canada (Brian Koscak, David Gilkes and  
Geoffrey Richie)  
FAIR Canadian Foundation for Advancement of Investor Rights (Ermanno  
Pascutto)  
Federation of Mutual Fund Dealers (Sandra L. Kegie)  
Financial Value Inc./Privest Wealth Management (D. Cameron)  
Fiore Financial Corporation (Gordon Keep)  
Fraser Milner Casgrain LLP (Tom Houston, Andrea Johnson and Lara Vos Smith)  
Front Row Capital Inc. (Craig Burrows)  
Full Circle Parenting (Lisa Kathleen)  
Fundamental Research Corp. (Brian Tang, CFA)  
Gardiner Roberts LLP (William R. Johnstone)  
Gluskin Sheff (David R. Morris)  
GreensKeeper Asset Management (Michael McCloskey)  
Greystone Managed Investment Inc. (Jacqueline Hatherly)  
Heathbridge Capital Management Ltd. (Richard M. Tattersall, CFA)  
Highstreet Asset Management Inc. (Paul A. Brisson)  
IFIC The Investment Funds Institute of Canada (Joanne De Laurentis)  
IGM Financial Inc. (Murray J. Taylor)  
IIROC (R.J. Corner)  
Independent Financial Brokers (John Whaley)  
Independent Planning Group (Vince Valenti)  
Investment Industry Association of Canada (Susan Copland)  
InvestPlus Properties Canada Ltd. (Stuart McPhail)

Kenmar Associates (Ken Kivenko, P.Eng.)  
Ly, Micheal  
Lytton Financial Inc. (Glenn Gold)  
MacDonald, Shymko & Company Ltd. (David Shymko)  
MacNicol & Associates Asset Management Inc. (David A. MacNicol)  
McCrank Stewart LLP ( David J. Brundige, QC)  
McInnes Cooper Lawyers(Basia Dzierzanowska and Jeff Hoyt)  
Meckelborg Financial Group Ltd. (J.A. Meckelborg)  
Miller Thomson (Susan Han)  
Miller Thomson LLP (Greg P. Shannon and Darren M. Smits)  
Momentum (Jeff Loomis)  
Mutual Fund Dealers Association of Canada (Paige L. Ward)  
Network of Angel Organizations – Ontario  
Norton Rose Canada LLP (Tracey Kernahen)  
Olympia Trust Company (James Bell)  
Omniarch Capital Corp. (Jay Modi)  
Ontario Bar Association (Philippe Tardif, Brian Pril and Barbara Henrickson)  
Optimus US Real Estate Fund (Arthur Wong, P.Eng.)  
OSC Investor Advisory Panel (Anita Anand et al)  
Paradigm Portfolio Management Company (Kyle Kozuska)  
Paradigm Environmental Technologies Inc. (Gordon D. Skene)  
Pinnacle Wealth Brokers (Rod Burylo)  
Pinnacle Wealth Brokers Inc. (Chris Silverthorn)  
Plazacorp Retail Properties Ltd.(Lynda M. Savoie, CA)  
Portfolio Management Association of Canada (Katie Walmsley and Scott Mahaffy)  
Prestige Capital Inc. (Curtis Potyondi)  
Prestigious Properties (Thomas Beyer)  
Prospectors and Developers Association of Canada (Philip Bousquet)  
Prosperity Development Group Ltd.

Provisus Wealth Management (Peter Webster, CFA)  
Purpose Inspired Solutions (Scott Morrison)  
Raintree Financial Solutions (D.R. Fournier)  
RBC Dominion Securities Inc. (David Agnew) and RBC Phillips, Hager & North  
Investment Counsel Inc. (Vijay Parmar)  
REAP Business Association (Stephanie Jackman)  
Reed Pope LLP (R. Keith Reed and Jennifer McGregor-Geer)  
Richardson GMP (Leo Purcell)  
SecureCare Investments Inc. (Peter Johannes)  
Sentinel Financial Management Corp. (Merlin H. Chouinard)  
SIPA Small Investor Protector Association (Stan I. Buell)  
Sloane Capital Corp. (Stephen Freedman)  
Smith Law Corp. (William H. Smith, QC)  
Stevenson Hood Thornton Beaubier LLP (Beaty F. Beaubier)  
SVX, MaRS Centre for Impact Investing (Annie Malhotra and Adam Spence)  
Swizzlesticks Enterprises Ltd. (Ross Hahn)  
SWMI Skyline Wealth Management Inc. (Mike Bonneveld)  
Tacita Capital Inc. (Michael Nairne)  
Thompson, Bruce S.  
Tralucant Asset Management (Bill Siddiqui, CA)  
VANTEC (Michael C. Volker)  
Walton Capital Management Inc. (Mark McKenna)  
WealthSpark Inc. (David G.L. McKenzie)  
WEMA Western Exempt Market Association (Craig Skauge) (now known as the  
National Exempt Market Association)  
Westcourt Capital Corporation (David R. Kaufman)  
White Rabbit Communications (Angela Joyce)  
WMCZ Wallace Meschishnick Clackson Zawada  
Wolverton Securities Ltd. (Brent Wolverton)