

# Start-Up Crowdfunding Exemption

## Form 2 – Individual Information

### Instructions:

This form should be completed by **each** promoter, director, officer and control person of the business seeking to raise money using the Start-Up Crowdfunding Exemption. The form must be delivered to each participating jurisdiction listed below where you wish to raise money at least 10 business days before you start raising money. Submit this form along with completed Form 1 – *Issuer Information* and Form 3 – *Offering Document* for the business.

The information provided below should pertain to the individual person filing the form.

1. Name

Position Type

Telephone  Fax  Email

2. Birth Date  Birth Place

3. Residential history: Provide all residential addresses for the past 5 years starting with your current principal residential address.

Street Address, City, Province/State, Country and Postal/ZIP Code	From		To	
	MM	YYYY	MM	YYYY
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

4. For each offering you have been involved in under the Start-Up Crowdfunding Exemption in any participating jurisdiction, please list the issuer name and date the offering closed.

5. Have you ever been registered or licensed in any capacity with a securities regulator in any of the participating jurisdiction or any other professional or regulatory entity?

Yes  No

If yes, provide your license/ registration type, name of the entity, and the date and reason for termination:

6. Have you ever resigned or been dismissed for cause by an employer from a position?

Yes  No

If yes, please provide details:

7. Have you ever been convicted of an offense under any legislation?

Yes  No

If yes, please provide details:

8. Have you ever been subject to any proceedings or any order resulting from proceedings under any securities legislation or derivatives legislation or both in any province, territory, state or country?

Yes  No

If yes, please provide details:

9. Are you currently up to date on all your financial commitments?

Yes  No

If no, please provide details:

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### About the Collection and Use of Personal Information

The personal information required under this form is collected on behalf of, and used by, the securities regulator of each participating jurisdiction where securities will be offered to administer and enforce the provisions of applicable securities law.

By submitting this form, you consent to the collection by the securities regulator of each participating jurisdiction where the issuer will raise money of this personal information, and any police records, records from other government or non-governmental regulators or self-regulatory organizations, credit records and employment records about you that the securities regulator may need to complete its review of the information submitted in this form relating to your fitness to be involved in offerings under this exemption. Securities regulators may contact government and private bodies or agencies, individuals, corporations and other organizations for information about you.

If you have any questions about the collection and use of this information, contact your participating jurisdiction at the number indicated below.

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Witness

Print Name

Date

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Signature

Print Name

Title

You must print, sign, and mail this form to each participating jurisdiction where the issuer wishes to raise money to the address(es) indicated below. Alternatively, you may also send a copy of the signed form by e-mail to each participating jurisdiction where the issuer wishes to raise money (e-mail addresses to come).

**Participating jurisdictions:**

Manitoba	The Manitoba Securities Commission 500 – 400 St Mary Avenue Winnipeg, Manitoba R3C 4K5 Telephone: 204-945-2548 Toll Free in Manitoba: 1-800-655-2548 <a href="http://www.msc.gov.mb.ca">www.msc.gov.mb.ca</a>
New Brunswick	Financial and Consumer Services Commission 85 Charlotte Street, Suite 300 Saint John, New Brunswick E2L 2J2 Toll Free: 1-866-933-2222 <a href="http://www.fcnb.ca">www.fcnb.ca</a>
Nova Scotia	Nova Scotia Securities Commission Suite 400, 5251 Duke Street Halifax, Nova Scotia B3J 1P3 Telephone: 902-424-7768 Toll Free in Nova Scotia: 1-855-424-2499 <a href="http://nssc.gov.ns.ca">nssc.gov.ns.ca</a>
Québec	Autorité des marchés financiers Direction du financement des sociétés 800, rue du Square-Victoria, 22nd floor P.O. Box 246, Tour de la Bourse Montréal, Québec H4Z 1G3 Telephone: 514-395-0337 Toll Free in Québec: 1-877-525-0337 <a href="http://www.lautorite.qc.ca">www.lautorite.qc.ca</a>
Saskatchewan	Financial and Consumer Affairs Authority Securities Division - Saskatchewan Equity Crowdfunding Exemption Suite 601 -- 1919 Saskatchewan Drive Regina, Saskatchewan S4P 4H2 Telephone: 306-787-5645 <a href="http://www.fcaa.gov.sk.ca">www.fcaa.gov.sk.ca</a>