

Start-Up Crowdfunding Exemption

Form 6 – Portal Individual Information

Instructions:

This form should be completed by each promoter, director, officer, and control person of the company that operates the portal that will be facilitating trades under the Start-Up Crowdfunding Exemption. The form must be delivered to each participating jurisdiction listed below where the portal wishes to facilitate trades at least 30 days before beginning to a crowdfunding offering and must be accompanied by a completed Form 5 – *Portal Information Form*. If you have delivered this form for a previous crowdfunding offering, you do not have to re-deliver the form if there are no changes in the information that was previously provided.

Provide the following information regarding the individual filing this form.

1. Name

Position Type

Telephone Fax Email

2. Residential history: Provide all residential addresses for the past 5 years starting with your current principal residential address.

Street Address, City, Province/State, Country and Postal/ZIP Code	From		To	
	MM	YYYY	MM	YYYY
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

3. Birth Date

Birth Place

4. Portal Name

5. Have you ever been registered or licensed in any capacity with a securities regulator in any of the participating jurisdiction or any other professional or regulatory entity?

Yes No

If yes, provide your licence/
registration type, name of the
entity, and the date and reason
for termination:

6. Have you ever been resigned or been dismissed for cause by an employer from a position?

Yes No

If yes, please provide details:

7. Have you ever been convicted of an offense under any legislation?

Yes No

If yes, please provide details:

8. Have you ever been subject to any proceedings or any order resulting from proceedings under any securities legislation or derivatives legislation or both in any province, territory, state or country?

Yes No

If yes, please provide details:

9. Are you currently up to date on all your financial commitments?

Yes No

If no, please provide details:

About the Collection and Use of Personal Information

The personal information required under this form is collected on behalf of, and used by, the securities regulator of each participating jurisdiction where the portal wishes to facilitate trades to administer and enforce the provisions of applicable securities law.

By submitting this form, you consent to the collection by the securities regulator of each participating jurisdiction where the issuer will raise money of this personal information, and any police records, records from other government or non-governmental regulators or self-regulatory organizations, credit records and employment records about you that the securities regulator may need to complete its review of the information submitted in this form relating to your fitness to be involved in trades under this exemption. Securities regulators may contact government and private bodies or agencies, individuals, corporations and other organizations for information about you.

If you have any questions about the collection and use of this information, contact your participating jurisdiction at the number indicated below.

Witness

Signature

Print Name

Print Name

Date

Title

You must print, sign, and mail this form to each Participating Jurisdiction where the portal wishes to facilitate trades to the address(es) indicated below. Alternatively, you may also send a copy of the signed form by e-mail to each participating jurisdiction where the portal wishes to facilitate trades (e-mail addresses to come).

Participating jurisdictions:

Manitoba	The Manitoba Securities Commission 500 – 400 St Mary Avenue Winnipeg, Manitoba R3C 4K5 Telephone: 204-945-2548 Toll Free in Manitoba: 1-800-655-2548 www.msc.gov.mb.ca
New Brunswick	Financial and Consumer Services Commission 85 Charlotte Street, Suite 300 Saint John, New Brunswick E2L 2J2 Toll Free: 1-866-933-2222 www.fcnb.ca
Nova Scotia	Nova Scotia Securities Commission Suite 400, 5251 Duke Street Halifax, Nova Scotia B3J 1P3 Telephone: 902-424-7768 Toll Free in Nova Scotia: 1-855-424-2499 nssc.gov.ns.ca
Québec	Autorité des marchés financiers Direction du financement des sociétés 800, rue du Square-Victoria, 22nd floor P.O. Box 246, Tour de la Bourse Montréal, Québec H4Z 1G3 Telephone: 514-395-0337 Toll Free in Québec: 1-877-525-0337 www.lautorite.qc.ca
Saskatchewan	Financial and Consumer Affairs Authority Securities Division - Saskatchewan Equity Crowdfunding Exemption Suite 601 -- 1919 Saskatchewan Drive Regina, Saskatchewan S4P 4H2 Telephone: 306-787-5645 www.fcaa.gov.sk.ca