

Annex A
Changes to the 2009 Proposal

Type of account	Type of trade	Type of fund	Time of delivery		
			Initial purchase	Subsequent purchase	Annually
2009 Proposal					
Full service	Dealer recommended	All funds other than money market funds	Before or at point of sale	No delivery	Investor will be given option to receive annually Fund Facts for all funds held
		Money market funds	Before or at point of sale OR With trade confirmation		
	Investor initiated	All funds			
Order execution only	All trades	All funds	With trade confirmation		
Proposed Amendments					
Full service	All trades	All funds	Before or at point of sale* OR Within 2 days of purchase in limited circumstances, subject to certain conditions (as outlined in the Notice)	No delivery unless a more recent version of the Fund Facts has been filed**	Not applicable
Order execution only	All trades	All funds			

*Before a dealer accepts an instruction for the purchase of mutual fund securities.

** Subject to legislative amendments in certain jurisdictions.