

**Schedule E**  
**Local Matters**

*Authority for Amendments*

The following provisions of The Securities Act, 1988 (Saskatchewan) (the Act) provide the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) with the authority to adopt the proposed amendments:

- Paragraph 154(1)(r) of the Act authorizes the Authority to make regulations governing disclosure obligations pursuant to Parts XIV (Continuous Disclosure) and XV (Proxies and Proxy Solicitation) and the regulations.
- Paragraph 154(1)(u) of the Act authorizes the Authority to make regulations requiring registered holders or other persons or companies on behalf of whom the securities are held to comply, in whole or in part, with Part XIV and Part XV, including varying the application of those Parts to reporting issuers, recognized clearing agencies, registered holders, registrants and other persons or companies who hold securities on behalf of persons or companies but who are not the registered holders by prescribing additional requirements.
- Paragraph 154(1)(ii) of the Act authorizes the Authority to make regulations respecting the media, format, preparation, form, content, execution, certification, dissemination and other use, filing and review of all documents required pursuant to or governed by the Act, and the regulations and all documents determined by the regulations to be ancillary to the documents.