

## **Annex H**

### **Financial and Consumer Affairs Authority of Saskatchewan (FCAA) Amendments to National Instrument 21-101 *Marketplace Operation* and National Instrument 23-101 *Trading Rules***

#### **Authority**

The following provisions of *The Securities Act, 1988* (the Act) provide FCAA with authority to adopt the Amendments:

- Paragraph 154(1)(k) of the Act authorizes FCAA to make prescribing requirements with respect to recognized entities and designated entities;
- Paragraph 154(1)(u.5) of the Act authorizes FCAA to make regulations prescribing information, documents, records or other materials that are required to be filed or delivered;
- Paragraph 154(1)(ee) of the Act authorizes FCAA to make regulations with respect to any matter necessary to regulate self-regulatory organizations, exchanges, derivatives trading facilities, quotation and trade reporting systems, clearing agencies and trade repositories;
- Paragraph 154(1)(ii) of the Act authorizes FCAA to make regulations respecting the media, format, preparation, form, content, execution, certification, dissemination and other use, filing and review of all documents required pursuant to or governed by the Act, and the regulations and all documents determined by the regulations to be ancillary documents;