

Annex E

Local Matters

Financial and Consumer Affairs Authority of Saskatchewan (FCAA) Amendments to National Instrument 33-105 *Underwriting Conflicts*

Authority

The following provisions of *The Securities Act, 1988* (the Act) provide FCAA with authority to adopt the Rule Amendments:

- Paragraph 154(1)(f) of the Act authorizes FCAA to make prescribing requirements respecting the disclosure or furnishing of information to customers and clients, prospective customers and clients, other registrants, the public, or the FCAA by registrants and directors, partners, officers, representatives, employees and security holders of registrants;
- Paragraph 154(1)(o) of the Act authorizes FCAA to make regulations governing any disclosure documents;
- Paragraph 154(1)(r) of the Act authorizes FCAA to make regulations governing disclosure obligations pursuant to Parts XIV and XV of the Act and the regulations;
- Paragraph 154(1)(oo) of the Act authorizes FCAA to make regulations exempting any person, company, trade or security from all or any provision of the Act or the regulations, including prescribing any terms or limitations on an exemption and requiring compliance with those terms or limitations;