

Securities – Notice and Request for Comment – Proposed Consequential Amendments to Multilateral Instrument 11-102 *Passport System*, National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)* and Multilateral Instrument 13-102 *System Fees for SEDAR and NRD*

November 19, 2015

On September 24, 2015 the Financial and Consumer Affairs Authority of Saskatchewan (FCAA) published the CSA Notice of Amendments Relating to Rights Offerings to National Instrument 45-106 *Prospectus Exemptions*, National Instrument 41-101 *General Prospectus Requirements*, National Instrument 44-101 *Short Form Prospectus Distributions*, and National Instrument 45-102 *Resale of Securities* and Repeal of National Instrument 45-101 *Rights Offerings* (CSA Notice). In conjunction with these amendments and the repeal of National Instrument 45-101 *Rights Offerings*, there is a need for consequential amendments to other Instruments.

The CSA Notice identifies the Instruments requiring consequential amendments in Annex E-1 [*Amendments to Multilateral Instrument 11-102 Passport System*], Annex E-2 [*Amendments to National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR)*] and Annex E-3 [*Amendments to Multilateral Instrument 13-102 System Fees for SEDAR and NRD*]. Therefore, FCAA is now publishing for a 60 day comment period proposed consequential amendments to:

- Multilateral Instrument 11-102 *Passport System*,
- National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)*, and
- Multilateral Instrument 13-102 *System Fees for SEDAR and NRD*.

The proposed consequential amendments are required to align the provisions within the above Instruments with the repeal of National Instrument 45-101 *Rights Offerings* as the provisions of the Rights Offering Exemption have been moved to National Instrument 45-106 *Prospectus and Registration Exemptions*.

We welcome all comments on the above noted Instruments on or before January 18, 2016.

Please submit your comments in writing. If you are not sending your comments by email, please send a CD containing the submission in Microsoft Word format.

Please note that we cannot keep submissions confidential because securities legislation requires publication of a summary of the written comments received during the comment period. You should not include personal information directly in comments. It is important that you state on whose behalf you are making the submission.

Thank you in advance for your comments.

Please address your submission or questions as follows and deliver your comments only to the email address below:

Tony Herdzik, Deputy Director, Corporate Finance
Securities Division
Financial and Consumer Affairs Authority of Saskatchewan
Suite 601, 1919 Saskatchewan Drive
Regina, Saskatchewan S4P 4H2
Phone: (306) 787-5849
Fax: (306) 787-5899
Email: tony.herdzik@gov.sk.ca

Annexes to Notice

Annex A – Amendments to Multilateral Instrument 11-102 *Passport System*

Annex B – Amendments to National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)*

Annex C – Amendments to Multilateral Instrument 13-102 *System Fees for SEDAR and NRD*