

ANNEX C

Local Matters

In Saskatchewan the proposed amendments are being made under the following provisions of the Act:

Paragraph 154 1 (j) of the Act authorizes the Authority to make regulations regulating the trading of securities or derivatives other than on an exchange recognized by the Commission

Paragraph 154 1 (j.1) of the Act authorizes the Authority to make regulations regulating the trading of derivatives on a derivatives trading facility including prescribing requirements for keeping records

Paragraph 154 1 (l) of the Act authorizes the Authority to make regulations regulating trading or advising in securities or derivatives to prevent trading or advising that is fraudulent, manipulative, deceptive or unfairly detrimental to investors;

Paragraph 154 1 (x) of the Act authorizes the Authority to make regulations prescribing requirements with respect to a derivative or class of derivatives not to be traded in Saskatchewan;

Paragraph 154 1 (xx) of the Act authorizes the Authority to make regulations prescribing any other matter or thing that is required or authorized by this Act to be prescribed in the regulations

Paragraph 154 1 (yy) of the Act authorizes the Authority to make regulations respecting any matter or thing the Lieutenant Governor in Council considers necessary or desirable to carry out the purposes of this Act.