

## ANNEX E

### LOCAL MATTERS

In Saskatchewan the proposed Amendments to National Instrument 24-102 *Clearing Agency Requirements* are being made under the following provisions of *The Securities Act, 1988*:

- Paragraph 154(1)(i) authorizes the Authority to make regulations regulating the listing and trading of securities or derivatives, including prescribing requirements for keeping records and reporting trades and quotations;
- Paragraph 154(1)(k.2) authorizes the Authority to make regulations regulating exchanges, self-regulatory organizations, quotation and trade reporting systems, clearing agencies, derivatives trading facilities and trade repositories;
- Paragraph 154(1)(l.1) authorizes the Authority to make regulations regulating the trade of derivatives, including prescribing requirements with respect to the clearing and settlement of trades; requirements with respect to the reporting of trades and quotations, including requirements with respect to the confidentiality and disclosure of those reports; derivatives or classes of derivatives for which trades must be cleared or settled through a clearing agency recognized by the Authority;
- Paragraph 154(1)(xx) authorizes the Authority to make regulations prescribing any other matter or thing that is prescribed or authorized by the Act to be prescribed in the regulations;
- Paragraph 154(1)(yy) authorizes the Authority to make regulations respecting any matter or thing that the Authority considers necessary or desirable to carry out the purposes of this Act.