

ANNEX A

PROPOSED SASKATCHEWAN LOCAL INSTRUMENT 25-501 IMPLEMENTATION OF THE SECURITIES AMENDMENT ACT, 2018 – SELF- REGULATORY ORGANIZATIONS

Interpretation

1 In this local instrument:

- (a) “**Act**” means *The Securities Act, 1988*;
- (b) “**Commission regulation**” means a regulation made by the Commission pursuant to subsection 154(2) of the Act;
- (c) “**the regulations**” means *The Securities Regulations*.

Self-Regulatory Organizations

- 2** The Investment Industry Regulatory Organization of Canada (IIROC) is prescribed as a self-regulatory organization for the purposes of subsection 151.2(2.1) and subsection 151.2(2.3) of the Act.
- 3** The Mutual Fund Dealers Association of Canada (MFDA) is prescribed as a self-regulatory organization for the purposes of subsection 151.2(2.1) and subsection 151.2(2.3) of the Act.

Coming into force

- 4** These regulations come into force on the day on which they are filed with the Registrar of Regulations.