

**Local Notice and Request for Comment – Proposed Saskatchewan Local Instrument
25-501 Implementation of The Securities Amendment Act, 2018 – Self-
Regulatory Organizations**

April 25, 2019

On April 2, 2019, *The Securities Amendment Act, 2018* passed Third Reading by the Saskatchewan Legislature amending *The Securities Act, 1988 (Act)*. Subject to Proclamation, the Act amendments require a local regulation in order for Financial and Consumer Affairs Authority of Saskatchewan (**FCAA**) to prescribe a self-regulatory organization to be in a position to enforce their orders at the Court of Queen's Bench. Therefore, FCAA is publishing for a 60-day comment period proposed Saskatchewan Local Instrument 25-501 *Implementation of The Securities Amendment Act, 2018 – Self-Regulatory Organizations*.

Please submit your comments in writing to FCAA on or before June 24, 2019. You may provide written comments in hard copy or electronic form. If you are not sending your comments by email, please send a CD containing the submissions in Microsoft Word format. It is important that you state on whose behalf you are making the submission.

Please address your submission or questions as follows and deliver your comments only to the email address below:

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Annexes to Notice:

Annex A – Proposed Saskatchewan Local Instrument 25-501 *Implementation of The Securities Amendment Act, 2018 – Self-Regulatory Organizations*

Annex B – Local Matters