

ANNEX C

LIST OF COMMENTERS

1. Advocis
2. AGF Investments Inc.
3. Alternative Investment Management Association (AIMA)
4. Anita Anand (University of Toronto)
5. Antares Investment Management, Inc.
6. Art Ross
7. Assante Wealth Management Ltd.
8. Association of Canadian Compliance Professionals (ACCP)
9. Aviso Wealth Inc.
10. BCV Asset Management Inc.
11. Belay Wealth Inc.
12. Bentley Beck
13. BlackRock Asset Management Canada Limited
14. BMO Financial Group
15. Borden Ladner Gervais LLP
16. Brent Wolverton
17. Bruce Foster (Foster Agency)
18. Canadian Association of Independent Life Brokerage Agencies
19. Canadian Bankers Association
20. Canadian Foundation for Advancement of Investor Rights (FAIR)
21. Canadian Imperial Bank of Commerce
22. Canadian Independent Asset Management
23. Capital International Asset Management
24. Cardinal Capital Management, Inc.
25. CARP
26. Chad Viminitz
27. Chester R. Brothers
28. Children's Education Funds Inc.
29. CI Investments
30. Coalition des associations de consommateurs du Quebec (CACQ)
31. Conseil des fonds d'investissement du Quebec (CFIQ)
32. C.S.T. Consultants Inc.
33. Cumberland Private Wealth Management Ltd.
34. D. and S. Reid
35. Dale Butler (Butler Financial)
36. David C.C. Lister
37. David W. Martin
38. Derek Moran
39. Desjardins
40. Dixon Mitchell Investment Counsel Inc.
41. Doherty & Associates Ltd.
42. Donald I. Campbell (Canadian Compliance & Regulatory Law)
43. Edward Jones
44. Farm Business Consultants and FBC Financial & Estate Planning Services Inc. (Ken Rousselle)
45. Federation of Mutual Fund Dealers
46. Fidelity Investments Canada ULC

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47. Franklin Templeton Investments Corp.
48. Goldstein Financial Investments Inc.
49. Groupe Cloutier Investissements
50. Guardian Capital Group Limited
51. Highstreet Asset Management Inc.
52. HUB Capital Inc.
53. Huxton Black
54. iA Financial Group
55. ICI Global
56. Independent Accountants' Investment Counsel Inc. (IAIC)
57. Independent Financial Brokers of Canada (IFB)
58. Institute of Advanced Financial Planners
59. Integral Wealth Securities Limited
60. Invesco Canada Ltd.
61. Investment Industry Association of Canada (IIAC)
62. Investment Planning Counsel Inc.
63. Investor Advisory Panel (IAP)
64. Investors Group Inc.
65. IRONSHIED Financial Planning Inc. & CANi Financial Planning Syndicate Inc.
66. Jacquie Skinner (Premier Financial Planning Services Inc.)
67. James Doer
68. Jamie Graham (Insight Wealth and PEAK Investment Services Inc.)
69. Jamie Robb (Fiducia Wealth Management)
70. Jason M. Pereira
71. Jeff Schreiter
72. John Davis (Blackburn Davis Financial Inc.)
73. Keith Muchan
74. Kelley Doerksen
75. Kendall and Deborah Bingeman
76. Kenmar Associates
77. Kevin Brugger (Brugger Wealth Management)
78. Kinsted Wealth Inc.
79. Knowledge First Financial
80. Leede Jones Gable Inc.
81. Link Plan Management Inc.
82. LOGiQ Asset Management Ltd.
83. Logan Wealth Management
84. M3 Securities
85. Mackenzie Financial Corporation
86. MacNicol & Associates Asset Management Inc.
87. Manulife
88. Matt Churchill
89. McDougall Gauley LLP
90. Merici Services Financiers Inc.
91. MICA Capital Inc.
92. Michael G. Sheffar
93. National Bank of Canada
94. National Society of Compliance Professionals (NSCP)
95. Nest Wealth Asset Management Inc.

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96. Nicola Wealth Management
97. Osler, Hoskin & Harcourt LLP
98. Pacific Spirit Investment Management Inc.
99. PEAK Financial Group
100. Portag3 Ventures
101. Portfolio Management Association of Canada (PMAC)
102. Portfolio Strategies Corporation
103. Primerica
104. Private Capital Markets Association of Canada (PCMA)
105. Provisus Wealth Management
106. Questrade, Inc.
107. RBC Dominion Securities Inc., RBC Direct Investing Inc., Royal Mutual Funds Inc., RBC Global Asset Management Inc., RBC Phillips, Hager & North Investment Counsel Inc., RBC InvestEase Inc. and Phillips, Hager & North Investment Funds Ltd.
108. RESP Dealers Association of Canada and Children's Education Funds Inc.
109. Responsible Investment Association
110. Scotia Capital Inc. and Scotia Securities Inc.
111. Stephen V. MacDonald (Blackburn Davis Financial)
112. Sterling Mutuals Inc.
113. Stikeman Elliott LLP (Alix d'Anglejan-Chatillon, Jeffrey Elliott, Nicholas Badeen, Ramandeep K. Grewal)
114. Stikeman Elliott LLP (Edward J. Waitzer, Darin R. Renton, John C.P. Henderson)
115. Sun Life Financial
116. Susanne Hopfner and Donna Wildeboer (Wealth Advance Investments)
117. Tacita Capital Inc.
118. TD Wealth
119. TenSquared Investments Inc.
120. The Canadian Securities Institute (CSI)
121. The Canadian Advocacy Council for Canadian CFA Institute Societies
122. The Investment Funds Institute of Canada (IFIC)
123. Tim Faught
124. Timothy B. Potter
125. Todd Johnson
126. TPC Financial Group Ltd.
127. Tradex Management Inc.
128. Tri View Capital Ltd.
129. Universitas Management Inc.
130. Veronica Armstrong Law Corporation
131. WealthBar Financial Services Inc.
132. Wealthsimple Inc.
133. W.J. Templeman
134. William J. Henderson
135. Worldsource Wealth Management Inc.