

ANNEX E

LOCAL MATTERS TO MULTILATERAL INSTRUMENT 96-101 *TRADE REPOSITORIES AND DERIVATIVES DATA REPORTING*

The following provisions of *The Securities Act, 1988* (the “**Act**”) provide the Financial and Consumer Affairs Authority of Saskatchewan (the “**Authority**”) with the power to make the proposed amendments to Multilateral Instrument 96-101 *Trade Repositories and Derivatives Data Reporting*:

Paragraph 154(1)(i) of the Act authorizes the Authority to make regulations regulating the listing and trading of securities or derivatives, including prescribing requirements for keeping records and reporting trades and quotations;

Paragraph 154(1)(j.1) of the Act authorizes the Authority to make regulations regulating the trading of derivatives on a derivatives trading facility, including prescribing requirements for keeping records;

Paragraph 154(1)(k.2) of the Act authorizes the Authority to make regulations regulating exchanges, self-regulatory organizations, quotation and trade reporting systems, clearing agencies, derivatives trading facilities and trade repositories;

Paragraph 154(1)(l.1) of the Act authorizes the Authority to make regulations regulating the trade of derivatives;

Paragraph 154(1)(l.3) of the Act authorizes the Authority to make regulations prescribing the circumstances in which a contract or instrument or contract or instrument within a class of contracts of instruments is a derivative or class of derivatives;

Paragraph 154(1)(ee) of the Act authorizes the Authority to make regulations respecting the designation or recognition of any person, company or jurisdiction considered advisable for the purposes of the Act, including recognizing exchanges, derivatives trading facilities, self-regulatory organizations, trade repositories and clearing agencies; and

Paragraph 154(1)(ee.11) of the Act authorizes the Authority to make regulations with respect to any matter necessary to regulate self-regulatory organizations, exchanges, derivatives trading facilities, quotation and trade reporting systems, clearing agencies and trade repositories.