

ANNEX B

CHANGES TO COMPANION POLICY 24-101CP TO NATIONAL INSTRUMENT 24-101 *INSTITUTIONAL TRADE MATCHING AND SETTLEMENT* CHANGING DOCUMENT

1. ***Companion Policy 24-101CP to National Instrument 24-101 Institutional Trade Matching and Settlement is changed by this Document.***
2. ***Footnote 3 in subsection 1.2(2) is changed by replacing “Investment Industry Association of Canada (IIROC) Member Rule 800.49” with “Canadian Investment Regulatory Organization (CIRO) Rules, such as IDPC Rule 4753”.***
3. ***Footnote 5 in paragraph 1.2(3)(c) is changed by replacing “IIROC Member Rule 200.1(h)” with “IDPC Rule 3816 Trade Confirmations”.***
4. ***Section 1.3(4) is changed by replacing “IIROC” with “IDPC”.***
5. ***Section 2.2 is changed by replacing “12 p.m. (noon)” with “3:59 a.m.”.***
6. ***Paragraph 2.3(1)(c) is changed by replacing “provide” with “prescribe”.***
7. ***Footnote 8 in subsection 2.4(2) is changed by replacing “Member Rule No. 35 - Introducing Broker/Carrying Broker Arrangements” with “IDPC Rule 2400 Acceptable Back Office Arrangements”.***
8. ***Sections 3.1 and 3.2 are deleted.***
9. ***Section 3.3 “Other Information Reporting Requirements” is renumbered as section 3.1 and changed to “Information Reporting Requirements”.***
10. ***Section 3.4 is deleted.***
11. ***Section 3.5 is renumbered as section 3.2 and changed by deleting “registered firm,”.***
12. ***Subsection 4.5(3) is changed by deleting “during normal business hours”.***
13. ***Section 5.1 is changed by replacing “T+2” with “T+1” and, in footnote 11, replacing “IIROC Member Rule 800.27 and TSX Rule 5-103(1)” with “IDPC Rule 4800”.***