

**In the Matter of  
*The Securities Act, 1988***

**and**

**In the Matter of  
ASBC Financial a.k.a. ASBCFinancial  
and  
Walter Turner**

**Order**

**WHEREAS** Staff of Financial and Consumer Affairs Authority of Saskatchewan (FCAA Staff) made an application for leave to amend the Statement of Allegations previously filed by FCAA Staff, dated August 12, 2019, as noted in the Amended Statement of Allegations of Staff of Financial and Consumer Affairs Authority of Saskatchewan, dated October 7, 2019, as filed;

**AND WHEREAS**, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has appointed a panel (the Hearing Panel) to hear this matter;

**AND WHEREAS**, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

Upon hearing the submissions of legal counsel for FCAA Staff concerning the application filed by FCAA Staff,

**IT IS HEREBY ORDERED THAT** the Statement of Allegations previously filed by FCAA Staff, dated August 12, 2019 shall be amended as detailed in the Amended Statement of Allegations of Staff of Financial and Consumer Affairs Authority of Saskatchewan, dated October 7, 2019, as filed by FCAA Staff, the effect of such amendment also being an amendment to the style of cause in the within matter.

DATED at Regina, Saskatchewan on October 16, 2019.



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Peter Carton  
Chairperson of the Hearing Panel  
Financial and Consumer Affairs Authority of  
Saskatchewan