## In the Matter of The Securities Act, 1988

and

In the Matter of
Coperstone Limited
Coperstone Partners Limited
Chad Neuburger
and
Randall Silverman

## **Sanctions Order**

WHEREAS, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has appointed a panel (the Hearing Panel) to hear this matter;

**AND WHEREAS**, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

**AND WHEREAS** the Hearing Panel held a hearing on November 6, 2018 into the allegations and claims against Coperstone Limited, Coperstone Partners Limited, Chad Neuburger and Randall Silverman (collectively, the Respondents) as set out in the Statement of Allegations of Staff of the Authority dated July 27, 2018;

**AND WHEREAS** the Hearing Panel issued a decision dated May 15, 2019 (the Decision):

AND WHEREAS this Order reflects the operative provisions of the Decision;

## IT IS HEREBY ORDERED THAT:

- 1. Pursuant to clause 134(1)(a) of *The Securities Act, 1988* (the Act), the exemptions in Saskatchewan securities laws do not apply to the Respondents, permanently;
- 2. Pursuant to clause 134(1)(d) of the Act, the Respondents shall cease trading in any securities or derivatives in Saskatchewan, permanently;
- 3. Pursuant to clause 134(1)(d.1) of the Act, the Respondents shall cease acquiring securities or derivatives for and on behalf of residents of Saskatchewan, permanently;
- 4. Pursuant to clause 134(1)(e) of the Act, the Respondents shall cease giving advice respecting securities or derivatives for and on behalf of residents of Saskatchewan, permanently; and

5. Pursuant to section 135.1 of the *Act*, the Respondents shall pay an administrative penalty to the Authority in the amount of \$25,000.00.

DATED at Regina, Saskatchewan on Monday, May 27, 2019.

Peter Carton

Chairperson of the Hearing Panel Financial and Consumer Affairs Authority of Saskatchewan