## In the Matter of The Securities Act, 1988

and

In the Matter of Vision Financial Markets LLC, and Cliff Krause

## Order

WHEREAS Staff of the Financial and Consumer Affairs Authority (Staff of FCAA) have applied for an Order that sending of the information contained in the Notice of First Appearance dated March 7, 2019 and Statement of Allegations dated January 31, 2019 in the within matter shall be permitted by way of publication in the Montreal Gazette for a period of one week, thereby satisfying the requirements of subsection 9(2) of *The Securities Act, 1988*;

**AND WHEREAS**, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has appointed a panel (the Hearing Panel) to hear this matter;

**AND WHEREAS**, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

AND WHEREAS upon hearing from Staff of FCAA, the Hearing Panel is of the opinion that it would be impracticable to send or deliver the Notice of First Appearance dated March 7, 2019 and the Statement of Allegations dated January 31, 2019, in the within matter, to Cliff Krause, in any of the manners prescribed in 156.01, and also that publishing the information contained in the stated documents in both the print and online editions of the Montreal Gazette is likely to bring the information to the attention of Cliff Krause;

IT IS HEREBY ORDERED THAT pursuant to subsection 156.01(4) of the Act, Staff of FCAA shall be permitted to publish the following in both the print and online editions of the Montreal Gazette for a period of one week, as a means of communicating the information contained in the Notice of First Appearance and Statement of Allegations to the Respondent, Cliff Krause, thereby satisfying the requirements of subsection 9(2) of the Act:

Attn: Cliff Krause
NOTICE OF HEARING
You or a representative are to
attend before a Panel of the

Financial and Consumer Affairs Authority of Saskatchewan via telephone on May 8, 2019 at 9:00 a.m. (CST) to set a date for a hearing into matters alleged in a Statement of Allegations dated January 31, 2019. Please contact 1-306-787-5646 or linda.patton@gov.sk.ca at the above noted date and time, or any time prior to, to obtain information in this matter. If you do not attend at the time and place as aforesaid, the matter will proceed in your absence, and may result in an order being made against you, without further notice to you.

DATED at Regina, Saskatchewan on the 3<sup>rd</sup> day of April, 2019.

Peter Carton, Chairperson of the Hearing Panel Financial and Consumer Affairs Authority of Saskatchewan