

Local Notice of Implementation
Saskatchewan Local Instrument 25-501 Implementation of
The Securities Amendment Act, 2019 – Self-Regulatory Organizations

November 29, 2019

The Financial and Consumer Affairs authority of Saskatchewan (**FCAA**) is implementing Local Instrument 25-501 *Implementation of The Securities Amendment Act, 2019 – Self-Regulatory Organizations (LI 25-501)*.

Upon receiving all necessary approvals, LI 25-501 will come into force on the day on which they are filed with the Registrar of Regulations.

On October 1, 2019 portions of *The Securities Amendment Act, 2019* were proclaimed by the Saskatchewan Legislature amending *The Securities Act, 1988 (Act)*. The Act amendments require a local regulation in order for FCAA to prescribe a self-regulatory organization to be in a position to enforce their decisions at the Court of Queen's Bench.

FCAA published LI 25-501 for comment on April 25, 2019. No comments were received.

The text of LI 25-501 is contained in Annex A of this Notice.

Please refer your questions to:

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Annex to Notice:

Annex A – Saskatchewan Local Instrument 25-501 *Implementation of The Securities Amendment Act, 2019 – Self-Regulatory Organizations*

ANNEX A
SASKATCHEWAN LOCAL INSTRUMENT 25-501
**IMPLEMENTATION OF THE SECURITIES AMENDMENT ACT, 2019 – SELF-
REGULATORY ORGANIZATIONS**

Interpretation

- 1** In this local instrument:
 - (a) “**Act**” means *The Securities Act, 1988*;
 - (b) “**Commission regulation**” means a regulation made by the Commission pursuant to subsection 154(2) of the Act;
 - (c) “**the regulations**” means *The Securities Regulations*.

Self-Regulatory Organizations

- 2** The Investment Industry Regulatory Organization of Canada (IIROC) is prescribed as a self-regulatory organization for the purposes of subsection 151.2(2.1) and subsection 151.2(2.3) of the Act.
- 3** The Mutual Fund Dealers Association of Canada (MFDA) is prescribed as a self-regulatory organization for the purposes of subsection 151.2(2.1) and subsection 151.2(2.3) of the Act.

Coming into force

- 4** These regulations come into force on the day on which they are filed with the Registrar of Regulations.