

ANNEX B

**PROPOSED CHANGES TO
COMPANION POLICY 24-101CP TO
NATIONAL INSTRUMENT 24-101 *INSTITUTIONAL TRADE MATCHING AND SETTLEMENT*
CHANGING DOCUMENT**

- 1. Companion Policy 24-101CP to National Instrument 24-101 Institutional Trade Matching and Settlement is changed by this Document.**
- 2. Footnote 3 in subsection 1.2(2) is changed by replacing “Member Rule 800.49” with “Rules, such as section 4753 of the IIROC Rules”.**
- 3. Footnote 5 in paragraph 1.2(3)(c) is changed by replacing “IIROC Member Rule 200.1(h)” with “section 3816 of the IIROC Rules”.**
- 4. Section 2.2 is changed by replacing “12 p.m. (noon) Eastern Time on T+1” with “9 p.m. Eastern Time on T”.**
- 5. Paragraph 2.3(1)(c) is changed by replacing “provide” with “prescribe”.**
- 6. Footnote 8 in subsection 2.4(2) is changed by replacing “Member Rule 35” with “IIROC Rule 2400 Acceptable Back Office Arrangements”.**
- 7. Sections 3.1 and 3.2 are deleted.**
- 8. Section 3.3 “Other Information Reporting Requirements” is renumbered as section 3.1 and changed to “Information Reporting Requirements”.**
- 9. Section 3.4 is deleted.**
- 10. Section 3.5 is renumbered as section 3.2 and changed by deleting “registered firm,”.**
- 11. Subsection 4.5(3) is changed by deleting “during normal business hours”.**
- 12. Section 5.1 is changed by replacing “T+2” with “T+1” and, in footnote 11, replacing “IIROC Member Rule 800.27” with “section 4805 of the IIROC Rules”.**