



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
@rgentum Canadian Equity Portfolio	29-Apr-1998
@rgentum Canadian Performance Portfolio	29-Apr-1998
@rgentum Discovery Portfolio	5-May-1999
@rgentum Income Porfolio	29-Apr-1998
@rgentum International Master Portfolio	29-Apr-1998
@rgentum Short Term Asset Portfolio	29-Apr-1998
@rgentum U.S. Market Neutral Portfolio	9-May-2000
@rgentum U.S. Master Portfolio	29-Apr-1998
01 Communique Laboratory Inc.	31-Mar-2000
1320659 Alberta Ltd.	11-Jul-2007
1608557 Ontario Inc.	30-Apr-2004
1832 AM Investment Grade Canadian Corporate Bond Pool	23-Jan-2020
1832 AM U.S. \$ Investment Grade U.S. Corporate Bond Pool	11-Oct-2018
1911 Gold Corporation	20-Jul-2018
1933 Industries Inc.	24-Apr-2008
2242749 Ontario Limited	1-Jan-2011
3040915 Nova Scotia Limited	30-Dec-1962
360networks Inc. (T)	19-Apr-2000
3iQ CoinShares Bitcoin ETF	1-Apr-2021
3iQ CoinShares Ether ETF	19-Apr-2021
407 International Inc.	21-Jul-1999
4504020 Canada Inc.	28-May-2004
48North Cannabis Corp.	26-Mar-2019
*49 North Resources Inc.	3-Oct-2005
4Front Ventures Corp.	18-Nov-2020
5N Plus Inc.	13-Dec-2007
9342-8530 Quebec Inc. (formerly DiagnoCure Inc.)	14-Nov-1996
A&W Revenue Royalties Income Fund	11-Feb-2002
Abacus Mining & Exploration Corporation	18-Dec-2009
Abaxx Technologies Inc.	22-Feb-2011
Abbey Exploration Inc. (CT)	10-Sep-1987
ABC Technologies Holdings Inc.	12-Feb-2021
Aberdeen Asia-Pacific Income Investment Company Limited	30-May-1986
ABI Biotechnology Inc. (CT)	15-Nov-1984
Aboriginal Global Investment Fund (CT)	6-Jan-1998
Absolute Software Corporation	17-Mar-2000
Acadian Core International Equity Fund	28-Sep-2000
Acadian Timber Corp.	1-Jan-2010
Accelerate Absolute Return Hedge Fund	26-Mar-2019
Accelerate Arbitrage Fund	24-Feb-2020
Accelerate Enhanced Canadian Benchmark Alternative Fund	26-Mar-2019
Accelerate OneChoice Alternative Portfolio ETF(ONEC)	24-Feb-2020
Acceleware Ltd.	26-Apr-2011
Accord Financial Corp.	11-Dec-2018
ACE Aviation Holdings Inc.	13-Jul-2004
Acerus Pharmaceuticals Corporation	12-Jul-2012



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Acorn Energy, Inc.	30-Apr-2010
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	9-Aug-2019
Action Energy Inc.	12-Dec-2007
ACTIVE Resources Income Fund	6-Jun-2017
AcuityAds Holdings Inc.	15-May-2019
Adaltis Inc.	23-Nov-2004
ADCORE Inc.	11-May-2021
ADF Group Inc.	9-Jul-1999
Admiral Inc. (CT)	11-Apr-2001
Adobe Systems Incorporated	10-Apr-2002
Advanced Education Savings Plan	1-Feb-2016
Advanced Micro Devices, Inc.	25-Oct-2006
Advantage Oil & Gas Ltd.	22-Dec-2009
ADVANZ PHARMA Corp.	5-Mar-2014
Aecon Group Inc.	22-Sep-2009
Aegis Brands Inc.	1-Jan-2011
Aequus Pharmaceuticals Inc.	6-Jul-2015
Aero Energy Ltd. (CT)	27-Mar-1980
AEterna Zentaris Inc.	11-Dec-1995
AEX Gold Inc.	30-Jun-2017
AFM Hospitality Corporation	17-Nov-1994
African Copper PLC	9-May-2006
African Minerals Limited	9-Feb-1995
Ag Growth International Inc.	3-Jun-2009
Agency (CT)	30-Oct-1978
AGF American Growth Class	7-Apr-1958
AGF American Growth Fund	19-Dec-2008
AGF Canadian Growth Equity Class	3-Apr-1974
AGF Canadian Money Market Fund	27-Feb-1976
AGF Canadian Small Cap Fund	21-Feb-1996
AGF China Focus Class	13-Apr-1994
AGF Diversified Income Class	3-Oct-2011
AGF Diversified Income Fund	31-Mar-2003
AGF Elements Balanced Portfolio	22-Nov-2005
AGF Elements Balanced Portfolio Class	2-Dec-2008
AGF Elements Conservative Portfolio	22-Nov-2005
AGF Elements Conservative Portfolio Class	2-Dec-2008
AGF Elements Global Portfolio	22-Nov-2005
AGF Elements Global Portfolio Class	2-Dec-2008
AGF Elements Growth Portfolio	22-Nov-2005
AGF Elements Growth Portfolio Class	30-Sep-2008
AGF Elements Yield Portfolio	22-Nov-2005
AGF Elements Yield Portfolio Class	20-Apr-2016
AGF Emerging Markets Bond Fund	3-Nov-2010
AGF Emerging Markets Class	22-Apr-2008



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
AGF Emerging Markets Fund	17-Mar-1994
AGF Equity Income Fund	23-Apr-2012
AGF European Equity Class	13-Apr-1994
AGF European Equity Fund	12-Jan-2015
AGF Fixed Income Plus Class	20-Apr-2016
AGF Fixed Income Plus Fund	10-Dec-1998
AGF Floating Rate Income Fund	23-Apr-2012
AGF Global Convertible Bond Fund	19-Dec-2014
AGF Global Corporate Bond Fund	18-Feb-1994
AGF Global Dividend Class	22-Apr-2020
AGF Global Dividend Fund	10-Aug-2007
AGF Global Equity Class	31-May-1995
AGF Global Equity Fund	5-Dec-1994
AGF Global Growth Balanced Fund	1-Jan-1998
AGF Global Opportunities Bond ETF	18-Sep-2020
AGF Global Real Assets Class	25-Apr-2000
AGF Global Real Assets Fund	22-Sep-1993
AGF Global Select Fund	21-Feb-1996
AGF Global Sustainable Growth Equity ETF	18-Sep-2020
AGF Global Sustainable Growth Equity Fund	25-Jun-1993
AGF Management Limited	18-Jun-1987
AGF Short-Term Income Class	4-Oct-1994
AGF Strategic Income Fund	7-Aug-1996
AGF Tactical Fund	24-Apr-2015
AGF Total Return Bond Class	20-Apr-2016
AGF Total Return Bond Fund	20-Jun-1994
AGF U.S. Small-Mid Cap Fund	16-Jun-1993
AGFiQ Canadian Dividend Income Fund	16-Apr-2003
AGFiQ Canadian Equity ETF	4-Nov-2016
AGFiQ Emerging Markets Equity ETF	4-Nov-2016
AGFiQ Global Balanced ETF Portfolio	4-Nov-2016
AGFiQ Global Balanced ETF Portfolio Fund	18-Sep-2020
AGFiQ Global ESG Factors ETF	31-Jan-2018
AGFiQ Global Income ETF Portfolio	4-Nov-2016
AGFiQ Global Income ETF Portfolio Fund	18-Sep-2020
AGFiQ Global Infrastructure ETF	30-Sep-2018
AGFiQ Global Multi-Sector Bond ETF	31-Jan-2018
AGFiQ International Equity ETF	4-Nov-2016
AGFiQ North American Dividend Income Class	22-Apr-2008
AGFiQ North American Dividend Income Fund	6-Dec-1985
AGFiQ U.S. Sector Class	9-Aug-2013
AGFiQ US Equity ETF	4-Nov-2016
AGFiQ US Market Neutral Anti-Beta CAD-Hedged ETF	28-Aug-2019
AgJunction Inc.	21-Feb-1997
Agnico Eagle Mines Limited	28-Aug-1990
AGRA Exchangeco Limited	30-Dec-1962



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
AIC Fixed Income Portfolio Fund	5-Aug-2004
AIC Long-Term Growth Portfolio Fund	5-Aug-2004
AIC Total Yield Strategic Income Fund	5-Aug-2004
AimGlobal Technologies Company Inc. (T)	18-Jun-1997
Aimia Inc.	25-Jun-2008
Air Canada	15-Nov-2006
Airgen Corporation (CT)	30-Nov-1995
Akela Pharma Inc.	21-May-2009
Akita Drilling Ltd.	23-Dec-1992
Akumin Inc.	24-Nov-2017
Alamos Gold Inc.	2-Jul-2015
Alaris Equity Partners Inc.	23-May-2006
Alaris Equity Partners Income Trust	1-Sep-2020
Alcanna Inc.	1-Jan-2011
Aleafia Health Inc. (formerly Canabo Medical Inc.)	21-Jun-2019
Alexco Resource Corp.	1-Feb-2010
Algae Biosciences Corporation	29-May-2008
Algernon Pharmaceuticals Inc.	1-Oct-2019
Algo Group Inc.	24-Nov-1986
Algold Resources Ltd.	19-Dec-2013
Algoma Central Corporation	30-Mar-2011
Algonquin (AirSource) Power LP	15-May-2006
Algonquin Fixed Income 2.0 Fund	11-Dec-2019
Algonquin Power & Utilities Corp.	25-Oct-2000
Alimentation Couche-Tard Inc.	5-Mar-1999
All - Canadian ConsumerFund	30-Jul-1979
All - Canadian Resources Corporation	27-May-1981
All Equity Fund	10-May-2001
All in West! Capital Corporation	21-Mar-2007
Allbanc Split Corp. II	26-Jan-2006
Allegiant Gold Ltd.	25-Jan-2018
Alliance Pipeline Limited Partnership	31-Mar-2000
Allied Properties Real Estate Investment Trust	19-Feb-2003
Allnet Secom Inc. (T)	28-Mar-2000
Almaden Minerals Ltd	25-Feb-2021
AlphaDelta Canadian Growth of Dividend Income Class	8-Aug-2018
AlphaDelta Canadian Momentum Equity Class	9-Jan-2015
ALPHADELTA GROWTH OF DIVIDEND INCOME CLASS	9-Jan-2015
AlphaDelta Tactical Growth Class	9-Jan-2015
Alstom	23-Jun-1998
Alston Energy Inc.	17-Jul-2012
AltaGas Ltd.	1-Jul-2010
AltaGas Ltd.	22-Feb-2021
Altagem Resources Inc. (T)	12-Feb-1998
AltaLink, L.P.	30-May-2003
Altiplano Minerals Ltd.	24-Dec-2010



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Altius Minerals Corporation	7-May-2014
Altius Renewable Royalties Corp.	25-Feb-2021
Altus Group Limited	1-Jan-2011
Alvopetro Energy Ltd.	28-Nov-2013
Amarillo Gold Corporation	6-Aug-2020
Amblin Technologies Inc. (T)	5-Mar-1997
AMCI Productions Ltd. (CT)	18-Dec-1978
American Agri-Technology Corporation (CT)	5-Dec-1985
American Creek Resources Ltd.	31-Jul-2006
American Eco Corporation (CT)	21-May-1986
American Helium Inc.	30-May-1984
American Hotel Income Properties REIT LP	12-Feb-2013
American Natural Energy Corporation	3-Jun-1987
American Pacific Mining Corp.	27-Feb-2018
Americas Gold and Silver Corporation	1-Sep-2020
Amstock Fund (CT)	20-Dec-1984
AMT International Mining Corporation (T)	11-Mar-1996
Anaconda Mining Inc.	11-Apr-2007
Anacott Acquisition Corporation	18-Mar-2021
ANB Canada Inc.	31-Jan-2008
Ancom ATM International Inc. (CT)	18-Jul-1985
Andlauer Healthcare Group Inc.	4-Dec-2019
Andrew Peller Limited	1-Jan-2008
Angold Resources Ltd.	16-Jul-2007
Anterra Energy Inc.	12-Dec-2006
Antibe Therapeutics Inc.	10-Jun-2013
Anvil Range Mining Corporation (CT)	7-May-1996
Aphria Inc.	4-Dec-2015
APMEX Physical - 1 oz. Gold Redeemable Trust	27-Apr-2012
Apollo Healthcare Corp.	23-Jul-2015
Appia Energy Corp.	14-Dec-2012
Appili Therapeutics Inc.	19-Sep-2019
Aptose Biosciences Inc.	10-Jul-2007
Aqua-Pure Ventures Inc.	2-Dec-1999
Aquila Resources Inc.	24-Dec-2001
Arbour Energy Inc.	18-Dec-2001
Arbutus Biopharma Corporation	30-Apr-2007
ARC Resources Ltd.	1-Jan-2011
Arctic Glacier Income Fund	25-Feb-1997
Arehada Mining Limited	12-Apr-2006
Ares Strategic Mining Inc.	11-Aug-2011
Argent Energy Trust	1-Aug-2012
Argonaut Gold Inc.	7-Mar-2017
Argosy Energy Inc.	30-Sep-2008
Argus Resources Ltd. (CT)	22-Feb-1980
ARHT Media Inc.	10-Mar-2021



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Arimetco International Inc. (CT)	18-May-1990
Aris Gold Corporation	21-Sep-2020
ARISE Technologies Corporation	13-Apr-2007
Aristotle - IG U.S. Small Cap Equity Pool	12-Oct-2018
Aritzia Inc.	26-Sep-2016
Arkadia Capital Corp.	1-Nov-2011
Arlington Place (CT)	16-Jul-1981
Arrow Canadian Advantage Alternative Class	30-Apr-2008
Arrow EC Income Advantage Alternative Fund	3-Jul-2020
Arrow Exploration Corp.	4-Nov-2011
Arrow Global Advantage Alternative Class	3-Jan-2019
Artemis Gold Inc.	13-Jan-2021
Artis Real Estate Investment Trust	7-Dec-2004
Ascalade Communications Inc.	17-Jun-2005
Ascend Wellness Holdings, Inc.	28-Apr-2021
Ascot Resources Ltd.	21-Jan-2021
Ashburton Ventures Inc.	30-Jul-2007
Ashurst Technology Canada Inc. (CT)	11-Dec-1978
Ashurst Technology Limited (CT)	18-Jul-1994
Astorius Resources Ltd.	4-Dec-2007
Atalaya Mining plc	13-Dec-2010
ATBIS Canadian Equity Pool	23-Sep-2016
ATBIS Fixed Income Pool	23-Sep-2016
ATBIS International Equity Pool	23-Sep-2016
ATBIS U.S. Equity Pool	23-Sep-2016
Atco Ltd.	21-Sep-1982
Athabasca Oil Corporation	30-Mar-2010
Athabaska Gold Resources Ltd. (CT)	1-May-1990
Atico Mining Corporation	2-Mar-2012
Atlantic City U.S.A. (CT)	28-Dec-1979
Atlantic Power Corporation	10-Nov-2004
Atlantic Power Limited Partnership	9-Jun-1997
Atlantic Power Preferred Equity Ltd.	18-May-2007
Aton Resources Inc.	9-May-2011
ATRIUM MORTGAGE INVESTMENT CORPORATION	29-Aug-2012
ATS Automation Tooling Systems Inc.	9-Dec-1993
Aura Minerals Inc.	14-Aug-2009
*Aurex Energy Corp.	15-Dec-2010
Aurinia Pharmaceuticals Inc.	18-Jun-2009
Aurora Cannabis Inc.	26-Oct-2017
Austral Gold Limited	19-Aug-2016
Australian REIT Income Fund	27-Feb-2013
Australis Capital Inc.	16-Aug-2018
AutoCanada Inc.	31-Dec-2009
Automotive Finco Corp.	20-Jun-2017
Automotive Properties Real Estate Investment Trust	10-Jul-2015



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Auxly Cannabis Group Inc.	27-Sep-2017
Avalon Rare Metals Inc.	22-Sep-2010
Avatar Energy Ltd.	23-Feb-2010
Avcorp Industries Inc.	2-Feb-1988
Aviation Group, Inc. (CT)	15-Jan-2001
Avicanna Inc.	27-Nov-2020
Avidian Gold Corp.	3-Nov-2014
Avinda Video Incorporated (CT)	14-Feb-1984
AVINO SILVER & GOLD MINES LTD.	14-Nov-2016
Avisa Diagnostics Inc.	28-Jan-2010
Axiom All Equity Portfolio	14-Mar-2005
Axiom Balanced Growth Portfolio	14-Mar-2005
Axiom Balanced Income Portfolio	14-Mar-2005
Axiom Canadian Growth Portfolio	14-Mar-2005
Axiom Diversified Monthly Income Portfolio	14-Mar-2005
Axiom Foreign Growth Portfolio	14-Mar-2005
Axiom Global Growth Portfolio	14-Mar-2005
Axiom Long-Term Growth Portfolio	31-Aug-2005
Axis.Port Inc. (CT)	16-Aug-2001
Axolotl Capital Corp.	
Axxent Inc. (CT)	29-Oct-1999
Ayr Wellness Inc.	15-Dec-2017
Azarga Metals Corp.	23-Dec-2010
Azcar Technologies Incorporated	18-Dec-1995
Azure Dynamics Corporation	16-Oct-2007
B2Gold Corp.	29-Nov-2007
BAC Canada Finance Company	8-Nov-1999
Balanced 60/40 Fund	10-May-2001
Balanced Income Portfolio	17-Dec-2015
Balanced Monthly Income Fund	24-Jul-2006
Bald Eagle Golf Corp. (T)	10-Apr-2001
Ballard Power Systems Inc.	31-Dec-2008
Balmoral Club Limited Partnership (CT)	19-Jul-1988
Bank of America Corporation	1-Jan-2009
Bank of Montreal	22-Jun-2020
Banyan Gold Corp.	24-Nov-2010
Barclays Bank Plc	3-Oct-2006
Barometer Disciplined Leadership Balanced Fund	13-Jan-2015
Barometer Disciplined Leadership Equity Fund	8-Jan-2014
Barometer Disciplined Leadership Tactical Income Growth Fund	3-Jan-2013
Barrantagh Small Cap Canadian Equity Fund	16-Apr-2019
Barrick Gold Corporation	15-Sep-1986
Barsele Minerals Corp.	25-Sep-2015
Batero Gold Corp.	20-Oct-2008
Battery Technologies Inc.	12-Dec-2000



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Battle North Gold Corporation	6-Nov-2009
Bausch Health Companies Inc.	14-Sep-1999
Baylin Technologies Inc.	20-Nov-2013
Baytex Energy Corp.	1-Jan-2011
BBTV Holdings Inc.	22-Oct-2020
BCE Inc.	5-Apr-1989
BDI Mining Corp.	10-Aug-1983
Beacon Acquisition Partners Inc.	19-Jun-2007
Beamscope Canada Inc. (CT)	22-Oct-1993
Bear Creek Mining Corporation	10-Jul-2008
Bearcat Explorations Ltd. (T)	17-Jul-1979
Beckett Technologies Corp. (CT)	14-Mar-1995
Belgravia Capital International Inc. (formerly, IC Potash Corp.)	11-Mar-2011
Bell Canada	2-Jun-1975
Bellatrix Exploration Ltd.	29-Jul-1998
BELLUS Health Inc.	25-May-2012
Belo Sun Mining Corp.	18-Mar-2011
Bengal Energy Ltd.	28-Nov-1996
Bespoke Capital Acquisition Corp.	9-Aug-2019
BetaPro Bitcoin ETF	8-Mar-2021
BetaPro Canadian Gold Miners -2x Daily Bear ETF	8-Jun-2007
BetaPro Canadian Gold Miners 2x Daily Bull ETF	8-Jun-2009
BetaPro Crude Oil Inverse Leveraged Daily Bear ETF	11-Jan-2008
BetaPro Crude Oil Leveraged Daily Bull ETF	14-Jan-2008
BetaPro Gold Bullion -2x Daily Bear ETF	14-Jan-2008
BetaPro Gold Bullion 2x Daily Bull ETF	14-Jan-2008
BetaPro Inverse Bitcoin ETF	8-Mar-2021
BetaPro Marijuana Companies 2x Daily Bull ETF	21-May-2019
BetaPro Marijuana Companies Inverse ETF	21-May-2019
BetaPro NASDAQ-100® -2x Daily Bear ETF	16-Jun-2008
BetaPro NASDAQ-100® -2x Daily Bull ETF	16-Jun-2008
BetaPro Natural Gas Inverse Leveraged Daily Bear ETF	14-Jan-2008
BetaPro Natural Gas Leveraged Daily Bull ETF	14-Jan-2008
BetaPro S&P 500 VIX Short-Term Futures ETF	13-Dec-2010
BetaPro S&P 500® -2x Daily Bear ETF	31-Dec-2015
BetaPro S&P 500® 2x Bull ETF	31-Dec-2015
BetaPro S&P 500® Daily Inverse ETF	2-Feb-2010
BetaPro S&P/TSX 60™ Daily Inverse ETF	3-Mar-2009
BetaPro S&P/TSX 60™ -2x Daily Bear ETF	5-Jan-2007
BetaPro S&P/TSX 60™ 2x Daily Bull ETF	5-Jan-2007
BetaPro S&P/TSX Capped Energy™ -2x Daily Bear ETF	31-Dec-2015
BetaPro S&P/TSX Capped Energy™ 2x Daily Bull ETF	8-Jun-2007
BetaPro S&P/TSX Capped Financials™ -2x Daily Bear ETF	8-Jun-2007
BetaPro S&P/TSX Capped Financials™ 2x Daily Bull ETF	8-Jun-2007
BetaPro Silver -2x Daily Bear ETF	23-Jun-2009
BetaPro Silver 2x Daily Bull ETF	23-Jun-2009



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Better Plant Sciences Inc.	19-Jul-2019
Betts Cove Minerals Limited (CT)	22-Feb-1990
Beutel Goodman American Equity Fund	14-Dec-1990
Beutel Goodman Balanced Fund	14-Dec-1990
Beutel Goodman Canadian Dividend Fund	21-Aug-2003
Beutel Goodman Canadian Equity Fund	15-Dec-1990
Beutel Goodman Core Plus Bond Fund	18-Aug-2004
Beutel Goodman Fundamental Canadian Equity Fund	24-Jun-2014
Beutel Goodman Global Dividend Fund	18-Aug-2010
Beutel Goodman Global Equity Fund	11-Jul-2011
Beutel Goodman Income Fund	14-Dec-1990
Beutel Goodman International Equity Fund	28-Aug-1992
Beutel Goodman Long Term Bond Fund	18-Aug-2004
Beutel Goodman Money Market Fund	14-Dec-1990
Beutel Goodman North American Focused Equity Fund	23-Aug-2001
Beutel Goodman Short Term Bond Fund	18-Aug-2010
Beutel Goodman Small Cap Fund	25-Jan-1995
Beutel Goodman Total World Equity Fund	23-Aug-2001
Beutel Goodman World Focus Equity Fund	23-Aug-2006
BF Realty Holdings Limited (CT)	29-Dec-1980
BGP Acquisition Corp.	29-Jan-2021
Big Banc Split Corp.	17-Jun-2020
Big Pharma Split Corp.	30-Oct-2017
Big Rock Brewery Inc.	1-Jan-2011
*Big Sky Finishers (No. 1) Inc. (CT)	16-Jan-2003
BIGG Digital Assets Inc.	25-Nov-2020
BioExx Specialty Proteins Ltd.	25-Mar-2010
BioHEP Technologies Ltd.	11-Apr-2015
BIP Investment Corporation	23-Nov-2018
Birch Lake Energy Inc.	28-Mar-2008
Birchcliff Energy Ltd.	18-Jan-2005
Bird Construction Inc.	1-Jan-2011
Bitcoin ETF	16-Feb-2021
Bitcoin Trust	20-Jan-2021
Black Creek Global Balanced Corporate Class	28-Jun-2011
Black Creek Global Balanced Fund	29-Jan-2007
Black Creek Global Leaders Corporate Class	29-Jul-2011
Black Creek Global Leaders Fund	26-Apr-2000
Black Creek International Equity Corporate Class	28-Jul-2011
Black Creek International Equity Fund	25-Sep-2008
Black Diamond Distressed Opportunities Fund	2-Oct-2020
Black Diamond Global Enhanced Income Fund	2-Oct-2020
Black Diamond Global Equity Fund	2-Oct-2020
Black Diamond Group Limited	9-Mar-2010
Black Iron Inc.	17-Mar-2011
Black Widow Resources Inc.	12-Feb-2013



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
BlackBerry Limited (formerly Research In Motion Limited)	20-Oct-1997
Blackheath Resources Inc.	26-Oct-2011
BlackRock - IG Active Allocation Pool I	16-Nov-2018
BlackRock - IG Active Allocation Pool II	16-Nov-2018
BlackRock - IG Active Allocation Pool III	16-Nov-2018
BlackRock - IG International Equity Pool	12-Oct-2018
BlackRock - IG Low Volatility International Equity Pool	29-Jan-2021
Blackrock Gold Corp.	16-Feb-2021
Blake Resources Ltd. (CT)	24-Jun-1980
Blind Creek Resources Ltd.	27-Jun-2011
Blockchain Power Trust	1-Apr-2014
Blockchain Technologies ETF	1-Feb-2018
BLOCKCHAINK2 CORP.	8-Jun-2012
Bloom Select Income Fund	23-Mar-2012
Bloom U.S. Income & Growth Fund	26-Feb-2013
Blue Note Mining Inc.	5-Nov-2007
Blue Ribbon Income Fund	3-Sep-1997
BlueBay \$U.S. Global Convertible Bond Class (Canada)	23-Oct-2015
BlueBay \$U.S. Global Convertible Bond Fund (Canada)	2-Apr-2015
BlueBay \$U.S. Global High Yield Bond Fund (Canada)	20-Jan-2021
BlueBay \$U.S. Global Investment Grade Corporate Bond Fund (Canada)	20-Jan-2021
BlueBay Emerging Markets Bond Fund (Canada)	3-Jul-2018
BlueBay Emerging Markets Corporate Bond Fund	21-Dec-2011
BlueBay Emerging Markets Local Currency Bond Fund (Canada)	3-Jul-2018
BlueBay European High Yield Bond Fund	5-Jul-2017
BlueBay Global Alternative Bond Fund (Canada)	21-Sep-2020
BlueBay Global Convertible Bond Class	31-Mar-2014
BlueBay Global Convertible Bond Fund (Canada)	14-Nov-2012
BlueBay Global High Yield Bond Fund (Canada)	20-Jan-2021
BlueBay Global Investment Grade Corporate Bond Fund (Canada)	17-Nov-2016
BlueBay Global Monthly Income Bond Fund	4-Jul-2011
BlueBay Global Sovereign Bond Fund (Canada)	17-Nov-2016
Blueocean Nutrasciences Inc.	9-Mar-2012
Bluestone Resources Inc.	26-Sep-2019
BMG Bullionfund	22-May-2003
BMG Gold BullionFund	14-Sep-2009
BMG Silver BullionFund	27-Sep-2016
BMO Aggregate Bond Index ETF	18-Jan-2010
BMO Ascent Balanced Portfolio	20-Apr-2017
BMO Ascent Conservative Portfolio	20-Apr-2017
BMO Ascent Equity Growth Portfolio	20-Apr-2017
BMO Ascent Growth Portfolio	20-Apr-2017
BMO Ascent Income Portfolio	20-Apr-2017



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
BMO Asian Growth & Income Class	31-Oct-2008
BMO Asian Growth and Income Fund	27-Aug-2003
BMO Asset Allocation Fund	10-Mar-1988
BMO Balanced ESG ETF	14-Jan-2020
BMO Balanced ETF	11-Feb-2019
BMO Balanced ETF Portfolio	9-Aug-2013
BMO Balanced ETF Portfolio Class	21-Apr-2010
BMO BBB Corporate Bond Index ETF	14-Jan-2020
BMO Bond Fund	10-Mar-1988
BMO Canadian Dividend ETF	19-Oct-2011
BMO Canadian Equity Class	1-Oct-2004
BMO Canadian Equity ETF Fund	10-Mar-1988
BMO Canadian Equity Fund	3-Jul-1993
BMO Canadian Government Bond Index ETF	13-May-2009
BMO Canadian High Dividend Covered Call ETF	2-Feb-2017
BMO Canadian Large Cap Equity Fund	7-Jan-1999
BMO Canadian MBS Index ETF	14-Jan-2020
BMO Canadian Small Cap Equity Fund	3-Aug-1993
BMO Canadian Stock Selection Fund	12-Dec-2013
BMO China Equity Index ETF	18-Jan-2010
BMO Clean Energy Index ETF	18-Jan-2021
BMO Concentrated Global Balanced Fund	1-Mar-2006
BMO Concentrated Global Equity Fund	11-May-2018
BMO Concentrated U.S. Equity Fund	14-May-2019
BMO Conservative ETF	11-Feb-2019
BMO Conservative ETF Portfolio	9-Aug-2013
BMO Core Bond Fund	10-Nov-2014
BMO Core Plus Bond Fund	10-Nov-2014
BMO Corporate Bond Index ETF	9-Feb-2018
BMO Covered Call Canada High Dividend ETF Fund	11-May-2018
BMO Covered Call Canadian Banks ETF	25-Jan-2011
BMO Covered Call Canadian Banks ETF Fund	26-Apr-2016
BMO Covered Call Dow Jones Industrial Hedged to CAD ETF	19-Oct-2011
BMO Covered Call Europe High Dividend ETF Fund	19-Apr-2016
BMO Covered Call Technology ETF	18-Jan-2021
BMO Covered Call U.S. High Dividend ETF Fund	26-Apr-2016
BMO Covered Call US Banks ETF	11-Feb-2019
BMO Covered Call Utilities ETF	19-Oct-2011
BMO Crossover Bond Fund	11-May-2018
BMO Discount Bond Index ETF	4-Feb-2014
BMO Diversified Income Portfolio	16-May-2006
BMO Dividend Class	1-Oct-2004
BMO Dividend Fund	15-Aug-1994
BMO Dow Jones Industrial Average Hedged to CAD Index ETF	13-May-2009
BMO Emerging Markets Bond Fund	9-Aug-2013



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
BMO Emerging Markets Bond Hedged to CAD Index ETF	18-May-2010
BMO Emerging Markets Fund	15-Aug-1994
BMO Enterprise Fund	28-Sep-1972
BMO Equal Weight Banks Index ETF	19-Oct-2009
BMO Equal Weight Global Base Metals Hedged to CAD Index ETF	19-Oct-2009
BMO Equal Weight Global Gold Index ETF	7-Nov-2012
BMO Equal Weight Industrials Index ETF	7-Nov-2012
BMO Equal Weight Oil & Gas Index ETF	19-Oct-2009
BMO Equal Weight REITs Index ETF	18-May-2010
BMO Equal Weight US Banks Hedged to CAD Index ETF	18-May-2010
BMO Equal Weight US Banks Index ETF	4-Feb-2014
BMO Equal Weight US Health Care Hedged to CAD Index ETF	18-May-2010
BMO Equal Weight US Health Care Index ETF	11-Feb-2019
BMO Equal Weight Utilities Index ETF	18-Jan-2010
BMO Equity Growth ETF Portfolio	9-Aug-2013
BMO Equity Growth ETF Portfolio Class	23-Apr-2010
BMO ESG Corporate Bond Index ETF	14-Jan-2020
BMO ESG High Yield US Corporate Bond Index ETF	18-Jan-2021
BMO ESG US Corporate Bond Hedged to CAD Index ETF	14-Jan-2020
BMO Europe High Dividend Covered Call ETF	20-Dec-2017
BMO Europe High Dividend Covered Call Hedged to CAD ETF	25-Aug-2015
BMO European Fund	15-Aug-1994
BMO Fixed Income ETF Portfolio	9-Aug-2013
BMO Floating Rate High Yield ETF	4-Feb-2014
BMO Floating Rate Income Fund	7-Jul-2005
BMO FundSelect Balanced Portfolio	18-May-2007
BMO FundSelect Equity Growth Portfolio	18-May-2007
BMO FundSelect Growth Portfolio	18-May-2007
BMO FundSelect Income Portfolio	18-May-2007
BMO Global Balanced Fund	10-Nov-2014
BMO Global Communications Index ETF	9-Feb-2018
BMO Global Consumer Discretionary Hedged to CAD Index ETF	2-Feb-2017
BMO Global Consumer Staples Hedged to CAD Index ETF	2-Feb-2017
BMO Global Dividend Class	7-Nov-2000
BMO Global Dividend Fund	3-Dec-1996
BMO Global Energy Class	5-Nov-2008
BMO Global Equity Class	7-Nov-2000
BMO Global Equity Fund	16-Apr-2015
BMO Global Growth & Income Fund	18-Jul-2006
BMO Global High Dividend Covered Call ETF	14-Jan-2020
BMO Global Infrastructure Fund	16-May-2006
BMO Global Infrastructure Index ETF	13-May-2009
BMO Global Low Volatility ETF Class	21-Apr-2010



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
BMO Global Monthly Income Fund	1-Oct-2004
BMO Global Multi-Sector Bond Fund	11-May-2018
BMO Global Small Cap Fund	12-Aug-1994
BMO Global Strategic Bond Fund	7-Nov-2000
BMO Global Water Solutions TACTIC Fund	7-Dec-2018
BMO Government Bond Index ETF	9-Feb-2018
BMO Greater China Class	1-Oct-2004
BMO Growth & Income Fund	24-Oct-1996
BMO Growth ETF	11-Feb-2019
BMO Growth ETF Portfolio	9-Aug-2013
BMO Growth ETF Portfolio Class	21-Apr-2010
BMO Growth Opportunities Fund	16-Apr-2015
BMO High Quality Corporate Bond Index ETF	14-Jan-2020
BMO High Yield US Corporate Bond Hedged to CAD ETF	19-Oct-2009
BMO High Yield US Corporate Bond Index ETF	3-Oct-2017
BMO Income ETF Portfolio	9-Aug-2013
BMO Income ETF Portfolio Class	23-Apr-2010
BMO India Equity Index ETF	18-Jan-2010
BMO International Dividend ETF	4-Nov-2014
BMO International Dividend Hedged to CAD ETF	25-Aug-2015
BMO International Equity ETF Fund	12-Mar-1999
BMO International Equity Fund	15-Aug-2017
BMO International Value Class	30-Sep-2009
BMO International Value Fund	12-Dec-2013
BMO Japan Fund	15-Aug-2017
BMO Junior Gold Index ETF	18-Jan-2010
BMO Laddered Preferred Share Index ETF	7-Nov-2012
BMO LifeStage Plus 2022 Fund	15-Jan-2009
BMO LifeStage Plus 2025 Fund	18-May-2007
BMO LifeStage Plus 2026 Fund	15-Jan-2009
BMO LifeStage Plus 2030	18-May-2007
BMO Long Corporate Bond Index ETF	18-Jan-2010
BMO Long Federal Bond Index ETF	18-May-2010
BMO Long Provincial Bond Index ETF	4-Feb-2013
BMO Long-Term US Treasury Bond Index ETF	2-Feb-2017
BMO Low Volatility Canadian Equity ETF	19-Oct-2011
BMO Low Volatility Canadian Equity ETF Fund	14-May-2019
BMO Low Volatility Emerging Markets Equity ETF	29-Jan-2016
BMO Low Volatility International Equity ETF	25-Aug-2015
BMO Low Volatility International Equity Hedged to CAD ETF	29-Jan-2015
BMO Low Volatility U.S. Equity ETF Fund	26-May-2020
BMO Low Volatility US Equity ETF	4-Feb-2013
BMO Low Volatility US Equity Hedged to CAD ETF	29-Jan-2016
BMO Mid Corporate Bond Index ETF	18-Jan-2010
BMO Mid Federal Bond Index ETF	13-May-2009
BMO Mid Provincial Bond Index ETF	4-Feb-2013



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
BMO Mid-Term US IG Corporate Bond Hedged to CAD Index ETF	4-Feb-2013
BMO Mid-Term US IG Corporate Bond Index ETF	4-Feb-2013
BMO Mid-Term US Treasury Bond Index ETF	2-Feb-2017
BMO Money Market ETF	18-Jan-2021
BMO Money Market Fund	10-Mar-1988
BMO Monthly Dividend Fund Ltd.	30-Oct-1985
BMO Monthly High Income Fund II	15-Oct-2002
BMO Monthly Income ETF	25-Jan-2011
BMO Monthly Income Fund	12-Mar-1999
BMO Mortgage and Short-Term Income Fund	16-Sep-1974
BMO MSCI All Country World High Quality Index ETF	4-Nov-2014
BMO MSCI Canada ESG Leaders Index ETF	14-Jan-2020
BMO MSCI Canada Value Index ETF	3-Oct-2017
BMO MSCI EAFE ESG Leaders Index ETF	14-Jan-2020
BMO MSCI EAFE Hedged to CAD Index ETF	13-May-2009
BMO MSCI EAFE Index ETF	4-Feb-2014
BMO MSCI Emerging Markets Index ETF	13-May-2009
BMO MSCI Europe High Quality Hedged to CAD Index ETF	4-Feb-2014
BMO MSCI Fintech Innovation Index ETF	18-Jan-2021
BMO MSCI Genomic Innovation Index ETF	18-Jan-2021
BMO MSCI Global ESG Leaders Index ETF	14-Jan-2020
BMO MSCI Innovation Index ETF	18-Jan-2021
BMO MSCI Next Gen Internet Innovation Index ETF	18-Jan-2021
BMO MSCI Tech & Industrial Innovation Index ETF	18-Jan-2021
BMO MSCI USA ESG Leaders Index ETF	14-Jan-2020
BMO MSCI USA High Quality Index ETF	4-Nov-2014
BMO MSCI USA Value Index ETF	3-Oct-2017
BMO Multi-Factor Equity Fund	11-May-2018
BMO Nasdaq 100 Equity Hedged to CAD Index ETF	18-Jan-2010
BMO Nasdaq 100 Equity Index ETF	11-Feb-2019
BMO Nesbitt Burns RRSP Stock Selection Fund	17-Feb-2000
BMO North American Dividend Fund	15-Aug-1994
BMO PineBridge Preferred Securities TACTIC Fund	7-Dec-2018
BMO Precious Metals Fund	3-Dec-1996
BMO Preferred Share Fund	9-Aug-2013
BMO Premium Yield ETF	14-Jan-2020
BMO Private Canadian Core Equity Portfolio	1-Mar-2002
BMO Private Canadian Corporate Bond Portfolio	1-Mar-2002
BMO Private Canadian Income Equity Portfolio	1-Mar-2002
BMO Private Canadian Money Market Portfolio	26-Feb-1998
BMO Private Canadian Short-Mid Bond Portfolio	5-Jul-2000
BMO Private Canadian Short-Term Bond Portfolio	26-Feb-1998
BMO Private Canadian Special Equity Portfolio	26-Feb-1998
BMO Private Diversified Yield Portfolio	1-Nov-2002
BMO Private Emerging Markets Equity Portfolio	1-Nov-2006



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
BMO Private International Equity Portfolio	26-Feb-1998
BMO Private U.S. Equity Portfolio	26-Feb-1998
BMO Private U.S. Growth Equity Portfolio	5-Jul-2000
BMO Private U.S. Special Equity Portfolio	1-Nov-2006
BMO Real Return Bond Index ETF	18-May-2010
BMO Resource Fund	3-Aug-1993
BMO Retirement Balanced Portfolio	20-Aug-2015
BMO Retirement Conservative Portfolio	20-Aug-2015
BMO Retirement Income Portfolio	20-Aug-2015
BMO Risk Reduction Equity Fund	20-Aug-2015
BMO Risk Reduction Fixed Income Fund	20-Aug-2015
BMO S&P 500 Hedged to CAD Index ETF	13-May-2009
BMO S&P 500 Index ETF	7-Nov-2012
BMO S&P US Mid Cap Index ETF	14-Jan-2020
BMO S&P US Small Cap Index ETF	14-Jan-2020
BMO SelectClass Balanced Portfolio	5-Nov-2008
BMO SelectClass Equity Growth Portfolio	5-Nov-2008
BMO SelectClass Growth Portfolio	5-Nov-2008
BMO SelectClass Income Portfolio	5-Nov-2008
BMO SelectTrust Balanced Portfolio	18-Jul-2006
BMO SelectTrust Conservative Portfolio	18-Jul-2006
BMO SelectTrust Equity Growth Portfolio	18-Jul-2006
BMO SelectTrust Fixed Income Portfolio	9-Aug-2013
BMO SelectTrust Growth Portfolio	18-Jul-2006
BMO SelectTrust Income Portfolio	18-Jul-2006
BMO Short Corporate Bond Index ETF	19-Oct-2009
BMO Short Federal Bond Index ETF	19-Oct-2009
BMO Short Provincial Bond Index ETF	19-Oct-2009
BMO Short-Term Bond Index ETF	9-Feb-2018
BMO Short-Term US IG Corporate Bond Hedged to CAD Index ETF	4-Feb-2014
BMO Short-Term US TIPS Index ETF	18-Jan-2021
BMO Short-Term US Treasury Bond Index ETF	2-Feb-2017
BMO SIA Focused Canadian Equity Fund	30-Nov-2018
BMO SIA Focused North American Equity Fund	30-Nov-2018
BMO Sustainable Balanced Portfolio	26-May-2020
BMO Sustainable Conservative Portfolio	26-May-2020
BMO Sustainable Growth Portfolio	26-May-2020
BMO Sustainable Income Portfolio	26-May-2020
BMO Sustainable Opportunities Canadian Equity Fund	26-May-2020
BMO Sustainable Opportunities Global Equity Fund	26-Apr-2016
BMO Tactical Balanced ETF Fund	16-Apr-2015
BMO Tactical Dividend ETF Fund	9-Aug-2013
BMO Tactical Global Asset Allocation ETF Fund	1-Aug-2016
BMO Tactical Global Bond ETF Fund	16-Apr-2015
BMO Tactical Global Equity ETF Fund	16-Apr-2015



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
BMO Tactical Global Growth ETF Fund	26-Apr-2016
BMO Target Education 2025 Portfolio	10-Nov-2014
BMO Target Education 2030 Portfolio	10-Nov-2014
BMO Target Education 2035 Portfolio	10-Nov-2014
BMO Target Education 2040 Portfolio	26-May-2020
BMO Target Education Income Portfolio	10-Nov-2014
BMO U.S. Dividend Fund	10-Nov-2014
BMO U.S. Dollar Balanced Fund	9-Aug-2013
BMO U.S. Dollar Dividend Fund	9-Aug-2013
BMO U.S. Dollar Equity Index Fund	14-Sep-1998
BMO U.S. Dollar Money Market Fund	14-Sep-1998
BMO U.S. Dollar Monthly Income Fund	1-Oct-2004
BMO U.S. Equity Class	31-Oct-2008
BMO U.S. Equity ETF Fund	3-Dec-1996
BMO U.S. Equity Fund	3-Dec-1996
BMO U.S. Equity Plus Fund	10-Nov-2014
BMO U.S. High Yield Bond Fund	13-May-2009
BMO U.S. Small Cap Fund	11-May-2018
BMO Ultra Short-Term Bond ETF	25-Jan-2011
BMO Ultra Short-Term US Bond ETF	11-Feb-2019
BMO US Dividend ETF	4-Feb-2013
BMO US Dividend Hedged to CAD ETF	4-Feb-2013
BMO US High Dividend Covered Call ETF	4-Feb-2014
BMO US High Dividend Covered Call Hedged to CAD ETF	11-Feb-2019
BMO US Preferred Share Hedged to CAD Index ETF	2-Feb-2017
BMO US Preferred Share Index ETF	2-Feb-2017
BMO US Put Write ETF	25-Aug-2015
BMO US Put Write Hedged to CAD ETF	2-Feb-2017
BMO USD Balanced ETF Portfolio	26-May-2020
BMO USD Conservative ETF Portfolio	26-May-2020
BMO USD Income ETF Portfolio	26-May-2020
BMO Women in Leadership Fund	9-Apr-2016
BMO World Bond Fund	3-Aug-1993
BNP Resources Inc.	7-Jul-2006
Boardwalk Real Estate Investment Trust	3-May-2004
Boardwalk REIT Limited Partnership	3-May-2004
Boardwalktech Software Corp.	26-May-2006
Boat Rocker Media Inc.	19-Mar-2021
Bombardier Inc.	3-Dec-1980
Bonaventure Estates I Limited Partnership (CT)	23-Dec-1981
Bonterra Energy Corp.	28-Feb-1994
Boralex Inc.	14-May-2001
Border Petroleum Limited	24-Nov-2011
Boston Pizza Royalties Income Fund	9-Jul-2002
Bown River Exploration Ltd. (CT)	27-May-1987
Boyd Group Services Inc.	11-May-2020



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
BQE Water Inc.	30-Nov-2006
Bracknell Corporation (CT)	14-Jan-1983
Bragg Gaming Group Inc. (formerly Breaking Data Corp.)	13-Nov-2020
Bramalea Inc. (CT)	29-Nov-1984
Brampton Brick Limited	4-Nov-1986
Brand Leaders Income Fund	30-Jun-2011
Brandenburg Energy Corp.	24-Oct-2008
Brandes Canadian Equity Fund	26-Jun-2002
Brandes Canadian Money Market Fund	26-Jun-2002
Brandes Corporate Focus Bond Fund	18-Jan-2007
Brandes Emerging Markets Value Fund	26-Jun-2002
Brandes Global Equity Fund	26-Jun-2002
Brandes Global Opportunities Fund	29-Jun-2012
Brandes Global Small Cap Equity Fund	26-Jun-2002
Brandes International Equity Fund	26-Jun-2002
Brandes U.S. Equity Fund	26-Jun-2002
Brandon Energy Ltd. (CT)	20-Mar-1996
Breaking Point Developments Inc.	26-Sep-2008
Bri-Chem Corp.	12-Dec-2013
Bridgehouse Canadian Bond Fund	4-Nov-2014
Bridgemarq Real Estate Services Inc.	1-Jan-2011
Bright Minds Biosciences Inc.	29-Jan-2021
Brighter Minds Media Inc.	13-Mar-2006
Brighton Gardens of Boynton Beach Limited Partnership (CT)	6-Dec-1993
Bristol Gate Concentrated Canadian Equity ETF	9-Feb-2018
Bristol Gate Concentrated US Equity ETF	9-Feb-2018
British Columbia Ferry Services Inc.	19-May-2004
Brixton Metals Corporation	29-Jul-2011
Brompton European Dividend Growth ETF	28-Mar-2019
Brompton Flaherty & Crumrine Investment Grade Preferred ETF	10-Oct-2018
Brompton Global Dividend Growth ETF	10-Oct-2018
Brompton Global Healthcare Income & Growth ETF	28-Mar-2018
Brompton Global Real Assets Dividend ETF	22-Apr-2020
Brompton Lifeco Split Corp.	29-Mar-2007
Brompton North American Financials Dividend ETF	10-Oct-2018
Brompton North American Low Volatility Dividend ETF	21-Apr-2020
Brompton Oil Split Corp.	30-Jan-2015
Brompton Split Banc Corp.	28-Oct-2005
Brompton Tech Leaders Income ETF	28-Mar-2018
Brookfield Asset Management Inc.	23-Apr-1981
Brookfield Business Partners L.P.	13-May-2016
Brookfield Finance (Australia) Pty Ltd	6-Oct-2020
Brookfield Finance I (UK) PLC	6-Oct-2020
Brookfield Finance II Inc.	6-Oct-2020
Brookfield Finance II LLC	6-Oct-2020



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Brookfield Finance Inc.	8-Jun-2015
Brookfield Finance LLC	17-Feb-2017
Brookfield Global Infrastructure Securities Income Fund	25-Jun-2013
Brookfield Infrastructure Corporation	13-Mar-2020
Brookfield Infrastructure Finance Limited	12-Jul-2012
Brookfield Infrastructure Finance LLC	12-Jul-2012
Brookfield Infrastructure Finance Pty Ltd	12-Jul-2012
Brookfield Infrastructure Finance ULC	12-Jul-2012
Brookfield Infrastructure Partners L.P.	21-Dec-2007
Brookfield Infrastructure Preferred Equity Inc.	12-Jul-2012
Brookfield Investments Corporation	1-Jan-2007
Brookfield Office Properties Inc.	3-Mar-1987
Brookfield Property Finance ULC	1-Jun-2018
Brookfield Property Partners L.P.	18-Mar-2013
Brookfield Property Preferred Equity Inc.	1-Jun-2018
Brookfield Property Split Corp.	20-Jun-2014
Brookfield Renewable Corporation	30-Jun-2020
Brookfield Renewable Partners L.P.	28-Nov-2011
Brookfield Renewable Partners ULC	23-Nov-2011
Brookfield Renewable Power Preferred Equity Inc.	3-Mar-2010
Brookfield Select Opportunities Income Fund	25-Apr-2014
BRP Inc.	21-May-2013
Brunswick Resources Inc.	29-Mar-2007
BSR Real Estate Investment Trust	11-May-2018
BTB Real Estate Investment Trust	27-Sep-2006
Buffalo Coal Corp.	15-Feb-2011
Buffalo Gold Ltd.	28-Nov-2000
Builders Capital Mortgage Corp.	25-Oct-2013
Burcon NutraScience Corporation	10-Jun-2009
Burnstone Ventures Inc.	10-Sep-1986
BuzBuz Capital Corp.	2-May-2019
C International Income Fund	5-May-2006
C.F.P.C. (1990) L.P. (CT)	28-Apr-1989
C.H.I. Grand Forks Hotel Limited Partnership (CT)	30-Nov-1988
CAE Inc.	19-Jul-1989
Caldwell Canadian Value Momentum Fund	4-Aug-2017
Caldwell North American Fund	18-Jun-1996
Caldwell U.S. Dividend Advantage Fund	16-Nov-2018
Caldwell U.S. Dividend Advantage Fund	28-May-2015
Calfrac Well Services Ltd.	1-Mar-1989
Calian Group Ltd.	7-Sep-1993
Callitas Health Inc.	30-Sep-2005
Calmena Energy Services Inc.	31-Dec-2008
Calyx Ventures Inc.	22-Oct-2008
Cambridge Asset Allocation Corporate Class	28-Dec-2007
Cambridge Asset Allocation Fund	28-Jul-2017



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Cambridge Bond Fund	12-Dec-2018
Cambridge Canadian Dividend Corporate Class	21-Dec-2015
Cambridge Canadian Dividend Fund	31-Aug-2004
Cambridge Canadian Equity Corporate Class	28-Dec-2007
Cambridge Canadian Equity Fund	28-Jul-2017
Cambridge Canadian Long-Term Bond Pool	11-Oct-2018
Cambridge Global Dividend Class	29-May-2012
Cambridge Global Dividend Corporate Class	30-Jul-2013
Cambridge Global Dividend Fund	30-Jul-2013
Cambridge Global Equity Corporate Class	28-Dec-2007
Cambridge Global Equity Fund	28-Jul-2017
Cambridge Global High Income Fund	22-Jun-2004
Cambridge Global Smaller Companies Corporate Class	31-Jul-2014
Cambridge Global Smaller Companies Fund	28-Jul-2017
Cambridge Monthly Income Corporate Class	30-Dec-2011
Cambridge Monthly Income Fund	30-Dec-2011
Cambridge Pure Canadian Equity Corporate Class	21-Dec-2015
Cambridge Pure Canadian Equity Fund	11-Feb-2011
Cambridge U.S. Dividend Fund	13-Jun-2006
Cambridge U.S. Dividend Registered Fund	24-Dec-2014
Cambridge U.S. Dividend US\$ Fund	27-Mar-2015
*Cameco Corporation	28-Jun-1991
Campbell Resources Inc.	13-Nov-1987
Canaccord Genuity Group Inc.	23-Jun-2004
Canacol Energy Ltd.	13-Jul-2010
Canada 3000 Inc. (CT)	30-Jun-2000
Canada Goose Holdings Inc.	15-Mar-2017
Canada Life Advanced Folio Fund	5-Jan-2001
Canada Life Aggressive Folio Fund	5-Jan-2001
Canada Life American Equity Fund (Beutel Goodman)	18-Aug-2020
Canada Life Balanced Folio Fund	5-Jan-2001
Canada Life Balanced Fund (Beutel Goodman)	18-Aug-2020
Canada Life Canadian Balanced Fund	18-Aug-2020
Canada Life Canadian Dividend Fund	18-Mar-2021
Canada Life Canadian Dividend Fund (Laketon)	19-May-2020
Canada Life Canadian Equity Fund (Beutel Goodman)	19-May-2020
Canada Life Canadian Equity Fund (Laketon)	5-Jan-2001
Canada Life Canadian Fixed Income Balanced Fund	18-Aug-2020
Canada Life Canadian Focused Growth Fund	18-Mar-2021
Canada Life Canadian Growth Fund (GWLIM)	5-Jan-2001
Canada Life Canadian Low Volatility Fund (London Capital)	19-May-2020
Canada Life Canadian Value Fund (FGP)	19-May-2020
Canada Life Capital Corporation Inc.	15-Jun-2001
Canada Life Capital Trust	8-Mar-2002
Canada Life Conservative Folio Fund	5-Jan-2001
Canada Life Core Bond Fund (Portico)	5-Jan-2001



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Canada Life Core Plus Bond Fund (Portico)	30-Dec-1974
Canada Life Corporate Bond Fund (Portico)	5-Jan-2001
Canada Life Diversified Fixed Income Folio Fund	7-Jul-2016
Canada Life Dividend Fund (GWLIM)	6-Jul-2007
Canada Life Equity/Bond Fund (GLC)	18-Aug-2020
Canada Life Floating Rate Income Fund	18-Mar-2021
Canada Life Foreign Equity Fund	18-Mar-2021
Canada Life Global All Cap Equity Fund (Setanta)	29-Jun-2018
Canada Life Global Balanced Fund	18-Mar-2021
Canada Life Global Dividend Equity Fund (Setanta)	30-Nov-2015
Canada Life Global Fixed Income Balanced Fund (Brandywine)	18-Aug-2020
Canada Life Global Focused Growth Balanced Fund	18-Aug-2020
Canada Life Global Founders Fund (Beutel Goodman)	18-Aug-2020
Canada Life Global Growth Balanced Fund (T. Rowe Price)	18-Aug-2020
Canada Life Global Growth Equity Fund (T. Rowe Price)	18-Aug-2020
Canada Life Global Infrastructure Equity Fund (London Capital)	30-Nov-2015
Canada Life Global Low Volatility Fund (ILIM)	7-Jul-2016
Canada Life Global Monthly Income Fund (London Capital)	30-Nov-2015
Canada Life Global Multi-Sector Bond Fund (T. Rowe Price)	18-Aug-2020
Canada Life Global Real Estate Fund (London Capital)	11-Jul-2008
Canada Life Global Small-Mid Cap Growth Fund	18-Aug-2020
Canada Life Global Value Balanced Fund (Beutel Goodman)	18-Aug-2020
Canada Life Growth and Income Fund (GWLIM)	4-Jul-2017
Canada Life Income Fund (Portico)	5-Jan-2001
Canada Life International Core Equity Fund (JPMorgan)	7-Jul-2016
Canada Life International Opportunity Fund (JPMorgan)	18-Aug-2020
Canada Life Mid Cap Canada Fund (GWLIM)	5-Jan-2001
Canada Life Moderate Folio Fund	5-Jan-2001
Canada Life Money Market Fund	21-Oct-1986
Canada Life Monthly Income Fund (London Capital)	14-Aug-2010
Canada Life North American High Yield Bond Fund	7-Jul-2016
Canada Life North American Specialty Fund	19-May-2020
Canada Life Pathways Canadian Concentrated Equity Fund	17-Oct-2018
Canada Life Pathways Canadian Equity Fund	17-Oct-2018
Canada Life Pathways Core Bond Fund	17-Oct-2018
Canada Life Pathways Core Plus Bond Fund	17-Oct-2018
Canada Life Pathways Emerging Markets Equity Fund	17-Oct-2018
Canada Life Pathways Emerging Markets Large Cap Equity Fund	17-Oct-2018
Canada Life Pathways Global Core Plus Bond Fund	17-Oct-2018
Canada Life Pathways Global Multi Sector Bond Fund	17-Oct-2018
Canada Life Pathways Global Tactical Fund	17-Oct-2018
Canada Life Pathways International Concentrated Equity Fund	17-Oct-2018
Canada Life Pathways International Equity Fund	17-Oct-2018



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Canada Life Pathways Money Market Fund	17-Oct-2018
Canada Life Pathways U.S. Concentrated Equity Fund	17-Oct-2018
Canada Life Pathways U.S. Equity Fund	17-Oct-2018
Canada Life Risk Reduction Pool	22-Oct-2020
Canada Life Risk-Managed Balanced Portfolio	22-Oct-2020
Canada Life Risk-Managed Conservative Income Portfolio	22-Oct-2020
Canada Life Risk-Managed Growth Portfolio	22-Oct-2020
Canada Life Science & Technology Fund (London Capital)	18-Aug-2020
Canada Life Short Term Bond Fund (Portico)	7-Jul-2016
Canada Life Strategic Income Fund	18-Mar-2021
Canada Life Tactical Bond Fund (Portico)	18-Aug-2020
Canada Life US All Cap Growth Fund	18-Mar-2021
Canada Life US Dividend Fund (GWLIM)	30-Nov-2015
Canada Life US Equity Fund (London Capital)	18-Aug-2020
Canada Life US Low Volatility Fund (Putnam)	7-Jul-2016
Canada Life US Mid Cap Opportunities Fund	18-Aug-2020
Canada Life US Value Fund (Putnam)	29-Jun-2015
Canadian Agra Foods Inc. (CT)	24-May-1994
Canadian Airlines Corporation (CT)	5-Dec-1983
Canadian Apartment Properties Real Estate Investment Trust	14-May-1997
Canadian Banc Corp.	29-Jun-2005
Canadian Credit Card Trust II	21-Apr-2015
Canadian Dollar Cash Management Fund	1-Jun-2006
Canadian Equity Alpha Corporate Class	30-Jul-2008
Canadian Equity Alpha Pool	1-Nov-2018
Canadian Equity Fund	13-Aug-1997
Canadian Equity Growth Corporate Class	2-Oct-2007
Canadian Equity Growth Pool	28-Apr-2000
Canadian Equity Small Cap Corporate Class	2-Oct-2007
Canadian Equity Small Cap Pool	17-Jan-2000
Canadian Equity Value Corporate Class	2-Oct-2007
Canadian Equity Value Pool	20-Apr-1976
Canadian Fixed Income Corporate Class	2-Oct-2007
Canadian Fixed Income Fund	13-Aug-1997
Canadian Fixed Income Pool	15-May-1972
Canadian General Investments, Limited	18-Aug-1998
Canadian High Income Equity Fund	28-Jan-2010
Canadian Imperial Bank of Commerce	26-Jan-1981
Canadian Investment Grade Preferred Share Fund (P2L)	29-Nov-2016
Canadian Life Companies Split Corp.	31-Mar-2005
Canadian Manoir Industries Limited	21-Jul-1986
Canadian National Railway Company	19-May-1987
Canadian Natural Resources Limited	15-Mar-1979
Canadian Overseas Petroleum Limited	15-Dec-2009
Canadian Pacific Railway Company	7-May-1987
Canadian Pacific Railway Limited	10-Aug-2001



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
CANADIAN SCHOLARSHIP TRUST FAMILY SAVINGS PLAN	18-Jul-2000
Canadian Scholarship Trust Founders' Plan	18-Jul-2000
Canadian Scholarship Trust Group Savings Plan	18-Jul-2000
Canadian Scholarship Trust Individual Savings Plan	18-Jul-2000
Canadian Small Company Equity Fund	14-May-2001
Canadian Tire Corporation Limited	4-Dec-1989
Canadian Utilities & Telecom Income Fund	30-Nov-2010
Canadian Utilities Limited	28-Oct-1970
Canadian Western Bank	26-Apr-1984
Canagold Resources Ltd	6-Nov-1992
Canalands Resources Corporation (CT)	29-Jul-1983
Candente Gold Corp.	24-Mar-2011
Canfor Corporation	27-Jun-1983
Canfor Pulp Products Inc.	1-Jan-2011
Canlan Ice Sports Corp.	31-Dec-1989
Canna 8 Investment Trust	10-Jul-2018
Cannabis Growth Opportunity Corporation	17-Jan-2018
CannTrust Holdings Inc.	11-Aug-2017
Canoe Asset Allocation Class	14-Feb-2011
Canoe Bond Advantage Class	13-Dec-2011
Canoe Bond Advantage Fund	13-Dec-2011
Canoe Canadian Small Mid Cap Class	8-Aug-2019
Canoe Credit Opportunities Class	14-Dec-2012
Canoe Credit Opportunities Fund	14-Dec-2012
Canoe Defensive Global Balanced Fund	9-Apr-2020
Canoe Defensive Global Equity Fund	9-Sep-2014
Canoe Defensive International Equity Fund	5-Jan-2017
Canoe Defensive U.S. Equity Class	20-Nov-2013
Canoe EIT Income Fund	25-Jul-1997
Canoe Energy Class	14-Feb-2011
Canoe Energy Income Class	13-Dec-2011
Canoe Enhanced Income Class	13-Dec-2011
Canoe Enhanced Income Fund	13-Dec-2011
Canoe Equity Class	14-Feb-2011
Canoe Global Equity Fund	25-Nov-1985
Canoe Global Income Class	22-Jul-2014
Canoe Global Income Fund	15-Aug-2013
Canoe Mining Ventures Corp.	9-Dec-2011
Canoe North American Monthly Income Class	14-Dec-2012
Canoe Preferred Share Class	30-Jun-2020
Canoe Premium Income Fund	17-Dec-2009
Canoe Trust Fund	7-Sep-2018
Canopy Growth Corporation	9-May-2014
Canopy Rivers Inc.	21-Feb-2019
Canoro Resources Ltd.	13-Dec-2007
Canshore Exploration Limited (CT)	6-Aug-1979



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Canso Credit Income Fund	29-Jun-2010
Canso Select Opportunities Corporation	7-Sep-2018
Cansorb Industries Inc. (CT)	19-May-1987
CANSORTIUM INC.	15-Mar-2019
*Canterra Seeds Holdings Ltd.	5-Feb-2004
CanWel Building Materials Group Ltd.	1-Feb-2010
*CAPA Software Publishing Corporation (CT)	1-Apr-1990
Capital Desjardins Inc.	3-May-2002
Capital Group Canadian Core Plus Fixed Income Fund (Canada)	22-Apr-2008
Capital Group Canadian Focused Equity Fund (Canada)	23-Mar-2006
Capital Group Capital Income Builder (Canada)	14-Aug-2018
Capital Group Emerging Markets Total Opportunities Fund (Canada)	7-Feb-2012
Capital Group Global Balanced Fund (Canada)	18-Aug-2015
Capital Group Global Equity Fund (Canada)	9-Oct-2002
Capital Group International Equity Fund (Canada)	8-Dec-2000
Capital Group Monthly Income Portfolio (Canada)	2-Mar-2020
Capital Group U.S. Equity Fund (Canada)	8-Dec-2000
Capital Group World Bond Fund (Canada)	1-Sep-2017
Capital Oil And Gas Corp. (CT)	17-Jul-1980
Capital Power Corporation	25-Jun-2009
Capstone Infrastructure Corporation	1-Jan-2011
Capstone Mining Corp.	22-Feb-2007
Captech Multicom Inc.	11-Jun-1999
Cardinal Energy Ltd.	10-Dec-2013
Cardinal Resources Limited	8-Nov-2017
Cardiol Therapeutics Inc.	17-Dec-2018
CARDS II Trust	30-Sep-2004
CareRX Corporation	25-Oct-2011
Cargojet Inc.	1-Jan-2011
Caribbean Utilities Company, Ltd.	26-Nov-1993
*Carling Place Limited Partnership	19-Oct-1983
Carmanah Resources Ltd. (CT)	7-Jul-1997
Carriage Lane Estates Limited Partnership (CT)	5-Oct-1983
CARS and PARS Programme	19-Jan-2007
Cascada Silver Corp.	9-Mar-2021
Cascades Inc.	28-Sep-1984
Cash Management Pool	21-Apr-1995
Cassiar Gold Corp.	13-Dec-2011
CatchMark Timber Trust, Inc.	11-Dec-2013
Caterpillar Financial Services Limited	11-Jun-2001
Cathay Forest Products Corp.	11-Dec-2009
Cathedral Energy Services Ltd.	18-Dec-2009
Caulfield Resources Ltd. (CT)	16-Jul-1980
Cayenne Gold Mines Ltd.	4-May-2007



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
CBD Global Sciences Inc.	21-Oct-2019
CBO Resources Corp. (CT)	17-Jul-1979
CC&L Alternative Canadian Equity Fund	21-Feb-2019
CC&L Alternative Global Equity Fund	21-Feb-2019
CC&L Alternative Income Fund	21-Feb-2019
CC&L Core Income and Growth Fund	8-Jun-2012
CC&L Diversified Income Portfolio	26-Jan-2006
CC&L Equity Income and Growth Fund	3-May-2012
CC&L Global Alpha Fund	8-May-2014
CC&L High Yield Bond Fund	3-May-2012
CCL Industries Inc.	21-Apr-1980
CDC Corporation	23-Feb-2004
CED-OR Corporation	1-May-1994
*Cedar Ridge Apartments Limited Partnership (CT)	27-Mar-1984
*Celebrations Le Club L.P. (CT)	14-Feb-1986
Celestica Inc.	29-Jun-1998
Celtic Tiger Minerals Exploration Inc.	13-Sep-2012
CEMATRIX Corporation	15-Mar-2021
Cenovus Energy Inc.	2-Nov-2009
Centamin plc	30-Dec-2011
Centaurus Energy Inc.	2-Nov-2010
Centerra Gold Inc	22-Jun-2004
Central 1 Credit Union	31-Aug-1995
Central Asia Goldfields Corporation (CT)	29-Dec-1993
Central Guaranty Trustco Limited (CT)	5-Jun-1986
Central Guaranty Trustfunds-Canadian Money Market Fund (CT)	22-Mar-1988
Ceres Acquisition Corp.	25-Feb-2020
Ceres Global Ag Corp.	14-Dec-2007
Ceridian HCM Holding Inc.	25-Apr-2018
Cerro Grande Mining Corporation	25-Mar-1985
Cerro Mining Corp.	2-Mar-1990
Cervus Equipment Corporation	14-Nov-2000
CES Energy Solutions Corp.	1-Jan-2010
CGI Group Inc.	11-Dec-2000
CGI Mutualization Corporation (CT)	2-Jul-1992
CGX Energy Inc.	11-Aug-2010
CH Financial Corporation (CT)	25-Feb-1987
Champion Iron Limited	31-Mar-2014
Chancellor Group Ltd. (CT)	14-Nov-1989
Charan Industries Inc. (CT)	4-Dec-1985
Charlie O Company, Inc.(CT)	21-Jul-1980
Charlotte Resources Ltd.	8-Apr-2011
Charlotte's Web Holdings, Inc.	23-Aug-2018
Chartwell Mews (CT)	19-Dec-1978
Chartwell Retirement Residences	31-Oct-2003



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Chatham House Apartments L.P. (CT)	2-Dec-1982
Chauvco Resources International Ltd. (CT)	11-Sep-1981
Chelz Capital Canada L.P	
Chemistree Technology Inc.	25-Mar-2019
Chemokine Therapeutics Corp.	17-Dec-2004
Chemtrade Logistics Income Fund	12-Jul-2001
Chesswood Group Limited	1-Jan-2011
Cheyenne Energy Inc.	20-Jun-2002
Chieftain Metals Corp.	22-May-2013
China AMC - IG China Equity Pool	10-Jan-2020
CHIP Mortgage Trust	18-Nov-2005
Choice Consolidation Corp.	12-Feb-2021
Choice Properties Real Estate Investment Trust	26-Jun-2013
Choom Holdings Inc.	25-Sep-2007
Chorus Aviation Inc.	31-Dec-2010
Chorus II 100 Equity Growth Portfolio	3-Apr-2020
Chorus II Aggressive Growth Portfolio	18-Nov-2011
Chorus II Balanced Growth Portfolio	18-Nov-2011
Chorus II Conservative Low Volatility Portfolio	18-Nov-2011
Chorus II Growth Portfolio	18-Nov-2011
Chorus II Maximum Growth Portfolio	18-Nov-2011
Chorus II Moderate Low Volatility Portfolio	18-Nov-2011
Chou Asia Fund	15-Sep-2003
Chou Associates Fund	19-Oct-2001
Chou Bond Fund	15-Sep-2005
Chou Europe Fund	15-Sep-2003
Chou RRSP Fund	19-Oct-2001
Churchill 10 Debenture Corp.	18-May-2010
Churchill 10 Real Estate Limited Partnership	18-May-2010
Churchill 11 Debenture Corp.	7-Apr-2011
Churchill 11 Real Estate Limited Partnership	7-Apr-2011
CI 1-5 Year Laddered Government Strip Bond Index ETF	31-May-2013
CI Active Canadian Dividend ETF	21-Jul-2014
CI Active Credit ETF	13-Jan-2015
CI Active Utility & Infrastructure ETF	13-Jan-2015
CI Alternative Investment Grade Credit Fund	31-Oct-2018
CI American Managers Corporate Class	3-Aug-2001
CI American Small Companies Corporate Class	3-Aug-2001
CI American Small Companies Fund	27-Sep-1988
CI American Value Corporate Class	31-Dec-2001
CI American Value Fund	1-Nov-1996
CI Bitcoin Fund	1-Apr-2021
CI Canadian Banks Income Class ETF	18-Sep-2015
CI Canadian Convertible Bond ETF	17-May-2011
CI Canadian Convertible Bond Fund	27-Oct-2009
CI Canadian Dividend Private Pool	14-Jun-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
CI Canadian Equity Private Pool	24-Oct-2018
CI Canadian Fixed Income Private Pool	24-Oct-2018
CI Canadian Investment Corporate Class	13-Jul-2003
CI Canadian Investment Fund	1-Nov-1996
CI Canadian REIT ETF	10-Jul-2015
CI Canadian REIT Fund	7-Jun-2010
CI Canadian Small/Mid Cap Fund	12-Nov-1992
CI Core Canadian Equity Income Class ETF	3-May-2016
CI DoubleLine Core Plus Fixed Income US\$ Fund	22-Apr-2020
CI DoubleLine Income US\$ Fund	22-Apr-2020
CI DoubleLine Total Return Bond US\$ Fund	22-Apr-2020
CI Emerging Markets Bond Fund	17-Dec-2020
CI Energy Giants Covered Call ETF	27-Jan-2015
CI Enhanced Government Bond ETF	20-Jul-2018
CI Ethereum Fund	22-Apr-2021
CI Financial Corp.	1-Jan-2009
CI First Asset Enhanced Short Duration Bond Fund	23-Aug-2017
CI G5 20 2038 Q3 Fund	3-Jul-2013
CI G5 20 2038 Q4 Fund	27-Sep-2013
CI G5 20 2039 Q2 Fund	12-May-2014
CI G5 20 2039 Q3 Fund	27-Jun-2014
CI G5 20 2040 Q1 Fund	19-Dec-2014
CI G5 20 2040 Q4 Fund	30-Sep-2015
CI G5 20i 2035 Q1 Fund	19-Dec-2014
CI G5 20i 2035 Q2 Fund	26-Mar-2015
CI G5 20i 2036 Q1 Fund	8-Dec-2015
CI G5 20i 2036 Q2 Fund	31-Mar-2016
CI Galaxy Bitcoin ETF	5-Mar-2021
CI Galaxy Ethereum ETF	16-Apr-2021
CI Global Asset Allocation Private Pool	24-Oct-2018
CI Global Balanced Yield Private Pool	24-Oct-2018
CI Global Concentrated Equity Private Pool	24-Oct-2018
CI Global Corporate Class	3-Aug-2001
CI Global Enhanced Government Bond Private Pool	24-Oct-2018
CI Global Equity Alpha Private Pool	24-Oct-2018
CI Global Equity Core Private Pool	14-Jun-2019
CI Global Equity Momentum Private Pool	24-Oct-2018
CI Global Financial Sector ETF	25-Apr-2016
CI Global Fund	4-Mar-1986
CI Global Health Sciences Corporate Class	3-Aug-2001
CI Global High Dividend Advantage Corporate Class	26-Feb-2007
CI Global High Dividend Advantage Fund	25-Jan-2006
CI Global High Yield Credit Private Pool	24-Oct-2018
CI Global Infrastructure Private Pool	15-May-2020
CI Global Investment Grade Credit Private Pool	24-Oct-2018
CI Global Longevity Economy Fund	15-May-2020



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
CI Global Real Asset Private Pool	15-May-2020
CI Global REIT Private Pool	15-May-2020
CI Global Smaller Companies Private Pool	24-Oct-2018
CI Global Unconstrained Bond Private Pool	24-Oct-2018
CI Global Value Balanced Fund	7-Jun-2013
CI Global Value Corporate Class	3-Aug-2001
CI Global Value Fund	14-Jun-1996
CI Gold Bullion Fund	18-Dec-2020
CI Gold+ Giants Covered Call ETF	17-May-2011
CI Health Care Giants Covered Call ETF	5-Jun-2018
CI High Interest Savings ETF	30-May-2019
CI High Interest Savings Fund	29-Jul-2019
CI ICBCCS S&P China 500 Index ETF	24-Jun-2019
CI Income Fund	16-Aug-2010
CI International Equity Alpha Private Pool	24-Oct-2018
CI International Equity Growth Private Pool	24-Oct-2018
CI International Equity Value Private Pool	24-Oct-2018
CI International Value Corporate Class	3-Aug-2001
CI International Value Fund	14-Jun-1996
CI Investment Grade Bond ETF	2-Aug-2016
CI Investment Grade Bond Fund	29-Oct-2009
CI Marret Alternative Absolute Return Bond Fund	18-Sep-2018
CI Marret Alternative Enhanced Yield Fund	8-May-2020
CI Money Market Class	28-Mar-2006
CI Money Market Fund	16-Oct-1990
CI Morningstar Canada Momentum Index ETF	20-Jan-2012
CI Morningstar Canada Value Index ETF	20-Jan-2012
CI Morningstar International Momentum Index ETF	21-Oct-2014
CI Morningstar International Value Index ETF	21-Oct-2014
CI Morningstar National Bank Québec Index ETF	20-Jan-2012
CI Morningstar US Momentum Index ETF	15-Oct-2013
CI Morningstar US Value Index ETF	15-Oct-2013
CI Mosaic Balanced ETF Portfolio	10-Jan-2019
CI Mosaic Balanced Growth ETF Portfolio	10-Jan-2019
CI Mosaic Balanced Income ETF Portfolio	10-Jan-2019
CI Mosaic Growth ETF Portfolio	10-Jan-2019
CI Mosaic Income ETF Portfolio	10-Jan-2019
CI MSCI Canada Low Risk Weighted ETF	30-Jan-2014
CI MSCI Canada Quality Index Class ETF	3-May-2016
CI MSCI Europe Low Risk Weighted ETF	30-Jan-2014
CI MSCI International Low Risk Weighted ETF	3-Aug-2017
CI MSCI USA Low Risk Weighted ETF	30-Jan-2014
CI MSCI World ESG Impact ETF	21-Aug-2019
CI MSCI World ESG Impact Fund	12-Sep-2019
CI Munro Alternative Global Growth Fund	30-Sep-2018
CI Munro Global Growth Equity Fund	11-Jan-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
CI North American Dividend Fund	20-Aug-2008
CI North American Small/Mid Cap Equity Private Pool	24-Oct-2018
CI ONE North American Core Plus Bond ETF	24-Aug-2018
CI Preferred Share ETF	5-May-2016
CI Short Term Government Bond Index Class ETF	24-Feb-2016
CI Short-Term Corporate Class	3-Aug-2001
CI Short-Term US\$ Corporate Class	3-Aug-2001
CI Tech Giants Covered Call ETF	21-Oct-2011
CI U.S. & Canada Lifeco Income ETF	26-Aug-2014
CI U.S. Equity Private Pool	24-Oct-2018
CI U.S. TrendLeaders Index ETF	23-Jun-2017
CI US Money Market Fund	1-Feb-1995
CI WisdomTree Canada Quality Dividend Growth Index ETF	15-Jun-2017
CI WisdomTree Emerging Markets Dividend Index ETF	8-Jul-2016
CI WisdomTree Europe Hedged Equity Index ETF	8-Jul-2016
CI WisdomTree International Quality Dividend Growth Index ETF	8-Jul-2016
CI WisdomTree International Quality Dividend Growth Variably Hedged Index ETF	8-Jul-2016
CI WisdomTree Japan Equity Index ETF	24-Jun-2019
CI WisdomTree U.S. MidCap Dividend Index ETF	15-Jun-2017
CI WisdomTree U.S. Quality Dividend Growth Index ETF	8-Jul-2016
CI WisdomTree U.S. Quality Dividend Growth Variably Hedged Index ETF	8-Jul-2016
CI World Low Risk Weighted ETF	30-Jan-2014
CI Yield Enhanced Canada Aggregate Bond Index ETF	15-Jun-2017
CI Yield Enhanced Canada Short-Term Aggregate Bond Index ETF	15-Jun-2017
CIBC Active Investment Grade Corporate Bond ETF	15-Jan-2019
CIBC Active Investment Grade Floating Rate Bond ETF	15-Jan-2019
CIBC Asia Pacific Fund	30-Aug-1993
CIBC Asia Pacific Index Fund	10-Aug-2000
CIBC Balanced Fund	13-Dec-1974
CIBC Balanced Growth Passive Portfolio	18-Jul-2017
CIBC Balanced Index Fund	23-Nov-1998
CIBC Balanced Passive Portfolio	18-Jul-2017
CIBC Canadian Bond Fund	14-Dec-1988
CIBC Canadian Bond Index ETF	25-Mar-2021
CIBC Canadian Bond Index Fund	25-Aug-1997
CIBC Canadian Equity Fund	14-Dec-1988
CIBC Canadian Equity Index ETF	25-Mar-2021
CIBC Canadian Equity Value Fund	18-Aug-1997
CIBC Canadian Index Fund	11-Jul-1996
CIBC Canadian Real Estate Fund	25-Aug-1997
CIBC Canadian Resources Fund	11-Jul-1995
CIBC Canadian Short-Term Bond Index Fund	30-Aug-1993



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
CIBC Canadian Small-Cap Fund	9-Aug-1991
CIBC Canadian T-Bill Fund	7-Dec-1990
CIBC Capital Trust	5-Mar-2009
CIBC Conservative Passive Portfolio	18-Jul-2017
CIBC Conservative Fixed Income Pool	23-Oct-2019
CIBC Core Fixed Income Pool	23-Oct-2019
CIBC Core Plus Fixed Income Pool	23-Oct-2019
CIBC Disciplined U.S. Equity Fund	6-Sep-2006
CIBC Dividend Growth Fund	9-Aug-1991
CIBC Dividend Income Fund	11-May-2005
CIBC Emerging Markets Equity Index ETF	25-Mar-2021
CIBC Emerging Markets Fund	10-Nov-1995
CIBC Emerging Markets Index Fund	10-Aug-2000
CIBC Energy Fund	11-Jul-1996
CIBC European Equity Fund	10-Nov-1995
CIBC European Index Fund	17-Aug-1998
CIBC Financial Companies Fund	17-Aug-1998
CIBC Flexible Yield ETF (CAD-Hedged)	15-Jan-2020
CIBC Global Bond ex-Canada Index ETF (CAD-Hedged)	25-Mar-2021
CIBC Global Bond Fund	2-Sep-1994
CIBC Global Bond Index Fund	20-Jan-1998
CIBC Global Equity Fund	14-Dec-1988
CIBC Global Growth ETF	20-Jul-2020
CIBC Global Monthly Income Fund	6-Sep-2006
CIBC Global Technology Fund	10-Nov-1995
CIBC International Equity ETF	20-Jul-2020
CIBC International Equity Fund	6-Sep-2006
CIBC International Equity Index ETF	25-Mar-2021
CIBC International Index Fund	20-Jan-1998
CIBC International Small Companies Fund	25-Aug-1997
CIBC Managed Aggressive Growth Portfolio (formerly CIBC Managed Aggressive Growth RRSP Portfolio)	16-Jan-2002
CIBC Managed Balanced Growth Portfolio (formerly, CIBC Managed Balanced Growth RRSP Portfolio)	16-Jan-2002
CIBC Managed Balanced Portfolio	16-Jan-2002
CIBC Managed Growth Portfolio (formerly, CIBC Managed Growth RRSP Portfolio)	16-Jan-2002
CIBC Managed Income Plus Portfolio	16-Jan-2002
CIBC Managed Income Portfolio	16-Jan-2002
CIBC Managed Monthly Income Balanced Portfolio	6-Sep-2006
CIBC Money Market Fund	14-Dec-1988
CIBC Monthly Income Fund	17-Aug-1998
CIBC Multi-Asset Absolute Return Strategy	11-Oct-2018
CIBC Multifactor Canadian Equity ETF	15-Jan-2019
CIBC Multifactor U.S. Equity ETF	15-Jan-2019
CIBC Nasdaq Index Fund	10-Aug-2000



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
CIBC Precious Metals Fund	11-Jul-1996
CIBC Short-Term Income Fund	2-Feb-1988
CIBC Smart Balanced Growth Solution	15-Jan-2019
CIBC Smart Balanced Income Solution	15-Jan-2019
CIBC Smart Balanced Solution	15-Jan-2019
CIBC Smart Growth Solution	15-Jan-2019
CIBC Smart Income Solution	15-Jan-2019
CIBC U.S. Dollar Money Market Fund	13-Mar-1991
CIBC U.S. Broad Market Index Fund	13-Mar-1991
CIBC U.S. Dollar Managed Balanced Portfolio	3-Oct-2002
CIBC U.S. Dollar Managed Growth Portfolio	3-Oct-2002
CIBC U.S. Dollar Managed Income Portfolio	3-Oct-2002
CIBC U.S. Equity Index ETF	25-Mar-2021
CIBC U.S. Index Fund	11-Jul-1996
CIBC U.S. Small Companies Fund	10-Nov-1995
CIM INTERNATIONAL GROUP INC. (formerly Golden Bridge Development Corporation)	5-May-2010
Cineplex Inc.	1-Jan-2011
Cinram International Limited Partnership	5-May-2006
Cintech Solutions, Inc.	25-Jan-1994
Cipher Pharmaceuticals Inc.	10-Mar-2004
Circle Of Two (CT)	22-Aug-1979
CIS Commax Hedge Fund (CT)	12-Apr-1994
CIS Global Telecommunications Fund (CT)	12-Apr-1994
Citadel Income Fund	31-Jan-2005
Citigroup Inc.	8-Oct-1998
Clarke Inc.	6-Mar-1998
Clarus Corporation (CT)	20-Jan-1987
Clean Air Metals Inc.	28-Jan-2002
Clean Harbors, Inc.	31-Jul-2009
Clean Seed Capital Group Ltd.	27-Jun-2011
ClearStream Energy Services Inc.	1-Apr-2011
Clipper Apartment Project	7-Dec-1979
Cloud Nine Web3 Technologies Inc.	29-Aug-2016
CloudMD Software & Services Inc. (formerly Premier Health Group Inc.)	28-May-2020
*CMI Terminal Ltd.	22-Dec-1998
CMP 2020 Resource Limited Partnership	30-Jan-2020
CMP 2021 Resource Limited Partnership	19-Jan-2021
CMX Gold & Silver Corp.	12-Apr-2012
CNH Capital Canada Receivables Trust	12-Oct-2001
CNH Capital Canada Wholesale Trust	7-May-2004
Co-Operators General Insurance Company	29-May-1997
Coachman House Limited Partnership	22-Nov-1982
Cogeco Communications Inc.	23-Jun-1993
Cogeco Inc	5-Jan-1994



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
CohBar, Inc.	18-Dec-2014
Colabor Group Inc.	23-May-2006
Colborne Centre I L.P. (CT)	1-Aug-1986
Cold Creek Capital Inc.	21-Jun-2006
COLLIERS INTERNATIONAL GROUP INC.	17-Jun-1993
Colossus Minerals Inc.	6-Feb-2008
Colson Capital Corp.	14-Jun-2017
Columbia Care inc.	14-Sep-2018
Cominar Real Estate Investment Trust	11-May-1998
Communicorp Corporation	27-Jan-1994
Compass Balanced Growth Portfolio	8-Dec-2005
Compass Balanced Portfolio	31-Dec-2005
Compass Conservative Balanced Portfolio	31-Dec-2005
Compass Conservative Portfolio	8-Dec-2005
Compass Growth Portfolio	8-Dec-2005
Compass Maximum Growth Portfolio	8-Dec-2005
Composite Alliance Group Inc.	1-Dec-2008
Computer Modelling Group Ltd.	3-Mar-1997
Comstock Metals Ltd.	12-Dec-2008
Comterm Inc. (CT)	25-Jun-1981
Concert Industries Ltd.	14-Dec-1999
Condor Petroleum Inc.	31-Mar-2011
Conifex Timber Inc.	1-Mar-2017
Conservative Fund	11-Sep-2014
Conservative Income Portfolio	17-Dec-2015
Conservative Monthly Income Fund	28-Jun-2019
CONSTELLATION COPPER CORPORATION	23-Aug-2006
Constellation Software Inc.	11-May-2006
Contact Gold Corp.	24-Oct-2018
Converge Technology Solutions Corp.	12-Jul-2019
Copper Mesa Mining Corporation (formerly Ascendant Copper Corporation)	27-Mar-2008
Copper Mountain Mining Corporation	17-Sep-2009
Cordy Oilfield Services Inc.	18-Aug-1999
Core Canadian Dividend Trust	30-Oct-2006
Corus Entertainment Inc.	8-Apr-1983
Corvec Research & Development (CT) Western) Limited Partnership	5-Oct-1982
Counsel All Equity Portfolio	21-Jan-2002
Counsel Balanced Portfolio	21-Jan-2002
Counsel Canadian Core Fixed Income	8-Jan-2016
Counsel Canadian Dividend	13-Jan-2009
Counsel Canadian Growth	13-Jan-2009
Counsel Canadian Value	13-Jan-2009
Counsel Conservative Portfolio	21-Jan-2002
Counsel Defensive Global Equity	8-Jan-2016



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Counsel Fixed Income	1-Jun-2001
Counsel Global Dividend	12-Jul-2012
Counsel Global Fixed Income	26-May-2014
Counsel Global Low Volatility Equity	8-Jan-2016
Counsel Global Real Estate	13-Jan-2009
Counsel Global Small Cap	3-Jun-2005
Counsel Global Trend Strategy	26-May-2014
Counsel Growth Portfolio	30-Sep-2002
Counsel High Income Portfolio	16-Jul-2012
Counsel High Yield Fixed Income	16-Jul-2012
Counsel Income Trend Strategy	8-Jan-2016
Counsel International Growth	13-Jan-2009
Counsel International Value	1-Jan-2009
Counsel Money Market	15-Feb-2000
Counsel Monthly Income Portfolio	20-Jan-2004
Counsel North American High Yield Bond	30-Oct-2015
Counsel Retirement Accumulation Portfolio	8-Jan-2016
Counsel Retirement Foundation Portfolio	8-Jan-2016
Counsel Retirement Preservation Portfolio	8-Jan-2016
Counsel Short Term Bond	15-Jan-2010
Counsel U.S. Value	13-Jan-2009
Counsel U.S.Growth	13-Jan-2009
Coupon Corporation Ltd.	25-Mar-1991
Covington Fund II Inc.	6-Sep-2011
CPI Card Group Inc.	8-Oct-2015
Crailar Technologies Inc.	30-Jun-2011
Credit Suisse AG (use 87227)	17-Apr-2008
Crescent Point Energy Corp.	2-Jul-2009
Crescita Therapeutics Inc.	1-Mar-2016
Cresco Labs Inc.	26-Jul-2019
Crew Energy Inc.	24-Jul-2003
CRH Medical Corporation	30-May-2003
Crispin Energy Inc.	29-Apr-2005
Crombie Real Estate Investment Trust	13-Mar-2006
Cronos Group Inc.	2-Mar-2017
Crosswinds Holdings Inc.	18-Aug-2005
Crown Capital Partners Inc.	30-Jun-2015
Crown Point Energy Inc.	9-Dec-2011
CRS Preferred NT Ltd. (CT)	29-Nov-1993
CST Advantage Plan	18-Jul-2000
CST Bright Plan	29-May-2018
CT Real Estate Investment Trust	10-Oct-2013
CU Inc.	26-Jul-1999
CubicFarm Systems Corp.	14-Dec-2020
Curaleaf Holdings, Inc. (formerly Lead Ventures Inc.)	23-Jul-2015
Curragh Inc. (CT)	25-May-1990



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Currie Rose Resources Inc.	24-Jul-1984
Curtains (CT)	8-Dec-1980
Cuspis Capital II Ltd.	13-Nov-2020
Cuspis Capital Ltd.	27-Feb-2019
Custom Petroleum Corporation (CT)	19-May-1981
CVF Technologies Corporation	9-Jul-1998
CWB Core Equity Fund	12-Jul-2012
CWB Onyx Balanced Solution	5-Feb-2016
CWB Onyx Canadian Equity Fund	5-Feb-2016
CWB Onyx Conservative Solution	5-Feb-2016
CWB Onyx Diversified Income Fund	5-Feb-2016
CWB Onyx Global Equity Fund	5-Feb-2016
CWB Onyx Growth Solution	5-Feb-2016
CWC Energy Services Corp.	21-Oct-2009
Cybin Inc. (formerly, Clarmin Explorations Inc.)	1-Feb-2021
Cymat Technologies Ltd.	4-Aug-2006
Cymbria Corporation	20-Oct-2008
Cypress Development Corp.	17-Mar-2021
D-Box Technologies Inc.	4-Jun-2007
Danier Leather Inc.	8-May-1998
Dash Capital Corp.	27-Apr-2021
DATA Communications Management Corp.	1-Jan-2012
Datel Industries Inc. (CT)	10-Nov-1983
Davie Yards Inc.	12-Feb-2008
Davis Distributing Limited (CT)	20-Jun-1986
DCI Acquisition Inc.(Do Not Use)	17-Apr-2003
Dean Witter Cornerstone Fund II	6-Jan-1993
Dean Witter Cornerstone Fund III	6-Jan-1993
Dean Witter Cornerstone Fund IV	6-Jan-1993
Deans Knight Income Corporation	9-Mar-2009
Death Bite (CT)	23-Dec-1981
Deb Canadian Explorations 1977 (CT)	29-Nov-1977
Deb Canadian Explorations 1978 (CT)	22-Jun-1978
Deb Resources 1988 (CT)	26-Aug-1990
Decibel Cannabis Company Inc.	25-Apr-2019
DECISIVE DIVIDEND CORPORATION	2-Jul-2013
Decklar Resources Inc.	15-Feb-2013
*Dee Explorations (1982) Limited (CT)	1-Jan-1983
DeepRock Minerals Inc.	27-Aug-2018
DeepSpatial Inc.	24-May-2013
Deer Valley Shopping Centre Limited Partnership (CT)	3-May-1983
Defense Metals Corp.	4-May-2021
Defiance Silver Corp.	
Delta 9 Cannabis Inc.	20-Dec-2017
Denbury Inc.	10-Apr-1987
Denison Mines Corp.	1-Dec-2006



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Denison Oil Corporation	4-Mar-2004
Denninghouse Inc.	10-Nov-1993
Desjardins 1-5 year Laddered Canadian Corporate Bond Index ETF	24-Mar-2017
Desjardins 1-5 year Laddered Canadian Government Bond Index ETF	24-Mar-2017
Desjardins Alt Long/Short Equity Market Neutral ETF	9-Jan-2019
Desjardins Alt Long/Short Equity Market Neutral ETF Fund	29-Jun-2020
Desjardins American Equity Growth Currency Neutral Fund	8-Apr-2016
Desjardins American Equity Growth Fund	9-Jan-2004
Desjardins American Equity Value Fund	9-Jan-2004
Desjardins Canada Multifactor-Controlled Volatility ETF	24-Mar-2017
Desjardins Canadian Bond Fund	2-Nov-1999
Desjardins Canadian Equity Fund	24-Nov-2016
Desjardins Canadian Equity Income Fund	12-Jul-2013
Desjardins Canadian Equity Value Fund	20-Dec-2002
Desjardins Canadian Preferred Share Fund	8-Apr-2016
Desjardins Canadian Preferred Share Index ETF	24-Mar-2017
Desjardins Canadian Short Term Bond Index ETF	24-Mar-2017
Desjardins Canadian Small Cap Equity Fund	2-Nov-1999
Desjardins Canadian Universe Bond Index ETF	24-Mar-2017
Desjardins Developed ex-USA ex-Canada Multifactor-Controlled Volatility ETF	24-Mar-2017
Desjardins Dividend Growth Fund	16-Jan-2009
Desjardins Dividend Income Fund	2-Nov-1999
Desjardins Emerging Markets Bond Fund	26-Sep-2013
Desjardins Emerging Markets Fund	22-Jan-2007
Desjardins Emerging Markets Multifactor-Controlled Volatility ETF	24-Mar-2017
Desjardins Emerging Markets Opportunities Fund	26-Sep-2014
Desjardins Enhanced Bond Fund	9-Jan-2004
Desjardins Floating Rate Income Fund	7-May-2014
Desjardins Global Balanced Growth Fund	2-Nov-1999
Desjardins Global Balanced Strategic Income Fund	7-Jun-2016
Desjardins Global Bond Fund	4-Apr-2018
Desjardins Global Dividend Fund	2-Nov-1999
Desjardins Global Equity Fund	20-Jun-2018
Desjardins Global Equity Growth Fund	15-Jan-2015
Desjardins Global High Yield Bond Fund	28-Jun-2019
Desjardins Global Infrastructure Fund	26-Sep-2013
Desjardins Global Small Cap Equity Fund	9-Jan-2004
Desjardins Global Tactical Bond Fund	4-Apr-2018
Desjardins Global Total Return Bond Fund	9-Jan-2004
Desjardins Low Volatility Canadian Equity Fund	2-Oct-2020
Desjardins Low Volatility Global Equity Fund	15-Jan-2015
Desjardins Money Market Fund	2-Nov-1999



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Desjardins Overseas Equity Fund	2-Nov-1999
Desjardins Overseas Equity Growth Fund	23-Mar-2010
Desjardins Quebec Balanced Fund	2-Nov-1999
Desjardins RI Active Canadian Bond - Low CO2 ETF	12-Sep-2018
Desjardins RI Canada - Low CO2 Index ETF	12-Sep-2018
Desjardins RI Canada Multifactor - Low CO2 ETF	12-Sep-2018
Desjardins RI Developed ex-USA ex-Canada - Low CO2 Index ETF	13-Mar-2020
Desjardins RI Developed ex-USA ex-Canada Multifactor - Low CO2 ETF	12-Sep-2018
Desjardins RI Emerging Markets - Low CO2 Index ETF	13-Mar-2020
Desjardins RI Emerging Markets Multifactor - Low CO2 ETF	12-Sep-2018
Desjardins RI Global Multifactor - Fossil Fuel Reserves Free ETF	12-Sep-2018
Desjardins RI USA - Low CO2 Index ETF	12-Sep-2018
Desjardins RI USA Multifactor - Low CO2 ETF	12-Sep-2018
Desjardins Short-Term Income Fund	2-Nov-1999
Desjardins SocieTerra American Equity Fund	7-Jun-2016
Desjardins SocieTerra Canadian Bond Fund	5-Jun-2015
Desjardins SocieTerra Canadian Equity Fund	1-Nov-2017
Desjardins SocieTerra Cleantech Fund	7-Jun-2016
Desjardins SocieTerra Diversity Fund	21-Jan-2020
Desjardins SocieTerra Emerging Markets Equity Fund	20-Jun-2018
Desjardins SocieTerra Environment Fund	2-Nov-1999
Desjardins SocieTerra Environmental Bond Fund	7-Jun-2016
Desjardins SocieTerra Global Bond Fund	28-Jun-2019
Desjardins SocieTerra International Equity Fund	20-Jun-2018
Desjardins SocieTerra Positive Change Fund	20-Jun-2018
Desjardins USA Multifactor-Controlled Volatility ETF	24-Mar-2017
Deutsche Bank Aktiengesellschaft	1-Aug-2008
Develcon Electronics Ltd. (CT)	24-Jun-1982
Devine Entertainment Corporation	7-Oct-1996
Devon Energy Corporation	22-Jul-1980
Dexterra Group Inc.	1-Jun-2006
DFA Canadian Core Equity Fund	1-Jun-2004
DFA Canadian Vector Equity Fund	4-Jul-2011
DFA Five-Year Global Fixed Income Fund	20-Oct-2003
DFA Global 40EQ-60FI Portfolio	4-Jul-2011
DFA Global 50EQ-50FI Portfolio	3-Jul-2014
DFA Global 60EQ-40FI Portfolio	4-Jul-2011
DFA Global 70EQ-30FI Portfolio	3-Jul-2014
DFA Global 80e20i Portfolio	4-Jul-2012
DFA Global Equity Portfolio	4-Jul-2011
DFA Global Fixed Income Portfolio	20-Sep-2018
DFA Global Investment Grade Fixed Income Fund	13-Jan-2009
DFA Global Real Estate Securities Fund	7-Jan-2008



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
DFA Global Sustainability Core Equity Fund	29-Sep-2020
DFA Global Targeted Credit Fund	1-Sep-2015
DFA International Core Equity Fund	2-Jun-2005
DFA International Vector Equity Fund	20-Oct-2003
DFA U.S. Core Equity Fund	2-Jun-2005
DFA U.S. Vector Equity Fund	20-Oct-2003
DFA World Equity Portfolio	29-Oct-2019
DHX Media Ltd.	12-May-2006
Diagem Inc.	31-May-2002
Dialogue Health Technologies Inc.	23-Mar-2021
Diamond Fields Resources Inc.	12-May-1982
Diamond Ridge (CT)	2-Nov-1981
Diatec Resources Ltd. (CT)	9-Jun-1983
Digital Consumer Dividend Fund	24-May-2019
Dion Entertainment Corp.	27-Jul-1993
Direct Communication Solutions Inc.	20-Dec-2019
DIRTT Environmental Solutions Ltd.	21-Nov-2013
Discovery 2020 Short Duration LP	15-Sep-2020
Discovery Air Inc.	31-Mar-2006
Discovery Metals Corp.	2-Aug-2019
Discovery Quay - Building I L.P. (CT)	6-Jun-1983
Disenco Energy PLC	3-Jan-2007
Distinct Infrastructure Group Inc.	5-Dec-2016
Distinction Balanced Class	8-Jul-2008
Distinction Bold Class	4-Jul-2008
Distinction Conservative Class	4-Jul-2008
Distinction Energy Corp.	26-May-2005
Distinction Growth Class	8-Jul-2008
Distinction Prudent Class	8-Jul-2008
Diversified Royalty Corp.	29-Apr-2010
Divestco Inc.	16-Jun-2006
Dividend 15 Split Corp.	26-Feb-2004
Dividend 15 Split Corp. II	27-Nov-2006
Dividend Growth Split Corp.	19-May-2011
Dividend Select 15 Corp.	28-Oct-2010
Dixie Gold Corp.	19-Dec-2011
Dixie Road Limited Partnership (CT)	16-Oct-1986
DMG Blockchain Solutions Inc	2-Mar-2021
DMP Power Global Growth Class	16-May-2005
DMP Resource Class	27-Nov-2000
DMP Value Balanced Class	27-Dec-2006
Docebo Inc.	1-Oct-2019
Dollarama Inc.	8-Oct-2009
Domtar Corporation	7-Mar-2007
Donnelley Financial Solutions, Inc.	20-Sep-2016
Dore Copper Mining Corp.	22-Oct-2018



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Dorel Industries Inc.	22-Nov-1991
Dorsett Square Limited Partnership (CT)	8-Sep-1982
DoubleLine Income Solutions Trust	25-Oct-2013
*Draganfly Inc.	25-Oct-2019
DragonWave Inc.	13-Apr-2007
Dream Impact Trust	7-Jul-2014
Dream Industrial Real Estate Investment Trust	26-Sep-2012
Dream Office Real Estate Investment Trust	30-Jun-2003
DREAM Unlimited Corp.	30-May-2013
DRI Healthcare Trust	10-Feb-2021
Drone Delivery Canada Corp.	26-May-2017
Dundee Consolidated Properties	30-Jun-2003
Dundee Corporation	15-Jul-1980
Dundee Energy Limited	30-Jun-1993
Dundee Global Resource Class	15-Apr-2015
Dundee Precious Metals Inc	17-Oct-1983
Dye & Durham Limited	13-Jul-2020
Dynamic Active Canadian Dividend ETF	17-Jan-2017
Dynamic Active Canadian Dividend Fund	11-Jan-2017
Dynamic Active Core Bond Private Pool	15-May-2014
Dynamic Active Credit Strategies Private Pool	15-May-2014
Dynamic Active Crossover Bond ETF	17-Jan-2017
Dynamic Active Crossover Bond Fund	11-Jan-2017
Dynamic Active Global Dividend Fund	11-Jan-2017
Dynamic Active Global Financial Services ETF	13-Sep-2017
Dynamic Active Global Financial Services Fund	13-Sep-2017
Dynamic Active Global Infrastructure ETF	5-Feb-2020
Dynamic Active International Dividend ETF	5-Feb-2020
Dynamic Active International ETF	17-Mar-2021
Dynamic Active Investment Grade Floating Rate ETF	16-Mar-2018
Dynamic Active Investment Grade Floating Rate Fund	16-Mar-2018
Dynamic Active Preferred Shares ETF	17-Jan-2017
Dynamic Active Preferred Shares Fund	11-Jan-2017
Dynamic Active Retirement Income+ ETF	17-Mar-2021
Dynamic Active Tactical Bond ETF	13-Sep-2017
Dynamic Active Tactical Bond Fund	13-Sep-2017
Dynamic Active U.S. Dividend ETF	17-Jan-2017
Dynamic Active U.S. Dividend Fund	11-Jan-2017
Dynamic Active U.S. Mid-Cap ETF	13-Sep-2017
Dynamic Active U.S. Mid-Cap Fund	13-Sep-2017
Dynamic Advantage Bond Class	20-Dec-2007
Dynamic Advantage Bond Fund	27-Nov-2000
Dynamic Alpha Performance II Fund	2-Oct-2018
Dynamic Alternative Managed Risk Private Pool Class	29-May-2015
Dynamic Alternative Yield Class	10-Sep-2012
Dynamic Alternative Yield Fund	19-Sep-2011



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Dynamic American Class	22-Mar-2011
Dynamic American Value Fund	23-Nov-1979
Dynamic Asia Pacific Equity Fund	3-May-1994
Dynamic Asset Allocation Private Pool	15-May-2014
Dynamic Blue Chip Balanced Fund	4-Mar-1979
Dynamic Blue Chip Equity Fund	25-Nov-1987
Dynamic Canadian Bond Fund	23-Nov-1979
Dynamic Canadian Dividend Fund	29-May-2006
Dynamic Canadian Equity Private Pool Class	15-May-2014
Dynamic Canadian Value Class	25-Jan-2001
Dynamic Conservative Yield Private Pool	27-Feb-2015
Dynamic Conservative Yield Private Pool Class	26-Feb-2015
Dynamic Corporate Bond Strategies Class	10-Sep-2012
Dynamic Corporate Bond Strategies Fund	31-Oct-2011
Dynamic Credit Absolute Return II Fund	19-Jul-2019
Dynamic Credit Spectrum Fund	27-Dec-2012
Dynamic Diversified Real Asset Fund	1-Apr-2005
Dynamic Dividend Advantage Class	5-Dec-2011
Dynamic Dividend Advantage Fund	26-Apr-1993
Dynamic Dividend Fund	3-Sep-1985
Dynamic Dividend Income Class	27-Dec-2006
Dynamic Dividend Income Fund	13-Dec-2002
Dynamic Dollar-Cost Averaging Fund	12-May-1997
Dynamic Emerging Markets Equity Fund	16-Feb-2021
Dynamic Energy Evolution Fund	15-Oct-2020
Dynamic Energy Income Fund	28-Aug-2003
Dynamic Equity Income Fund	16-Jan-1997
Dynamic European Equity Fund	30-Aug-1989
Dynamic Financial Services Fund	26-Nov-1973
Dynamic Focus+Real Estate Fund	15-Jan-1997
Dynamic Global All-Terrain Fund	3-Sep-2015
Dynamic Global Asset Allocation Class	21-Oct-2011
Dynamic Global Asset Allocation Fund	30-Apr-2007
Dynamic Global Balanced Fund	25-Nov-2013
Dynamic Global Discovery Class	26-Sep-2007
Dynamic Global Discovery Fund	27-Nov-2000
Dynamic Global Dividend Class	27-Dec-2006
Dynamic Global Dividend Value Fund	14-Feb-2006
Dynamic Global Equity Fund	25-Nov-2013
Dynamic Global Equity Income Fund	24-Aug-2016
Dynamic Global Equity Private Pool Class	15-May-2014
Dynamic Global Infrastructure Class	2-Sep-2015
Dynamic Global Infrastructure Fund	7-Jul-2007
Dynamic Global Strategic Yield Fund	24-Aug-2016
Dynamic Global Yield Private Pool	15-May-2014
Dynamic Global Yield Private Pool Class	15-May-2014



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Dynamic High Yield Bond Fund	20-Dec-1995
Dynamic International Discovery Fund	4-Feb-2021
Dynamic International Dividend Private Pool	26-Feb-2015
Dynamic International Equity Fund	26-Apr-1993
Dynamic Investment Grade Floating Rate Fund	29-Aug-2013
Dynamic iShares Active Global Dividend ETF	17-Jan-2017
Dynamic Liquid Alternatives Private Pool	7-Jan-2020
Dynamic Money Market Class	25-Jan-2001
Dynamic Money Market Fund	6-Apr-1998
Dynamic North American Dividend Private Pool	26-Feb-2015
Dynamic Power American Growth Class	25-Jan-2001
Dynamic Power American Growth Fund	16-Jul-1998
Dynamic Power Balanced Fund	16-Jul-1998
Dynamic Power Canadian Growth Fund	26-Apr-1993
Dynamic Power Global Balanced Class	25-Jun-2008
Dynamic Power Global Growth Class	25-Jan-2001
Dynamic Power Global Growth Fund	17-Dec-2010
Dynamic Power Global Navigator Class	25-Jun-2008
Dynamic Power Small Cap Fund	20-Dec-1995
Dynamic Precious Metals Fund	23-Jan-1984
Dynamic Preferred Yield Class	2-Apr-2013
Dynamic Premium Bond Private Pool	18-Jan-2016
Dynamic Premium Bond Private Pool Class	18-Jan-2016
Dynamic Premium Yield Class	2-Mar-2016
Dynamic Premium Yield Fund	11-Oct-2013
Dynamic Premium Yield PLUS Fund	2-Oct-2018
Dynamic Real Estate & Infrastructure Income II Fund	25-Mar-2019
Dynamic Retirement Income+ Fund	20-Jan-2021
Dynamic Short Term Bond Fund	31-Dec-2009
Dynamic Small Business Fund	4-Jun-1997
Dynamic Strategic Energy Class	11-Jun-2007
Dynamic Strategic Gold Class	16-Jul-2009
Dynamic Strategic Resource Class	14-Nov-2011
Dynamic Strategic Yield Class	3-Jul-2009
Dynamic Strategic Yield Fund	18-Feb-2009
Dynamic Tactical Bond Private Pool	26-Feb-2015
Dynamic Total Return Bond Class	24-Aug-2010
Dynamic Total Return Bond Fund	24-Aug-2010
Dynamic U.S. Balanced Class	22-Mar-2011
Dynamic U.S. Dividend Advantage Fund	3-May-2013
Dynamic U.S. Equity Income Fund	24-Aug-2016
Dynamic U.S. Equity Private Pool Class	15-May-2014
Dynamic U.S. Monthly Income Fund	23-Sep-2013
Dynamic U.S. Sector Focus Class	19-Sep-2014
Dynamic U.S. Strategic Yield Fund	24-Aug-2016
Dynamic Value Balanced Class	7-Dec-2006



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Dynamic Value Balanced Fund	6-Apr-1998
Dynamic Value Fund of Canada	29-Dec-1995
DynamicEdge Balanced Class Portfolio	30-Jan-2008
DynamicEdge Balanced Growth Class Portfolio	30-Jan-2008
DynamicEdge Balanced Growth Portfolio	30-Jan-2008
DynamicEdge Balanced Income Portfolio	14-Jul-2004
DynamicEdge Balanced Portfolio	30-Jan-2008
DynamicEdge Conservative Class Portfolio	31-Jan-2012
DynamicEdge Defensive Portfolio	31-Jan-2012
DynamicEdge Equity Class Portfolio	30-Jan-2008
DynamicEdge Equity Portfolio	30-Jan-2008
DynamicEdge Growth Class Portfolio	30-Jan-2008
DynamicEdge Growth Portfolio	30-Jan-2008
E Split Corp.	19-Jun-2018
E-L Financial Corporation Limited	31-Aug-2004
E2Gold Inc.	21-Dec-2020
Eagle Credit Card Trust	8-Nov-2005
Eagle Plains Resources Ltd.	7-Jun-1995
EarlyRain Inc. (T)	22-Sep-2000
EarthRenew Inc.	30-Jul-1996
East Africa Metals Inc.	4-Apr-2013
East Coast Investment Grade Income Fund	27-Apr-2012
Eastern Platinum Limited	23-Dec-2010
EastWest Bioscience Inc.	11-Jan-2017
Easy Street Adventures Inc. (CT)	18-Feb-1994
ECN Capital Corp.	3-Oct-2016
EcoSynthetix Inc.	27-Jul-2011
Eden Empire Inc.	14-May-2020
EdgePoint Canadian Growth & Income Portfolio	11-Nov-2008
EdgePoint Canadian Portfolio	11-Nov-2008
EdgePoint Global Growth & Income Portfolio	11-Nov-2008
EdgePoint Global Portfolio	11-Nov-2008
Edinburgh House (CT)	2-Dec-1980
EFH Holdings Inc.	1-Dec-2005
eFunds Bloomberg U.S. Internet Index Fund (CT)	9-Mar-2000
EHP Advantage Alternative Fund	16-Aug-2018
EHP Advantage International Alternative Fund	16-Aug-2018
EHP Foundation International Alternative Fund	16-Aug-2018
EHP Global Arbitrage Alternative Fund	16-Aug-2018
EHP Select Alternative Fund	16-Aug-2018
Eldorado Gold Corporation	23-Dec-1996
Electrovaya Inc.	3-Nov-2000
Element Fleet Management Corp.	13-Aug-2012
Elementos Limited	3-Jan-2019
Eloro Resources Ltd.	30-Dec-2020
Else Nutrition Holdings Inc. (formerly ASB Capital Inc.)	2-Oct-2020



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Emblem Corp.	31-Oct-2017
Emera Incorporated	11-Feb-1999
Emerald Health Therapeutics, Inc. (formerly T-Bird Pharma Inc.)	26-Jan-2017
Emerge ARK AI & Big Data ETF	19-Jun-2019
Emerge ARK Autonomous Tech & Robotics ETF	19-Jun-2019
Emerge ARK Fintech Innovation ETF	19-Jun-2019
Emerge ARK Genomics & Biotech ETF	19-Jun-2019
Emerge ARK Global Disruptive Innovation ETF	19-Jun-2019
Emerge ARK Space Exploration ETF	22-Mar-2021
Emerging Markets Equity Corporate Class	2-Oct-2007
Emerging Markets Equity Fund	13-Aug-1997
Emerging Markets Equity Pool	3-Nov-2005
Empire Company Limited	15-Apr-1982
Empire Life Dividend Growth Mutual Fund	5-Jan-2012
Empire Life Emblem Aggressive Growth Portfolio	5-Jan-2012
Empire Life Emblem Balanced Portfolio	5-Jan-2012
Empire Life Emblem Conservative Portfolio	5-Jan-2012
Empire Life Emblem Diversified Income Portfolio	17-Jan-2014
Empire Life Emblem Growth Portfolio	5-Jan-2012
Empire Life Emblem Moderate Growth Portfolio	5-Jan-2012
Empire Life Monthly Income Mutual Fund	5-Jan-2012
Empress Royalty Corp.	4-Feb-2021
Enableness Technologies Inc	19-Oct-2007
Enbridge Gas Inc.	1-Jan-2019
Enbridge Inc.	5-Dec-1986
Enbridge Pipelines Inc.	5-Dec-1986
Encanto Potash Corp.	31-Mar-2014
Encasa Canadian Bond Fund	16-Dec-2020
Encasa Canadian Short-Term Bond Fund	16-Dec-2020
Encasa Equity Fund	16-Dec-2020
Endeavour Mining Corporation	14-Sep-2010
Endeavour Silver Corp.	28-Sep-2009
Endo International plc	28-Feb-2014
Enerdynamic Hybrid Technologies Corp.	1-Mar-2011
Enerflex Ltd.	1-Jun-2011
Energex Minerals Ltd. (CT)	10-Dec-1979
Energy Credit Opportunities Income Fund	29-May-2015
Energy Fuels Inc.	25-Mar-2011
Energy Income Fund	28-Sep-2005
Enerplus Corporation	1-Jan-2011
Engine Media Holdings, Inc. (formerly Torque Esports Corp.)	25-Mar-2021
Engineer Gold Mines Ltd.	1-Jun-2018
Enhanced Income Corporate Class	2-Oct-2007
Enhanced Income Pool	3-Nov-2005
Enhanced Income Portfolio	17-Dec-2015



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
EnQuest Energy Services Corp.	12-Apr-2006
Enseco Energy Services Corp.	23-Oct-2006
Enterprise Group, Inc.	28-Jun-2004
Enthusiast Gaming Holdings Inc. (formerly J55 Capital Corp.)	26-Aug-2020
Entrec Corporation	14-Feb-2012
Entree Resources Ltd.	20-Nov-2007
EnWave Corporation	9-Nov-2017
EPCOR Utilities Inc.	22-Jun-1999
EPH Foundation Alternative Fund	16-Aug-2018
Epic Fusion Corp.	1-Jul-2013
Epoch Global Equity Class	23-Jul-2010
Epoch Global Equity Fund	23-Dec-1993
Epoch Global Shareholder Yield Fund	24-Jul-2007
Epoch International Equity Fund	26-Jul-2013
Epoch U.S. Blue Chip Equity Currency Neutral Fund	17-Apr-2007
Epoch U.S. Blue Chip Equity Fund	19-Jan-1996
Epoch U.S. Large-Cap Value Class	23-Jul-2010
Epoch U.S. Large-Cap Value Fund	4-Nov-2002
Epoch U.S. Shareholder Yield Fund	28-Feb-2014
Epsilon Energy Ltd.	22-Feb-2012
EQ Inc.	28-May-2009
*Equilar Technologies Corporation (CT)	26-Sep-2000
Equinox Gold Corp.	6-Oct-2016
Equitable Group Inc.	11-Mar-2004
Erdene Resource Development Corporation	5-Mar-2004
Ero Copper Corp.	11-Oct-2017
ERRA Resources 1990 (CT) Exploration and Development L.P.	10-Aug-1990
ESG Global Impact Capital Inc.	30-Jul-2010
Esrey Resources Ltd.	8-Sep-2011
Essential Energy Services Ltd.	29-Apr-2010
Ether Capital Corporation (formerly named Movit Media Corp.)	9-Mar-2021
Ether ETF	16-Apr-2021
Eupraxia Pharmaceuticals Inc.	3-Mar-2021
Eureka 93 Inc.	30-Jun-2015
Euro Ressources S.A.	28-Feb-1995
Euro Sun Mining Inc.	28-Oct-2010
Eurogas International Inc.	26-Mar-2009
European Residential Real Estate Investment Trust	3-May-2017
Eurotin Inc.	31-Aug-2011
Evertz Technologies Limited	23-Jun-2006
Evolve Active Canadian Preferred Share ETF	15-Aug-2017
Evolve Active Core Fixed Income Fund	23-Mar-2018
Evolve Active Global Fixed Income Fund	1-Nov-2018
Evolve Automobile Innovation Index ETF	9-Aug-2017
Evolve Cloud Computing Index Fund	4-Jan-2021



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Evolve Cyber Security Index ETF	9-Aug-2017
Evolve Dividend Stability Preferred Share Index ETF	20-Sep-2019
Evolve E-Gaming Index ETF	6-Jun-2019
Evolve FANGMA Index ETF	30-Apr-2021
Evolve Fund Corp.	29-Apr-2019
Evolve Future Leadership Fund	8-Sep-2020
Evolve Global Healthcare Enhanced Yield Fund	9-Aug-2017
Evolve Global Materials & Mining Enhanced Yield Index ETF	6-Jun-2019
Evolve Gold Miners Fund	25-Sep-2020
Evolve Innovation Index Fund	23-Apr-2018
Evolve S&P 500 CleanBeta Fund	30-Apr-2021
Evolve S&P/TSX 60 CleanBeta Fund	30-Apr-2021
Evolve US Banks Enhanced Yield Fund	9-Aug-2017
Evolved Digital Systems Inc.	1-Feb-1996
exactEarth Ltd.	4-Feb-2016
Exall Energy Corporation	1-Feb-2011
Excellon Resources Inc.	2-Jun-2009
Excelsior Mining Corp.	24-Jun-2014
Exchange Income Corporation	4-Sep-2009
Exco Technologies Limited	6-Oct-1986
Exemplar Growth and Income Fund	20-Mar-2015
Exemplar Investment Grade Fund	4-Jul-2014
Exemplar Performance Fund	11-Mar-2014
EXFO INC.	29-Jun-2000
Exro Technologies Inc	11-Apr-2014
Extendicare Inc.	1-Jul-2012
Fabled Silver Gold Corp.	1-Apr-2015
Faircourt Gold Income Corp.	30-Oct-2007
Faircourt Split Trust	28-Feb-2006
Fairfax Financial Holdings Limited	3-Jul-1986
Fairfax India Holdings Corporation	23-Jan-2015
FairWest Energy Corporation	23-May-2007
Falco Resources Ltd. (formerly Falco Pacific Resource Group Inc.)	15-Nov-2016
Falcon Oil & Gas Ltd.	6-Mar-2006
Family Single Student Education Savings Plan	23-Aug-2000
Fantom Technologies Inc. (T)	14-May-1986
Farmers Edge Inc.	24-Feb-2021
*FarmGro Organic Foods Inc. (CT)	28-Jan-2000
FAX Capital Corp.	18-Oct-2019
Federated Hermes Strategic Value U.S. Equity Dividend Fund	9-Dec-2015
Fédération des caisses Desjardins du Québec	1-Jan-2017
Fennec Pharmaceuticals Inc.	28-May-2001
Feronia Inc.	5-Aug-2008
Fidelity - IG Canadian Equity Pool	12-Jul-2019
Fidelity 20/80 Fund	21-Apr-2015



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Fidelity All-in-One Balanced ETF	14-Jan-2021
Fidelity All-in-One Balanced ETF Fund	14-Jan-2021
Fidelity All-in-One Growth ETF	14-Jan-2021
Fidelity All-in-One Growth ETF Fund	14-Jan-2021
Fidelity American Balanced Currency Neutral Fund	26-Apr-2016
Fidelity American Balanced Fund	30-Oct-2015
Fidelity American Disciplined Equity Class	17-Jun-2002
Fidelity American Disciplined Equity Currency Neutral Class	25-Sep-2009
Fidelity American Disciplined Equity Currency Neutral Fund	25-Sep-2009
Fidelity American Disciplined Equity Fund	17-Jun-2002
Fidelity American Equity Class	23-Dec-2013
Fidelity American Equity Currency Neutral Class	16-May-2014
Fidelity American Equity Fund	9-Oct-2002
Fidelity American Equity Systematic Currency Hedged Fund	22-May-2019
Fidelity American High Yield Currency Hedged Fund	19-Oct-2006
Fidelity American High Yield Currency Neutral Investment	5-Oct-2017
Trust	
Fidelity American High Yield Fund	14-Feb-1994
Fidelity American High Yield Investment Trust	5-Oct-2017
Fidelity AsiaStar Class	16-Mar-2007
Fidelity AsiaStar Fund	25-Apr-2006
Fidelity Asset Allocation Currency Neutral Private Pool	2-Dec-2011
Fidelity Asset Allocation Private Pool	2-Dec-2011
Fidelity Asset Allocation Private Pool Trust	3-May-2021
Fidelity Balanced Class Portfolio	16-Mar-2007
Fidelity Balanced Currency Neutral Private Pool	25-Sep-2009
Fidelity Balanced Income Currency Neutral Private Pool	25-Sep-2009
Fidelity Balanced Income Private Pool	5-Nov-2008
Fidelity Balanced Income Private Pool Trust	3-May-2021
Fidelity Balanced Managed Risk Portfolio	2-Nov-2016
Fidelity Balanced Portfolio	16-Mar-2007
Fidelity Balanced Private Pool	5-Nov-2008
Fidelity Balanced Private Pool Trust	3-May-2021
Fidelity Canadian Aggressive Fund	18-Jul-2000
Fidelity Canadian Asset Allocation Class	16-Mar-2007
Fidelity Canadian Asset Allocation Fund	20-Dec-1994
Fidelity Canadian Balanced Class	6-Sep-2001
Fidelity Canadian Balanced Fund	21-Sep-1998
Fidelity Canadian Bond Fund	9-Feb-1988
Fidelity Canadian Core Equity Fund	8-Oct-2020
Fidelity Canadian Disciplined Equity Class	6-Sep-2001
Fidelity Canadian Disciplined Equity Fund	21-Sep-1998
Fidelity Canadian Equity Multi-Asset Base Fund	5-Nov-2008
Fidelity Canadian Equity Private Pool	5-Nov-2008
Fidelity Canadian Focused Equity Multi-Asset Base Fund	16-May-2014
Fidelity Canadian Fundamental Equity Multi-Asset Base Fund	8-Nov-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Fidelity Canadian Government Long Bond Index Multi-Asset Base Fund	28-May-2020
Fidelity Canadian Growth Company Class	6-Sep-2001
Fidelity Canadian Growth Company Fund	6-Jul-1994
Fidelity Canadian High Dividend Index ETF	4-Sep-2018
Fidelity Canadian High Dividend Index ETF Fund	4-Sep-2018
Fidelity Canadian High Quality Index ETF	8-Jan-2019
Fidelity Canadian High Quality Index ETF Fund	8-Jan-2019
Fidelity Canadian Large Cap Class	11-Sep-2011
Fidelity Canadian Large Cap Fund	9-Feb-1988
Fidelity Canadian Low Volatility Index ETF	8-Jan-2019
Fidelity Canadian Low Volatility Index ETF Fund	8-Jan-2019
Fidelity Canadian Momentum Index ETF	28-May-2020
Fidelity Canadian Money Market Fund	25-Jan-1991
Fidelity Canadian Money Market Investment Trust	1-Jun-2018
Fidelity Canadian Monthly High Income ETF	8-Jan-2020
Fidelity Canadian Monthly High Income ETF Fund	8-Jan-2020
Fidelity Canadian Opportunities Class	20-Oct-2004
Fidelity Canadian Real Return Bond Index Multi-Asset Base Fund	1-Jun-2017
Fidelity Canadian Short Term Bond Fund	18-Jan-1995
Fidelity Canadian Short Term Corporate Bond ETF	29-Aug-2019
Fidelity Canadian Short Term Corporate Bond ETF Fund	29-Aug-2019
Fidelity Canadian Short Term Fixed Income Multi-Asset Base Fund	4-Jan-2017
Fidelity Canadian Short Term Income Class	6-Sep-2001
Fidelity Canadian Value Index ETF	28-May-2020
Fidelity CanAm Opportunities Class	8-Jan-2019
Fidelity CanAm Opportunities Currency Neutral Class	8-Jan-2019
Fidelity China Class	16-Mar-2007
Fidelity China Fund	31-Mar-2006
Fidelity ClearPath 2005 Portfolio	19-Oct-2005
Fidelity ClearPath 2010 Portfolio	19-Oct-2005
Fidelity ClearPath 2015 Portfolio	19-Oct-2005
Fidelity ClearPath 2020 Portfolio	19-Oct-2005
Fidelity ClearPath 2025 Portfolio	19-Oct-2005
Fidelity ClearPath 2030 Portfolio	19-Oct-2005
Fidelity ClearPath 2035 Portfolio	19-Oct-2005
Fidelity ClearPath 2040 Portfolio	19-Oct-2005
Fidelity ClearPath 2045 Portfolio	19-Oct-2005
Fidelity ClearPath 2050 Portfolio	16-May-2014
Fidelity ClearPath 2055 Portfolio	16-May-2014
Fidelity ClearPath Income Portfolio	19-Oct-2005
Fidelity ClearPath® 2060 Portfolio	4-Apr-2018
Fidelity Climate Leadership Balanced Fund	3-May-2021
Fidelity Climate Leadership Bond Fund	3-May-2021



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Fidelity Climate Leadership Fund	3-May-2021
Fidelity Concentrated Canadian Equity Multi-Asset Base Fund	5-Nov-2008
Fidelity Concentrated Canadian Equity Private Pool	5-Nov-2008
Fidelity Concentrated Value Investment Trust	1-May-2012
Fidelity Concentrated Value Private Pool	1-May-2012
Fidelity Conservative Income Private Pool	14-Nov-2014
Fidelity Conservative Managed Risk Portfolio	2-Nov-2016
Fidelity Convertible Securities Multi-Asset Base Fund	2-Dec-2011
Fidelity Corporate Bond Class	17-Sep-2010
Fidelity Corporate Bond Fund	17-Sep-2010
Fidelity Dividend Class	16-Mar-2007
Fidelity Dividend Fund	10-May-2005
Fidelity Dividend Multi-Asset Base Fund	16-Oct-2015
Fidelity Dividend Plus Class	23-Jan-2013
Fidelity Dividend Plus Fund	10-May-2005
Fidelity Emerging Markets Class	18-Mar-2008
Fidelity Emerging Markets Debt Multi-Asset Base Fund	2-Dec-2011
Fidelity Emerging Markets Equity Multi-Asset Base Fund	2-Dec-2011
Fidelity Emerging Markets Fund	1-Nov-1994
Fidelity Emerging Markets Investment Trust	5-Oct-2017
Fidelity Emerging Markets Local Currency Debit Multi-Asset Base Fund	4-Apr-2018
Fidelity Europe Class	6-Sep-2001
Fidelity Europe Fund	1-Jun-1992
Fidelity Far East Class	6-Sep-2001
Fidelity Far East Fund	25-Sep-1991
Fidelity Floating Rate High Income Currency Neutral Fund	16-May-2014
Fidelity Floating Rate High Income Currency Neutral Multi-Asset Base Fund	11-Oct-2019
Fidelity Floating Rate High Income Fund	1-Oct-2013
Fidelity Floating Rate High Income Multi-Asset Base Fund	2-Dec-2011
Fidelity Focus Consumer Industries Fund	29-May-1997
Fidelity Focus Financial Services Fund	29-May-1997
Fidelity Focus Health Care Fund	29-May-1997
Fidelity Focus Natural Resources Fund	29-May-1997
Fidelity Focus Telecommunications Fund	30-Jun-2000
Fidelity Founders Class	4-Apr-2018
Fidelity Founders Currency Neutral Class	4-Apr-2018
Fidelity Founders Investment Trust	4-Apr-2018
Fidelity Frontier Emerging Markets Fund	17-Jan-1994
Fidelity Global Asset Allocation Currency Neutral Private Pool	17-Oct-2017
Fidelity Global Asset Allocation Fund	20-Jan-1993
Fidelity Global Asset Allocation Private Pool	17-Oct-2017
Fidelity Global Balanced Class Portfolio	16-Mar-2007
Fidelity Global Balanced Portfolio	16-Mar-2007
Fidelity Global Bond Currency Neutral Fund	16-Mar-2007



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Fidelity Global Bond Currency Neutral Multi-Asset Base Fund	5-Oct-2017
Fidelity Global Bond Fund	16-Mar-2007
Fidelity Global Bond Multi-Asset Base Fund	5-Oct-2017
Fidelity Global Class	6-Sep-2001
Fidelity Global Concentrated Equity Class	23-Dec-2013
Fidelity Global Concentrated Equity Currency Neutral Fund	17-Oct-2017
Fidelity Global Concentrated Equity Fund	20-Nov-2001
Fidelity Global Consumer Industries Class	6-Sep-2001
Fidelity Global Core Plus Bond ETF	29-Aug-2019
Fidelity Global Core Plus Bond ETF Fund	29-Aug-2019
Fidelity Global Credit Ex-U.S. Currency Neutral Multi-Asset Base Fund	11-Oct-2019
Fidelity Global Credit Ex-U.S. Investment Trust	5-Oct-2017
Fidelity Global Disciplined Equity Class	17-Jun-2002
Fidelity Global Disciplined Equity Currency Neutral Class	25-Sep-2009
Fidelity Global Disciplined Equity Fund	17-Jun-2002
Fidelity Global Dividend Class	16-Mar-2007
Fidelity Global Dividend Fund	16-Mar-2007
Fidelity Global Dividend Investment Trust	23-Jan-2013
Fidelity Global Equity Currency Neutral Private Pool	25-Sep-2009
Fidelity Global Equity Investment Trust	5-Nov-2008
Fidelity Global Equity Private Pool	5-Nov-2008
Fidelity Global Financial Services Class	6-Sep-2001
Fidelity Global Growth and Value Class	1-Jun-2018
Fidelity Global Growth and Value Currency Neutral Class	1-Jun-2018
Fidelity Global Growth and Value Investment Trust	1-Jun-2018
Fidelity Global Growth Class Portfolio	16-Mar-2007
Fidelity Global Growth Portfolio	16-Mar-2007
Fidelity Global Health Care Class	6-Sep-2001
Fidelity Global High Yield Multi-Asset Base Fund	1-Oct-2013
Fidelity Global Income Class Portfolio	16-Mar-2007
Fidelity Global Income Portfolio	30-Jun-2007
Fidelity Global Innovators Class	17-Oct-2017
Fidelity Global Innovators Currency Neutral Class	17-Oct-2017
Fidelity Global Innovators Investment Trust	17-Oct-2017
Fidelity Global Intrinsic Value Class	21-Apr-2015
Fidelity Global Intrinsic Value Currency Neutral Class	16-Oct-2015
Fidelity Global Intrinsic Value Fund	3-May-2021
Fidelity Global Investment Grade Bond ETF	28-May-2020
Fidelity Global Investment Grade Bond ETF Fund	28-May-2020
Fidelity Global Large Cap Currency Neutral Class	30-Mar-2011
Fidelity Global Large Cap Equity Class	30-Mar-2011
Fidelity Global Large Cap Fund	30-Mar-2011
Fidelity Global Monthly High Income ETF	8-Jan-2020
Fidelity Global Monthly High Income ETF Fund	8-Jan-2020
Fidelity Global Monthly Income Currency Neutral Fund	26-Apr-2016



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Fidelity Global Monthly Income Fund	16-Mar-2007
Fidelity Global Natural Resources Class	6-Sep-2001
Fidelity Global Real Estate Class	16-Mar-2007
Fidelity Global Real Estate Fund	19-Oct-2006
Fidelity Global Real Estate Multi-Asset Base Fund	5-Oct-2017
Fidelity Global Small Cap Fund	30-Mar-2011
Fidelity Global Small/Mid Cap Equity Investment Trust	21-Apr-2015
Fidelity Global Value Long/Short Fund (formerly, Fidelity Concentrated Value Plus Fund)	9-Oct-2020
Fidelity Greater Canada Class	3-Jan-2008
Fidelity Greater Canada Fund	3-Jan-2008
Fidelity Growth Class Portfolio	30-Nov-2007
Fidelity Growth Portfolio	16-Mar-2007
Fidelity High Income Commercial Real Estate Multi-Asset Base Fund	2-Dec-2011
Fidelity Income Allocation Fund	10-May-2005
Fidelity Income Class Portfolio	16-Mar-2007
Fidelity Income Portfolio	16-Mar-2007
Fidelity Insights Class	4-Jan-2017
Fidelity Insights Currency Neutral Class	4-Jan-2017
Fidelity Insights Investment Trust	4-Jan-2017
Fidelity Insights Systematic Currency Hedged Fund	22-May-2019
Fidelity International Concentrated Equity Currency Neutral Fund	17-Oct-2017
Fidelity International Concentrated Equity Fund	25-Apr-2006
Fidelity International Disciplined Equity Class	16-Mar-2007
Fidelity International Disciplined Equity Currency Neutral Class	25-Sep-2009
Fidelity International Disciplined Equity Fund	25-Apr-2006
Fidelity International Disciplined Equity Investment Trust	5-Oct-2017
Fidelity International Equity Currency Neutral Private Pool	25-Sep-2009
Fidelity International Equity Investment Trust	5-Nov-2008
Fidelity International Equity Multi-Asset Base Fund	22-May-2019
Fidelity International Equity Private Pool	5-Nov-2008
Fidelity International Growth Fund	18-May-1999
Fidelity International Growth Multi-Asset Base Fund	1-Oct-2013
Fidelity International High Dividend Index ETF	4-Sep-2018
Fidelity International High Dividend Index ETF Fund	4-Sep-2018
Fidelity International High Quality Index ETF	8-Jan-2019
Fidelity International High Quality Index ETF Fund	8-Jan-2019
Fidelity International Low Volatility Index ETF	8-Jan-2019
Fidelity International Low Volatility Index ETF Fund	8-Jan-2019
Fidelity International Momentum Index ETF	28-May-2020
Fidelity International Portfolio Fund	9-Feb-1988
Fidelity International Value Index ETF	28-May-2020
Fidelity Investment Grade Total Bond Currency Neutral Fund	9-Jan-2018



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Fidelity Investment Grade Total Bond Fund	9-Jan-2018
Fidelity Japan Class	6-Sep-2001
Fidelity Japan Fund	6-Jul-1993
Fidelity Long-Term Leaders Currency Neutral Fund	22-Sep-2020
Fidelity Long-Term Leaders Fund	22-Sep-2020
Fidelity Long/Short Alternative Fund (formerly, Fidelity North American Directional Long Short Fund)	9-Oct-2020
Fidelity Market Neutral Alternative Fund (formerly, Fidelity North American Market Neutral Fund)	9-Oct-2020
Fidelity Monthly Income Class	3-Apr-2018
Fidelity Monthly Income Fund	17-Oct-2003
Fidelity Multi-Asset Innovation Fund	14-Jan-2021
Fidelity Multi-Sector Bond Currency Neutral Fund	1-May-2017
Fidelity Multi-Sector Bond Fund	1-May-2017
Fidelity Multi-Sector Bond Hedged Multi-Asset Base Fund	31-Mar-2021
Fidelity North American Dividend Private Pool	1-Oct-2013
Fidelity North American Equity Class	16-Oct-2015
Fidelity North American Equity Investment Trust	16-Oct-2015
Fidelity NorthStar Balanced Currency Neutral Fund	16-May-2014
Fidelity NorthStar Balanced Fund	16-May-2014
Fidelity NorthStar Class	9-Oct-2002
Fidelity NorthStar Currency Neutral Class	30-Mar-2011
Fidelity NorthStar Fund	30-Jun-2007
Fidelity Overseas Class	23-Dec-2013
Fidelity Premium Fixed Income Private Pool	5-Nov-2008
Fidelity Premium Fixed Income Private Pool Class	17-Sep-2010
Fidelity Premium Money Market Private Pool	5-Nov-2008
Fidelity Premium Tactical Fixed Income Capital Yield Private Pool	1-May-2012
Fidelity Small Cap America Class	6-Sep-2001
Fidelity Small Cap America Currency Neutral Class	16-May-2014
Fidelity Small Cap America Fund	29-Mar-1994
Fidelity Small Cap America Systematic Currency Hedged Fund	22-May-2019
Fidelity Special Situations Class	18-Mar-2008
Fidelity Special Situations Fund	16-Mar-2007
Fidelity Strategic Income Currency Neutral Fund	26-Apr-2016
Fidelity Sustainable World ETF	22-May-2019
Fidelity Sustainable World ETF Fund	22-May-2019
Fidelity Systematic Canadian Bond Index ETF	29-Aug-2019
Fidelity Systematic Canadian Bond Index ETF Fund	29-Aug-2019
Fidelity Systematic U.S. High Yield Bond Currency Neutral EFT Fund	29-Aug-2019
Fidelity Systematic U.S. High Yield Bond Currency Neutral ETF	29-Aug-2019
Fidelity Systematic U.S. High Yield Bond ETF	29-Aug-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Fidelity Systematic U.S. High Yield Bond ETF Fund	29-Aug-2019
Fidelity Tactical Fixed Income Fund	1-May-2012
Fidelity Tactical Global Dividend ETF Fund	4-Sep-2018
Fidelity Tactical High Income Currency Neutral Fund	16-May-2014
Fidelity Tactical High Income Fund	16-May-2014
Fidelity Tactical Strategies Fund	30-Mar-2011
Fidelity Technology Innovators Class	6-Sep-2001
Fidelity Technology Innovators Fund	29-May-1997
Fidelity True North Class	6-Sep-2001
Fidelity True North Fund	21-Aug-1996
Fidelity U.S. All Cap Class	30-Nov-2013
Fidelity U.S. All Cap Currency Neutral Class	16-May-2014
Fidelity U.S. All Cap Fund	23-Jan-2013
Fidelity U.S. Balanced Private Pool	1-Oct-2013
Fidelity U.S. Bond Multi-Asset Base Fund	14-Nov-2014
Fidelity U.S. Core Equity Fund	8-Oct-2020
Fidelity U.S. Dividend Currency Neutral Fund	29-Oct-2012
Fidelity U.S. Dividend for Rising Rates Currency Neutral Index ETF	4-Sep-2018
Fidelity U.S. Dividend for Rising Rates Currency Neutral Index ETF Fund	4-Sep-2018
Fidelity U.S. Dividend for Rising Rates Index ETF	4-Sep-2018
Fidelity U.S. Dividend for Rising Rates Index ETF Fund	4-Sep-2018
Fidelity U.S. Dividend Fund	29-Oct-2012
Fidelity U.S. Dividend Investment Trust	29-Oct-2012
Fidelity U.S. Dividend Registered Fund	23-Jan-2013
Fidelity U.S. Dividend Systematic Currency Hedged Fund	22-May-2019
Fidelity U.S. Equity Currency Neutral Private Pool	25-Sep-2009
Fidelity U.S. Equity Investment Trust	5-Nov-2008
Fidelity U.S. Equity Private Pool	5-Nov-2008
Fidelity U.S. Focused Stock Class	6-Sep-2001
Fidelity U.S. Focused Stock Currency Neutral Class	16-May-2014
Fidelity U.S. Focused Stock Fund	21-Sep-1990
Fidelity U.S. Growth Opportunities Class	8-Jan-2020
Fidelity U.S. Growth Opportunities Investment Trust	8-Jan-2020
Fidelity U.S. Growth Opportunities Systematic Currency Hedged Class	8-Jan-2020
Fidelity U.S. High Dividend Currency Neutral Index ETF	4-Sep-2018
Fidelity U.S. High Dividend Currency Neutral Index ETF Fund	4-Sep-2018
Fidelity U.S. High Dividend Index ETF	4-Sep-2018
Fidelity U.S. High Dividend Index ETF Fund	4-Sep-2018
Fidelity U.S. High Quality Currency Neutral Index ETF	8-Jan-2019
Fidelity U.S. High Quality Currency Neutral Index ETF Fund	8-Jan-2019
Fidelity U.S. High Quality Index ETF	8-Jan-2019
Fidelity U.S. High Quality Index ETF Fund	8-Jan-2019
Fidelity U.S. Low Volatility Currency Neutral Index ETF	8-Jan-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Fidelity U.S. Low Volatility Currency Neutral Index ETF Fund	8-Jan-2019
Fidelity U.S. Low Volatility Index ETF	8-Jan-2019
Fidelity U.S. Momentum Currency Neutral Index ETF	28-May-2020
Fidelity U.S. Momentum Index ETF	28-May-2020
Fidelity U.S. Money Market Fund	25-Jan-1996
Fidelity U.S. Money Market Investment Trust	5-Oct-2017
Fidelity U.S. Monthly Income Currency Neutral Fund	16-May-2014
Fidelity U.S. Monthly Income Fund	29-Oct-2012
Fidelity U.S. Small/Mid Cap Equity Multi-Asset Base Fund	2-Dec-2011
Fidelity U.S. Value Currency Neutral Index ETF	28-May-2020
Fidelity U.S. Value Index ETF	28-May-2020
Fidelity Women's Leadership Fund	22-May-2019
Fidelity Women's Leadership Systematic Currency Hedged Fund	22-May-2019
Field Trip Health Ltd.	29-Dec-2020
Fiera Capital Corporation	15-Dec-2017
Fidelity U.S. Focused Stock Systematic Currency Hedged Fund	22-May-2019
Filiplan International Inc. (CT)	24-Oct-1980
Filo Mining Corp.	22-Feb-2018
Final Assignment (CT)	19-Nov-1979
Financial 15 Split Corp.	30-Oct-2003
Finning International Inc.	4-Jun-1981
Fiore Cannabis Ltd.	14-Feb-2014
Firan Technology Group Corporation	20-Jun-1983
Fire & Flower Holdings Corp. (formerly Cinaport Acquisition Corp. II)	4-Nov-2019
FireFox Gold Corp.	7-Dec-2018
Firm Capital Apartment Real Estate Investment Trust	10-Mar-2020
Firm Capital Mortgage Investment Corporation	1-Jan-2011
Firm Capital Property Trust	29-Nov-2012
First Capital Real Estate Investment Trust	22-May-2020
First Cobalt Corp.	27-Nov-2020
First Majestic Silver Corp.	25-Jul-2007
First Mining Gold Corp.	13-Nov-2015
First National Financial Corporation	1-Jan-2011
First Nickel Inc.	23-Jun-2004
First Premium U.S. Income Trust	24-Jan-1997
First Quantum Minerals Ltd.	30-Mar-2009
First Trust AlphaDEX European Dividend Index ETF (CAD-Hedged)	29-Jan-2014
First Trust AlphaDEX U.S. Health Care Sector Index ETF	20-Oct-2014
First Trust AlphaDEX U.S. Industrials Sector Index ETF	20-Oct-2014
First Trust AlphaDEX U.S. Technology Sector Index ETF	20-Oct-2014
First Trust AlphaDEX™ Emerging Market Dividend ETF (CAD-Hedged)	3-May-2013



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
First Trust Canadian Capital Strength ETF	16-Nov-2001
First Trust Cboe Vest U.S. Equity Buffer ETF - August	15-Aug-2019
First Trust Cboe Vest U.S. Equity Buffer ETF - February	14-Feb-2020
First Trust Cboe Vest U.S. Equity Buffer ETF - May	8-May-2020
First Trust Cboe Vest U.S. Equity Buffer ETF - November	9-Jan-2020
First Trust Cboe Vest U.S. Equity Deep Buffer ETF - August	15-Aug-2019
First Trust Cboe Vest U.S. Equity Deep Buffer ETF - February	13-Feb-2020
First Trust Cboe Vest U.S. Equity Deep Buffer ETF - May	8-May-2020
First Trust Cboe Vest U.S. Equity Deep Buffer ETF - November	7-Jan-2020
First Trust Cloud Computing ETF	20-Oct-2014
First Trust Dow Jones Internet ETF	20-Oct-2014
First Trust Global Risk Managed Income Index ETF	16-Jul-2014
First Trust Indxx Innovative Transaction and Process ETF	19-Mar-2018
First Trust Indxx NextG ETF	20-Oct-2014
First Trust International Capital Strength ETF	7-May-2018
First Trust JFL Fixed Income Core Plus ETF	26-Jan-2021
First Trust JFL Global Equity ETF	26-Jan-2021
First Trust Morningstar Dividend Leaders ETF (CAD-Hedged)	20-Sep-2016
First Trust Nasdaq Clean Edge Green Energy ETF	20-Oct-2014
First Trust Nasdaq Cybersecurity ETF	20-Oct-2014
First Trust NYSE Arca Biotechnology ETF	20-Oct-2014
First Trust Senior Loan ETF (CAD-Hedged)	3-May-2013
First Trust Tactical Bond Index ETF	12-Jun-2015
First Trust Value Line® Dividend Index ETF (CAD-Hedged)	3-May-2013
FIRSTSERVICE CORPORATION	1-Jun-2015
Fisher Oil & Gas Corporation (CT)	16-Feb-1981
Fission Uranium Corp.	25-Apr-2014
Flagship Communities Real Estate Investment Trust	28-Sep-2020
Flaherty & Crumrine Investment Grade Fixed Income Fund	26-Nov-2004
Flex First Plan	21-Dec-2011
Flow Capital Corp.	19-Jul-2011
Flower One Holdings Inc.	22-Mar-2019
FMF Capital Group Ltd.	16-Mar-2005
Fontana Place L.P. (CT)	3-Nov-1981
Foraco International S.A.	18-Jun-2007
Forbes Energy Services Ltd.	22-May-2008
Ford Auto Securitization Trust	9-Feb-2009
Ford Credit Canada Company	12-Sep-1986
Forest Gate Energy Inc.	21-Oct-2003
Forge First Conservative Alternative Fund	24-Apr-2019
Forge First Long Short Alternative Fund	24-Apr-2019
Fortaleza Energy Inc.	27-Feb-2007
Fortified Trust	1-Feb-2016
Fortis Inc.	14-Nov-1987
FortisAlberta Inc.	18-Oct-2004



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
FortisBC Energy Inc.	22-Oct-1986
FortisBC Inc.	23-Nov-2004
Fortress Global Enterprises Inc.	20-Jun-2007
Fortuna Silver Mines Inc.	3-Feb-2017
Fortune Bay Corp.	27-Jun-2016
Fortune Minerals Limited	19-Jul-2007
Forza Petroleum Limited	8-May-2013
Foundation Wealth Diversifier Pool	11-Mar-2020
Foundation Wealth Equity Pool	11-Mar-2020
Foundation Wealth Income Pool	11-Mar-2020
Fourseas Asialink Corporation (CT)	28-Apr-1993
FP Newspapers Inc.	31-Dec-2010
Fracmaster Ltd. (CT)	1-Oct-1993
France Telecom	20-Oct-1997
Franchise Services of North America Inc.	19-Dec-2006
Franco-Nevada Corporation	30-Nov-2007
Franklin ActiveQuant Canadian Fund	9-Sep-2004
Franklin ActiveQuant U.S. Fund	29-Feb-2008
Franklin Bissett Canada Plus Equity Fund	8-Jun-2017
Franklin Bissett Canadian Balanced Fund	3-Nov-1997
Franklin Bissett Canadian Bond Fund	4-Jan-2018
Franklin Bissett Canadian Dividend Fund	16-Dec-2003
Franklin Bissett Canadian Equity Fund	3-Nov-1997
Franklin Bissett Canadian Government Bond Fund	10-Nov-2016
Franklin Bissett Core Plus Bond Fund	3-Nov-1997
Franklin Bissett Corporate Bond Fund	13-Dec-2006
Franklin Bissett Dividend Income Fund	3-Nov-1997
Franklin Bissett Money Market Fund	1-Jan-1987
Franklin Bissett Monthly Income and Growth Fund	29-May-2015
Franklin Bissett Short Duration Bond Fund	16-Dec-2003
Franklin Bissett Small Cap Fund	3-Nov-1997
Franklin Canadian Core Equity Fund	30-Jun-2020
Franklin Conservative Income ETF Portfolio	15-Feb-2019
Franklin Core ETF Portfolio	15-Feb-2019
Franklin Emerging Markets Core Equity Fund	30-Jun-2020
Franklin FTSE Canada All Cap Index ETF	4-Feb-2019
Franklin FTSE Europe ex U.K. Index ETF	4-Feb-2019
Franklin FTSE Japan Index ETF	4-Feb-2019
Franklin FTSE U.S. Index ETF	4-Feb-2019
Franklin Global Aggregate Bond Fund	28-Apr-2020
Franklin Global Growth Active ETF	17-Sep-2020
Franklin Global Growth Fund	29-Feb-2008
Franklin Growth ETF Portfolio	15-Feb-2019
Franklin High Income Fund	10-Feb-2003
Franklin Innovation Active ETF	15-Jan-2021
Franklin Innovation Fund	26-Jan-2021



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Franklin International Core Equity Fund	30-Jun-2020
Franklin Liberty Canadian Investment Grade Corporate ETF	21-Apr-2017
Franklin Liberty Core Balanced ETF	10-Jan-2018
Franklin Liberty Core Plus Bond ETF	21-Jun-2019
Franklin Liberty Global Aggregate Bond ETF (CAD Hedged)	9-Apr-2018
Franklin Liberty Risk Managed Canadian Equity ETF	21-Apr-2017
Franklin Liberty Short Duration Bond ETF	13-Jun-2019
Franklin Liberty U.S. Investment Grade Corporate ETF (CAD Hedged)	9-Apr-2018
Franklin LibertyQT Emerging Markets Index ETF	10-Nov-2017
Franklin LibertyQT Global Dividend Index ETF	10-Nov-2017
Franklin LibertyQT International Equity Index ETF	21-Apr-2017
Franklin LibertyQT U.S. Equity Index ETF	21-Apr-2017
Franklin Mutual Global Discovery Fund	10-Feb-2003
Franklin Mutual Global Discovery Fund II	1-Sep-2020
Franklin Quotential Balanced Growth Portfolio	10-Jun-2002
Franklin Quotential Balanced Income Portfolio	10-Jun-2002
Franklin Quotential Diversified Equity Portfolio	3-Jun-2003
Franklin Quotential Diversified Income Portfolio	31-Jan-2003
Franklin Quotential Growth Portfolio	10-Jun-2002
Franklin Strategic Income Fund	10-Feb-2003
Franklin U.S. Core Equity Fund	30-Jun-2020
Franklin U.S. Monthly Income Fund	27-Jun-2013
Franklin U.S. Opportunities Fund	7-Apr-1997
Franklin U.S. Rising Dividends Fund	3-Nov-1997
Fraser Papers Inc.	30-Jun-2004
Freehold Royalties Ltd.	1-Jan-2011
Freeman Gold Corp. (formerly, Lodge Resources Inc.)	23-Jul-2020
Freshii Inc.	25-Jan-2017
Friedberg Asset Allocation Fund	19-May-2009
Friedberg Global-Macro Hedge Fund	8-Sep-2006
Fronsac Real Estate Investment Trust	7-Feb-2020
Front Street Rollover Fund Limited	5-Nov-2007
Frontenac Mortgage Investment Corporation	24-Aug-2010
Frontera Energy Corporation	23-Jan-2008
FrontFour Holdings Inc. and FrontFour Capital Group LLC	24-Jun-2008
FSD Pharma Inc.	17-Jun-2020
FT Balanced Growth Private Wealth Pool	21-Apr-2017
FT Balanced Income Private Wealth Pool	21-Apr-2017
FT Capital Ltd. (T)	30-Nov-1983
FT Growth Private Wealth Pool	21-Apr-2017
Fuel Cell Technologies Ltd.	1-Apr-2006
FuelCell Energy Inc.	31-Oct-2003
Fury Gold Mines Limited	10-May-2021
Fusion Pharmaceuticals Inc.	25-Jun-2020
Future Beach Corporation (T)	16-Dec-1999



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
*Fytekem Products Inc. (CT)	18-Aug-1997
Gabriel Resources Ltd.	10-Jul-2003
Galaxy Digital Holdings Ltd.	27-Nov-2020
Galiano Gold Inc.	11-Feb-2011
Galore Resources Inc.	31-Jan-2007
Gamehost Inc.	31-Dec-2010
Gandalf Technologies Inc. (CT)	24-Jul-1987
Garda World Security Corporation	30-Oct-2019
Garden Oaks (CT)	2-Nov-1981
*Gardiner Dam Terminal Ltd.	20-Jan-2000
GASFRAC Energy Services Inc.	6-Dec-2010
Gatos Silver, Inc.	27-Oct-2020
Gaz Metro Inc.	18-Jun-1982
GBLT Corp.	9-Feb-2016
GC One Equity Portfolio	20-Dec-2017
GC One Fixed Income Portfolio	20-Dec-2017
GDI Integrated Facility Services Inc.	7-May-2015
GE Acquireco ULC	31-May-2006
GE Capital Canada Funding Company	15-Jul-2002
Gear Energy Ltd.	19-Mar-2014
General Gold Resources Inc.	16-Jun-1997
General Mining Properties Ltd.	7-Jul-1998
General Moly, Inc.	25-Apr-2014
General Motors Company	17-Nov-2010
General Systems Research Ltd. (CT)	4-Oct-1984
Genesis Land Development Corp.	8-Sep-1999
Genesis Metals Corp.	29-Dec-2010
Genesis Trust II	1-Dec-2011
Genesis Worldwide Inc.	25-Jun-2007
*Gensource Potash Corporation	1-Jul-2015
Geodrill Limited	10-Dec-2010
GeoPetro Resources Company	15-Mar-2006
George Weston Limited	24-Sep-1986
GFL Environmental Inc.	2-Mar-2020
Gibson Energy Inc.	6-Jun-2011
Gildan Activewear Inc.	10-Jun-1998
*Girex Bancorp Inc. (CT)	26-Sep-2001
Gitennes Exploration Inc.	15-Oct-1991
Giyani Metals Corp.	22-Mar-2021
Glacier Credit Card Trust	19-Jun-2001
Glencore Canada Corporation	22-Jun-1994
Glendale International Corp.	1-Oct-1967
GLG LIFE TECH CORPORATION	20-Nov-2009
Global Blockchain Mining Corp.	
Global Crossing Airlines Inc.	28-Mar-2008
Global Dividend Growers Income Fund	27-Feb-2013



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Global Dividend Growth Split Corp.	24-May-2018
Global Equity Allocation Pool	6-Sep-2018
Global Equity Pool	24-Feb-2021
Global Fixed Income Corporate Class	2-Oct-2007
Global Fixed Income Pool	15-Apr-1994
Global Gaming Technologies Corp.	19-Mar-2010
Global Healthcare Dividend Fund	25-Sep-2014
Global Iman Fund	17-Dec-2009
Global Innovation Dividend Fund	22-Feb-2018
Global Investments Capital Corp.	1-Sep-2017
Global Managed Volatility Fund	27-Mar-2012
Global Real Estate & E-Commerce Dividend Fund	20-Sep-2018
Global Telecom & Utilities Income Fund	28-Feb-2011
Global Water Resources, Inc.	3-May-2016
GLOBEVEST CAPITAL TACTICAL COVERED OPTIONS FUND	2-May-2016
Glow Lifetech Corp.	2-Sep-1987
Go Vacations 1987 Limited Partnership (CT)	22-Apr-1987
Go Vacations Inc. (CT)	30-Nov-1993
goeasy Ltd.	4-Dec-2003
GoGold Resources Inc.	25-Nov-2014
Gold Lion Resources Inc.	29-Oct-2020
Gold Reserve Inc.	11-Mar-1997
Gold Royalty Corp.	8-Mar-2021
Gold Springs Resource Corp.	8-Feb-2007
Gold Standard Ventures Corp.	7-Aug-2018
Gold Terra Resource Corp.	20-Dec-2019
Golden Minerals Company	2-Sep-2011
*Golden Opportunities Fund Inc.	6-Nov-1998
*Golden Opportunities Fund Inc. Class I	19-Nov-2020
*Golden Opportunities Fund Inc. Class R	19-Nov-2020
Golden Share Resources Corporation	19-Dec-2007
Golden Star Resources Ltd.	3-May-1994
Golden Trend Petroleum Ltd. (CT)	6-Dec-1994
Goldstake Explorations Inc.	5-Aug-1983
good natured Products Inc.	25-Feb-2021
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	20-Feb-2020
Goodwood Capital Fund	29-Dec-1999
Goran Capital Inc.	9-Jun-1986
Gossan Resources Limited	5-Oct-1982
Govermedia Plus Canada Corp.	8-Jan-2018
GQG Partners Global Quality Equity Fund	30-Sep-2020
GQG Partners International Quality Equity Fund	30-Sep-2020
GR Silver Mining Ltd.	22-Dec-2016
Gran Colombia Gold Corp.	5-Aug-2011
Gran Tierra Energy Inc.	29-Jul-2015



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Grand Okanagan Resort Limited Partnership (CT)	4-Oct-1990
Granite Real Estate Investment Trust	3-Jan-2013
Granite REIT Holdings Limited Partnership	3-Jan-2013
Granite REIT Inc.	3-Jan-2013
Graphene Manufacturing Group Ltd.	6-Apr-2021
Gray Wolf Capital Corporation	28-Sep-1994
Great Basin Gold Ltd.	12-Apr-2007
Great Canadian Gaming Corporation	25-May-2004
Great Panther Mining Limited	10-Nov-2009
*Great Western Minerals Group Ltd.(CT)	20-Jul-2000
Great-West Lifeco Inc.	16-Jun-1986
Greater Toronto Airports Authority	24-Nov-1997
Green Thumb Industries Inc.	26-Jul-2018
Greenbrook TMS Inc.	27-Sep-2018
Greenfields Petroleum Corporation	3-Nov-2010
GreenSpace Brands Inc.	22-Feb-2016
Greenstone Capital Corp.	11-Apr-2019
Greenstone Resources Ltd. (CT)	12-Nov-1996
Grey Fox, The (CT)	22-Aug-1980
Greyvest Capital Inc. (T)	18-Aug-1988
Grizzly Discoveries Inc.	2-Dec-2004
Grosvenor House (CT)	2-Dec-1980
Groundstar Resources Limited	19-Dec-2012
Grow Solutions Holdings Inc. (DO NOT USE)	12-Jul-2019
Grow Solutions Holdings, Inc.	25-Jun-2015
GrowMax Resources Corp.	2-Jun-2014
Growth & Income Class	22-Apr-2016
Growth 100 Fund	10-May-2001
Growth 80/20 Fund	10-May-2001
Growth Fund	10-May-2001
GrowthWorks Canadian Fund Ltd.	12-Feb-1990
GrowthWorks Commercialization Fund Ltd.	14-Jan-2005
Gryphon Gold Corporation	12-May-2011
GT Group Telecom Inc. (T)	9-Mar-2000
GTEC Holdings Ltd.	23-Mar-2021
Guardian Canadian Bond ETF	26-Feb-2021
Guardian Canadian Bond Fund	30-Mar-2011
Guardian Canadian Equity Fund	30-Mar-2011
Guardian Canadian Equity Income Fund	30-Mar-2011
Guardian Canadian Equity Select Fund	28-Apr-2017
Guardian Canadian Focused Equity Fund	22-Apr-2016
Guardian Canadian Growth Equity Fund	30-Mar-2011
Guardian Canadian Sector Controlled Equity Fund	26-Feb-2021
Guardian Canadian Short-Term Investment Fund	30-Mar-2011
Guardian Directed Equity Path ETF	22-Jul-2020
Guardian Directed Equity Path Portfolio	22-Apr-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Guardian Directed Premium Yield ETF	22-Jul-2020
Guardian Directed Premium Yield Portfolio	22-Apr-2019
Guardian Emerging Markets Equity Fund	22-Apr-2016
Guardian Exploration Inc.	21-Apr-2006
Guardian Fixed Income Select Fund	4-Apr-2012
Guardian Fundamental All Country Equity ETF	26-Feb-2021
Guardian Fundamental Emerging Markets Equity ETF	26-Feb-2021
Guardian Fundamental Global Equity Fund	22-Apr-2015
Guardian High Yield Bond Fund	30-Mar-2011
Guardian i3 Global Dividend Growth Fund	30-Mar-2011
Guardian i3 Global Quality Growth ETF	22-Jul-2020
Guardian i3 Global Quality Growth Fund	30-Mar-2011
Guardian i3 Global REIT ETF	22-Jul-2020
Guardian i3 International Quality Growth Fund	30-Mar-2011
Guardian i3 US Quality Growth ETF	22-Jul-2020
Guardian International Equity Select Fund	28-Apr-2017
Guardian Investment Grade Corporate Bond Fund	20-Apr-2018
Guardian Managed Balanced Portfolio	30-Mar-2011
Guardian Managed Growth Portfolio	22-Jan-2019
Guardian Managed Income & Growth Portfolio	22-Apr-2015
Guardian Managed Income Portfolio	22-Apr-2015
Guardian Risk Managed Conservative Portfolio	22-Apr-2019
Guardian Short Duration Bond Fund	24-Apr-2014
Guardian Strategic Income Fund	16-Dec-2019
Guardian U.S. Equity All Cap Growth Fund	20-Apr-2018
Guardian U.S. Equity Fund	30-Mar-2011
Guardian U.S. Equity Select Fund	28-Apr-2017
Gulf & Pacific Equities Corp.	17-May-2000
GVIC Communications Corp.	29-May-1996
H&R Real Estate Investment Trust	10-Dec-1996
H2O INNOVATION INC.	7-Nov-2019
Haemacure Corporation	17-Jun-1996
Haivision Systems Inc.	9-Dec-2020
Halifax Receivables Trust	30-May-2013
*Hallmark Place Limited Partnership (CT)	2-Nov-1984
Halo Collective Inc.	29-Mar-2019
Hamilton Australian Bank Equal-Weight Index ETF	12-May-2020
Hamilton Canadian Bank Mean Reversion Index ETF	12-May-2020
Hamilton Enhanced Canadian Bank ETF	8-Oct-2020
Hamilton Financials Innovation ETF	12-May-2020
Hamilton Global Financials ETF	12-May-2020
Hamilton Park Plaza Limited Partnership	13-Mar-1985
Hamilton Thorne Ltd.	6-Jul-2020
Hamilton U.S. Mid/Small-Cap Financials ETF	12-May-2020
Hammond Manufacturing Company Limited	28-May-1986
Hammond Power Solutions Inc.	1-Jan-2001



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Hampton Financial Corporation	12-Jan-2015
Hanfeng Evergreen Inc.	1-Apr-2005
HANWEI ENERGY SERVICES CORP.	19-Jul-2007
Hapbee Technologies, Inc.	26-Oct-2020
Hardwoods Distribution Inc.	1-Jul-2011
Harmony Energy Technologies Corporation	
Harry Tracy - Desperado (CT)	15-Dec-1980
Harte Gold Corp.	27-Sep-2019
Hartland Pipeline Services Ltd. (CT)	24-Apr-1998
Harvest Banks & Buildings Income Fund	7-Oct-2009
Harvest Brand Leaders Plus Income ETF	17-Oct-2016
Harvest Canadian Income & Growth Fund	20-Jun-2012
Harvest Clean Energy ETF	7-Jan-2021
Harvest Energy Leaders Plus Income ETF	17-Oct-2016
Harvest Equal Weight Global Utilities Income ETF	8-Jan-2019
Harvest Global Gold Giants Index ETF	8-Jan-2019
Harvest Global REIT Leaders Income ETF	15-Jun-2017
Harvest Health & Recreation Inc.	29-Jul-2008
Harvest Healthcare Leaders Income ETF	17-Oct-2016
Harvest One Cannabis Inc.	4-Dec-2017
Harvest Space Innovation Index ETF	30-Mar-2021
Harvest Tech Achievers Growth & Income ETF	22-Jun-2017
Harvest Travel & Leisure Index ETF	7-Jan-2021
Harvest US Bank Leaders Income ETF	29-Jan-2018
Harvest US Equity Plus Income ETF	17-Oct-2016
HAVN Life Sciences Inc.	2-Sep-2020
Hazelview Global Real Estate Fund	23-Jun-2015
Headwater Exploration Inc.	7-Dec-2006
Headway Property Investment 77-II (CT)	3-Aug-1977
Headway Property Investment 78-II (CT)	1-Nov-1983
Headway Property Investment 78-III (CT)	4-Dec-1978
Healthcare Special Opportunities Fund	26-Jun-2015
Heating Oil Partners Income Fund	13-May-2002
Hecla Mining Company	1-Jun-2013
Helios Fairfax Partners Corporation	8-Feb-2017
Helios Medical Technologies, Inc.	23-Mar-2016
Hemisphere Energy Corporation	3-Dec-2013
Hempfusion Wellness Inc.	18-Dec-2020
Heritage Global Inc.	20-Mar-2014
Heritage Plans	12-Mar-1987
Heroux-Devtek Inc.	19-May-2000
HEXO Corp.	15-Nov-2017
*Hi-Weigh Investors Limited Partnership (CT)	18-Jan-2001
High Arctic Energy Services Inc.	29-Jun-2007
High Interest Savings Account Fund	18-Nov-2019
High Liner Foods Incorporated	23-Sep-1991



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
High Tide Inc.	17-Feb-2021
Highvista Gold Inc.	27-Apr-2010
*Highway 40 Inland Terminal Corp. (CT)	14-Feb-1997
Hillcrest Energy Technologies Ltd.	3-Mar-2011
Hirose Group Ltd. (CT)	15-Aug-1990
HIVE Blockchain Technologies Ltd.	27-Jan-2021
HLS Therapeutics Inc.	14-Mar-2018
HMZ Metals Inc.	29-Mar-2005
Hollinger Inc.	26-Sep-1988
Hollister Biosciences Inc. (formerly, 1205600 BC Ltd.)	11-Mar-2021
Home Capital Group Inc.	5-Mar-1979
Homeserve Technologies Inc.	29-Aug-1996
Horizons Absolute Return Global Currency ETF	6-Jul-2016
Horizons Active A.I. Global Equity ETF	19-Oct-2017
Horizons Active Cdn Bond ETF	6-Sep-2012
Horizons Active Cdn Dividend ETF	13-Jan-2010
Horizons Active Cdn Municipal Bond ETF	4-Aug-2015
Horizons Active Corporate Bond ETF	12-Jul-2010
Horizons Active Emerging Markets Bond ETF	25-Jul-2018
Horizons Active Floating Rate Senior Loan ETF	27-Aug-2014
Horizons Active Global Dividend ETF	12-Jul-2010
Horizons Active Global Fixed Income ETF	1-Oct-2010
Horizons Active High Yield Bond ETF	21-Dec-2012
Horizons Active Hybrid Bond and Preferred Share ETF	26-Aug-2013
Horizons Active Preferred Share ETF	19-Nov-2010
Horizons Active Ultra-Short Term Investment Grade Bond ETF	19-Nov-2010
Horizons Active Ultra-Short Term US Investment Grade Bond ETF	30-Jan-2012
Horizons Balanced TRI ETF Portfolio	25-Jul-2018
Horizons Big Data & Hardware Index ETF(formerly Horizons Blockchain Technology & Hardware Index ETF)	15-Jun-2018
Horizons Cash Maximizer ETF	28-Jan-2020
Horizons Cdn High Dividend Index ETF	5-Apr-2016
Horizons Cdn Select Universe Bond ETF	15-Apr-2014
Horizons Conservative TRI ETF Portfolio	25-Jul-2018
Horizons Crude Oil ETF	23-Jun-2009
Horizons Emerging Markets Equity Index ETF	23-Jun-2020
Horizons Enhanced Income Energy ETF	14-Mar-2011
Horizons Enhanced Income Equity ETF	14-Mar-2011
Horizons Enhanced Income Financials ETF	7-Apr-2011
Horizons Enhanced Income Gold Producers ETF	14-Mar-2011
Horizons Enhanced Income International Equity ETF	6-Sep-2011
Horizons Enhanced Income US Equity (USD) ETF	6-Sep-2011
Horizons Equal Weight Canada Banks Index ETF	18-Jan-2019
Horizons Equal Weight Canada REIT Index ETF	18-Jan-2019
Horizons Europe 50 Index ETF	5-Apr-2016



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Horizons Global BBIG Technology ETF	7-Apr-2021
Horizons Global Sustainability Leaders Index ETF	26-Oct-2018
Horizons Global Uranium Index ETF	13-May-2019
Horizons Gold ETF	23-Jun-2009
Horizons Gold Yield ETF	21-Feb-2012
Horizons Growth TRI ETF Portfolio	31-Jul-2019
Horizons High Interest Savings ETF	7-Apr-2021
Horizons Industry 4.0 Index ETF	26-Oct-2018
Horizons Inovestor Canadian Equity Index ETF	30-Oct-2017
Horizons Intl Developed Markets Equity Index ETF	29-Aug-2017
Horizons Laddered Canadian Preferred Share Index ETF	18-Jan-2019
Horizons Marijuana Life Sciences Index ETF	28-Mar-2017
Horizons Morningstar Hedge Fund Index ETF	19-Apr-2012
Horizons NASDAQ-100® Index ETF	5-Apr-2016
Horizons Natural Gas ETF	23-Jun-2009
Horizons Pipelines & Energy Services Index ETF	9-Jul-2014
Horizons Psychedelic Stock Index ETF	22-Jan-2021
Horizons ReSolve Adaptive Asset Allocation ETF	23-Jul-2020
Horizons Robotics and Automation Index ETF	9-Nov-2017
Horizons S&P 500 CAD Hedged Index ETF	26-Aug-2016
Horizons S&P 500® Index (C\$ Hedged) ETF	26-Nov-2010
Horizons S&P/TSX 60 Index ETF	28-Aug-2020
Horizons S&P/TSX Capped Composite Index ETF	28-Aug-2020
Horizons S&P/TSX Capped Energy Index ETF	30-Aug-2013
Horizons S&P/TSX Capped Financials Index ETF	30-Aug-2013
Horizons Seasonal Rotation ETF	18-Nov-2009
Horizons Silver ETF	23-Jun-2009
Horizons Tactical Absolute Return Bond ETF	27-Nov-2020
Horizons U.S. Dollar Currency ETF	1-Apr-2011
Horizons US 7-10 Year Treasury Bond ETF	30-Mar-2015
Horizons US Large Cap Index ETF	28-Jan-2020
Horizons US Marijuana Index ETF	19-Apr-2019
Horizons USD Cash Maximizer ETF	23-Jun-2020
HPIL Resources Ltd. (CT)	29-Jun-1978
HSBC AsiaPacific Fund	26-Nov-1993
HSBC Bank Canada	22-Jun-2000
HSBC BRIC Equity Fund	18-Dec-2006
HSBC Canadian Balanced Fund	22-Mar-1989
HSBC Canadian Bond Fund	30-Dec-1994
HSBC Canadian Bond Pooled Fund	17-Jun-1999
HSBC Canadian Dividend Pooled Fund	17-Jun-1999
HSBC Canadian Equity Pooled Fund	17-Jun-1999
HSBC Canadian Money Market Fund	22-Mar-1989
HSBC Canadian Money Market Pooled Fund	17-Jun-1999
HSBC Canadian Short/Mid Bond Fund	19-Dec-2019
HSBC Canadian Small Cap Equity Pooled Fund	17-Jun-1999



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
HSBC Chinese Equity Fund	16-Dec-2003
HSBC Dividend Fund	30-Dec-1994
HSBC Emerging Markets Debt Fund	27-Sep-2011
HSBC Emerging Markets Debt Pooled Fund	20-Dec-2010
HSBC Emerging Markets Equity Index Fund	18-Dec-2020
HSBC Emerging Markets Fund	21-Oct-1994
HSBC Emerging Markets Pooled Fund	21-Dec-2009
HSBC Equity Fund	22-Mar-1989
HSBC European Fund	21-Oct-1994
HSBC Global Corporate Bond Fund	9-Jun-2015
HSBC Global Equity Fund	22-Dec-1997
HSBC Global Equity Volatility Focused Fund	9-Jun-2015
HSBC Global High Yield Bond Pooled Fund	21-Nov-2006
HSBC Global Inflation Linked Bond Pooled Fund	21-Dec-2009
HSBC Global Real Estate Equity Pooled Fund	18-Dec-2014
HSBC Indian Equity Fund	18-Dec-2008
HSBC International Equity Index Fund	18-Dec-2020
HSBC International Equity Pooled Fund	17-Jun-1999
HSBC Monthly Income Fund	17-Dec-2004
HSBC Mortgage Fund	20-Sep-1993
HSBC Mortgage Pooled Fund	22-Nov-2004
HSBC Small Cap Growth Fund	30-Dec-1994
HSBC U.S. Dollar Money Market Fund	22-Dec-1997
HSBC U.S. Dollar Monthly Income Fund	17-Dec-2010
HSBC U.S. Equity Fund	21-Oct-1994
HSBC U.S. Equity Index Fund	18-Dec-2020
HSBC U.S. Equity Pooled Fund	17-Jun-1999
HSBC Wealth Compass Aggressive Growth Fund	9-Apr-2018
HSBC Wealth Compass Balanced Fund	9-Apr-2018
HSBC Wealth Compass Conservative Fund	9-Apr-2018
HSBC Wealth Compass Growth Fund	9-Apr-2018
HSBC Wealth Compass Moderate Conservative Fund	9-Apr-2018
HSBC World Selection Diversified Aggressive Growth Fund	17-Dec-2004
HSBC World Selection Diversified Balanced Fund	17-Dec-2004
HSBC World Selection Diversified Conservative Fund	17-Dec-2004
HSBC World Selection Diversified Growth Fund	17-Dec-2004
HSBC World Selection Diversified Moderate Conservative	17-Dec-2004
Portfolio	
*HTC Pureenergy Inc.	30-Nov-2000
Hudbay Minerals Inc.	15-Dec-2004
Huntington Exploration Inc.	23-Sep-1996
Husky Energy Inc.	18-Apr-1988
Hut 8 Mining Corp. (formerly, Oriana Resources Corporation)	22-Jun-2020
Hydro One Inc.	24-May-2000
Hydro One Limited	29-Oct-2015
Hydro66 Holdings Corp.	24-Jun-2008



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
IA Clarington American Fund	17-Dec-1999
IA Clarington Canadian Balanced Class	18-Jul-2011
IA Clarington Canadian Balanced Fund	18-Sep-1996
IA Clarington Canadian Conservative Equity Class	18-Jul-2011
IA Clarington Canadian Conservative Equity Fund	3-Oct-2000
IA Clarington Canadian Dividend Fund	1-Sep-1999
IA Clarington Canadian Income Fund	3-Dec-1996
IA Clarington Canadian Leaders Class	8-Jul-2008
IA Clarington Canadian Small Cap Class	4-Jul-2008
IA Clarington Canadian Small Cap Fund	17-Mar-1997
IA Clarington Core Plus Bond Fund	20-Jun-2014
IA Clarington Dividend Growth Class	23-Jan-2009
IA Clarington Emerging Markets Bond Fund	27-Sep-2017
IA Clarington Floating Rate Income Fund	7-Nov-2013
IA Clarington Focused Balanced Class	5-Jun-2012
IA Clarington Focused Balanced Fund	5-Jun-2012
IA Clarington Focused U.S. Equity Class	31-Mar-2015
IA Clarington Global Bond Fund	27-Sep-2017
IA Clarington Global Dividend Fund	27-Oct-2006
IA Clarington Global Equity Exposure Fund	7-Feb-2005
IA Clarington Global Multi-Asset Fund	26-Sep-2014
IA Clarington Global Opportunities Class	28-Aug-2000
IA Clarington Global Opportunities Fund	19-Aug-1998
IA Clarington Global Value Fund	17-Dec-1999
IA Clarington Global Yield Opportunities Fund	27-Sep-2017
IA Clarington Inhance Balanced SRI Portfolio	26-Nov-2009
IA Clarington Inhance Bond SRI Fund	22-Dec-2016
IA Clarington Inhance Canadian Equity SRI Class	26-Nov-2009
IA Clarington Inhance Conservative SRI Portfolio	26-Nov-2009
IA Clarington Inhance Global Equity SRI Class	26-Nov-2009
IA Clarington Inhance Global Equity SRI Fund	22-Feb-2021
IA Clarington Inhance Growth SRI Portfolio	26-Nov-2009
IA Clarington Inhance Monthly Income SRI Fund	26-Nov-2009
IA Clarington International Equity Fund	20-Feb-2019
IA Clarington Loomis Global Allocation Class	12-Jul-2010
IA Clarington Loomis Global Allocation Fund	22-Jan-2001
IA Clarington Loomis Global Equity Opportunities Fund	25-Oct-2019
IA Clarington Loomis Global Multisector Bond Fund	16-Jul-2020
IA Clarington Loomis U.S. All Cap Growth Fund	22-Feb-2021
IA Clarington Money Market Fund	17-Dec-1999
IA Clarington Montage Balanced Portfolio	15-Apr-2016
IA Clarington Montage Conservative Portfolio	15-Apr-2016
IA Clarington Montage Growth Portfolio	15-Apr-2016
IA Clarington Montage Maximum Growth Portfolio	15-Apr-2016
IA Clarington Montage Moderate Portfolio	15-Apr-2016
IA Clarington Monthly Income Balanced Fund	4-Aug-1998



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
IA Clarington Real Return Bond Fund	11-Sep-2006
IA Clarington Sarbit U.S. Equity Class	18-Jul-2011
IA Clarington Sarbit U.S. Equity Fund	25-Jan-2000
IA Clarington Strategic Corporate Bond Fund	22-Sep-2011
IA Clarington Strategic Equity Income Class	23-Jan-2009
IA Clarington Strategic Equity Income Fund	4-Aug-1998
IA Clarington Tactical Income Class	23-Jan-2009
IA Clarington Target Click 2025 Fund	7-Feb-2005
IA Clarington Target Click 2030 Fund	4-Jul-2008
IA Clarington U.S. Dividend Growth Registered Fund	22-Dec-2015
IA Clarington U.S. Dollar Floating Rate Income Fund	22-Dec-2015
IA Clarington Yield Opportunities Fund	22-Dec-2015
iA Financial Corporation Inc.	13-Feb-2019
IA Wealth Core Bond Pool	4-Aug-1998
IA Wealth Enhanced Bond Pool	16-Jul-2020
IAMGold Corporation	4-Mar-1996
iAnthus Capital Holdings, Inc.	15-Nov-2016
Ibex Technologies Inc.	13-Jun-1996
IBI Group Inc.	1-Jan-2011
IBM Acquisition Inc.	15-Mar-2000
ICL Global Equity Fund	28-Aug-2008
Icon Laser Eye Centers, Inc. (CT)	13-Feb-2001
IDS Intelligent Detection Systems Inc. (CT)	24-Dec-1997
If You Could See What I Hear (CT)	3-Dec-1980
*IFCI International Corp.(CT)	7-Sep-2012
IG Aristotle U.S. Small Cap Class	21-Oct-2002
IG Beutel Goodman Canadian Balanced Fund	9-Jul-1996
IG Beutel Goodman Canadian Equity Class	21-Oct-2002
IG Beutel Goodman Canadian Equity Fund	7-Sep-1996
IG Beutel Goodman Canadian Small Cap Fund	7-Sep-1996
IG BlackRock International Equity Fund	10-Jan-2020
IG CI Canadian Balanced Fund	28-Feb-1996
IG Core Portfolio - Balanced	24-Jan-2001
IG Core Portfolio - Balanced Growth	24-Jan-2001
IG Core Portfolio - Global Income	15-Jul-2014
IG Core Portfolio - Growth	24-Jan-2001
IG Core Portfolio - Income	4-Mar-2011
IG Core Portfolio - Income Balanced	24-Jan-2001
IG Core Portfolio - Income Focus	24-Jan-2001
IG Core Portfolio Class - Balanced	30-Jun-2009
IG Core Portfolio Class - Balanced Growth	30-Jun-2009
IG Core Portfolio Class - Balanced Growth II	30-Jun-2009
IG Core Portfolio Class - Growth	30-Jul-2009
IG Core Portfolio Class - Growth II	30-Jun-2009
IG Core Portfolio Class - Income Balanced	16-Jan-2015
IG FI Canadian Equity Class	21-Oct-2002



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
IG FI Canadian Equity Fund	20-Nov-2000
IG Franklin Bissett Canadian Equity Class	11-Aug-2004
IG Franklin Bissett Canadian Equity Class II	25-Nov-2011
IG Franklin Bissett Canadian Equity Fund	11-Aug-2004
IG Irish Life Low Volatility Global Equity Class	10-Jul-2015
IG Irish Life Low Volatility Global Equity Fund	10-Jul-2015
IG JPMorgan Emerging Markets Class	21-Oct-2002
IG JPMorgan Emerging Markets Fund	9-Nov-2018
IG Mackenzie Canadian Corporate Bond Fund	29-Apr-2011
IG Mackenzie Canadian Equity Income Fund	10-Dec-2004
IG Mackenzie Canadian High Yield Income Fund	9-Jul-1996
IG Mackenzie Canadian Money Market Class	21-Oct-2002
IG Mackenzie Canadian Money Market Fund	6-May-1985
IG Mackenzie Canadian Small/Mid Cap Class	21-Oct-2002
IG Mackenzie Canadian Small/Mid Cap Class II	21-Oct-2002
IG Mackenzie Canadian Small/Mid Cap Class III	21-Oct-2002
IG Mackenzie Canadian Small/Mid Cap Fund	24-Dec-1997
IG Mackenzie Canadian Small/Mid Cap Fund II	18-Aug-1999
IG Mackenzie Core U.S. Equity Class II	21-Oct-2002
IG Mackenzie Core U.S. Equity Class	25-Nov-2011
IG Mackenzie Core U.S. Equity Class III	13-Dec-2013
IG Mackenzie Core U.S. Equity Fund	25-Nov-2011
IG Mackenzie Dividend Class	13-Dec-2013
IG Mackenzie Dividend Growth Fund	18-Aug-1999
IG Mackenzie European Equity Class	21-Oct-2002
IG Mackenzie European Equity Fund	31-Aug-1990
IG Mackenzie European Mid-Cap Equity Class	21-Oct-2002
IG Mackenzie European Mid-Cap Equity Fund	5-Jun-2000
IG Mackenzie Floating Rate Income Fund	15-Jul-2014
IG Mackenzie Global Bond Fund	21-Jul-1992
IG Mackenzie Global Class	21-Oct-2002
IG Mackenzie Global Class II	20-Jul-2007
IG Mackenzie Global Class III	21-Oct-2002
IG Mackenzie Global Consumer Companies Class	21-Oct-2002
IG Mackenzie Global Dividend Fund	10-Jan-2006
IG Mackenzie Global Financial Services Class	21-Oct-2002
IG Mackenzie Global Financial Services Fund	23-Jan-2001
IG Mackenzie Global Fund	14-Oct-1986
IG Mackenzie Global Fund II	28-Feb-1996
IG Mackenzie Global Health Care Class	21-Oct-2002
IG Mackenzie Global Infrastructure Class	21-Oct-2002
IG Mackenzie Global Natural Resources Class	21-Oct-2002
IG Mackenzie Global Natural Resources Fund	9-Jul-1996
IG Mackenzie Global Precious Metals Class	25-Jul-2008
IG Mackenzie Global Science & Technology Class	21-Oct-2002
IG Mackenzie Global Science & Technology Fund	13-Oct-1998



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
IG Mackenzie Income Fund	12-Aug-1999
IG Mackenzie International Small Cap Class	21-Oct-2002
IG Mackenzie International Small Cap Fund	9-Nov-2018
IG Mackenzie Ivy European Class	21-Oct-2002
IG Mackenzie Ivy European Class II	30-Jul-2009
IG Mackenzie Ivy European Class III	21-Oct-2002
IG Mackenzie Ivy European Fund	18-Aug-1999
IG Mackenzie Ivy Foreign Equity Class	21-Oct-2002
IG Mackenzie Low Volatility Canadian Equity Class	10-Jul-2015
IG Mackenzie Low Volatility Canadian Equity Fund	6-Jul-2015
IG Mackenzie Mortgage and Short Term Income Fund	16-Oct-1973
IG Mackenzie Mutual of Canada	28-Jan-1974
IG Mackenzie North American Equity Class	21-Oct-2002
IG Mackenzie North American Equity Class II	21-Oct-2002
IG Mackenzie North American Equity Class III	20-Jul-2006
IG Mackenzie North American Equity Class IV	20-Jul-2006
IG Mackenzie North American Equity Class V	21-Oct-2002
IG Mackenzie North American Equity Fund	27-Nov-1962
IG Mackenzie Pacific International Class	21-Oct-2002
IG Mackenzie Pacific International Fund	31-Aug-1990
IG Mackenzie Pan Asia Equity Class II	11-Aug-2006
IG Mackenzie Pan Asian Equity Class	21-Oct-2002
IG Mackenzie Pan Asian Equity Fund	23-Jan-2001
IG Mackenzie Quebec Enterprise Class	21-Oct-2002
IG Mackenzie Quebec Enterprise Fund	14-May-1999
IG Mackenzie Strategic Income Fund	11-Jan-2013
IG Mackenzie Summa SRI Class	21-Oct-2002
IG Mackenzie Summa SRI Fund	9-Jan-1987
IG Mackenzie U.S. Dividend Registered Fund	16-Jan-2015
IG Mackenzie U.S. Money Market Fund	21-Apr-1997
IG Mackenzie U.S. Opportunities Class	1-Jan-2003
IG Mackenzie U.S. Opportunities Fund	9-Jul-1996
IG Managed Payout Portfolio	10-Dec-2004
IG Managed Payout Portfolio with Enhanced Growth	10-Dec-2004
IG Managed Payout Portfolio with Growth	10-Dec-2004
IG Managed Risk Portfolio - Balanced	10-Jul-2015
IG Managed Risk Portfolio - Growth Focus	10-Jul-2015
IG Managed Risk Portfolio - Income Balanced	10-Jul-2015
IG Managed Risk Portfolio - Income Focus	9-Nov-2018
IG Managed Risk Portfolio Class - Balanced	10-Jul-2015
IG Managed Risk Portfolio Class - Growth Focus	10-Jul-2015
IG Managed Risk Portfolio Class - Income Balanced	10-Jul-2015
IG PIMCO Global Bond Fund	12-Jul-2019
IG Putnam U.S. Growth Class	25-Nov-2011
IG Putnam U.S. Growth Class II	21-Oct-2002
IG Putnam U.S. Growth Fund	25-Nov-2011



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
IG Putnam U.S. Growth Fund II	18-Aug-1999
IG Putnam U.S. High Yield Income Fund	25-Jul-2008
IG T. Rowe Price U.S. Large Cap Equity Class	12-Jul-2010
IG T. Rowe Price U.S. Large Cap Equity Fund	12-Jul-2010
IGM Financial Inc.	15-Sep-1978
iLOOKABOUT Corp.	11-May-2017
IM Cannabis Corp. (formerly, Navasota Resources Inc.)	31-Mar-2021
Image Sculpting International Inc. (T)	20-Sep-2000
Imasight Corp.	2-Aug-2005
IMAX Corporation	30-Oct-1996
imaxx Canadian Bond Fund	3-Jun-2002
imaxx Canadian Fixed Pay Fund	3-Jun-2002
imaxx Equity Growth Fund	3-Jun-2002
imaxx Short Term Bond Fund	3-Jun-2002
ImmunoPrecise Antibodies Ltd. (formerly "Tanqueray Exploration Ltd.")	14-Dec-2020
Impax Energy Services Income Trust	2-Jun-2006
Imperial Canadian Bond Pool	15-Oct-1998
Imperial Canadian Diversified Income Pool	21-Nov-2003
Imperial Canadian Dividend Income Pool	7-May-2003
Imperial Canadian Equity Pool	15-Oct-1998
Imperial Emerging Economies Pool	28-Jun-1999
Imperial Equities Inc.	
Imperial Equity High Income Pool	21-Nov-2003
Imperial Global Equity Income Pool	31-Jan-2008
Imperial International Bond Pool	30-Jun-1999
Imperial International Equity Pool	15-Oct-1998
Imperial Metals Corporation	30-Oct-1979
Imperial Money Market Pool	15-Oct-1998
Imperial Oil Limited	5-Jun-1989
Imperial Overseas Equity Pool	21-Nov-2003
Imperial Short-Term Bond Pool	15-Oct-1998
Imperial Square Edmonton, Phase II (CT)	26-Nov-1980
Imperial Square, Westchase Limited (CT)	8-Nov-1982
Imperial U.S. Equity Pool	15-Oct-1998
IMRIS Inc.	26-Oct-2007
IMV Inc.	27-Aug-2012
Income 100 Fund	30-Jun-2020
Income 20/80 Fund	28-Jun-2019
Income 40/60 Fund	10-May-2001
Income Balanced Fund	30-Jun-2020
Income Financial Trust	29-Jan-1999
Incubus (CT)	21-Nov-1980
INDEXPLUS Income Fund	6-Jun-2017
Indigo Books & Music Inc.	26-Nov-1996
Indiva Limited	7-Feb-2018



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Industrial Alliance Insurance and Financial Services Inc.	5-Jun-1996
*Information Services Corporation	27-Jun-2013
Infrastructure Materials Corp.	12-Dec-2011
Inner Spirit Holdings Ltd.	17-May-2019
Innergex Renewable Energy Inc.	28-Nov-2007
InnoCan Pharma Corporation	4-Jun-2020
Innovative Composites International Inc.	18-Nov-2010
Innovative Wireline Solutions Inc.	10-May-2006
Inovalis Real Estate Investment Trust	3-Apr-2013
InPlay Oil Corp.	23-Jan-2015
*Input Capital Corp.	27-Sep-2013
INSCAPE Corporation	28-Nov-1997
Intact Financial Corporation	9-Dec-2004
Integra Balanced Fund	7-Jan-1988
Integra Bond Fund	29-Nov-1996
Integra Canadian Value Growth Fund	17-Mar-1999
Integra International Equity Fund	29-Nov-1996
Integra Resources Corp.	31-Oct-2018
Integra U.S. Value Growth Fund	27-Jul-1998
INTELLIPHARMACEUTICS INTERNATIONAL INC.	22-Oct-2009
Inter Pipeline Ltd.	19-Nov-1997
Interfor Corporation	7-Jul-1986
Intermap Technologies Corporation	18-Oct-2005
International Bond Fund (CLI)	30-Nov-2015
International Clean Power Dividend Fund	11-Feb-2021
International Display Corporation (CT)	6-Apr-1979
International Equity Alpha Corporate Class	30-Jul-2008
International Equity Alpha Pool	6-Sep-2018
International Equity Fund	13-Aug-1997
International Equity Growth Corporate Class	31-Dec-2007
International Equity Growth Pool	28-Apr-2000
International Equity Value Corporate Class	2-Oct-2007
International Equity Value Currency Hedged Corporate Class	30-Jul-2008
International Equity Value Currency Hedged Pool	1-Nov-2018
International Equity Value Pool	28-Apr-2000
International Falcon Resources Inc. (T)	14-Jun-1982
International Petroleum Corporation	14-Dec-2018
*International ProSoya Corporation (CT)	19-Apr-1996
International Utility Structures Inc.	15-Nov-1995
International Wallcoverings Ltd. (CT)	18-May-1994
Interquest Resources Corp. (CT)	9-Jul-1982
InterRent Real Estate Investment Trust	7-Feb-2007
Intertape Polymer Group Inc.	17-Feb-1992
INV Metals Inc.	14-Mar-2006
Invesco 1-10 Year Laddered Investment Grade Corporate Bond Index ETF	25-Aug-2017



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Invesco 1-3 Year Laddered Floating Rate Note Index ETF	11-Jul-2014
Invesco 1-5 Year Laddered All Government Bond Index ETF	20-Feb-2018
Invesco 1-5 Year Laddered Corporate Bond Index ETF Fund	14-Jan-2010
Invesco 1-5 Year Laddered Investment Grade Corporate Bond Index ETF	8-Jun-2011
Invesco Active Multi-Sector Credit Fund	21-Oct-1994
Invesco Allocation Fund	1-Jun-2004
Invesco Balanced ETF Portfolio	24-Nov-2017
Invesco Balanced Portfolio	1-Aug-2019
Invesco Canada Money Market Fund	30-Sep-1996
Invesco Canadian Class	6-Oct-1997
Invesco Canadian Dividend Index ETF	8-Jun-2011
Invesco Canadian Dividend Index ETF Class	12-Nov-2009
Invesco Canadian Endeavour Fund	16-Aug-1988
Invesco Canadian Fund	2-Sep-1981
Invesco Canadian Opportunity Class	15-Oct-2001
Invesco Canadian Plus Dividend Class	3-Apr-2007
Invesco Canadian Preferred Share Index EFT Class	14-Jan-2010
Invesco Canadian Preferred Share Index ETF	8-Jun-2011
Invesco Canadian Premier Balanced Class	15-Oct-2001
Invesco Canadian Premier Growth Class	11-Jan-1995
Invesco Canadian Premier Growth Fund	29-Jan-1990
Invesco Canadian Premiere Balanced Fund	28-Jul-1992
Invesco Canadian Real Return Bond Index Fund	14-Jan-2010
Invesco Canadian Short-Term Bond Fund	26-Nov-1993
Invesco Conservative ETF Portfolio	24-Oct-2017
Invesco Conservative Portfolio	1-Aug-2019
Invesco Diversified Yield Class	13-Aug-2007
Invesco Emerging Markets Class	7-Jan-2011
Invesco Emerging Markets Fund	26-Sep-1994
Invesco Emerging Markets Select Pool	25-Feb-2019
Invesco ESG Canadian Core Plus Bond ETF	9-Aug-2012
Invesco ESG Canadian Core Plus Bond ETF Fund	11-Aug-2010
Invesco European Growth Class	25-Oct-2000
Invesco Europlus Fund	29-Sep-1997
Invesco FTSE RAFI Canadian Index ETF	1-Mar-2018
Invesco FTSE RAFI Canadian Index ETF Class	12-Nov-2009
Invesco FTSE RAFI Canadian Small-Mid Index ETF	31-Mar-2015
Invesco FTSE RAFI Global Small-Mid ETF	20-Apr-2015
Invesco FTSE RAFI Global+ Index ETF	31-Mar-2015
Invesco FTSE RAFI U.S. ETF Fund	14-Jan-2010
Invesco FTSE RAFI U.S. Fundamental ETF Fund	14-Jan-2010
Invesco FTSE RAFI U.S. Index ETF	1-Mar-2018
Invesco FTSE RAFI U.S. Index ETF II	31-Mar-2015
Invesco Fundamental High Yield Corporate Bond Index ETF	8-Jun-2011
Invesco Global Balanced Class	12-Aug-2002



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Invesco Global Balanced Fund	19-Oct-1999
Invesco Global Bond Fund	24-May-2016
Invesco Global Companies Fund	2-Sep-1981
Invesco Global Diversified Companies Class	18-May-2001
Invesco Global Diversified Companies Fund	24-Apr-1989
Invesco Global Diversified Income Fund	24-May-2016
Invesco Global Dividend Achievers ETF Fund	14-Jan-2010
Invesco Global Dividend Class	3-Apr-2007
Invesco Global Dividend Income Fund	4-Apr-2017
Invesco Global Focus Class	12-Aug-2002
Invesco Global Focus Fund	8-Jun-1993
Invesco Global Growth Class	30-Sep-1996
Invesco Global High Yield Bond Fund	19-Oct-1999
Invesco Global Monthly Income Fund	4-Apr-2017
Invesco Global Opportunities Class	1-Jun-2007
INVESCO Global Real Estate Fund	31-Oct-2007
Invesco Global Shareholder Yield ETF	20-Apr-2015
Invesco Growth ETF Portfolio	24-Oct-2017
Invesco Growth Portfolio	1-Aug-2019
Invesco High Growth ETF Portfolio	24-Oct-2017
Invesco High Growth Portfolio	1-Aug-2019
INVESCO Inc.	9-Apr-1992
Invesco Income Growth Fund	13-Aug-1987
Invesco Intactive 2023 Portfolio	21-May-2008
Invesco Intactive 2028 Portfolio	21-May-2008
Invesco Intactive 2033 Portfolio	21-May-2008
Invesco Intactive 2038 Portfolio	21-May-2008
Invesco Intactive Balanced Growth Portfolio	11-May-2005
Invesco Intactive Balanced Growth Portfolio Class	21-Apr-2011
Invesco Intactive Balanced Income Portfolio	11-May-2005
Invesco Intactive Balanced Income Portfolio Class	21-Apr-2011
Invesco Intactive Diversified Income Portfolio Class	21-Apr-2011
Invesco Intactive Diversified Income Portfolios	11-May-2005
Invesco Intactive Growth Portfolio Class	21-Apr-2011
Invesco Intactive Maximum Growth Portfolio	11-May-2005
Invesco Intactive Maximum Growth Portfolio Class	21-Apr-2011
Invesco Intactive Strategic Yield Portfolio Fund	10-Apr-2012
Invesco International Companies Class	31-May-2007
Invesco International Companies Fund	19-Oct-1999
Invesco International Growth Class	26-Jun-2000
Invesco International Growth Fund	17-Aug-2009
Invesco LadderRite U.S. 0-5 Year Corporate Bond Index ETF	11-Jul-2014
Invesco Long Term Government Bond Index ETF	31-Dec-2011
Invesco Low Volatility Portfolio ETF	20-Apr-2015
Invesco Moderate ETF Portfolio	24-Nov-2017
Invesco Moderate Portfolio	1-Aug-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Invesco NASDAQ 100 Index ETF Fund	18-Jan-2021
Invesco NASDAQ Next Gen 100 Index ETF Fund	18-Jan-2021
Invesco QQQ Index ETF	8-Jun-2011
Invesco S&P 500 Equal Weight Index ETF	16-May-2018
Invesco S&P 500 ESG Index ETF	14-Feb-2020
Invesco S&P 500 ESG Index ETF Fund	18-Jan-2021
Invesco S&P 500 High Dividend Low Volatility Index ETF	15-Feb-2017
Invesco S&P 500 Low Volatility Index ETF	10-Jan-2012
Invesco S&P 500 Low Volatility Index ETF Fund	31-Jul-2013
Invesco S&P 500 Momentum Index ETF	7-Mar-2016
Invesco S&P Emerging Markets Low Volatility Index ETF	11-Jul-2014
Invesco S&P Europe 350 Equal Weight Index ETF	18-Sep-2018
Invesco S&P Global ex. Canada High Dividend Low Volatility Index ETF	15-Feb-2017
Invesco S&P International Developed Low Volatility Index ETF	11-Jul-2014
Invesco S&P/TSX Composite ESG Index ETF	29-Sep-2020
Invesco S&P/TSX Composite ESG Index ETF Class	18-Jan-2021
Invesco S&P/TSX Composite Low Volatility Index ETF	5-Apr-2012
Invesco S&P/TSX Composite Low Volatility Index ETF Class	31-Jul-2013
Invesco S&P/TSX REIT Income Index ETF	25-Aug-2017
Invesco Select Balanced Fund	14-Nov-1989
Invesco Select Canadian Equity Fund	24-Nov-1992
Invesco Senior Loan Index ETF	10-Jan-2012
Invesco Trimark Dialogue Growth Portfolio	11-May-2005
Invesco U.S. Companies Class	18-May-2001
Invesco U.S. Companies Fund	19-Oct-1999
Invesco U.S. High Yield Bond Index Fund	14-Jan-2010
Invesco U.S. Money Market Fund	21-Jan-2000
Invesco U.S. Small Companies Class	12-Aug-2002
Invesque Inc.	25-Jul-2007
Investment Grade Managed Duration Income Fund	28-Jan-2016
Investors Cornerstone Portfolio	30-Jun-2009
Investors Dividend Fund	16-Mar-1978
Investors Growth Plus Portfolio	4-Jan-1989
Investors Growth Portfolio	4-Jan-1989
Investors Income Plus Portfolio	4-Jan-1989
Investors Real Property Fund	13-Dec-1983
Investors Retirement Growth Portfolio	4-Jan-1989
Investors Retirement Plus Portfolio	4-Jan-1989
Investors Syndicate Limited	9-May-1989
Invictus MD Strategies Corp.	11-Apr-2014
Ion Energy Ltd.	7-Apr-2021
Iona Energy Inc	30-Sep-2009
IPC Balanced Essentials Portfolio	11-Sep-2018
IPC ESG Balanced Essentials Portfolio	21-May-1999
IPC Essentials Equity Portfolio	13-Apr-2021



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
IPC Focus Equity Portfolio	13-Apr-2021
IPC Growth Essentials Portfolio	11-Sep-2018
IPC Income Essentials Portfolio	11-Sep-2018
IPC Multi-Factor Canadian Equity	31-Oct-2019
IPC Multi-Factor International Equity	31-Oct-2019
IPC Multi-Factor U.S. Equity	31-Oct-2019
IPC Private Wealth Visio Balanced Growth Pool	3-Nov-2020
IPC Private Wealth Visio Balanced Pool	3-Nov-2020
IPC Private Wealth Visio Core Fixed Income	3-Nov-2020
IPC Private Wealth Visio Growth Pool	3-Nov-2020
IPC Private Wealth Visio Income Pool	3-Nov-2020
IPC Private Wealth Visio North American Equity	3-Nov-2020
iProfile Active Allocation Private Pool I	5-Mar-2021
iProfile Active Allocation Private Pool II	5-Mar-2021
iProfile Active Allocation Private Pool III	31-Mar-2021
iProfile Alternatives Private Pool	5-Mar-2021
iProfile Canadian Equity Private Class	1-Mar-2013
iProfile Canadian Equity Private Pool	11-Jan-2001
iProfile Emerging Markets Private Class	1-Mar-2013
iProfile Emerging Markets Private Pool	1-Nov-2001
iProfile ETF Private Pool	10-Jul-2020
iProfile Fixed Income Private Pool	11-Jan-2001
iProfile International Equity Private Class	1-Mar-2013
iProfile International Equity Private Pool	11-Jan-2001
iProfile Low Volatility Private Pool	5-Mar-2021
iProfile Portfolio - Global Equity	5-Jun-2020
iProfile Portfolio - Global Equity Balanced	5-Jun-2020
iProfile Portfolio - Global Fixed Income Balanced	5-Jun-2020
iProfile Portfolio - Global Neutral Balanced	5-Jun-2020
iProfile U.S. Equity Private Class	1-Mar-2013
iProfile U.S. Equity Private Pool	11-Jan-2001
Irish Life - IG Low Volatility Global Equity Pool	10-Jan-2020
Irving Resources Inc.	13-Nov-2015
ISE Limited	12-Feb-2010
iShares 1-10 Year Laddered Corporate Bond Index Fund	16-May-2011
iShares 1-10 Year Laddered Government Bond Index Fund	16-May-2011
iShares 1-5 Year Laddered Corporate Bond Index ETF	26-Nov-2008
iShares 1-5 Year Laddered Government Bond Index ETF	4-Dec-2007
iShares All-Cap MSCI Emerging Markets Index ETF	10-Apr-2013
iShares Canadian Corporate Bond Index ETF	6-Nov-2006
iShares Canadian Financial Monthly Income ETF	28-Feb-2007
iShares Canadian Fundamental Index ETF	20-Feb-2006
iShares Canadian Government Bond Index ETF	6-Nov-2006
iShares Canadian Growth Index ETF	6-Nov-2006
iShares Canadian HYBRID Corporate Bond Index ETF	17-Sep-2010
iShares Canadian Real Return Bond Index ETF	6-Nov-2006



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
iShares Canadian Select Dividend Index ETF	7-Dec-2005
iShares Canadian Short Term Bond Index ETF	2-Nov-2000
iShares Canadian Universe Bond Index ETF	2-Nov-2000
iShares Canadian Value Index ETF	6-Nov-2006
iShares China Index ETF	22-Jan-2010
iShares Conservative Short Term Strategic Fixed Income ETF	21-Aug-2015
iShares Conservative Strategic Fixed Income ETF	21-Aug-2015
iShares Convertible Bond Index Fund	8-Jun-2011
iShares Core Balanced ETF Portfolio	9-Apr-2007
iShares Core Canadian Long Term Bond Index ETF	6-Nov-2006
iShares Core Canadian Short Term Corporate Bond Index ETF	1-Sep-2011
iShares Core Conservative Balanced ETF Portfolio	31-Jul-2019
iShares Core Equity ETF Portfolio	31-Jul-2019
iShares Core Growth ETF Portfolio	9-Apr-2007
iShares Core Income Balanced ETF Portfolio	31-Jul-2019
iShares Core MSCI All Country World ex Canada Index ETF	5-Feb-2015
iShares Core MSCI Canadian Quality Dividend Index ETF	2-Jun-2017
iShares Core MSCI EAFE IMI Index ETF	10-Apr-2013
iShares Core MSCI EAFE IMI Index ETF (CAD-Hedged)	5-Feb-2015
iShares Core MSCI Global Quality Dividend Index ETF	2-Jun-2017
iShares Core MSCI Global Quality Dividend Index ETF (CAD-Hedged)	2-Jun-2017
iShares Core MSCI US Quality Dividend Index ETF	2-Jun-2017
iShares Core MSCI US Quality Dividend Index ETF (CAD-Hedged)	2-Jun-2017
iShares Core S&P 500 Index ETF	10-Apr-2013
iShares Core S&P 500 Index ETF (Cad-Hedged)	23-May-2001
iShares Core S&P U.S. Total Market Index ETF	5-Feb-2015
iShares Core S&P U.S. Total Market Index ETF (CAD-Hedged)	5-Feb-2015
iShares Core S&P/TSX Composite High Dividend Index ETF	11-Apr-2011
iShares Core Short Term High Quality Canadian Bond Index ETF	10-Jul-2014
iShares Diversified Monthly Income ETF	7-Dec-2005
iShares Edge MSCI Min Vol EAFE Index ETF (CAD-Hedged)	30-Mar-2016
iShares Edge MSCI Min Vol Global Index ETF	30-Mar-2016
iShares Edge MSCI Min Vol USA Index ETF (CAD-Hedged)	30-Mar-2016
iShares Edge MSCI USA Quality Factor Index ETF	31-Jul-2019
iShares Emerging Markets Fundamental Index ETF	18-Jul-2008
iShares Equal Weight Banc & Lifeco ETF	31-Jan-2008
iShares ESG Advanced MSCI Canada Index ETF	27-Mar-2020
iShares ESG Advanced MSCI EAFE Index ETF	27-Mar-2020
iShares ESG Advanced MSCI USA Index ETF	27-Mar-2020
iShares ESG Aware MSCI Canada Index ETF	5-Mar-2019
iShares ESG Aware MSCI EAFE Index ETF	5-Mar-2019
iShares ESG Aware MSCI Emerging Markets Index ETF	5-Mar-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
iShares ESG Aware MSCI USA Index ETF	5-Mar-2019
iShares ESG Canadian Aggregate Bond Index ETF	5-Mar-2019
iShares ESG Canadian Short Term Bond Index ETF	5-Mar-2019
iShares ESG MSCI Canada Leaders Index ETF	27-Aug-2020
iShares FactorSelect™ MSCI Canada Index ETF	21-Sep-2015
iShares FactorSelect™ MSCI EAFE Index ETF	21-Sep-2015
iShares FactorSelect™ MSCI EAFE Index ETF (CAD-Hedged)	21-Sep-2015
iShares FactorSelect™ MSCI USA Index ETF	21-Sep-2015
iShares FactorSelect™ MSCI USA Index ETF (CAD-Hedged)	21-Sep-2015
iShares Floating Rate Index ETF	15-Nov-2011
iShares Global Agriculture Index ETF	4-Dec-2007
iShares Global Government Bond Index ETF (CAD Hedged)	10-Aug-2017
iShares Global Government Bond Index ETF (CAD-Hedged)	8-Apr-2019
iShares Global Healthcare Index ETF (CAD-Hedged)	11-Apr-2011
iShares Global Infrastructure Index Fund	18-Jul-2008
iShares Global Monthly Dividend Index ETF	9-Apr-2007
iShares Global Real Estate Index Fund	18-Jul-2008
iShares Global Water Index ETF	9-Apr-2007
iShares Gold Bullion ETF	1-Feb-2010
iShares High Quality Canadian Bond Index ETF	1-May-2009
iShares India Index ETF	22-Jan-2010
iShares International Fundamental Index ETF	21-Aug-2006
iShares Jantzi Social Index ETF	4-May-2007
iShares Japan Fundamental Index Fund (CAD-Hedged)	21-Aug-2006
iShares JPMorgan USD Emerging Markets Bond Index Fund (CAD-Hedged)	11-Apr-2011
iShares MSCI Canada Minimum Volatility Index Fund	16-Jul-2012
iShares MSCI EAFE Index ETF (CAD-Hedged)	4-Sep-2001
iShares MSCI EAFE Minimum Volatility Index Fund	16-Jul-2012
iShares MSCI Emerging Markets Index ETF	15-Jun-2009
iShares MSCI Emerging Markets Minimum Volatility Index Fund	16-Jul-2012
iShares MSCI Europe IMI Index ETF	14-Apr-2014
iShares MSCI Europe IMI Index ETF (CAD-Hedged)	14-Apr-2014
iShares MSCI USA Minimum Volatility Index Fund	16-Jul-2012
iShares MSCI USA Momentum Factor Index ETF(XMTM)	29-Jun-2020
iShares MSCI World Index ETF	15-Jun-2009
iShares NASDAQ 100 Index ETF (CAD-Hedged)	11-Apr-2011
iShares Premium Money Market ETF	4-Dec-2007
iShares S&P Global Consumer Discretionary Index ETF (CAD-Hedged)	20-Mar-2013
iShares S&P Global Industrials Index ETF (CAD-Hedged)	20-Mar-2013
iShares S&P U.S. Mid-Cap Index ETF	28-Jul-2015
iShares S&P U.S. Mid-Cap Index ETF (CAD-Hedged)	28-Jul-2015
iShares S&P/TSX 60 Index ETF	24-Sep-1999



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
iShares S&P/TSX Canadian Dividend Aristocrats Index ETF	21-Aug-2006
iShares S&P/TSX Canadian Preferred Share Index ETF	9-Apr-2007
iShares S&P/TSX Capped Composite Index ETF	16-Feb-2001
iShares S&P/TSX Capped Consumer Staples Index ETF	11-Apr-2011
iShares S&P/TSX Capped Energy Index ETF	16-Feb-2001
iShares S&P/TSX Capped Financials Index ETF	16-Feb-2001
iShares S&P/TSX Capped Information Technology Index ETF	16-Feb-2001
iShares S&P/TSX Capped Materials Index ETF	7-Dec-2005
iShares S&P/TSX Capped REIT Index ETF	27-Aug-2002
iShares S&P/TSX Capped Utilities Index ETF	11-Apr-2011
iShares S&P/TSX Completion Index ETF	16-Feb-2001
iShares S&P/TSX Global Base Metals Index ETF	11-Apr-2011
iShares S&P/TSX Global Gold Index ETF	16-Feb-2001
iShares S&P/TSX North American Preferred Stock Index ETF (CAD-Hedged)	31-Dec-2010
iShares S&P/TSX SmallCap Index ETF	4-May-2007
iShares Short Duration High Income ETF (CAD-Hedged)	3-Dec-2010
iShares Short Term Strategic Fixed Income ETF	15-Jan-2015
iShares Silver Bullion ETF	29-Jun-2009
iShares U.S. High Dividend Equity Index ETF	5-Feb-2015
iShares U.S. High Dividend Equity Index ETF (CAD-Hedged)	16-Jul-2012
iShares U.S. High Yield Bond Index ETF (CAD-Hedged)	22-Jan-2010
iShares U.S. High Yield Fixed Income Index ETF (CAD-Hedged)	1-May-2009
iShares U.S. IG Corporate Bond Index Fund (CAD-Hedged)	22-Jan-2010
iShares U.S. Small Cap Index ETF (CAD-Hedged)	4-May-2007
iShares US Dividend Growers Index ETF (CAD-Hedged)	16-May-2011
iShares US Fundamental Index Fund	21-Aug-2006
Itafos	3-Feb-2012
itemus inc. (CT)	25-Oct-1995
IVACO INC	15-Jul-1980
Ivanhoe Energy Inc.	3-Jun-1997
Ivanhoe Mines Ltd.	16-Oct-2012
IVG Enterprises Ltd.	30-Sep-1997
IVRnet Inc.	23-Aug-2000
J.D.S. Investments Limited (CT)	27-Jul-1987
Jaguar Mining Inc.	16-Jul-1986
Jaguar Resources Inc.	11-Apr-2012
James Richardson & Sons, Limited	4-May-2007
Jamieson Wellness Inc.	29-Jun-2017
Jarvis Mutual Partnership (CT)	18-Jun-1986
Jaws Acquisition Corp.	10-Dec-1999
JAWZ Inc.	29-Jan-2000
Jayden Resources Inc.	24-Mar-2010
Jeotex Inc.	30-Jun-2014
Jervois Mining Limited	24-Jul-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
JFT Strategies Fund	25-Apr-2012
Jiminex Inc.	28-Feb-2008
JINHUA CAPITAL CORPORATION	16-Apr-2010
Joffre Resources Ltd. (CT)	26-May-1981
John Deere Canada Funding Inc.	5-Jun-2012
John Hancock Financial Services, Inc.	26-Nov-2008
Johnson Controls International plc	21-Mar-1991
Josemaria Resources Inc.	10-Aug-2020
Journey Energy Inc.	13-Jun-2014
JPMorgan - IG Emerging Markets Pool	11-May-2018
JPMorgan - IG U.S. Equity Long/Short Pool	29-May-2020
June 2021 Investment Grade Bond Pool	24-Feb-2017
Jura Energy Corporation	16-Mar-1999
Jushi Holdings Inc.	14-Oct-2020
Just Energy Group Inc.	1-Jan-2011
K-Bro Linen Inc.	1-Jan-2011
K.B. Recycling Industries Ltd.	1-Apr-2021
Kane Biotech Inc.	21-Mar-2003
*Kannata Ridge Golf Resort Ltd. (CT)	15-Oct-1992
Kap Resources Ltd. (CT)	15-Mar-1995
*Karnalyte Resources Inc.	7-Dec-2010
Karora Resources Inc.	10-Dec-2010
Katapult Technology Corp.	27-Oct-2017
Kelt Exploration Ltd.	26-Feb-2013
Kelvin Energy Ltd. (CT)	15-Nov-1978
Kerr Mines Inc.	27-Jun-2014
Kestrel Gold Inc.	27-Aug-2007
Kew Media Group Inc.	3-Jun-2016
Keyera Corp.	1-Jan-2011
KHAN RESOURCES INC.	18-Jul-2006
Khiron Life Sciences Corp.	6-Sep-2018
Killam Apartment Limited Partnership	1-Jan-2016
Killam Apartment Real Estate Investment Trust	1-Jan-2016
Kimpex International Inc. (CT)	21-Apr-1994
Kinaxis Inc.	3-Jun-2014
Kinburn Technology Corporation (CT)	15-May-1987
*Kindersley Inn Venture Fund Inc.(CT)	2-Dec-1987
King's Bay Gold Corporation	5-Apr-2002
*Kingsland Energy Corp.	21-Dec-1994
Kingsway 2007 General Partnership	4-Jul-2007
Kingsway Financial Services Inc.	11-Dec-1995
Kinross Gold Corporation	30-Mar-1992
*Kipp & Zonen Inc. (CT)	21-Mar-1990
Kirkland Lake Gold Ltd.	11-Dec-2009
Kits Eyecare Ltd.	12-Jan-2021
Klondike Fever (CT)	14-Nov-1979



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
kneat.com, inc.	5-Mar-2014
Knight Therapeutics Inc.	28-Feb-2014
KP Tissue Inc.	6-Dec-2012
Kraken Robotics Inc.	17-Dec-2018
La Fosse Platinum Group Inc. (CT)	4-Aug-1987
LAB Research Inc.	24-Jul-2006
Labrador Iron Mines Holdings Limited	26-Nov-2007
Labrador Iron Ore Royalty Corporation	1-Jul-2010
Lafarge Canada Inc.	7-Mar-1980
Lago Dourado Minerals Ltd.	8-Nov-2010
Lake Louise Limited Partnership	17-Oct-1988
Lakeview Hotel Investment Corp.	31-Dec-2012
Lakewood Forest Products Ltd. (CT)	30-Nov-1984
Landmark Global Financial Corporation	31-Jan-1992
Lanesborough Real Estate Investment Trust	27-Aug-2002
Laramide Resources Ltd.	17-Jun-2008
Lattice Biologics Ltd.	22-Feb-1996
Launch Resources Inc.	8-Mar-1979
Laurentian Bank Of Canada	31-Jan-1983
Lava Systems Inc. (CT)	30-Oct-1996
Lazard Defensive Global Dividend Fund	9-Sep-2015
Lazard Global Balanced Income Fund	4-Nov-2014
Lazard Global Compounders Fund	26-Apr-2016
LDIC North American Small Business Fund (Corporate Class)	26-May-2015
Le Chateau Inc.	13-Dec-1983
Leader Energy Services Ltd.	12-Nov-2003
Leaf Mobile Inc.	22-Jan-2021
Leed NT Corp. (CT)	28-Aug-1996
Legacy Education Savings Plan (formerly, Global Educational Trust Plan)	19-Oct-1998
Legend Power Systems Inc.	3-Apr-2018
Lehman Trikes Inc.	30-Nov-2001
Lehndorff Canadian Properties (CT)	10-May-1979
Leith Wheeler Balanced Fund	28-May-1998
Leith Wheeler Canadian Dividend Fund	23-Dec-2010
Leith Wheeler Canadian Equity Fund	28-May-1998
Leith Wheeler Carbon Constrained Canadian Equity Fund	25-May-2018
Leith Wheeler Core Bond Fund	28-May-1998
Leith Wheeler Corporate Advantage Fund	29-May-2014
Leith Wheeler Emerging Markets Equity Fund	29-May-2017
Leith Wheeler High Yield Bond Fund	28-May-2015
Leith Wheeler Income Advantage Fund	31-Dec-2010
Leith Wheeler International Equity Plus Fund	31-Oct-2007
Leith Wheeler Money Market Fund	28-May-1998
Leith Wheeler Multi Credit Fund	29-May-2017
Leith Wheeler Preferred Share Fund	25-May-2018



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Leith Wheeler Short Term Income Fund	25-May-2018
Leith Wheeler U.S. Dividend Fund	26-Sep-2016
Leith Wheeler U.S. Equity Fund	28-May-1998
Leith Wheeler U.S. Small/Mid-Cap Equity Fund	31-Oct-2016
LeoNovus Inc.	5-Dec-2017
Leucrotta Exploration Inc.	6-Aug-2014
Levtech Medical Technologies Ltd. (CT)	11-Jul-1986
Lexagene Holdings Inc. (formerly, Wolfeye Resource Corp.)	12-Dec-2017
LGC Skyrota Wind Energy Corp.	2-Jun-2009
LGX Oil & Gas Inc.	15-Sep-2010
Liberty Defense Holdings, Ltd. (formerly, Gulfstream Acquisition 1 Corp.)	18-Oct-2019
Liberty Gold Corp.	6-Apr-2011
Life & Banc Split Corp.	29-Sep-2006
LifePoints Balanced Growth Class	28-Oct-2008
Lifestyle Global Brands Limited	23-Sep-2015
*Lightcollar Inc.(CT)	7-Sep-2012
Lightspeed POS Inc.	7-Mar-2019
Lightstream Resources Ltd.	1-Oct-2009
Liminal BioSciences Inc.	14-Jul-1998
Linamar Corporation	20-Jan-1986
Lincluden Balanced Fund	18-Apr-2007
Lincoln's Hill 31 L.P. (CT)	7-Dec-1982
Lions Bay Capital Inc.	26-Aug-2010
Lions Petroleum Inc.	27-Jun-2001
Lithium Americas Corp.	9-May-2014
Livent Inc. (CT)	11-May-1993
Loblaw Companies Limited	23-Apr-1981
Loewen Group Inc. (T)	29-Apr-1987
Logistec Corporation	11-Jun-1986
Loh's (CT) Sinfully Good Ice Cream & Cookies Inc.	17-Sep-1986
Loncort Resources Inc.	3-Oct-2012
Long Duration Bond Fund	2-Jun-2003
Long Duration Credit Bond Fund	3-Apr-2013
Long Term Bond Fund (Portico)	4-Jul-2017
Lonsdale Apartment Project	8-Dec-1980
Loop Energy Inc.	18-Feb-2021
Loop Insights Inc.	
LOOPShare LTD.	25-Mar-2010
Lowell Farms Inc.	11-Dec-2020
LSC Communications, Inc.	20-Sep-2016
Lucky Minerals Inc.	22-Nov-2017
Lululemon Athletica Inc.	26-Jul-2007
Lundin Gold Inc.	12-Jul-2016
Lundin Mining Corporation	20-Apr-2009
Lunetterie New Look Inc.	1-May-2005



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Lupaka Gold Corp.	15-Jun-2011
LWP Capital Inc.	30-Jun-2011
LXRandCO, Inc.	25-Sep-2015
Lydian International Limited	7-Apr-2010
Lynngold Resources Inc. (CT)	31-Aug-1985
Lysander Balanced Income Fund	31-Dec-2015
Lysander TDV Fund	23-Nov-2015
Lysander-18 Asset Management Canadian Equity Fund	30-Dec-2014
Lysander-Canso Balanced Fund	23-Dec-2011
Lysander-Canso Bond Fund	23-Dec-2011
Lysander-Canso Broad Corporate Bond Fund	30-Dec-2016
Lysander-Canso Corporate Value Bond Fund	23-Dec-2011
Lysander-Canso Credit Opportunities Fund	11-May-2020
Lysander-Canso Equity Fund	18-Sep-2013
Lysander-Canso Short Term and Floating Rate Fund	18-Sep-2013
Lysander-Canso U.S. Credit Fund	30-Dec-2014
Lysander-Canso U.S. Short Term and Floating Rate Fund	12-May-2021
Lysander-Crusader Equity Income Fund	30-Dec-2014
Lysander-Fulcra Corporate Securities Fund	30-Dec-2016
Lysander-Seamark Balanced Fund	30-Dec-2014
Lysander-Seamark Total Equity Fund	30-Dec-2014
Lysander-Slater Preferred Share ActivETF	30-Jul-2015
Lysander-Slater Preferred Share Dividend Fund	20-Jan-2015
Lysander-Triasima All Country Equity Fund	31-Dec-2015
Lysander-Triasima All Country Long/Short Equity Fund	11-May-2020
M Split Corp.	29-Mar-2007
M8 Entertainment Inc	22-Dec-1997
MacDonald Mines Exploration Ltd.	22-Dec-1997
Mackenzie - IG Canadian Bond Pool	12-Oct-2018
Mackenzie - IG Canadian Corporate Bond Pool	29-May-2020
Mackenzie - IG Canadian Equity Income Pool	21-May-2020
Mackenzie - IG Canadian Equity Pool	12-Oct-2018
Mackenzie - IG Equity Pool	10-Jul-2015
Mackenzie - IG Global Bond Pool	21-May-2020
Mackenzie - IG Global Credit Opportunities Pool	21-May-2020
Mackenzie - IG Global Inflation-Linked Pool	11-May-2018
Mackenzie - IG Income Pool	10-Jul-2015
Mackenzie - IG International Equity Pool	12-Oct-2018
Mackenzie - IG Low Volatility Canadian Equity Pool	10-Jan-2020
Mackenzie - IG Low Volatility Emerging Markets Equity Pool	12-Mar-2018
Mackenzie - IG Low Volatility U.S. Equity Pool	12-Oct-2018
Mackenzie - IG U.S. Equity Pool	12-Oct-2018
Mackenzie All China Equity Fund	4-Oct-2017
Mackenzie Alternative Enhanced Yield Fund	20-Apr-2020
Mackenzie Anti-Benchmark Global Investment Grade Fund	26-Jul-2019
Mackenzie Asian Small-Mid Cap Fund	6-Feb-2020



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Mackenzie Balanced Allocation ETF	26-Aug-2020
Mackenzie Balanced ETF Portfolio	16-Jan-2018
Mackenzie Broad Risk Premia Collection Fund	29-Apr-2019
Mackenzie Canadian Aggregate Bond Index ETF	10-Jan-2018
Mackenzie Canadian All Corporate Bond Index ETF	10-Jan-2018
Mackenzie Canadian Bond Fund	18-Jan-1989
Mackenzie Canadian Dividend Class	13-Nov-2003
Mackenzie Canadian Dividend Fund	21-Oct-1986
Mackenzie Canadian Equity Class	14-Jul-2010
Mackenzie Canadian Equity Fund	12-Nov-1998
Mackenzie Canadian Equity Index ETF	10-Jan-2018
Mackenzie Canadian Growth Balanced Class	5-Oct-2016
Mackenzie Canadian Growth Balanced Fund	16-Nov-1996
Mackenzie Canadian Growth Class	10-Aug-2016
Mackenzie Canadian Growth Fund	29-Jan-1998
Mackenzie Canadian Large Cap Equity Index ETF	10-Jan-2018
Mackenzie Canadian Short Term Fixed Income ETF	9-Nov-2017
Mackenzie Canadian Short Term Income Fund	17-Jan-1994
Mackenzie Canadian Short-Term Bond Index ETF	10-Jan-2018
Mackenzie Canadian Small Cap Class	14-Jul-2010
Mackenzie Canadian Small Cap Fund	12-Nov-1998
Mackenzie China A-Shares CSI 300 Index ETF	10-Jan-2019
Mackenzie China Bond Fund	2-Oct-2019
Mackenzie Conservative ETF Portfolio	16-Jan-2018
Mackenzie Conservative Income ETF Portfolio	16-Jan-2018
Mackenzie Core Plus Canadian Fixed Income ETF	4-Apr-2016
Mackenzie Core Plus Global Fixed Income ETF	4-Apr-2016
Mackenzie Corporate Bond Fund	4-Jan-2001
Mackenzie Credit Absolute Return Fund	1-Feb-2019
Mackenzie Cundill Canadian Balanced Fund	29-Sep-1998
Mackenzie Cundill Canadian Security Class	13-Nov-2003
Mackenzie Cundill Canadian Security Fund	8-May-1987
Mackenzie Cundill Value Class	26-Oct-2000
Mackenzie Cundill Value Fund	30-Apr-1984
Mackenzie Diversified Alternatives Fund	2-Oct-2015
Mackenzie Emerging Markets Bond Index ETF (CAD-Hedged)	26-Jun-2019
Mackenzie Emerging Markets Class	26-Oct-2000
Mackenzie Emerging Markets Fund	9-Mar-2018
Mackenzie Emerging Markets Large Cap Fund	9-Mar-2018
Mackenzie Emerging Markets Local Currency Bond Index ETF	26-Jun-2019
Mackenzie Emerging Markets Opportunities Fund	28-Sep-2012
Mackenzie Emerging Markets Small Cap Fund	9-Mar-2018
Mackenzie Enhanced Equity Risk Premia Fund	29-Apr-2019
Mackenzie Enhanced Fixed Income Risk Premia Fund	29-Apr-2019
Mackenzie European Small-Mid Cap Fund	6-Feb-2020



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Mackenzie Floating Rate Income ETF	4-Apr-2016
Mackenzie Floating Rate Income Fund	1-May-2013
Mackenzie Global Credit Opportunities Fund	18-Apr-2017
Mackenzie Global Dividend Fund	27-Jun-2007
Mackenzie Global Energy Opportunities Long/Short Fund	29-Apr-2019
Mackenzie Global Environmental Equity Fund	4-Oct-2018
Mackenzie Global Equity Fund	16-Sep-1998
Mackenzie Global Equity Income Fund	26-Nov-2014
Mackenzie Global Growth Balanced Fund	16-Jan-2019
Mackenzie Global Growth Class	28-Dec-2000
Mackenzie Global High Yield Fixed Income ETF	4-Apr-2017
Mackenzie Global Inflation-Linked Fund	14-Feb-2017
Mackenzie Global Leadership Impact Fund	4-Oct-2017
Mackenzie Global Long/Short Equity Fund	1-Feb-2019
Mackenzie Global Low Volatility Equity Fund	9-Jul-2020
Mackenzie Global Macro Fund	1-Feb-2019
Mackenzie Global Opportunities Fund	6-Feb-2020
Mackenzie Global Resource Class	26-Oct-2000
Mackenzie Global Resource Fund	16-Jan-1978
Mackenzie Global Small -Mid Cap Equity Class	26-Oct-2000
Mackenzie Global Small-Mid Cap Equity Fund	6-Nov-1973
Mackenzie Global Strategic Income Fund	30-Nov-2005
Mackenzie Global Sustainability and Impact Balanced Fund	4-Oct-2017
Mackenzie Global Sustainable Bond Fund	24-Mar-2021
Mackenzie Global Tactical Bond Fund	10-Apr-2014
Mackenzie Global Tactical Investment Grade Bond Fund	6-May-2015
Mackenzie Global Women's Leadership ETF	9-Nov-2017
Mackenzie Gold Bullion Class	22-Dec-2009
Mackenzie Greenchip Global Balanced Fund	24-Mar-2021
Mackenzie Growth ETF Portfolio	16-Jan-2018
Mackenzie Income Fund	29-Oct-1975
Mackenzie International Dividend Fund	14-Feb-2017
Mackenzie International Equity Index ETF	10-Jan-2018
Mackenzie International Equity Index ETF (CAD-Hedged)	10-Jan-2018
Mackenzie International Quantitative Large Cap Fund	19-Jul-2019
Mackenzie International Quantitative Small Cap Fund	19-Jul-2019
Mackenzie Investment Grade Floating Rate Fund	10-Apr-2014
Mackenzie Ivy Canadian Balanced Class	10-Aug-2016
Mackenzie Ivy Canadian Balanced Fund	22-Oct-1992
Mackenzie Ivy Canadian Fund	22-Oct-1992
Mackenzie Ivy European Class	1-Nov-2002
Mackenzie Ivy Foreign Equity Class	4-Oct-2012
Mackenzie Ivy Foreign Equity Currency Neutral Class	4-Oct-2012
Mackenzie Ivy Foreign Equity Fund	22-Oct-1992
Mackenzie Ivy Global Balanced Class	10-Aug-2016
Mackenzie Ivy Global Balanced Fund	22-Nov-1993



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Mackenzie Ivy Global Equity ETF	9-Nov-2017
Mackenzie Ivy International Class	26-Oct-2000
Mackenzie Ivy International Fund	24-Sep-1985
Mackenzie Master Limited Partnership	18-Apr-1995
Mackenzie Maximum Diversification All World Developed ex North America Index ETF	6-Jun-2016
Mackenzie Maximum Diversification All World Developed ex North America Index Fund	14-Jun-2016
Mackenzie Maximum Diversification All World Developed Index ETF	6-Jun-2016
Mackenzie Maximum Diversification All World Developed Index Fund	14-Jun-2016
Mackenzie Maximum Diversification Canada Index Class	14-Jun-2016
Mackenzie Maximum Diversification Canada Index ETF	6-Jun-2016
Mackenzie Maximum Diversification Developed Europe Index ETF	6-Jun-2016
Mackenzie Maximum Diversification Developed Europe Index Fund	14-Jun-2016
Mackenzie Maximum Diversification Emerging Markets Index ETF	6-Jun-2016
Mackenzie Maximum Diversification Emerging Markets Index Fund	14-Jun-2016
Mackenzie Maximum Diversification US Index ETF	6-Jun-2016
Mackenzie Maximum Diversification US Index Fund	14-Jun-2016
Mackenzie Moderate Growth ETF Portfolio	16-Jan-2018
Mackenzie Monthly Income Balanced Portfolio	26-Nov-2014
Mackenzie Monthly Income Conservative Portfolio	26-Nov-2014
Mackenzie Multi-Strategy Absolute Return Fund	30-Apr-2018
Mackenzie North American Corporate Bond Fund	4-Oct-2013
Mackenzie Portfolio Completion ETF	9-Nov-2017
Mackenzie Precious Metals Class	26-Oct-2000
Mackenzie Private Canadian Focused Equity Pool	23-Nov-2015
Mackenzie Private Canadian Focused Equity Pool Class	23-Nov-2015
Mackenzie Private Equity Replication Fund	19-Nov-2020
Mackenzie Private Global Conservative Income Balanced Pool	23-Nov-2015
Mackenzie Private Global Equity Pool	23-Nov-2015
Mackenzie Private Global Equity Pool Class	23-Nov-2015
Mackenzie Private Global Fixed Income Pool	23-Nov-2015
Mackenzie Private Global Income Balanced Pool	23-Nov-2015
Mackenzie Private Income Balanced Pool	23-Nov-2015
Mackenzie Private Income Balanced Pool Class	23-Nov-2015
Mackenzie Private US Equity Pool	23-Nov-2015
Mackenzie Private US Equity Pool Class	23-Nov-2015
Mackenzie Sentinel Money Market Fund	11-Jan-1991
Mackenzie Sovereign Bond Fund	26-Nov-2014
Mackenzie Strategic Bond Fund	1-May-2013



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Mackenzie Strategic Income Class	3-Nov-2009
Mackenzie Strategic Income Fund	30-Nov-2005
Mackenzie Unconstrained Bond ETF	4-Apr-2016
Mackenzie Unconstrained Fixed Income Fund	6-Oct-2014
Mackenzie US All Cap Growth Fund	12-Jan-1995
Mackenzie US Core Equity Fund	9-Jul-2020
Mackenzie US Dividend Fund	10-Apr-2014
Mackenzie US Growth Class	26-Oct-2000
Mackenzie US High Yield Bond Index ETF (CAD-Hedged)	10-Jan-2018
Mackenzie US Investment Grade Corporate Bond Index ETF (CAD-Hedged)	10-Jan-2018
Mackenzie US Large Cap Equity Index ETF	10-Jan-2018
Mackenzie US Large Cap Equity Index ETF (CAD Hedged)	10-Jan-2018
Mackenzie US Mid Cap Opportunities Fund	6-Feb-2020
Mackenzie US Quantitative Large Cap Fund	6-Feb-2020
Mackenzie US Quantitative Small Cap Fund	6-Feb-2020
Mackenzie US Small-Mid Cap Growth Class	4-Oct-2012
Mackenzie US Small-Mid Cap Growth Currency Neutral Class	4-Oct-2017
Mackenzie US TIPS Index ETF (CAD-Hedged)	10-Jan-2018
Mackenzie USD Global Strategic Income Fund	6-May-2015
Mackenzie USD Global Tactical Bond Fund	6-May-2015
Mackenzie USD Ultra Short Duration Income Fund	6-May-2016
Madison Pacific Properties Inc.	9-Dec-1985
MAG Silver Corp.	10-May-2010
Magellan Aerospace Corporation	9-Apr-1987
Magellan Real Estate Investment Fund Limited Partnership (T)	4-Dec-1995
Magic Show (CT)	13-Nov-1980
MagIndustries Corp.	11-Jun-2009
Magna International Inc.	15-Dec-1983
Magnet Forensics Inc.	27-Apr-2021
Magnum Energy Inc.	4-May-2011
Magnum Hunter Resources Corporation	3-May-2011
Magor Corporation	27-Feb-2013
Mainstream Minerals Corporation	1-Jun-2007
Major Drilling Group International Inc.	27-Feb-1995
Mammoth Resources Corp.	4-Mar-2011
Mandalay Resources Corporation	29-Jul-2010
Manfrey Capital Corporation (CT)	4-May-1973
Manitok Energy Inc.	28-Nov-2011
Manitou Gold Inc.	19-Feb-2010
*Manitou Springs Venture Capital Corporation (CT)	29-Nov-1989
Mann Oil Resources Inc. (CT)	10-May-1983
Manulife Asia Equity Class	23-Aug-2011
Manulife Balanced Equity Private Pool	14-Dec-2012
Manulife Balanced Income Private Trust	10-Jun-2013
Manulife Balanced Portfolio	1-May-2017



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Manulife Bond Fund	7-Dec-1999
Manulife Canadian Balanced Fund	19-Aug-2010
Manulife Canadian Bond Fund	8-Jul-2008
Manulife Canadian Dividend Growth Fund	8-Nov-1994
Manulife Canadian Growth and Income Private Trust	5-Aug-2015
Manulife Canadian Investment Class	8-Jul-2008
Manulife Canadian Investment Fund	24-May-2011
Manulife Canadian Unconstrained Bond Fund	27-Apr-2007
Manulife Canadian Universe Bond Fund	25-Aug-2004
Manulife China Class	24-Aug-2004
Manulife Climate Action Class	30-Apr-2021
Manulife Climate Action Fund	30-Apr-2021
Manulife Conservative Portfolio	2-Jun-2017
Manulife Corporate Bond Fund	28-Aug-2003
Manulife Corporate Fixed Income Private Trust	14-Dec-2012
Manulife Covered Call U.S. Equity Class	21-Mar-2001
Manulife Covered Call U.S. Equity Fund	25-Jun-1992
Manulife Diversified Investment Fund	8-Jul-2008
Manulife Dividend Income Class	23-Mar-2012
Manulife Dividend Income Fund	23-Mar-2012
Manulife Dividend Income Plus Class	31-Mar-2001
Manulife Dividend Income Plus Fund	23-Aug-2000
Manulife Dollar-Cost Averaging Fund	23-Jan-2009
Manulife EAFE Equity Fund	24-Jun-1993
Manulife Emerging Markets Fund	21-May-2014
Manulife Finance (Delaware), L.P.	8-Dec-2006
Manulife Financial Corporation	24-Sep-1999
Manulife Fundamental Balanced Class	23-Mar-2012
Manulife Fundamental Dividend Class	12-Jul-2013
Manulife Fundamental Dividend Fund	27-Jun-2007
Manulife Fundamental Equity Class	24-May-2011
Manulife Fundamental Equity Fund	28-Aug-2003
Manulife Fundamental Income Class	30-Dec-2009
Manulife Fundamental Income Fund	3-Jul-2002
Manulife Global All Cap Focused Fund	1-Jan-2007
Manulife Global Balanced Fund	2-Aug-2013
Manulife Global Balanced Private Trust	11-Oct-2013
Manulife Global Core Plus Bond Fund	2-Aug-2019
Manulife Global Dividend Growth Class	30-Dec-2009
Manulife Global Dividend Growth Fund	30-Jun-2004
Manulife Global Equity Class	20-Aug-2009
Manulife Global Fixed Income Private Trust	14-Dec-2012
Manulife Global Franchise Class	30-Dec-2009
Manulife Global Franchise Fund	4-Jan-2001
Manulife Global Listed Infrastructure Class	3-Apr-2008
Manulife Global Listed Infrastructure Fund	24-Apr-2008



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Manulife Global Managed Volatility Portfolio	2-Aug-2013
Manulife Global Small Cap Balanced Fund	23-Aug-2011
Manulife Global Small Cap Fund	8-Jul-2008
Manulife Global Strategic Balanced Yield Fund	1-Aug-2014
Manulife Global Thematic Opportunities Class	15-Oct-2018
Manulife Global Thematic Opportunities Fund	15-Oct-2018
Manulife Global Unconstrained Bond Fund	2-Jul-2013
Manulife Growth Opportunities Fund	13-Nov-1998
Manulife Growth Portfolio	1-May-2017
Manulife Income Fund 2037	2-Aug-2017
Manulife International Equity Private Trust	4-Aug-2016
Manulife Moderate Portfolio	1-May-2017
Manulife Monthly High Income Class	21-Mar-2001
Manulife Monthly High Income Fund	6-Oct-1997
Manulife Multifactor Canadian Large Cap Index ETF	24-Mar-2017
Manulife Multifactor Canadian SMID Cap Index ETF	6-Nov-2017
Manulife Multifactor Developed International Index ETF	24-Mar-2017
Manulife Multifactor Emerging Markets Index ETF	21-Aug-2018
Manulife Multifactor U.S. Large Cap Index ETF	24-Mar-2017
Manulife Multifactor U.S. Mid Cap Index ETF	24-Mar-2017
Manulife Multifactor U.S. Small Cap Index ETF	6-Nov-2017
Manulife Simplicity Balanced Portfolio	31-Dec-2001
Manulife Simplicity Conservative Portfolio	27-Apr-2005
Manulife Simplicity Global Balanced Portfolio	25-Aug-2006
Manulife Simplicity Growth Portfolio	24-Aug-2001
Manulife Simplicity Moderate Portfolio	27-Apr-2005
Manulife Smart Core Bond ETF	11-Nov-2020
Manulife Smart Corporate Bond ETF	11-Nov-2020
Manulife Smart Dividend ETF	11-Nov-2020
Manulife Smart Short-Term Bond ETF	11-Nov-2020
Manulife Smart U.S. Dividend ETF	11-Nov-2020
Manulife Strategic Balanced Yield Fund	23-Mar-2012
Manulife Strategic Dividend Bundle	5-Aug-2015
Manulife Strategic Income Fund	1-Dec-2005
Manulife Strategic Investment Grade Global Bond Fund	16-Mar-2015
Manulife Tactical Income Fund	27-Jun-2007
Manulife Tax-Managed Growth Fund	24-Aug-2001
Manulife U.S. All Cap Equity Class	23-Aug-2011
Manulife U.S. All Cap Equity Fund	23-Aug-2011
Manulife U.S. Balanced Private Trust	10-Jun-2013
Manulife U.S. Balanced Value Private Trust	16-Mar-2015
Manulife U.S. Dividend Income Class	24-Dec-2013
Manulife U.S. Dollar Strategic Income Fund	16-Mar-2015
Manulife U.S. Dollar U.S. Dividend Income Fund	30-Apr-2021
Manulife U.S. Dollar U.S. Equity Fund	30-Apr-2021
Manulife U.S. Equity Fund	8-Jul-2008



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Manulife U.S. Monthly High Income Fund	18-Dec-2013
Manulife U.S. Opportunities Fund	8-Nov-1999
Manulife Value Balanced Class	23-Aug-2011
Manulife Value Balanced Fund	23-Aug-2011
Manulife World Investment Class	25-Aug-2006
Manulife World Investment Fund	24-May-2011
Manulife Yield Opportunities Fund	29-Dec-2009
Maple Gold Mines Ltd.	29-Jul-2011
Maple Leaf 2013 Oil & Gas Income Limited Partnership	24-Oct-2013
Maple Leaf Foods Inc.	23-Sep-1991
Maple Leaf Income Class	18-Mar-2011
Maple Leaf Resource Class	18-Mar-2011
Maple Leaf Short Duration 2020 Flow-Through Limited Partnership - National Class	30-Jan-2020
Maple Leaf Short Duration 2020 Flow-Through Limited Partnership - Quebec Class	30-Jan-2020
Maple Leaf Short Duration 2020-II Flow-Through Limited Partnership - National Class	21-Sep-2020
Maple Leaf Short Duration 2020-II Flow-Through Limited Partnership - Quebec Class	21-Sep-2020
Maple Leaf Short Duration 2021 Flow-Through Limited Partnership - National Class	27-Jan-2021
Maple Leaf Short Duration 2021 Flow-Through Limited Partnership - Quebec Class	27-Jan-2021
Marathon Gold Corporation	30-Nov-2010
Marathon Oil Corporation	17-Oct-2007
Marimaca Copper Corp.	13-Jun-2007
Marquest Mutual Funds Inc. - Explorer Series Fund	9-May-2007
Marquest Mutual Funds Inc. - Flex Dividend and Income Growth Series Fund	21-Oct-2008
Marquis Balanced Class Portfolio	23-Mar-2012
Marquis Balanced Growth Class Portfolio	22-Jun-2012
Marquis Balanced Growth Portfolio	23-Feb-2004
Marquis Balanced Income Portfolio	13-Jul-2004
Marquis Balanced Portfolio	20-Dec-2002
Marquis Equity Portfolio	20-Feb-2004
Marquis Growth Portfolio	11-Oct-2002
Marquis Institutional Balanced Growth Portfolio	12-Aug-2003
Marquis Institutional Balanced Portfolio	12-Aug-2003
Marquis Institutional Bond Portfolio	16-Feb-2000
Marquis Institutional Canadian Equity Portfolio	21-Jan-1999
Marquis Institutional Equity Portfolio	12-Aug-2003
Marquis Institutional Global Equity Portfolio	21-Jan-1999
Marquis Institutional Growth Portfolio	13-Jul-2004
Marquis Resources Corp. (CT)	7-Nov-1983
Marret High Yield Strategies Fund	28-May-2009



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Marret Multi-Strategy Income Fund	28-Feb-2011
Marret Short Duration High Yield Fund	14-Feb-2014
Martello Court L.P. (CT)	19-Oct-1987
Marwest Apartment Real Estate Investment Trust	4-Sep-2020
*Master Investments Ltd. (CT)	26-Oct-1989
*Master Manufacturing Inc.(CT)	29-May-1990
Master Resources & Development Ltd.(CT)	15-Apr-1985
Matco Balanced Fund	17-Dec-2008
Matco Canadian Equity Fund	21-Jun-2019
Matco Fixed Income Fund	23-Jun-2017
Matco Global Equity Fund	23-Jun-2017
Matco Small Cap Fund	17-Dec-2008
Matrix 2013 Short Duration National Class	17-Apr-2013
Matrix 2013 Short Duration Québec Class	17-Apr-2013
Matrix Asset Management Inc.	15-Jan-2010
Matt And Jenny (CT)	25-Sep-1979
Maudore Minerals Ltd	6-Dec-2013
MAV Beauty Brands Inc.	28-Jun-2018
*Maverick Minerals Corp.(CT)	7-Sep-2012
Maverix Metals Inc.	6-Sep-2017
Mawer Balanced Fund	12-Jun-1991
Mawer Canadian Bond Fund	12-Jun-1991
Mawer Canadian Equity Fund	12-Jun-1991
Mawer Canadian Money Market Fund	12-Jun-1991
Mawer EAFE Large Cap Fund	21-May-2020
Mawer Emerging Markets Equity Fund	27-May-2016
Mawer Global Balanced Fund	2-Jul-2013
Mawer Global Bond Fund	1-Jun-2015
Mawer Global Equity Fund	12-Aug-2009
Mawer Global Small Cap Fund	10-Aug-2007
Mawer International Equity Fund	12-Jun-1991
Mawer New Canada Fund	12-Jun-1991
Mawer Tax Effective Balanced Fund	12-Jun-1991
Mawer U.S. Equity Fund	10-Dec-1992
Maxam Arbitrage Fund	4-Sep-2020
Maxar Technologies Inc.	1-Jan-2019
Maxwell Communications Finance Canada Limited (CT)	24-Oct-1988
Mayfair Gold Corp.	5-Mar-2021
MBN Corporation	4-Jun-2007
MCAN Mortgage Corporation	11-Oct-1991
McCoy Global Inc.	29-Oct-1997
McEwen Mining Inc.	4-Aug-2006
MCI Onehealth Technologies Inc.	30-Dec-2020
McLean Futures Fund II (CT)	27-Jul-1979
mCloud Technologies Corp. (formerly Universal Mcloud Corp.)	20-Apr-2020
MCP Exchangeco Inc.	12-Jun-2012



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
MD American Growth Fund	14-Sep-1992
MD American Value Fund	24-Aug-2000
MD Bond Fund	22-Jun-1988
MD Canadian Equity Fund	5-Nov-1993
MD Dividend Growth Fund	19-Jan-2007
MD Equity Fund	3-Oct-1983
MD Fossil Fuel Free Bond Fund	27-May-2016
MD Fossil Fuel Free Equity Fund	27-May-2016
MD Growth Investments Limited	1-Jun-1972
MD International Growth Fund	24-Aug-2000
MD International Value Fund	28-Jan-2004
MD Money Fund	30-Sep-1983
MD Precision Balanced Growth Portfolio	11-Jan-2010
MD Precision Balanced Income Portfolio	19-Apr-2012
MD Precision Canadian Balanced Growth Fund	14-Sep-1992
MD Precision Canadian Moderate Growth Fund	14-Sep-1992
MD Precision Conservative Portfolio	11-Jan-2010
MD Precision Maximum Growth Portfolio	11-Jan-2010
MD Precision Moderate Balanced Portfolio	11-Jan-2010
MD Precision Moderate Growth Portfolio	19-Apr-2012
MD Short-Term Bond Fund	22-Sep-1995
MD Strategic Opportunities Fund	5-Feb-2014
MD Strategic Yield Fund	5-Feb-2014
MDA Ltd. (formerly, Neptune Acquisition Holdings Inc.)	1-Apr-2021
MDC Partners Inc.	11-Mar-1997
mdf commerce inc.	25-Sep-2000
MDPIM Bond Pool	31-Mar-2010
MDPIM Canadian Equity Pool	24-Aug-2000
MDPIM Dividend Pool	22-Jan-2007
MDPIM Emerging Markets Equity Pool	12-May-2014
MDPIM International Equity Index Pool	4-Apr-2017
MDPIM International Equity Pool	12-Feb-2003
MDPIM S&P 500 Index Pool	4-Apr-2017
MDPIM S&P/TSX Capped Composite Index Pool	4-Apr-2017
MDPIM Short-Term Bond Pool	12-Feb-2003
MDPIM Strategic Opportunities Pool	30-Jan-2013
MDPIM Strategic Yield Pool	30-Jan-2013
MDPIM US Equity Pool	24-Aug-2000
Meadowbrook Apartment Project	29-Jun-1981
Med-Chem Health Care Limited (CT)	26-Nov-1996
Medexus Pharmaceuticals Inc. (formerly Pediapharm Inc.)	17-Feb-2021
Medical Facilities Corporation	19-Mar-2004
Medicure Inc.	2-Mar-2001
MediPharm Labs Corp. (formerly POCML 4 Inc.)	10-Jun-2019
MedMen Enterprises Inc.	21-Sep-2018
Mednow Inc.	26-Feb-2021



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Medx Health Corp.	27-Jun-2002
MEG Energy Corp.	26-Jul-2010
Mega Uranium Ltd.	19-Oct-2009
Megawheels Technologies Inc.	30-Mar-1999
Melanie (CT)	1-Oct-1980
Melcor Real Estate Investment Trust	19-Apr-2013
Melodia 100 Percent Equity Growth Portfolio	8-Apr-2016
Melodia Balanced Growth Portfolio	28-Mar-2013
Melodia Conservative Income Portfolio	28-Mar-2013
Melodia Diversified Growth Portfolio	28-Mar-2013
Melodia Diversified Income Portfolio	30-Sep-2013
Melodia Maximum Growth Portfolio	28-Mar-2013
Melodia Moderate Growth Portfolio	28-Mar-2013
Melodia Moderate Income Portfolio	28-Mar-2013
Melodia Very Conservative Income Portfolio	30-Sep-2013
Memex Inc.	27-Oct-2011
Mene Inc.	18-Dec-2018
Mercator Minerals Ltd.	22-Jan-2009
Mercer Park Brand Acquisition Corp.	8-May-2019
Merida Merger Corp. I	4-Nov-2019
Merit Energy Ltd. (CT)	16-Jun-1997
Meritage Agressive Growth Class Portfolio	29-Mar-2011
Meritage Agressive Growth Income Portfolio	26-Sep-2006
Meritage Agressive Growth Portfolio	26-Sep-2006
Meritage American Equity Portfolio	25-Sep-2007
Meritage Balanced Income Portfolio	26-Sep-2006
Meritage Balanced Portfolio	26-Sep-2006
Meritage Canadian Equity Class Portfolio	29-Mar-2011
Meritage Canadian Equity Portfolio	30-Oct-2013
Meritage Conservative Income Portfolio	26-Sep-2006
Meritage Conservative Portfolio	26-Sep-2006
Meritage Diversified Fixed Income Portfolio	30-Oct-2013
Meritage Global Balanced Portfolio	29-Aug-2014
Meritage Global Conservative Portfolio	29-Feb-2016
Meritage Global Equity Class Portfolio	29-Mar-2011
Meritage Global Equity Portfolio	26-Sep-2006
Meritage Global Growth Class Portfolio	29-Feb-2016
Meritage Global Growth Plus Class Portfolio	29-Feb-2016
Meritage Global Growth Plus Portfolio	29-Feb-2016
Meritage Global Growth Portfolio	29-Feb-2016
Meritage Global Moderate Portfolio	29-Feb-2016
Meritage Growth Class Portfolio	29-Mar-2011
Meritage Growth Income Portfolio	26-Sep-2006
Meritage Growth Portfolio	26-Sep-2006
Meritage International Equity Portfolio	25-Sep-2007
Meritage Moderate Income Portfolio	31-Dec-2006



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Meritage Moderate Portfolio	26-Sep-2006
Meritage Tactical ETF Balanced Portfolio	29-Feb-2016
Meritage Tactical ETF Equity Portfolio	23-Aug-2016
Meritage Tactical ETF Fixed Income Portfolio	23-Aug-2016
Meritage Tactical ETF Growth Portfolio	29-Feb-2016
Meritage Tactical ETF Moderate Portfolio	31-Dec-2016
Merrill Lynch Financial Assets Inc.	16-Jun-1995
Metalla Royalty & Streaming Ltd.	1-May-2020
Meteor Creek Resources Inc. (T)	12-Dec-2000
Methanex Corporation	21-Oct-1982
Metro Inc.	17-May-1993
Metro Vancouver Properties Corp.	29-Oct-1999
Micro Mining Technologies Ltd. (T)	20-Dec-2000
Microbix Biosystems Inc.	12-May-2021
Microcomp 83 R & D Program L.P. (CT)	1-Nov-1983
MicroPlanet Technology Corp.	1-Jun-2004
*Mid West Venture Corporation (CT)	24-Dec-1987
Middle Age Crazy (CT)	21-Jun-1979
Middlefield American Core Dividend ETF	4-Jun-2019
Middlefield Can-Global REIT Income Fund	29-Oct-2012
Middlefield Canadian Dividend Growers Class	5-Jul-2002
Middlefield Global Agriculture Class	27-May-2009
Middlefield Global Dividend Growers Class	13-May-2014
Middlefield Global Infrastructure Fund	30-Jun-2020
Middlefield Global Real Asset Fund	15-Oct-2019
Middlefield Global Real Estate Class	13-Jun-2011
Middlefield Global Sustainable Energy Class	23-Jul-2009
Middlefield Health & Wellness ETF	20-Sep-2016
Middlefield Healthcare & Life Sciences Dividend Fund	26-Jun-2017
Middlefield Healthcare & Life Sciences ETF	12-Feb-2019
Middlefield Healthcare & Wellness ETF	4-Jun-2019
Middlefield High Interest Income Class	15-Jun-2004
Middlefield Income Plus Class	8-Jun-2000
Middlefield REIT INDEXPLUS ETF	19-Feb-2019
Middlefield US Dividend Growers Class	13-May-2014
Midnight Matinee/Mr. Patman (CT)	28-Jan-1980
Millenium Biologix Corporation	6-Feb-2001
Millennial Lithium Corp.	14-Sep-2017
Millrock Resources Inc.	8-May-1979
MINCO SILVER CORPORATION	13-Nov-2006
Mind Cure Health Inc.	28-Aug-2020
Mind Medicine (MindMed) Inc. (formerly Broadway Gold Mining Ltd.)	21-May-2020
MindBeacon Holdings Inc.	17-Dec-2020
Mindoro Resources Ltd.	24-Apr-2001
Mindready Solutions Inc.	12-Dec-2000



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Mindset Pharma Inc. (formerly North Sur Resources Inc.)	12-Apr-2021
Minera IRL Limited	15-Oct-2010
Mint Income Fund	5-Mar-1996
Minto Apartment Real Estate Investment Trust	22-Jun-2018
Mirabela Nickel Limited	25-May-2007
Mirati Therapeutics, Inc.	28-Jun-2013
Misdeal (CT)	5-Dec-1980
MLD Core Fund	7-Jun-2018
MM Fund	14-May-2018
MNP Petroleum Corporation	2-May-2011
Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.)	20-Jul-2017
Modern Meat Inc.	14-Aug-1990
Mogo Inc.	29-Nov-1993
Molson Coors Brewing Company	9-Feb-2005
Molson Coors Canada Inc.	9-Feb-2005
Monarch Resources Limited (CT)	6-Jun-1994
Money Market Fund	13-Aug-1997
Mongoose Mining Ltd.	11-Jun-2019
Monogen Inc.	1-Aug-1997
Montage Gold Corp.	20-Oct-2020
*Moon Lake Golf and Country Club Ltd.	1-Nov-1998
Moon River Capital Ltd	23-Jan-2020
Morguard Corporation	1-Oct-1967
Morguard North American Residential Real Estate Investment Trust	9-Apr-2012
Morguard Real Estate Investment Trust	2-Oct-1997
Morien Resources Corp.	9-Nov-2012
Morneau Shepell Inc.	1-Jan-2011
Morningstar Aggressive Portfolio	12-May-2017
Morningstar Balanced Portfolio	12-May-2017
Morningstar Conservative Portfolio	12-May-2017
Morningstar Growth Portfolio	12-May-2017
Morningstar Moderate Portfolio	12-May-2017
Morningstar Strategic Canadian Equity Fund	15-Sep-2016
Mosaic Capital Corporation	24-Oct-2012
Mount Logan Capital Inc.	1-Aug-2008
Mountain Province Diamonds Inc.	2-Dec-2009
Mountain World Entertainment Ltd. (CT)	1-Aug-1997
MRF 2021 Resource Limited Partnership	13-Jan-2021
Mullen Group Ltd.	1-May-2009
Multi-Asset Fixed Income	16-Dec-2011
Multi-Asset Fixed Income Class	16-Jan-2012
Multi-Asset Growth & Income Strategy	19-Feb-2010
Multi-Asset Growth & Income Strategy Class	26-Feb-2010
Multi-Asset Growth Strategy	17-Jul-2001



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Multi-Asset Growth Strategy Class	28-Oct-2008
Multi-Asset Income Strategy	10-Aug-2000
Multi-Asset Income Strategy Class	16-Jan-2012
Multi-Asset International Equity	6-Apr-2017
Multibanc NT Financial Corp (CT)	30-Jan-1987
Mulvihill Premium Yield Fund	20-Sep-2019
Murchison Minerals Ltd.	10-Dec-2004
*MustGrow Biologics Corp.	20-Jun-2019
Mydecine Innovations Group Inc.	9-Feb-2021
MYM Nutraceuticals Inc.	10-Oct-2018
*N.S.L. Holdings Ltd. (CT)	7-Nov-1988
Nabors Industries Ltd.	15-Dec-2017
NADG NNN Real Estate Investment Trust	27-Jul-2017
Namaste Technologies Inc.	20-Feb-2018
Namibia Rare Earths Inc.	7-Apr-2011
Nano One Materials Corp.	26-Oct-2020
NanoXplore Inc. (formerly Graniz Mondal Inc.)	5-Feb-2021
Napa/Carneros Winegrowers Limited Partnership (CT)	28-Oct-1987
National Bank Balanced Diversified Fund	2-Apr-2003
National Bank Conservative Diversified Fund	2-Apr-2003
National Bank Growth Diversified Fund	2-Apr-2003
National Bank Moderate Diversified Fund	2-Apr-2003
National Bank of Canada	18-Jan-1982
National Bank Quebec Growth Fund	2-Apr-2003
National Bank Secure Diversified Fund	1-Apr-2003
National Bank U.S. Equity Fund	5-Jul-1993
National FibreTech Inc. (CT)	22-Dec-1993
National Health Stores Inc.	2-Oct-2000
Nautilus Minerals Inc.	23-Mar-2012
Nav Canada	6-Dec-1996
Navigator Acquisition Corp.	5-Sep-2018
NBI Active Canadian Preferred Shares ETF	16-Jan-2019
NBI Active International Equity ETF	31-Dec-2021
NBI Active U.S. Equity ETF	1-Feb-2021
NBI Balanced Portfolio	12-May-2017
NBI Bond Fund	2-Apr-2003
NBI Canadian All Cap Equity Fund	1-Apr-2003
NBI Canadian Bond Index Fund	15-May-2018
NBI Canadian Bond Private Portfolio	19-May-2015
NBI Canadian Diversified Bond Private Portfolio	19-May-2015
NBI Canadian Dividend Income ETF	1-Feb-2021
NBI Canadian Equity Fund	2-Apr-2003
NBI Canadian Equity Growth Fund	25-Nov-1987
NBI Canadian Equity Index Fund	14-May-2018
NBI Canadian Equity Private Portfolio	19-May-2015
NBI Canadian Family Business ETF	16-Jan-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
NBI Canadian High Conviction Equity Private Portfolio	19-May-2015
NBI Canadian Index Fund	6-Nov-1998
NBI Canadian Preferred Equity Private Portfolio	19-May-2015
NBI Canadian Small Cap Equity Private Portfolio	31-Dec-2016
NBI Conservative Portfolio	12-May-2017
NBI Corporate Bond Fund	3-Jun-2010
NBI Corporate Bond Private Portfolio	19-May-2015
NBI Diversified Emerging Markets Equity Fund	19-May-2015
NBI Dividend Fund	2-Apr-2003
NBI Emerging Markets Fund	2-Apr-2003
NBI Equity Income Private Portfolio	19-May-2015
NBI Equity Portfolio	15-May-2018
NBI Floating Rate Income Fund	6-Jan-2014
NBI Global Bond Fund	2-Apr-2003
NBI Global Diversified Equity Fund	1-Apr-2003
NBI Global Equity Fund	2-Apr-2003
NBI Global Private Equity ETF	27-Jan-2020
NBI Global Real Assets Income ETF	16-Jan-2019
NBI Global Real Assets Income Fund	28-Jan-2016
NBI Global Tactical Bond Fund	6-Jan-2014
NBI Growth Portfolio	12-May-2017
NBI High Yield Bond ETF	27-Jan-2020
NBI High Yield Bond Fund	25-Mar-2002
NBI High Yield Bond Private Portfolio	19-May-2015
NBI Income Fund	7-Mar-1989
NBI International Currency Neutral Index Fund	6-Nov-1998
NBI International Equity Index Fund	15-May-2018
NBI International Equity Private Portfolio	19-May-2015
NBI International High Conviction Equity Private Portfolio	19-May-2015
NBI International Index Fund	2-Apr-2003
NBI Jarislowsky Fraser Select Balanced Fund	12-Oct-2010
NBI Jarislowsky Fraser Select Canadian Equity Fund	12-Oct-2010
NBI Jarislowsky Fraser Select Income Fund	12-Oct-2010
NBI Liquid Alternatives ETF	16-Jan-2019
NBI Moderate Portfolio	12-May-2017
NBI Money Market Fund	25-Mar-2002
NBI Multiple Asset Class Private Portfolio	19-May-2015
NBI Municipal Bond Plus Private Portfolio	28-Jan-2016
NBI Non-Traditional Capital Appreciation Private Portfolio	28-Oct-2015
NBI Non-Traditional Fixed Income Private Portfolio	28-Oct-2015
NBI North American Dividend Private Portfolio	19-May-2015
NBI Precious Metals Fund	2-Aug-1994
NBI Preferred Equity Fund	16-Oct-2012
NBI Preferred Equity Income Fund	23-Nov-2007
NBI Presumed Sound Investments Fund	17-May-2019
NBI Real Assets Private Portfolio	17-May-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
NBI Resource Fund	29-Dec-1989
NBI Science and Technology Fund	11-Aug-1995
NBI Secure Portfolio	12-May-2017
NBI Small Cap Fund	25-Mar-2002
NBI SmartBeta Canadian Equity Fund	28-Oct-2015
NBI SmartBeta Global Equity Fund	28-Oct-2015
NBI SmartData International Equity Fund	23-Nov-2007
NBI SmartData U.S. Equity Fund	23-Nov-2007
NBI Strategic U.S. Income and Growth Fund	28-Oct-2015
NBI Sustainable Canadian Bond ETF	27-Jan-2020
NBI Sustainable Canadian Corporate Bond ETF	1-Feb-2021
NBI Sustainable Canadian Equity ETF	27-Jan-2020
NBI Sustainable Global Equity ETF	27-Jan-2020
NBI Tactical Asset Allocation Fund	17-May-2019
NBI Tactical Equity Private Portfolio	11-Oct-2017
NBI Tactical Mortgage & Income Fund	2-Apr-2003
NBI U.S. Bond Private Portfolio	28-Oct-2015
NBI U.S. Currency Neutral Index Fund	6-Nov-1998
NBI U.S. Dividend Fund	7-Jan-2014
NBI U.S. Equity Index Fund	15-May-2018
NBI U.S. Equity Private Portfolio	19-May-2015
NBI U.S. High Conviction Equity Private Portfolio	19-May-2015
NBI U.S. Index Fund	2-Apr-2003
NBI Unconstrained Fixed Income ETF	13-Sep-2019
NBI Unconstrained Fixed Income Fund	22-Nov-2016
NBS Capital Inc.	30-Nov-2018
NCM Balanced Income Portfolio	29-Aug-2018
NCM Conservative Income Portfolio	29-Aug-2018
NCM Core American	31-Dec-2005
NCM Core Canadian	6-Jul-2016
NCM Core Global	7-Jul-2016
NCM Core Income Fund	16-Sep-2011
NCM Global Income Growth Class	6-Jul-2016
NCM Growth and Income Portfolio	29-Aug-2018
NCM Income Growth Class	31-Dec-2005
NCM Norrep Fund	30-May-2001
NCM Small Companies Class	23-Dec-2004
NEI Balanced Private Portfolio	28-Jun-2019
NEI Balanced Yield Portfolio	15-Dec-2014
NEI Canadian Bond Fund	23-Jan-1978
NEI Canadian Dividend Fund	7-Jun-2004
NEI Canadian Equity Fund	9-Jun-1998
NEI Canadian Equity Pool	28-Jun-2019
NEI Canadian Equity RS Fund	20-Sep-2002
NEI Canadian Small Cap Equity Fund	6-Jul-1993
NEI Canadian Small Cap Equity RS Fund	9-Jan-1995



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
NEI Conservative Yield Portfolio	11-Jan-2016
NEI Emerging Markets Fund	6-Oct-2000
NEI Environmental Leaders Fund	11-Jan-2016
NEI ESG Canadian Enhanced Index Fund	27-Mar-2001
NEI Fixed Income Pool	28-Jun-2019
NEI Global Dividend RS Fund	31-Oct-2007
NEI Global Equity Fund	9-Jun-1998
NEI Global Equity Pool	28-Jun-2019
NEI Global Equity RS Fund	22-Dec-1999
NEI Global High Yield Bond Fund	14-Jun-2005
NEI Global Impact Bond Fund	26-Jun-2020
NEI Global Sustainable Balanced Fund	28-Sep-1992
NEI Global Total Return Bond Fund	20-Sep-2013
NEI Global Value Fund	11-Oct-2016
NEI Growth and Income Fund	9-Jun-1998
NEI Growth Private Portfolio	28-Jun-2019
NEI Income & Growth Private Portfolio	8-Nov-2019
NEI Income Private Portfolio	28-Jun-2019
NEI International Equity RS Fund	20-Sep-2003
NEI Managed Asset Allocation Pool	28-Jun-2019
NEI Money Market Fund	6-Oct-2000
NEI Select Balanced RS Portfolio	7-Dec-2009
NEI Select Growth & Income Portfolio	22-Dec-2005
NEI Select Growth & Income RS Portfolio	12-Apr-2010
NEI Select Growth RS Portfolio	7-Dec-2009
NEI Select Income & Growth RS Portfolio	4-Jul-2005
NEI Select Income RS Portfolio	7-Oct-2011
NEI Select Maximum Growth RS Portfolio	7-Apr-2011
NEI U.S. Dividend Fund	6-Oct-2000
NEI U.S. Equity RS Fund	23-Jan-1978
Neo Performance Materials Inc.	1-Dec-2017
NeonMind Biosciences Inc.	9-Dec-2020
Neovasc Inc.	16-Apr-2007
Neptune Wellness Solutions Inc.	12-Jan-2015
Neutral Balanced Fund	5-Jun-2003
Nevada Bob's Golf Inc. (CT)	23-Dec-1997
Nevada Copper Corp.	25-Jul-2011
Nevada Zinc Corporation	22-Jul-2011
New Commerce Split Fund	26-Mar-2010
New Gold Inc.	23-Feb-2006
New Guinea Gold Corporation	13-Jul-1983
New Leaders Class	22-Apr-2016
New Look Vision Group Inc.	2-Mar-2010
New Pacific Metals Corp.	21-Oct-2019
New Point Exploration Corp.	9-Nov-2017
New Zealand Energy Corp.	20-Jul-2011



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Newcore Gold Ltd.	9-Mar-2021
Newfoundland Power Inc.	29-Sep-1981
NewGen Alternative Income Fund	17-Jan-2019
NewGen Focussed Alpha Fund	3-Feb-2021
NewKidCo International Inc. (formerly SoftQuad International Inc.) (T)	2-Apr-2001
Newmont Corporation	4-Feb-1987
Newport Exploration Ltd	26-Mar-1981
Newstrike Brands Ltd.	13-Feb-2018
Nexa Resources S.A.	26-Oct-2017
Nexco Resources Inc.	15-Feb-2017
NEXE Innovations Inc.	17-Jan-2020
NexGen Energy Ltd.	18-Mar-2014
Nexient Learning Inc.	17-Oct-1986
NexJ Health Holdings Inc.	25-Jan-2016
NexJ Systems Inc.	12-May-2011
NexPoint Hospitality Trust	27-Mar-2019
Next Edge Biotech and Life Sciences Opportunities Fund	4-Nov-2020
Nextech AR Solutions Corp.	13-Aug-2020
NextPoint Acquisition Corp.	6-Aug-2020
Nexus Gold Corp.	25-Sep-2009
Nexus Real Estate Investment Trust	7-Jul-2014
NEXX Systems, Inc.	14-May-2010
NFI Group Inc. (formerly New Flyer Industries Inc.)	15-Aug-2005
NHC Communications Inc.	25-May-2000
Niko Resources Ltd.	28-Nov-2012
Ninepoint 2019 Flow-Through Limited Partnership	30-Jan-2019
Ninepoint 2019 Short Duration Flow-Through Limited Partnership	26-Sep-2019
Ninepoint 2020 Flow-Through Limited Partnership - National Class	31-Jan-2020
Ninepoint 2020 Flow-Through Limited Partnership - Quebec Class	31-Jan-2020
Ninepoint 2020 Short Duration Flow-Through Limited Partnership	14-Sep-2020
Ninepoint 2021 Flow-Through Limited Partnership - National Class	22-Jan-2021
Ninepoint 2021 Flow-Through Limited Partnership - Quebec Class	22-Jan-2021
Ninepoint Alternative Credit Opportunities Fund	11-Nov-2019
Ninepoint Alternative Credit Opportunities Fund	3-May-2021
Ninepoint Alternative Health Fund	20-Sep-2016
Ninepoint Bitcoin ETF	28-Apr-2021
Ninepoint Convertible Securities Fund	21-Jan-2021
Ninepoint Diversified Bond Class	27-Sep-2011
Ninepoint Diversified Bond Fund	19-Jul-2010



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Ninepoint Energy Fund	26-Mar-2004
Ninepoint Focused Global Dividend Class	16-Nov-2015
Ninepoint FX Strategy Fund	11-Nov-2019
Ninepoint Global Infrastructure Fund	29-Aug-2011
Ninepoint Global Real Estate Fund	6-Jul-2015
Ninepoint Gold and Precious Minerals Fund	26-Oct-2001
Ninepoint Gold Bullion Fund	11-Mar-2009
Ninepoint High Interest Savings Fund	19-Jul-2010
Ninepoint International Small Cap Fund	1-Feb-2018
Ninepoint Resource Class	27-Sep-2011
Ninepoint Return Advantaged U.S. Equity Index Class	6-Jul-2015
Ninepoint Risk Advantaged U.S. Equity Index	29-Mar-2012
Ninepoint Silver Bullion Fund	3-May-2011
Ninepoint Silver Equities Class	6-Feb-2012
NioCorp Developments Ltd.	25-Mar-2015
Nobilis Health Corp.	9-May-2007
Nomad Royalty Company Ltd.	1-Oct-2020
Noranda Income Fund	19-Apr-2002
Norbord Inc.	24-Jun-1987
Nordic Oil and Gas Ltd.	21-Jun-2002
Nortel Networks Corporation	1-Oct-1967
Nortel Networks Limited	1-Oct-1967
North America Frac Sand, Inc.(CT)	21-Apr-2016
North American Energy Partners Inc.	22-Nov-2006
North American Financial 15 Split Corp.	29-Sep-2004
North American Tungsten Corporation Ltd.	8-Mar-2011
North Growth Canadian Equity Fund	16-May-2012
North Growth U.S. Equity Advisor Fund	23-Oct-2009
North Shore Limited Partnership (CT)	26-Nov-1981
*North West Food And Fuel Corp. (CT)	20-Dec-1982
*North West Terminal Ltd.	24-Feb-1994
Northern Dynasty Minerals Ltd.	20-Jan-2017
Northern Frontier Corp.	5-Sep-2013
*Northern Lights Big Game Corp. (CT)	30-Sep-1988
Northern Uranium Corp.	21-Nov-2005
Northisle Copper and Gold Inc	17-Oct-2011
Northland Power Inc.	1-Jan-2011
Northland Resources SE	19-Nov-2010
Northstar Aerospace	31-Oct-1985
Northstar Gold Corp.	28-Jun-2019
Northview Canadian High Yield Residential Fund	30-Sep-2020
NorthWest Healthcare Properties Real Estate Investment Trust	17-Mar-2010
NorZinc Ltd.	6-Sep-2018
Nothing Personal (CT)	10-Dec-1979
Nouveau Monde Graphite Inc. (auparavant Nouveau Monde	10-Jan-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Mining Enterprises Inc.)	
NOVA Gas Transmission Ltd.	1-Feb-1982
Nova Royalty Corp.	2-Nov-2020
Nova Scotia Power Incorporated	4-Aug-1992
Nova Village Limited Partnership	18-Dec-1981
NovaGold Resources Inc.	17-May-1990
Novanta Inc.	18-Sep-1995
Novo Resources Corp.	27-Oct-2020
NSGold Corporation	16-Apr-2009
Nu-Life Corp.	27-Jan-1997
Nuinsco Resources Limited	8-Jun-1999
Numinus Wellness Inc.	21-Dec-2020
*Nutrien Ltd.	2-Jan-2018
Nuvei Corporation	16-Sep-2020
NuVista Energy Ltd.	3-Jul-2003
Nuvo Pharmaceuticals Inc.	12-Feb-1997
Obsidian Energy Ltd	1-Jan-2011
OceanaGold Corporation	25-Jun-2007
OFEK CAPITAL CORP.	16-May-2000
Off Your Rocker (CT)	15-Nov-1979
Oil Optimization Inc.	15-Oct-2010
Oilsands Quest Inc.	16-Nov-2007
Old API Wind-down Ltd.	5-Feb-2016
Old PSG Wind-down Ltd.	3-Mar-2011
Omineca Mining and Metals Ltd.	20-May-2011
Oncolytics Biotech Inc.	17-Feb-2016
ONE Financial Real Property Development Trust (2008-1)	23-Apr-2009
ONE Financial Real Property Income Fund (2008-1)	23-Apr-2009
ONE Global Equity ETF	10-Sep-2015
ONEnergy Inc.	31-Dec-2000
OneSoft Solutions Inc.	17-Apr-2019
Onex Corporation	21-Apr-1987
Ontario Power Generation Inc.	31-Mar-2000
Open Text Corporation	21-Jun-1999
Opimian California Vineyards Corporation (CT)	2-Feb-1984
Opsens Inc. (formerly DCB Capital Inc.)	19-Feb-2021
Optimi Health Corp.	16-Feb-2021
Options Clearing Corporation	31-Oct-1977
Optiva Inc	16-Oct-2012
Orbit Garant Drilling Inc.	20-Jun-2008
Orbite Technologies Inc.	16-Jul-2013
Orbus Pharma Inc.	4-Feb-1981
Orca Gold Inc.	16-Mar-2011
Orea Mining Corp.	11-May-2012
Orex Minerals Inc.	12-Feb-2013
Orezone Gold Corporation	25-Feb-2009



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
OrganiGram Holdings Inc.	27-May-2016
Organto Foods Inc.	2-Jun-2008
Orinoco Tours Limited (CT)	19-Dec-1988
Orla Mining Ltd.	9-Feb-2018
Orocobre Limited	18-Feb-2011
Orogen Royalties Inc.	30-Sep-2008
ORTHO REGENERATIVE TECHNOLOGIES INC.	29-Apr-2016
Orvana Minerals Corp.	22-Dec-1989
Osino Resources Corp.	24-Jan-2020
Osisko Gold Royalties Ltd.	2-Mar-2015
Outcrop Gold Corp.	22-Mar-2021
OutdoorPartner Media Corporation	11-Aug-2006
Ovintiv Inc.	16-Mar-2020
Pacific Paradym Energy Inc.	28-Apr-2004
Pacific Vector Holdings Inc.	3-Nov-2010
Padlock Partners UK Fund I	13-Aug-2020
Palamina Corp.	9-Jun-2015
Palladium One Mining Inc.	18-Feb-2021
Pallet Pallet Inc. (CT)	21-Nov-1994
Palliser Oil & Gas Corporation	31-Mar-2011
Palm Beach County Utilities Corp. (CT)	2-Sep-1986
Palos Equity Income Fund	22-Feb-2011
Pan American Silver Corp.	11-Feb-2005
Pan Ocean Explorations Inc. (CT)	31-Jul-1979
Pan Ocean Oil Corp. (CT)	15-Jul-1983
Pan Orient Energy Corp.	8-Apr-2005
Par / Mor II (CT)	10-Jan-1984
Para-Tech Energy Services Inc. (T)	26-Jan-1995
Parallel Energy Trust	14-Apr-2011
Paramount Resources Ltd.	29-Nov-1978
Parex Resources Inc.	6-Nov-2009
Park Estates (CT)	19-Dec-1980
Park Lawn Corporation	28-Nov-2013
Park Meditech Inc. (CT)	18-Aug-1994
Park Place (CT)	1-Dec-1983
Park Square Properties Limited Partnership	24-Jun-1983
Parkit Enterprise Inc.	1-Oct-2009
Parkland Corporation	1-Jan-2011
Parkland Holdings Limited Partnership	4-Jul-2002
Parkview Village I L.P. (CT)	16-Dec-1982
Parkview Village II L.P. (CT)	16-Dec-1982
Partners Value Investments Inc.	5-Nov-1986
Partners Value Investments LP	4-Jul-2016
Partners Value Split Corp.	27-Aug-2001
Pasofino Gold Limited	29-Apr-2021
Patagonia Gold Corp.	24-Nov-2010



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Patriot One Technologies Inc.	23-Aug-2017
PAY LINX FINANCIAL CORPORATION	20-Jun-2008
Payfare Inc.	16-Mar-2021
PCJ Absolute Return II Fund	9-Mar-2021
Peachtree Network Inc. (CT)	10-Mar-2000
Pegasus Gold Inc. (CT)	1-Oct-1967
Pelangio Exploration Inc.	14-Dec-2011
Pembina Pipeline Corporation	1-Oct-2010
Pembroke American Growth Fund Inc.	24-Oct-1988
Pembroke Canadian Balance Fund	4-Jan-2007
Pembroke Canadian Bond Fund	25-Jan-1990
Pembroke Canadian Growth Fund	28-Nov-1988
Pembroke Concentrated Fund	3-Apr-2020
Pembroke Corporate Bond Fund	8-Apr-2019
Pembroke Global Balanced Fund	8-Apr-2019
Pembroke International Growth Fund	11-Jan-1994
Pembroke Money Market Fund	28-Nov-1988
Pender Bond Universe Fund	17-Jan-2020
Pender Corporate Bond Fund	29-May-2009
Pender Enhanced Income Fund	16-Sep-2009
Pender Growth Fund Inc.	11-Apr-2019
Pender Small Cap Opportunities Fund	29-May-2009
Pender Small/Mid Cap Dividend Fund	17-Jan-2020
Pender Strategic Growth and Income Fund	24-Nov-2010
Pender Strategic Investment Fund	25-Jun-2020
Pender US All Cap Equity Fund	28-Jun-2013
Pender Value Fund	28-Jun-2013
Pender Value Fund II	16-Sep-2009
*Peragis Inc. (CT)	16-Jul-1996
Perk Labs Inc.	17-Jul-2020
Perkins Oil Company (CT)	22-Jan-1981
Permex Petroleum Corporation	7-Mar-2018
Perpetua Resources Corp.	30-Jun-2011
Perpetual Energy Inc.	30-Jun-2010
PERSEUS MINING LIMITED	28-Jan-2010
Personas Social Incorporated	21-Aug-2007
PESA Corporation	1-May-2006
Petro Viking Energy Inc.	19-May-2010
Petro-Victory Energy Corp.	11-Jul-2014
PetroCorp Group Inc.	1-May-2007
Petroshale Inc.	7-May-1979
PetroTal Corp.	6-Mar-2008
Petrowest Corporation	1-Jul-2011
Peyto Exploration & Development Corp.	1-Jan-2011
PFB Corporation	10-Jun-1987
Pharma Patch Plc (CT)	30-Jun-1994



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
PharmaGap Inc. (formerly Sebring Resources Ltd.)	15-Aug-2008
Philip Services Corp. (CT)	28-Nov-1990
Phillips, Hager & North \$U.S. Money Market Fund	13-Oct-1992
Phillips, Hager & North Balanced Fund	13-Oct-1992
Phillips, Hager & North Balanced Pension Trust	10-Jul-2001
Phillips, Hager & North Bond Fund	13-Oct-1992
Phillips, Hager & North Canadian Equity Fund	13-Oct-1992
Phillips, Hager & North Canadian Equity Pension Trust	29-Jun-2004
Phillips, Hager & North Canadian Equity Plus Pension Trust	1-May-1998
Phillips, Hager & North Canadian Equity Underlying Fund	12-Jan-2011
Phillips, Hager & North Canadian Equity Underlying Fund II	7-Aug-2014
Phillips, Hager & North Canadian Equity Value Class	22-Oct-2014
Phillips, Hager & North Canadian Equity Value Fund	13-Nov-2009
Phillips, Hager & North Canadian Growth Fund	13-Oct-1992
Phillips, Hager & North Canadian Income Fund	27-Jun-2005
Phillips, Hager & North Canadian Money Market Fund	13-Oct-1992
Phillips, Hager & North Conservative Equity Income Fund	30-Jun-2016
Phillips, Hager & North Conservative Pension Trust	30-Jun-2020
Phillips, Hager & North Currency-Hedged Overseas Equity Fund	27-Jun-2006
Phillips, Hager & North Currency-Hedged U.S. Equity Fund	27-Jul-2006
Phillips, Hager & North Dividend Income Fund	13-Oct-1992
Phillips, Hager & North Global Equity Fund	8-Dec-2000
Phillips, Hager & North Growth Pension Trust	30-Jun-2020
Phillips, Hager & North High Yield Bond Fund	11-Jul-2000
Phillips, Hager & North Inflation-Linked Bond Fund	26-Jun-2009
Phillips, Hager & North LifeTime 2015 Fund	12-Jan-2011
Phillips, Hager & North LifeTime 2020 Fund	12-Jan-2011
Phillips, Hager & North LifeTime 2025 Fund	12-Jan-2011
Phillips, Hager & North LifeTime 2030 Fund	12-Jan-2011
Phillips, Hager & North LifeTime 2035 Fund	12-Jan-2011
Phillips, Hager & North LifeTime 2040 Fund	12-Jan-2011
Phillips, Hager & North LifeTime 2045 Fund	12-Jan-2011
Phillips, Hager & North LifeTime 2050 Fund	29-Jun-2018
Phillips, Hager & North LifeTime 2055 Fund	5-Oct-2018
Phillips, Hager & North LifeTime 2060 Fund	30-Jun-2020
Phillips, Hager & North Long Inflation-linked Bond Fund	12-Jan-2011
Phillips, Hager & North Monthly Income Class	18-Oct-2012
Phillips, Hager & North Monthly Income Fund	30-Dec-2009
Phillips, Hager & North Overseas Equity Class	21-Dec-2011
Phillips, Hager & North Overseas Equity Fund	8-Dec-2000
Phillips, Hager & North Short Term Bond & Mortgage Fund	14-Jan-1994
Phillips, Hager & North Small Float Fund	10-Jul-2002
Phillips, Hager & North Total Return Bond Fund	11-Jul-2000
Phillips, Hager & North U.S. Dividend Income Fund	10-Jul-2002
Phillips, Hager & North U.S. Equity Fund	31-Oct-1992



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Phillips, Hager & North U.S. Growth Fund	3-May-1993
Phillips, Hager & North U.S. Multi-Style All-Cap Equity Class	21-Dec-2011
Phillips, Hager & North U.S. Multi-Style All-Cap Equity Fund	29-Jun-2010
Phillips, Hager & North Vintage Fund	23-Apr-1986
Phoenix Capital Inc.	8-Feb-1983
Phoenix Capital Income Trust	14-Jun-2005
Phoenix Health Group Inc. (CT)	8-Sep-1986
PHX Energy Services Corp.	1-Jan-2011
Picton Mahoney Fortified Active Extension Alternative Fund	24-Sep-2018
Picton Mahoney Fortified Arbitrage Alternative Fund	16-Jan-2019
Picton Mahoney Fortified Arbitrage Plus Alternative Fund	16-Jan-2019
Picton Mahoney Fortified Equity Fund	2-Nov-2015
Picton Mahoney Fortified Income Alternative Fund	8-Jul-2019
Picton Mahoney Fortified Income Fund	2-Nov-2015
Picton Mahoney Fortified Long Short Alternative Fund	8-Jul-2020
Picton Mahoney Fortified Market Neutral Alternative Fund	24-Sep-2018
Picton Mahoney Fortified Multi-Asset Fund	2-Nov-2015
Picton Mahoney Fortified Multi-Strategy Alternative Fund	24-Sep-2018
Picton Mahoney Tactical Income Fund	27-Sep-2012
Pieridae Energy Limited	1-Jan-2019
PIMCO - IG Global Bond Pool	12-Oct-2018
PIMCO Canadian Total Return Bond Fund	10-Jan-2011
PIMCO Climate Bond Fund (Canada)	18-Jan-2021
PIMCO ESG Income Fund (Canada)	18-Jan-2021
PIMCO Flexible Global Bond Fund (Canada)	10-Jan-2011
PIMCO Global Income Opportunities Fund	27-Feb-2014
PIMCO Global Short Maturity Fund (Canada)	28-Jan-2019
PIMCO Investment Grade Credit Fund (Canada)	24-Jul-2015
PIMCO Low Duration Monthly Income Fund (Canada)	28-Jan-2019
PIMCO Managed Conservative Bond Pool	4-Feb-2020
PIMCO Managed Core Bond Pool	4-Feb-2020
PIMCO Monthly Income Fund (Canada)	10-Jan-2011
PIMCO Tactical Income Fund	28-Sep-2020
PIMCO Unconstrained Bond Fund (Canada)	24-Jul-2014
Pine Cliff Energy Ltd.	9-Mar-2005
Pineridge (CT)	18-Dec-1980
Pinnacle Balanced Portfolio	25-Apr-2005
Pinnacle Renewable Energy Inc.	30-Jan-2018
Pioneer Metals Corporation	23-Apr-1987
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	18-Dec-2014
Pivotal Financial Corp.	24-Mar-2021
Pivotree Inc.	23-Oct-2020
Pizza Pizza Royalty Corp.	31-Dec-2012
PK Core Fund	28-Sep-2018
Plaintree Systems Inc.	27-May-1993
Planet 13 Holdings Inc.	31-May-2002



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Platinova A/S	15-Oct-1991
Platinova Resources Ltd	15-Oct-1991
Platinum Group Metals Ltd.	18-Oct-2010
Plato Gold Corp.	27-Apr-2005
Playa El Agua Hotel L.P. (CT)	19-Dec-1988
Plaza Retail REIT	1-Jan-2014
Poda Technologies Ltd.	
POET Technologies Inc.	7-Oct-2016
Point Loma Resources Ltd.	12-Dec-2017
Points International Ltd.	4-Jun-2008
Polaris Infrastructure Inc.	12-May-2011
Pollard Banknote Limited	14-May-2010
Polymet Mining Corp.	24-May-2019
Ponderosa Fund	4-Aug-2010
Ponds Limited Partnership (CT)	15-May-1984
PopReach Corporation	30-Sep-2019
Portex Minerals Inc.	11-May-2007
Portfolio Series Balanced Fund	16-Nov-1988
Portfolio Series Balanced Growth Fund	18-Dec-2001
Portfolio Series Conservative Balanced Fund	18-Dec-2001
Portfolio Series Conservative Fund	13-Nov-1997
Portfolio Series Growth Fund	18-Dec-2001
Portfolio Series Income Fund	13-Nov-1997
Portfolio Series Maximum Growth Fund	18-Dec-2001
Portland 15 of 15 Alternative Fund	27-Apr-2007
Portland Canadian Balanced Fund	2-Oct-2012
Portland Global Alternative Fund	26-Jun-2007
Portland Global Balanced Fund	28-Jan-2005
Portland Life Sciences Alternative Fund	16-Apr-2021
Portland North American Alternative Fund	17-Apr-2020
Porto Energy Corp.	18-Mar-2011
Poseidon Concepts Corp.	30-Nov-2005
Postmedia Network Canada Corp.	8-Jun-2011
*Pound-Maker Investments Ltd.	26-Jul-1990
Power Corporation Of Canada	1-Oct-1967
Power Financial Corporation	19-May-1985
PowerShares Diversified Yield Fund	14-Jan-2010
Poynt Corporation	17-Jul-2007
PPX Mining Corp.	16-Jun-2005
Prairie Provident Resources Inc.	12-Sep-2016
PrairieSky Royalty Ltd.	22-May-2014
Precious Metals and Mining Trust	30-May-2006
Precision Assessment Technology Corporation	27-Nov-1996
Precision Drilling Corporation	1-Jun-2010
Preferred Dental Technologies Inc.	31-May-2016
Premier Gold Mines Limited	25-Oct-2011



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Premier Health of America Inc. (formerly known as Physinorth Acquisition Corporation Inc.)	12-Feb-2021
Premium Brands Holdings Corporation	14-Mar-2007
Premium Income Corporation	29-Jul-2010
Preo Software Inc.	24-Jul-2008
Pretium Resources Inc.	10-Dec-2010
Prime Dividend Corp.	31-Oct-2005
Primerica Balanced Yield Fund	9-Sep-1997
Primerica Canadian Balanced Growth Fund	9-Sep-1997
Primerica Canadian Money Market Fund	12-Dec-2001
Primerica Global Balanced Growth Fund	9-Sep-1997
Primerica Global Equity Fund	9-Sep-1997
Primerica Income Fund	9-Sep-1997
PrimeWest Oil and Gas Corp.	6-Mar-2001
Primo Water Corporation	8-Jan-1992
Printera Corporation	6-Oct-1986
Prismo Metals Inc.	8-Sep-2020
Priszm Income Fund	31-Oct-2003
Pro Real Estate Investment Trust	19-Nov-2013
Pro-Trans Ventures Inc.	18-Jun-2009
Probe Exploration Inc. (CT)	7-Apr-1997
Probity Mining 2020 Short Duration Flow-Through Limited Partnership - British Columbia	20-Feb-2020
Probity Mining 2020 Short Duration Flow-Through Limited Partnership - National Class	20-Feb-2020
Probity Mining 2020 Short Duration Flow-Through Limited Partnership - Quebec	20-Feb-2020
Probity Mining 2020-II Short Duration Flow-Through Limited Partnership - British Columbia Class	24-Sep-2020
Probity Mining 2020-II Short Duration Flow-Through Limited Partnership - National Class	24-Sep-2020
Probity Mining 2020-II Short Duration Flow-Through Limited Partnership - Quebec Class	24-Sep-2020
Probity Mining 2021 Short Duration Flow-Through Limited Partnership - British Columbia Class	11-Feb-2021
Probity Mining 2021 Short Duration Flow-Through Limited Partnership - National Class	11-Feb-2021
Probity Mining 2021 Short Duration Flow-Through Limited Partnership - Quebec Class	11-Feb-2021
Prodigy Ventures Inc.	29-Sep-2008
Production Enhancement Group, Inc.	29-Mar-2006
Profab Energy Services Ltd. (CT)	10-Sep-1998
Profound Medical Corp.	7-Nov-2016
Proper Channels (CT)	19-Nov-1979
Prosper Gold Corp.	28-Jan-2008
Providence Gold Mines Inc.	6-Nov-2012



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Proviso Inc.	30-Jun-1981
PsyBio Therapeutics Corp.	9-Nov-2010
Pulse Oil Corp.	13-Nov-2018
Pulse Seismic Inc.	22-Sep-1988
Pure Extracts Technologies Corp. (formerly, Big Sky Petroleum Corporation)	5-Mar-2021
Purpose Behavioural Opportunities Fund	12-Dec-2014
Purpose Best Ideas Fund	23-Apr-2014
Purpose Bitcoin ETF	11-Feb-2021
Purpose Canadian Equity Growth Fund	23-Aug-2012
Purpose Canadian Financial Income Fund	19-Oct-2016
Purpose Canadian Income Growth Fund	23-Aug-2012
Purpose Canadian Preferred Share Fund	14-Oct-2004
Purpose Cash Management Portfolio	11-Mar-2020
Purpose Conservative Income Fund	19-Oct-2016
Purpose Core Dividend Fund	12-Aug-2013
Purpose Core Equity Income Fund	6-Nov-2015
Purpose Credit Opportunities Fund	30-Aug-2019
Purpose Credit Opportunities Fund (DO NOT USE)	30-Aug-2019
Purpose Diversified Real Asset Fund	12-Aug-2013
Purpose Enhanced Dividend Fund	26-Oct-2017
Purpose Enhanced Premium Yield Fund	5-Nov-2014
Purpose Ether ETF	16-Apr-2021
Purpose Floating Rate Income Fund	11-Jul-2018
Purpose Global Bond Class	18-Dec-2013
Purpose Global Bond Fund	23-Dec-2014
Purpose Global Climate Opportunities Fund	20-Apr-2021
Purpose Global Innovators Fund	6-Jul-2011
Purpose Global Resource Fund	19-Jun-2006
Purpose Gold Bullion Fund	22-Oct-2018
Purpose High Interest Savings ETF	9-Oct-2013
Purpose International Dividend Fund	20-Oct-2014
Purpose International Tactical Hedged Equity Fund	20-Oct-2014
Purpose Marijuana Opportunities Fund	17-May-2017
Purpose Money Market Fund	8-Mar-2019
Purpose Monthly Income Fund	12-Aug-2013
Purpose Multi-Asset Income Fund	2-Sep-2015
Purpose Multi-Strategy Market Neutral Fund	31-Jul-2014
Purpose Premium Money Market Fund	23-Dec-2014
Purpose Premium Yield Fund	8-Jan-2016
Purpose Real Estate Income Fund	23-Apr-2014
Purpose Silver Bullion Fund	17-May-2016
Purpose Special Opportunities Fund	1-Dec-2009
Purpose Strategic Yield Fund	30-Jun-2011
Purpose Structured Equity Growth Fund	7-Oct-2020
Purpose Structured Equity Yield Portfolio	2-Oct-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Purpose Structured Equity Yield Portfolio II	3-Apr-2020
Purpose Tactical Asset Allocation Fund	6-Nov-2015
Purpose Tactical Hedged Equity Fund	12-Aug-2013
Purpose Total Return Bond Fund	12-Aug-2013
Purpose US Cash Fund	19-Oct-2015
Purpose US Dividend Fund	20-Oct-2014
Purpose US Preferred Share Fund	19-Dec-2016
Pursuit American Fund (CT)	10-Oct-1986
Pursuit Canadian Equity Fund (CT)	24-Sep-1986
Pursuit Income Fund (CT)	10-Oct-1986
Pursuit Money Market Fund (CT)	1-Feb-1988
Putnam - IG High Yield Income Pool	12-Oct-2018
Pyrogenesis Canada Inc.	26-May-2006
Q-Entertainment Inc. (CT)	20-Aug-1996
Q-Gold Resources Ltd.	6-Oct-1998
Q-Tel Wireless Inc. (T)	7-Jan-1991
Q2 Gold Resources Inc.	18-Jun-2007
QMX Gold Corporation	26-Aug-2010
Quadrille Retirement Homes L.P.No.1 (CT)	23-Dec-1988
Quarterhill Inc.	28-Apr-2000
Quattro Exploration and Production Ltd.	18-Oct-2000
Quebecor Inc.	9-Jun-1983
Queen's Road Capital Investment Ltd.	9-Aug-2011
Queensway Financial Holdings Limited (CT)	30-May-1996
Quest 84 Energy Program (CT)	21-Nov-1984
Quest 85 Energy Program L.P. (CT)	18-Apr-1985
Questerre Energy Corporation	1-Nov-2007
Questfire Energy Corp.	30-Sep-2011
Quinte Bay #3 Limited Partnership (CT)	12-Nov-1985
Qusitive Technology Solutions, Inc. (formerly Nebo Capital Corp.)	12-Jun-2020
Quorum Information Technologies Inc.	20-Jul-2001
R.R. Donnelley & Sons Company	17-Dec-2003
Radiant Technologies Inc.	21-Jan-2020
Rambler Metals and Mining plc	12-Jan-2016
Range Energy Resources Inc.	8-Jul-2005
Range Gold Corp.	30-Apr-2008
Rare Element Resources Ltd.	13-Dec-2010
RavenSource Fund	30-Apr-1997
Rayonier Advanced Materials Inc.	17-Nov-2017
RB Energy Inc.	25-Jan-2011
RBC \$U.S. Core Bond Pool	20-Jan-2021
RBC \$U.S. Core Plus Bond Pool	20-Jan-2021
RBC \$U.S. Global Balanced Portfolio	20-Jan-2021
RBC \$U.S. Global Bond Fund	20-Jan-2021
RBC \$U.S. High Yield Bond Fund	2-Jul-2013



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
RBC \$U.S. Investment Grade Corporate Bond Fund	2-Jul-2013
RBC \$U.S. Money Market Fund	26-Jul-1990
RBC \$U.S. Short Term Income Class	23-Oct-2015
RBC \$U.S. Short-Term Corporate Bond Fund	23-Jan-2018
RBC \$U.S. Short-Term Government Bond Fund	20-Jan-2021
RBC \$U.S. Strategic Income Bond Fund	23-Jan-2018
RBC 1-5 Year Laddered Canadian Bond ETF	11-Jan-2016
RBC 1-5 Year Laddered Corporate Bond ETF	7-Jan-2014
RBC Advisor Canadian Bond Fund	8-Nov-1999
RBC Asia Pacific ex-Japan Equity Fund	24-Jan-2014
RBC Asian Equity Fund	23-Jul-1993
RBC Balanced Fund	14-Dec-1987
RBC Balanced Growth & Income Class	13-Apr-2015
RBC Balanced Growth & Income Fund	2-Jul-2013
RBC BlueBay Global Diversified Income (CAD Hedged) ETF	12-Sep-2017
RBC Bond Fund	18-Dec-1986
RBC Canadian Bank Yield Index ETF	31-Aug-2017
RBC Canadian Discount Bond ETF	24-May-2019
RBC Canadian Dividend Class	21-Dec-2011
RBC Canadian Dividend Fund	7-Jan-1993
RBC Canadian Equity Class	21-Dec-2011
RBC Canadian Equity Fund	7-Jul-1995
RBC Canadian Equity Income Class	21-Dec-2011
RBC Canadian Equity Income Fund	4-Jul-2006
RBC Canadian Government Bond Index Fund	11-May-2000
RBC Canadian Index Fund	9-Sep-1998
RBC Canadian Mid-Cap Equity Class	21-Dec-2011
RBC Canadian Money Market Fund	2-Dec-1986
RBC Canadian Preferred Share ETF	13-Sep-2016
RBC Canadian Short-Term Income Fund	31-Jan-1992
RBC Canadian Small & Mid-Cap Resources Fund	25-Sep-2013
RBC Canadian T-Bill Fund	29-Jan-1991
RBC China Equity Fund	31-Jan-2020
RBC Conservative Bond Pool	3-Jul-2018
RBC Conservative Growth & Income Fund	20-Nov-2014
RBC Core Bond Pool	3-Jul-2018
RBC Core Plus Bond Pool	3-Jul-2018
RBC Emerging Markets Balanced Fund	25-Jan-2019
RBC Emerging Markets Bond Fund	8-Jul-2010
RBC Emerging Markets Bond Fund (CAD Hedged)	4-Jul-2016
RBC Emerging Markets Dividend Fund	5-Mar-2013
RBC Emerging Markets Equity Class	21-Dec-2011
RBC Emerging Markets Equity Focus Fund	25-Jan-2019
RBC Emerging Markets Equity Fund	18-Dec-2009
RBC Emerging Markets Foreign Exchange Fund	20-Nov-2014
RBC Emerging Markets Multi-Strategy Equity Fund	4-Jul-2016



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
RBC Emerging Markets Small-Cap Equity Fund	5-Mar-2013
RBC European Dividend Fund	3-Jul-2014
RBC European Equity Class	22-Oct-2014
RBC European Equity Fund	10-Jul-1987
RBC European Mid-Cap Equity Fund	4-Jul-2016
RBC Global All-Equity Portfolio	13-Dec-2019
RBC Global Balanced Fund	13-Mar-1998
RBC Global Balanced Portfolio	13-Dec-2019
RBC Global Bond & Currency Fund	23-Jan-2018
RBC Global Bond Fund	26-Jul-1991
RBC Global Conservative Portfolio	13-Dec-2019
RBC Global Corporate Bond Fund	19-Jul-2004
RBC Global Dividend Growth Currency Neutral Fund	10-May-2016
RBC Global Dividend Growth Fund	22-Dec-2000
RBC Global Energy Fund	22-Oct-1980
RBC Global Equity Class	22-Oct-2014
RBC Global Equity Focus Currency Neutral Fund	23-Jan-2018
RBC Global Equity Focus Fund	17-Apr-2014
RBC Global Equity Fund	24-Jan-2014
RBC Global Equity Leaders Fund	3-May-2021
RBC Global Growth & Income Fund	15-Jan-2016
RBC Global Growth Portfolio	13-Dec-2019
RBC Global High Yield Bond Fund	15-Jul-2003
RBC Global Precious Metals Fund	10-Nov-1988
RBC Global Resources Class	21-Dec-2011
RBC Global Resources Fund	22-Dec-2000
RBC Global Technology Fund	11-May-2000
RBC Global Very Conservative Portfolio	13-Dec-2019
RBC High Yield Bond Fund	28-Sep-2010
RBC International Dividend Growth Fund	30-Oct-2006
RBC International Equity Class	22-Oct-2014
RBC International Equity Currency Neutral Fund	17-Apr-2014
RBC International Equity Fund	7-Jan-1993
RBC International Index Currency Neutral Fund	9-Sep-1998
RBC Jantzi Global Equity Fund	3-Jul-2007
RBC Japanese Equity Fund	22-Jan-2014
RBC Life Science and Technology Fund	7-Jul-1995
RBC Managed Payout Solution	19-Jul-2004
RBC Managed Payout Solution - Enhanced	19-Jul-2004
RBC Managed Payout Solution - Enhanced Plus	5-Apr-2002
RBC Monthly Income Bond Fund	28-Sep-2010
RBC Monthly Income Fund	9-Jul-1997
RBC North American Growth Fund	7-Jan-1993
RBC North American Value Class	21-Dec-2011
RBC North American Value Fund	13-Mar-1998
RBC O'Shaughnessy All-Canadian Equity Fund	16-Jan-2007



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
RBC O'Shaughnessy Canadian Equity Fund	7-Oct-1997
RBC O'Shaughnessy International Equity Fund	17-Dec-2004
RBC O'Shaughnessy U.S. Growth Fund	7-Oct-1997
RBC O'Shaughnessy U.S. Growth Fund II	10-Jan-2008
RBC O'Shaughnessy U.S. Value Fund	7-Oct-1997
RBC O'Shaughnessy U.S. Value Fund (Unhedged)	23-Jan-2018
RBC O'Shaughnessy Global Equity Fund	16-Jan-2007
RBC PH&N Short Term Canadian Bond ETF	12-Sep-2017
RBC Premium \$U.S. Money Market Fund	26-Oct-2007
RBC Premium Money Market Fund	10-Feb-1997
RBC Private Canadian Corporate Bond Pool	21-Aug-2003
RBC Private Canadian Dividend Pool	21-Aug-2003
RBC Private Canadian Equity Pool	21-Aug-2003
RBC Private Canadian Mid Cap Equity Pool	21-Aug-2003
RBC Private EAFE Equity Pool	21-Aug-2003
RBC Private Fundamental Canadian Equity Pool	21-Aug-2003
RBC Private Income Pool	21-Aug-2003
RBC Private Overseas Equity Pool	24-Aug-2007
RBC Private Short-Term Income Pool	21-Aug-2003
RBC Private U.S. Growth Equity Pool	21-Aug-2003
RBC Private U.S. Large-Cap Core Equity Currency Neutral Pool	11-Jan-2010
RBC Private U.S. Large-Cap Core Equity Pool	21-Oct-2008
RBC Private U.S. Large-Cap Value Equity Currency Neutral Pool	11-Jan-2010
RBC Private U.S. Large-Cap Value Equity Pool	21-Aug-2003
RBC Private U.S. Small Cap Equity Pool	21-Aug-2003
RBC Private World Equity Pool	21-Aug-2003
RBC Quant Canadian Dividend Leaders ETF	7-Jan-2014
RBC Quant Canadian Equity Leaders ETF	1-Jun-2015
RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF	2-Oct-2014
RBC Quant EAFE Dividend Leaders ETF	7-Jan-2014
RBC Quant EAFE Equity Leaders (CAD Hedged) ETF	1-May-2015
RBC Quant EAFE Equity Leaders ETF	1-May-2015
RBC Quant Emerging Markets Dividend Leaders ETF	2-Oct-2014
RBC Quant Emerging Markets Equity Leaders ETF	1-May-2015
RBC Quant European Dividend Leaders (CAD Hedged) ETF	2-Oct-2014
RBC Quant European Dividend Leaders ETF	2-Oct-2014
RBC Quant U.S. Dividend Leaders (CAD Hedged) ETF	2-Oct-2014
RBC Quant U.S. Dividend Leaders ETF	7-Jan-2014
RBC Quant U.S. Equity Leaders (CAD Hedged) ETF	1-May-2015
RBC Quant U.S. Equity Leaders ETF	1-May-2015
RBC QUBE All Country World Equity Fund	24-Jan-2014
RBC QUBE Canadian Equity Fund	2-Jul-2013
RBC QUBE Global Equity Fund	2-Jul-2013
RBC QUBE Low Volatility All Country World Equity Fund	24-Jan-2014



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
RBC QUBE Low Volatility Canadian Equity Class	22-Oct-2014
RBC QUBE Low Volatility Canadian Equity Fund	14-Nov-2012
RBC QUBE Low Volatility Emerging Markets Equity Fund	31-Jan-2020
RBC QUBE Low Volatility Global Equity Class	22-Oct-2014
RBC QUBE Low Volatility Global Equity Fund	14-Nov-2012
RBC QUBE Low Volatility Gobal Equity Currency Neutral Fund	23-Jan-2018
RBC QUBE Low Volatility U.S. Equity Class	22-Oct-2014
RBC QUBE Low Volatility U.S. Equity Currency Neutral Fund	10-May-2016
RBC QUBE Low Volatility U.S. Equity Fund	14-Nov-2012
RBC QUBE U.S. Equity Fund	2-Jul-2013
RBC Retirement 2020 Portfolio	3-Oct-2016
RBC Retirement 2025 Portfolio	3-Oct-2016
RBC Retirement 2030 Portfolio	3-Oct-2016
RBC Retirement 2035 Portfolio	3-Oct-2016
RBC Retirement 2040 Portfolio	3-Oct-2016
RBC Retirement 2045 Portfolio	3-Oct-2016
RBC Retirement 2050 Portfolio	3-Oct-2016
RBC Retirement 2055 Portfolio	3-Jul-2020
RBC Retirement 2060 Portfolio	3-Jul-2020
RBC Retirement Income Solution	31-Dec-2016
RBC Select Aggressive Growth Portfolio	16-Jan-2007
RBC Select Balanced Portfolio	18-Dec-1986
RBC Select Choices Aggressive Growth Portfolio	17-May-2000
RBC Select Choices Balanced Portfolio	17-May-2000
RBC Select Choices Conservative Portfolio	17-May-2000
RBC Select Choices Growth Portfolio	17-May-2000
RBC Select Conservative Portfolio	18-Dec-1986
RBC Select Growth Portfolio	18-Dec-1986
RBC Select Very Conservative Portfolio	4-Mar-2009
RBC Short Term Income Class	21-Dec-2011
RBC Short Term U.S. Corporate Bond ETF	12-Sep-2017
RBC Short-Term Global Bond Fund	20-Jan-2021
RBC Strategic Income Bond Fund	2-Jul-2013
RBC Target 2021 Corporate Bond Index ETF	24-Aug-2012
RBC Target 2022 Corporate Bond Index ETF	26-Aug-2016
RBC Target 2023 Corporate Bond Index ETF	26-Aug-2016
RBC Target 2024 Corporate Bond Index ETF	17-Aug-2018
RBC Target 2025 Corporate Bond Index ETF	17-Aug-2018
RBC Target 2025 Education Fund	3-Jul-2007
RBC Target 2026 Corporate Bond Index ETF	25-Aug-2020
RBC Target 2027 Corporate Bond Index ETF	25-Aug-2020
RBC Target 2030 Education Fund	5-Jul-2012
RBC Target 2035 Education Fund	3-Jul-2018
RBC Trend Canadian Equity Fund	17-Nov-2016
RBC U.S. Banks Yield (CAD Hedged) Index ETF	26-Apr-2018



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
RBC U.S. Banks Yield Index ETF	26-Apr-2018
RBC U.S. Dividend Class	18-Oct-2012
RBC U.S. Dividend Currency Neutral Fund	10-Oct-2016
RBC U.S. Dividend Fund	16-Oct-2001
RBC U.S. Equity Class	21-Dec-2011
RBC U.S. Equity Currency Neutral Fund	12-Jan-2006
RBC U.S. Equity Fund	16-May-1973
RBC U.S. Equity Value Class	22-Oct-2014
RBC U.S. Equity Value Fund	24-Jan-2014
RBC U.S. Index Currency Neutral Fund	9-Sep-1998
RBC U.S. Index Fund	9-Sep-1998
RBC U.S. Mid-Cap Growth Equity Currency Neutral Fund	12-Jan-2006
RBC U.S. Mid-Cap Growth Equity Fund	9-Mar-1992
RBC U.S. Mid-Cap Value Equity Class	22-Oct-2014
RBC U.S. Mid-Cap Value Equity Fund	21-Dec-2011
RBC U.S. Monthly Income Fund	6-May-2005
RBC U.S. Small-Cap Core Equity Class	22-Oct-2014
RBC U.S. Small-Cap Core Equity Fund	21-Dec-2011
RBC U.S. Small-Cap Value Equity Fund	4-Sep-2015
RBC Vision Balanced Fund	3-Jul-2007
RBC Vision Bond Fund	28-Aug-2002
RBC Vision Canadian Equity Fund	3-Jul-2007
RBC Vision Fossil Fuel Free Balanced Fund	20-Jan-2021
RBC Vision Fossil Fuel Free Bond Fund	20-Jan-2021
RBC Vision Fossil Fuel Free Emerging Markets Equity Fund	20-Jan-2021
RBC Vision Fossil Fuel Free Global Equity Fund	5-Jul-2017
RBC Vision Fossil Fuel Free Short-Term Bond Fund	20-Jan-2021
RBC Vision QUBE Fossil Fuel Free Low Volatility Canadian Equity Fund	20-Jan-2021
RBC Vision Women's Leadership MSCI Canada Index ETF	27-Feb-2018
RCI Corporation (CT)	31-Aug-1994
RDX Technologies Corporation	5-Jun-2012
Rea Gold Corporation (CT)	28-Jul-1997
Real del Monte Mining Corporation (CT)	22-Mar-1989
Real Estate & E-Commerce Split Corp.	28-Oct-2020
Real Estate Investment Corporate Class	2-Oct-2007
Real Estate Investment Pool	21-May-1995
Real Luck Group Ltd.	24-Apr-2018
Real Matters Inc.	5-May-2017
Real Money Fund Of Canada (CT)	5-Oct-1982
Real Return Bond Fund	30-Jun-2020
Realia Properties Inc.	3-Oct-2008
REALnorth Opportunities Fund	22-Dec-2014
Recipe Unlimited Corporation	1-Apr-2015
Reconnaissance Energy Africa Ltd.	12-Aug-2020
*Red Coat Cattle Feeders Inc.	12-Feb-2004



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Red Eagle Mining Corporation	29-Jun-2015
*Red Earth Energy Limited (CT)	18-May-1984
Red Light Holland Corp.	10-May-2000
Red River Capital Corp.	27-Apr-2018
Red Rock Energy Inc.	31-May-2007
Red White & Bloom Brands Inc.	18-Sep-2020
Redekop Properties Inc. (CT)	4-Oct-1994
Redline Communications Group Inc.	18-Oct-2007
RedWater Energy Corp.	5-Aug-2010
Redwood Emerging Markets Dividend Fund	10-Nov-2010
Reed Medical Library (CT)	4-Dec-1981
Reef Resources Ltd.	26-Sep-2007
*Regina Butcher (CT) And Baker Venture Fund Inc.	9-Dec-1986
Reigate Resources (Canada) Ltd. (CT)	17-Aug-1984
Reko International Group Inc.	4-May-1994
Relax Inns Vancouver Partnership (CT)	21-Apr-1986
Remworks Inc. (CT)	31-Oct-1996
Renaissance Canadian All-Cap Equity Fund	25-Aug-2011
Renaissance Canadian Balanced Fund	21-Jan-1999
Renaissance Canadian Bond Fund	25-Aug-1989
Renaissance Canadian Core Value Fund	27-Sep-1994
Renaissance Canadian Dividend Fund	13-Nov-2002
Renaissance Canadian Equity Private Pool	23-Nov-1999
Renaissance Canadian Fixed Income Private Pool	23-Nov-1999
Renaissance Canadian Growth Fund	24-Aug-1987
Renaissance Canadian Monthly Income Fund	5-Nov-1997
Renaissance Canadian Small-Cap Fund	25-Oct-1996
Renaissance Canadian T-Bill Fund	24-Aug-1987
Renaissance China Plus Fund	26-Oct-1998
Renaissance Corporate Bond Fund	16-Oct-2009
Renaissance Diversified Income Fund	13-Nov-2002
Renaissance Emerging Markets Equity Private Pool	23-Nov-1999
Renaissance Emerging Markets Fund	25-Oct-1996
Renaissance Equity Income Private Pool	6-Jan-2004
Renaissance Flexible Yield Fund	20-Apr-2016
Renaissance Floating Rate Income Fund	10-Sep-2013
Renaissance Global Bond Fund	15-Oct-1992
Renaissance Global Bond Private Pool	23-Nov-1999
Renaissance Global Equity Private Pool	20-Apr-2016
Renaissance Global Focus Currency Neutral Fund	15-Oct-2010
Renaissance Global Focus Fund	3-Sep-1999
Renaissance Global Growth Currency Neutral Fund	15-Oct-2010
Renaissance Global Growth Fund	25-Nov-1998
Renaissance Global Health Care Fund	16-Oct-1996
Renaissance Global Infrastructure Currency Neutral Fund	15-Oct-2010
Renaissance Global Infrastructure Fund	21-Aug-2007



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Renaissance Global Markets Fund	15-Oct-1992
Renaissance Global Real Estate Currency Neutral Fund	15-Oct-2010
Renaissance Global Real Estate Fund	15-Oct-2010
Renaissance Global Science & Technology Fund	16-Oct-1996
Renaissance Global Small-Cap Fund	6-Feb-1998
Renaissance Global Value Fund	6-Feb-1998
Renaissance High Income Fund	25-Nov-1997
Renaissance High-Yield Bond Fund	27-Sep-1994
Renaissance International Dividend Fund	25-Oct-1996
Renaissance International Equity Currency Neutral Fund	15-Oct-2010
Renaissance International Equity Fund	14-Nov-2000
Renaissance International Equity Private Pool	23-Nov-1999
Renaissance Money Market Fund	25-Aug-1989
Renaissance Multi-Asset Global Balanced Income Private Pool	20-Apr-2016
Renaissance Multi-Asset Global Balanced Private Pool	20-Apr-2016
Renaissance Multi-Sector Fixed Income Private Pool	20-Apr-2016
Renaissance Optimal Conservative Income Portfolio	10-Sep-2013
Renaissance Optimal Global Equity Currency Neutral Portfolio	15-Oct-2010
Renaissance Optimal Global Equity Portfolio	8-Feb-2000
Renaissance Optimal Growth & Income Portfolio	10-Sep-2013
Renaissance Optimal Income Portfolio	21-Aug-2007
Renaissance Optimal Inflation Opportunities Portfolio	25-Aug-2011
Renaissance Real Assets Private Pool	20-Apr-2016
Renaissance Real Return Bond Fund	13-Nov-2002
Renaissance Short-Term Income Fund	25-Aug-1989
Renaissance U.S. Dollar Corporate Bond Fund	10-Sep-2013
Renaissance U.S. Dollar Diversified Income Fund	10-Sep-2013
Renaissance U.S. Equity Currency Neutral Private Pool	9-Dec-2010
Renaissance U.S. Equity Fund	25-Oct-1996
Renaissance U.S. Equity Growth Currency Neutral Fund	15-Oct-2010
Renaissance U.S. Equity Growth Fund	24-Aug-1987
Renaissance U.S. Equity Income Fund	10-Sep-2013
Renaissance U.S. Equity Private Pool	23-Nov-1999
Renaissance U.S. Equity Value Fund	25-Nov-1998
Renaissance U.S. Money Market Fund	3-Apr-1987
Renaissance Ultra Short-Term Income Private Pool	23-Nov-1999
Rentown Enterprises Inc. (CT)	15-Dec-1989
Resolute Forest Products Inc.	29-Oct-2007
Restaurant Brands International Inc.	9-Dec-2015
Resverlogix Corp.	13-Oct-2011
Revival Gold Inc.	31-Jul-2020
Revive Therapeutics Ltd.	5-Dec-2014
Reyna Silver Corp.	4-Apr-2019
RF Capital Group Inc.	15-May-2009
Richards Packaging Income Fund	30-Mar-2004



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Richelieu Hardware Ltd.	14-Jul-1993
Richtree Inc.	12-Feb-1997
Rider Investment Capital Corp.	23-Apr-2018
Ridge Land Properties Limited Partnership (CT)	30-Nov-1983
Ridgewood Canadian Bond Fund	25-Feb-1999
Ridgewood Canadian Investment Grade Bond Fund	30-Nov-2009
Ridgewood Tactical Yield Fund	1-Apr-2011
Ring of Power (CT)	10-Mar-1980
Rio2 Limited	24-Jul-2018
RioCan Real Estate Investment Trust	1-Jun-1988
Ritchie Bros. Auctioneers Incorporated	23-Jan-2004
River Park Estates Partnership	6-Dec-1983
Rivergate Estates Phase I Limited Partnership	2-Jun-1983
Rivergate Estates Phase II Limited Partnership	2-Sep-1983
Rivergate Estates Phase III Limited Partnership	3-Aug-1984
RKW Standardbred Limited Partnership (CT)	26-Jun-1987
Robson Petroleum Ltd. (CT)	17-Nov-1981
Roca Mines Inc.	7-Nov-2002
Rochester Resources Ltd.	3-Jul-1990
Rocky Mountain Liquor Inc.	11-Mar-2008
Rodinia Oil Corp.	20-May-2010
Rogers Communications Inc.	12-Sep-1980
Rogers Sugar Inc.	1-Jan-2011
Roman Corporation Limited (T)	3-May-2004
ROMC Trust	7-Jun-2016
Roots Corporation	18-Oct-2017
Roxgold Inc.	17-Mar-2014
Royal Bank of Canada	24-Nov-1981
Royal Gold, Inc.	16-Jul-2004
*Royal Helium Ltd.	7-Jan-2020
Royal Road Minerals Limited	29-Jul-2020
Royal View Apartments (CT)	26-Jun-1981
RP Alternative Global Bond Fund	5-Jun-2019
RP Strategic Income Plus Fund	2-Mar-2016
Ritual Superfoods Inc.	26-Feb-2021
Rubicon Organics Inc.	3-Oct-2018
Rusoro Mining Ltd.	15-Dec-2008
Russel Metals Inc.	1-Dec-1983
Russell Investments Balanced	4-May-2007
Russell Investments Balanced Class	28-Oct-2008
Russell Investments Balanced Growth	10-Aug-2000
Russell Investments Canadian Cash Fund	9-Jul-2013
Russell Investments Canadian Dividend Class	20-Jul-2009
Russell Investments Canadian Dividend Pool	20-Jul-2009
Russell Investments Canadian Equity Class	28-Oct-2008
Russell Investments Canadian Equity Pool	5-Jan-1993



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Russell Investments Canadian Fixed Income Fund	10-Aug-2000
Russell Investments Conservative Income	16-Dec-2011
Russell Investments Conservative Income Class	16-Jan-2012
Russell Investments Diversified Monthly Income	4-Nov-2004
Russell Investments Diversified Monthly Income Class	28-Oct-2008
Russell Investments Emerging Markets Equity Class	28-Oct-2008
Russell Investments Emerging Markets Equity Pool	31-Jan-1997
Russell Investments ESG Global Equity Pool	6-Jul-2017
Russell Investments Fixed Income Class	28-Oct-2008
Russell Investments Fixed Income Pool	5-Jan-1993
Russell Investments Focused Canadian Equity Class	6-Dec-2012
Russell Investments Focused Canadian Equity Pool	6-Dec-2012
Russell Investments Focused Global Equity Class	28-Nov-2013
Russell Investments Focused Global Equity Pool	28-Nov-2013
Russell Investments Focused US Equity Class	14-Sep-2011
Russell Investments Focused US Equity Pool	14-Sep-2011
Russell Investments Global Credit Class	4-Jul-2012
Russell Investments Global Credit Pool	16-Dec-2011
Russell Investments Global Equity Class	28-Oct-2008
Russell Investments Global Equity Pool	29-Jan-1998
Russell Investments Global Infrastructure Class	3-Jul-2015
Russell Investments Global Infrastructure Pool	21-Jan-2013
Russell Investments Global Real Estate Pool	21-Jan-2013
Russell Investments Global Smaller Companies Class	26-Oct-2010
Russell Investments Global Smaller Companies Pool	28-Oct-2010
Russell Investments Global Unconstrained Bond Pool	25-Oct-2007
Russell Investments Income Essentials	14-Mar-2008
Russell Investments Income Essentials Class	28-Oct-2008
Russell Investments Inflation Linked Bond Fund	1-Apr-2014
Russell Investments Long-Term Growth	10-Aug-2000
Russell Investments Long-Term Growth Class	28-Oct-2008
Russell Investments Money Market Class	28-Oct-2008
Russell Investments Money Market Pool	29-Jan-1998
Russell Investments Multi-Factor Canadian Equity Pool	5-Jul-2018
Russell Investments Multi-Factor Global Balanced	22-Nov-2018
Russell Investments Multi-Factor International Equity Pool	6-Apr-2017
Russell Investments Multi-Factor US Equity Pool	6-Apr-2018
Russell Investments Overseas Equity Class	28-Oct-2008
Russell Investments Overseas Equity Pool	5-Jan-1993
Russell Investments Real Assets	5-Jul-2018
Russell Investments Short Term Income Class	4-Jul-2012
Russell Investments Short Term Income Pool	4-Jul-2012
Russell Investments US Equity Class	28-Oct-2008
Russell Investments US Equity Pool	5-Jan-1993
Russell Investments Yield Opportunities Pool	19-Feb-2019
RYU Apparel Inc.	25-Jan-2017



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
S & M Photolabels Inc. (CT)	10-Dec-1986
S Split Corp.	27-Apr-2007
Sabina Gold & Silver Corp.	23-Feb-2011
Saco SmartVision Inc. (CT)	15-Nov-1996
Sagen MI Canada Inc. (formerly Genworth MI Canada Inc.)	29-Jun-2009
Sagikor Financial Company Ltd.	18-May-2017
Samco Gold Limited	28-Jun-2011
Samex Mining Corp.	3-Mar-1980
Samoth Oilfield Inc.	9-Nov-2006
San Gold Corporation	27-Jul-2010
Sanatana Resources Inc.	30-Nov-2005
Sandhurst (CT)	9-Dec-1981
Sandspring Resources Ltd.	16-Nov-2009
Sandstorm Gold Ltd.	16-Apr-2009
Sangoma Technologies Corporation	8-Jul-2019
Santacruz Silver Mining Ltd.	5-Feb-2013
Saputo Inc.	6-Oct-1997
Sarama Resources Ltd.	26-Oct-2011
Sarcee Trail Place (CT)	21-Nov-1979
*Saskatoon Square Limited Partnership (CT)	27-Jun-1980
*Saskatoon Tower (CT)	14-Dec-1979
*SaskWorks Venture Fund Inc.	22-Dec-1999
*SaskWorks Venture Fund Inc. - Class R Resources	25-Nov-2020
Saturn (Solutions) Inc. (T)	26-Feb-1996
Savaria Corporation	27-Apr-2018
Sceptre Ventures Inc.	8-Apr-2010
Score Media and Gaming Inc.	1-Jan-2013
Scotia Aria Conservative Build Portfolio	20-Nov-2014
Scotia Aria Conservative Defend Portfolio	20-Nov-2014
Scotia Aria Conservative Pay Portfolio	20-Nov-2014
Scotia Aria Equity Build Portfolio	11-Oct-2018
Scotia Aria Equity Defend Portfolio	11-Oct-2018
Scotia Aria Equity Pay Portfolio	11-Oct-2018
Scotia Aria Moderate Build Portfolio	20-Nov-2014
Scotia Aria Moderate Defend Portfolio	20-Nov-2014
Scotia Aria Moderate Pay Portfolio	20-Nov-2015
Scotia Aria Progressive Build Portfolio	20-Nov-2014
Scotia Aria Progressive Defend Portfolio	20-Nov-2014
Scotia Aria Progressive Pay Portfolio	20-Nov-2014
Scotia Canadian Balanced Fund	21-Jun-1990
Scotia Canadian Bond Fund	20-Aug-2009
Scotia Canadian Bond Index Fund	6-Oct-1999
Scotia Canadian Bond Index Tracker ETF	21-Oct-2020
Scotia Canadian Dividend Class	24-May-2012
Scotia Canadian Dividend Fund	23-Dec-1992
Scotia Canadian Equity Blend Class	23-Nov-2012



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Scotia Canadian Equity Fund	27-Jan-1987
Scotia Canadian Equity Index Fund	17-Dec-1996
Scotia Canadian Growth Fund	21-Apr-1972
Scotia Canadian Income Fund	26-May-1988
Scotia Canadian Large Cap Equity Index Tracker ETF	21-Oct-2020
Scotia Canadian Small Cap Fund	23-Dec-1992
Scotia CanAm Index Fund	29-Sep-1993
Scotia Conservative Fixed Income Portfolio	16-Jan-2014
Scotia Diversified Balanced Fund	21-Apr-1972
Scotia Diversified Monthly Income Fund	17-Jun-2005
Scotia Dividend Balanced Fund	27-Aug-2010
Scotia Emerging Markets Equity Fund	23-Jan-2020
Scotia European Equity Fund	30-Sep-1996
Scotia Global Balanced Fund	27-Aug-2010
Scotia Global Bond Fund	6-Jul-1994
Scotia Global Dividend Class	24-May-2012
Scotia Global Dividend Fund	27-Aug-2010
Scotia Global Equity Fund	13-Dec-2000
Scotia Global Growth Fund	21-Apr-1972
Scotia Global Small Cap Fund	13-Dec-2000
Scotia Income Advantage Fund	11-Jul-2011
Scotia Innova Balanced Growth Portfolio	15-Jan-2009
Scotia INNOVA Balanced Growth Portfolio Class	24-May-2012
Scotia Innova Balanced Income Portfolio	15-Jan-2009
Scotia INNOVA Balanced Income Portfolio Class	24-May-2012
Scotia Innova Growth Portfolio	15-Jan-2009
Scotia INNOVA Growth Portfolio Class	24-May-2012
Scotia Innova Income Portfolio	15-Jan-2009
Scotia INNOVA Income Portfolio Class	15-May-2020
Scotia Innova Maximum Growth Portfolio	15-Jan-2009
Scotia INNOVA Maximum Growth Portfolio Class	24-May-2012
Scotia International Equity Blend Class	23-Nov-2012
Scotia International Equity Fund	13-Dec-2000
Scotia International Equity Index Fund	6-Oct-1999
Scotia International Equity Index Tracker ETF	21-Oct-2020
Scotia Low Carbon Canadian Fixed Income Fund	30-Oct-2020
Scotia Low Carbon Global Balanced Fund	30-Oct-2020
Scotia Low Carbon Global Equity Fund	30-Oct-2020
Scotia Money Market Fund	11-Sep-1990
Scotia Mortgage Income Fund	14-Oct-1992
Scotia Nasdaq Index Fund	14-Dec-2000
Scotia Partners Balanced Growth Portfolio	2-Dec-2002
Scotia Partners Balanced Growth Portfolio Class	25-Jan-2016
Scotia Partners Balanced Income Portfolio	2-Dec-2002
Scotia Partners Balanced Income Portfolio Class	25-Jan-2016
Scotia Partners Growth Portfolio	2-Dec-2002



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Scotia Partners Growth Portfolio Class	25-Jan-2016
Scotia Partners Income Portfolio	27-Aug-2010
Scotia Partners Maximum Growth Portfolio	2-Dec-2002
Scotia Partners Maximum Growth Portfolio Class	25-Jan-2016
Scotia Premium T-Bill Fund	26-Aug-1992
Scotia Private American Core-Plus Bond Pool	30-Jan-2002
Scotia Private Canadian All Cap Equity Pool	15-Nov-2016
Scotia Private Canadian Core Bond Pool	23-Jan-2020
Scotia Private Canadian Corporate Bond Pool	3-Nov-2003
Scotia Private Canadian Equity Pool	17-Jun-2005
Scotia Private Canadian Growth Pool	9-Sep-1997
Scotia Private Canadian Mid Cap Pool	30-Jan-2002
Scotia Private Canadian Preferred Share Pool	1-Dec-2011
Scotia Private Canadian Small Cap Pool	9-Sep-1997
Scotia Private Canadian Value Pool	9-Sep-1997
Scotia Private Emerging Markets Pool	13-Sep-2010
Scotia Private Floating Rate Income Pool	17-Jan-2014
Scotia Private Fundamental Canadian Equity Pool	15-Nov-2016
Scotia Private Global Credit Pool	31-Dec-2016
Scotia Private Global Equity Pool	9-Sep-1997
Scotia Private Global High Yield Pool	3-Oct-2017
Scotia Private Global Infrastructure Pool	15-Nov-2017
Scotia Private Global Real Estate Pool	30-Jan-2002
Scotia Private High Yield Bond Pool	18-Nov-2019
Scotia Private High Yield Income Pool	9-Sep-1997
Scotia Private Income Pool	9-Sep-1997
Scotia Private International Core Equity Pool	17-Jun-2005
Scotia Private International Equity Pool	9-Sep-1997
Scotia Private International Growth Equity Pool	11-Oct-2018
Scotia Private International Small to Mid Cap Value Pool	30-Jan-2002
Scotia Private North American Dividend Pool	17-Jun-2005
Scotia Private Premium Payout Pool	1-Jun-2015
Scotia Private Real Estate Income Pool	23-Nov-2012
Scotia Private Short Term Bond Pool	27-Aug-2010
Scotia Private Short-Mid Government Bond Pool	2-Nov-2007
Scotia Private Strategic Balanced Pool	9-Sep-1997
Scotia Private Total Return Bond Pool	15-Nov-2016
Scotia Private U.S. Dividend Pool	18-Nov-2019
Scotia Private U.S. Large Cap Growth Pool	29-Jan-2001
Scotia Private U.S. Mid Cap Value Pool	30-Jan-2002
Scotia Private U.S. Value Pool	9-Sep-1997
Scotia Private World Infrastructure Pool	18-Nov-2019
Scotia Resource Fund	29-Sep-1993
Scotia Schools Trust	7-May-1999
Scotia Selected Balanced Growth Portfolio	25-Apr-2003
Scotia Selected Balanced Income Portfolio	25-Apr-2003



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Scotia Selected Growth Portfolio	25-Apr-2003
Scotia Selected Income Portfolio	23-Nov-2012
Scotia Selected Maximum Growth Portfolio	25-Apr-2003
Scotia Strategic Canadian Equity ETF Portfolio	23-May-2018
Scotia Strategic Fixed Income ETF Portfolio	23-May-2018
Scotia Strategic International Equity ETF Portfolio	23-May-2018
Scotia Strategic U.S. Equity ETF Portfolio	23-May-2018
Scotia T-Bill Fund	11-Oct-1991
Scotia U.S. \$ Balanced Fund	11-Jul-2011
Scotia U.S. \$ Bond Fund	4-Dec-1991
Scotia U.S. \$ Money Market Fund	30-Sep-1996
Scotia U.S. Dividend Fund	23-Nov-2012
Scotia U.S. Equity Blend Class	23-Nov-2012
Scotia U.S. Equity Fund	4-Jan-1987
Scotia U.S. Equity Index Fund	17-Dec-1996
Scotia U.S. Equity Index Tracker ETF	21-Oct-2020
Scotia U.S. Opportunities Fund	13-Dec-2000
Scotiabank Capital Trust	23-Apr-2002
SDX Energy plc	27-May-2019
Seabridge Gold Inc.	11-Apr-2017
Sears Canada Inc.	16-Aug-1988
Secure Energy Services Inc.	24-Mar-2010
Securex Ltd.	3-Oct-1995
Security Home Mortgage Investment Corporation (CT)	3-Feb-1989
Sedibelo Platinum Mines Limited	28-Jul-2006
Select 100e Managed Portfolio Corporate Class	27-Nov-2006
Select 20i80e Managed Portfolio Corporate Class	27-Nov-2006
Select 30i70e Managed Portfolio Corporate Class	27-Nov-2006
Select 40i60e Managed Portfolio Corporate Class	27-Nov-2006
Select 50i50e Managed Portfolio Corporate Class	27-Nov-2006
Select 60i40e Managed Portfolio Corporate Class	27-Nov-2006
Select 70i30e Managed Portfolio Corporate Class	27-Nov-2006
Select 80i20e Managed Portfolio Corporate Class	27-Nov-2006
Select Canadian Equity Managed Corporate Class	9-Nov-2005
Select Canadian Equity Managed Fund	22-Nov-2006
Select Income Managed Corporate Class	22-Jul-2010
Select International Equity Managed Corporate Class	12-Nov-2005
Select International Equity Managed Fund	22-Nov-2006
Select Staging Fund	12-Nov-2005
Select U.S. Equity Managed Corporate Class	31-Dec-2005
SemBioSys Genetics Inc.	25-Nov-2004
Semi-Tech Corporation (CT)	8-Jul-1992
Sendero Mining Corp.	26-Aug-2008
Senior Secured Floating Rate Loan Fund	21-Aug-2013
Sentry All Cap Income Class	30-May-2011
Sentry All Cap Income Fund	20-Jan-1997



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Sentry Balanced Income Portfolio	16-Sep-2014
Sentry Balanced Yield Private Pool Class	26-Jun-2020
Sentry Canadian Core Fixed Income Private Trust	26-Jun-2020
Sentry Canadian Equity Income Private Pool Class	26-Jun-2020
Sentry Canadian Equity Income Private Trust	26-Jun-2020
Sentry Canadian Income Class	28-Mar-2006
Sentry Canadian Income Fund	12-Feb-2002
Sentry Conservative Balanced Income Class	26-Jan-2012
Sentry Conservative Balanced Income Fund	5-Mar-2010
Sentry Conservative Income Portfolio	16-Sep-2014
Sentry Defensive Income Portfolio	22-Jun-2018
Sentry Energy Private Trust	20-Jun-2017
Sentry Global Balanced Yield Private Pool Class	26-Jun-2020
Sentry Global Core Fixed Income Private Trust	26-Jun-2020
Sentry Global Equity Income Private Pool Class	26-Jun-2020
Sentry Global High Yield Fixed Income Private Trust	26-Jun-2020
Sentry Global Infrastructure Private Trust	26-Jun-2020
Sentry Global Investment Grade Private Pool Class	26-Jun-2020
Sentry Global Real Estate Private Trust	26-Jun-2020
Sentry Growth and Income Portfolio	16-Sep-2014
Sentry Growth Portfolio	16-Sep-2014
Sentry International Equity Income Private Pool Class	26-Jun-2020
Sentry International Equity Income Private Trust	26-Jun-2020
Sentry Precious Metals Class	15-Apr-2008
Sentry Precious Metals Fund	11-Feb-1998
Sentry Precious Metals Private Trust	26-Jun-2020
Sentry Real Growth Pool Class	16-Jun-2016
Sentry Real Income 1941-45 Class	16-Jun-2016
Sentry Resource Opportunities Class	6-Mar-2000
Sentry Select Primary Metals Corp.	25-May-2007
Sentry Small/Mid Cap Income Class	22-Jun-2018
Sentry Small/Mid Cap Income Fund	28-Jul-2005
Sentry U.S. Equity Income Currency Neutral Private Pool Class	26-Jun-2020
Sentry U.S. Equity Income Private Pool Class	26-Jun-2020
Sentry U.S. Equity Income Private Trust	26-Jun-2020
Sentry U.S. Growth and Income Class	29-May-2012
Sentry U.S. Growth and Income Corporate Class	3-Aug-2001
Sentry U.S. Growth and Income Currency Neutral Class	13-Apr-2016
Sentry U.S. Growth and Income Fund	30-May-2011
Sentry U.S. Monthly Income Fund	1-Mar-2013
Serica Energy PLC	20-Dec-2007
Serinus Energy plc	24-Jun-2013
Sernova Corp.	24-Feb-2021
Serrano Resources Ltd.	28-Nov-2005
Serval Integrated Energy Services (CT)	8-May-1997



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Seven Evergreen Apartment Project	9-Dec-1980
Seven Generations Energy Ltd.	29-Oct-2014
ShaMaran Petroleum Corp.	23-Dec-2014
*Shane Resources Ltd.	21-Dec-2018
Shape Of Things To Come (CT)	27-Dec-1978
Shaughnessy House Limited Partnership (CT)	3-Oct-1984
Shaw Communications Inc.	8-Apr-1983
ShawCor Ltd.	1-Oct-1967
Sherobee Glen Limited Partnership	30-Jul-1982
Sherritt International Corporation	20-Dec-1995
Sherwood Copper Corporation	
Shine Box Capital Corp.	7-Mar-2019
Shopify Inc.	20-May-2015
Shoreline Energy Corp.	30-Jun-2005
Short Term Bond Fund	9-Mar-2006
Short Term Income Corporate Class	2-Oct-2007
Short Term Income Pool	19-Apr-1993
Short Term Investment Fund	6-Jul-2012
Sienna Senior Living Inc.	15-Mar-2010
Sierra Wireless, Inc.	7-May-1999
Signature Asian Opportunities Corporate Class	3-Aug-2001
Signature Asian Opportunities Fund	9-Nov-1981
Signature Canadian Balanced Corporate Class	31-Jul-2006
Signature Canadian Balanced Fund	27-Jun-1997
Signature Canadian Bond Corporate Class	18-Sep-2002
Signature Canadian Bond Fund	21-Jan-1993
Signature Core Bond Plus Pool	21-Dec-2015
Signature Corporate Bond Class	31-Aug-2012
Signature Corporate Bond Corporate Class	23-Jul-2003
Signature Corporate Bond Fund	20-Dec-2001
Signature Diversified Yield Corporate Class	11-Nov-2009
Signature Diversified Yield Fund	11-Feb-2011
Signature Dividend Corporate Class	18-Sep-2002
Signature Dividend Fund	30-Oct-1996
Signature Emerging Markets Corporate Class	3-Aug-2001
Signature Emerging Markets Fund	16-Sep-1991
Signature Global Bond Corporate Class	18-Sep-2002
Signature Global Bond Fund	23-Sep-1992
Signature Global Dividend Corporate Class	21-Dec-2012
Signature Global Energy Corporate Class	3-Aug-2001
Signature Global Income & Growth Corporate Class	22-Feb-2007
Signature Global Income & Growth Fund	26-Feb-2007
Signature Global Infrastructure Fund	30-Jan-2007
Signature Global REIT Class	7-Jun-2013
Signature Global REIT Fund	11-Feb-1998
Signature Global Resource Corporate Class	3-Aug-2001



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Signature Global Resource Fund	15-Apr-1997
Signature Global Technology Corporate Class	3-Aug-2001
Signature Gold Corporate Class	14-Dec-2010
Signature High Income Corporate Class	18-Sep-2002
Signature High Income Fund	30-Dec-1996
Signature High Yield Bond Class	28-May-2010
Signature High Yield Bond Corporate Class	30-Dec-2011
Signature High Yield Bond Fund	30-Jul-2013
Signature Income & Growth Corporate Class	31-Mar-2005
Signature Income & Growth Fund	16-Nov-2000
Signature Option Income Pool	11-Oct-2018
Signature Preferred Share Fund	21-Dec-2015
Signature Select Canadian Corporate Class	18-Sep-2002
Signature Select Canadian Fund	20-May-1998
Signature Short-Term Bond Fund	10-Aug-1976
Siltronics Ltd. (CT)	29-Sep-1983
Silver Bear Resources Plc	30-Jun-2017
Silver Dollar Resources Inc.	30-Apr-2020
Silver Elephant Mining Corp.	16-Nov-2018
Silver Tiger Metals Inc.	14-Jun-2016
Silvercorp Metals Inc	20-Apr-2006
SilverCrest Metals Inc.	1-Oct-2015
Silversword Corporation (CT)	6-Nov-1986
Sintana Energy Inc. (formerly Mobius Resources Inc.)	6-Aug-2015
Sionna Opportunities Fund	15-May-2016
Sionna Strategic Income Fund	26-Jun-2002
SIR ROYALTY INCOME FUND	1-Oct-2004
Sirius XM Holdings Inc.	25-May-2017
Skeena Resources Limited	13-May-2005
SKG INTERACTIVE INC. (CT)	24-Feb-1989
SLANG Worldwide Inc.	21-Jan-2019
Slate Grocery REIT	30-Mar-2012
Slate Office REIT	18-Dec-2012
Slater Steel Inc. (T)	12-Sep-1995
Sleep Country Canada Holdings Inc.	23-Jun-2015
SLMsoft Inc. (T)	8-Jul-1997
SmartBe Canadian Quantitative Momentum Index ETF	29-Jan-2021
SmartBe Canadian Quantitative Value Index ETF	29-Jan-2021
SmartBe U.S. Quantitative Momentum Index ETF	29-Jan-2021
SmartBe U.S. Quantitative Value Index ETF	29-Jan-2021
SmartCentres Real Estate Investment Trust	24-Oct-2002
SNC-Lavalin Group Inc.	27-May-1986
Snow Leopard Resources Inc. (T)	8-May-1997
SNV Group Ltd. (CT)	8-Sep-1999
Sobeys Inc.	17-Nov-1998
Societerra 100 per cent Equity Portfolio	28-Jun-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
SocieTerra Balanced Portfolio	16-Jan-2009
SocieTerra Conservative Portfolio	16-Jan-2009
SocieTerra Growth Portfolio	1-Jan-2000
SocieTerra Maximum Growth Portfolio	16-Jan-2009
SocieTerra Moderate Portfolio	3-Apr-2020
Solara Exploration Ltd	19-Dec-2005
Solaris Copper Inc.	3-Aug-2018
SOLITARIO ZINC CORP.	13-Jul-2017
Solution Financial Inc.	29-Mar-2021
Sona Nanotech Inc.	15-Jun-2006
Sonde Resources Corp.	28-Oct-1999
Sonor Investments Limited	1-Oct-1967
Sonora Diamond Corp. Ltd. (CT)	27-Feb-1984
SOPerior Fertilizer Corp.	28-Nov-2012
Sophiris Bio Inc.	30-Jun-2004
Source Energy Services Ltd.	7-Apr-2017
*South West Terminal Ltd.	15-Nov-1994
Southern Pacific Resource Corp.	16-Nov-2007
Southern Tier Gas Producers Ltd. (CT)	22-Aug-1979
SouthGobi Resources Ltd.	22-Jan-2010
Southland Tower I L.P. (CT)	13-Aug-1981
*Southwest Venture Capital Corporation (CT)	7-May-1987
Spackman Equities Group Inc.	5-Oct-2007
Spark Power Group Inc.	25-Jul-2017
Spartan Delta Corp.	31-May-2006
Specialty Foods Group Income Fund	3-Mar-2003
Spectra7 Microsystems Inc.	24-Mar-2014
Spectral Medical Inc.	10-Feb-2016
Spectre Capital Corp.	26-Aug-2019
Spey Resources Corp.	28-Jun-2018
Spin Master Corp.	22-Jul-2015
Spinnaker Development Corporation (CT)	15-May-1984
Sprott Inc.	8-May-2008
Sprott Physical Gold and Silver Trust	16-Jan-2018
Sprott Physical Gold Trust	25-Feb-2010
Sprott Physical Platinum and Palladium Trust	18-Dec-2012
Sprott Physical Silver Trust	27-Oct-2010
Spyglass Resources Corp.	28-Mar-2013
SRAI Capital Corp.	6-Jan-2004
SRG Graphite Inc.	11-May-2018
SRHI Inc.	4-Apr-2017
SSR Mining Inc.	10-May-2006
St. Augustine Gold and Copper Limited	5-Jul-2010
St. Clair Paint & Wallpaper Corporation (CT)	29-Oct-1986
St. James Square Limited Partnership	18-Aug-1986
Stabell Resources Inc (CT)	23-Dec-1981



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Stage Holdco Ltd.	
Stampede Drilling Inc.	5-Oct-2009
Standard Lithium Ltd.	15-Mar-2019
Standard Modern Technologies Corp. (CT)	19-Jan-1984
Standard Uranium Ltd.	16-Jun-2020
Stanley Park Place Apartments (CT)	8-Dec-1982
Stantec Inc.	16-Mar-1994
*Star Diamond Corporation	15-Sep-1986
Star Navigation Systems Group Ltd.	2-Dec-1997
Star Properties Inc. (CT)	1-Feb-1996
Star Royalties Ltd.	12-Feb-2021
Starlight Global Infrastructure Fund	25-Sep-2018
Starlight Global Real Estate Fund	25-Sep-2018
Starlight Hybrid Global Real Assets Trust	29-Nov-2018
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	31-Jan-2020
Starlight U.S. Multi-Family (No. 2) Core Plus Fund	19-Mar-2021
Steadyhand Builders Fund	16-Feb-2019
Steadyhand Equity Fund	13-Feb-2007
Steadyhand Founders Fund	15-Feb-2019
Steadyhand Global Equity Fund	13-Feb-2007
Steadyhand Global Small-Cap Equity Fund	21-Feb-2020
Steadyhand Income Fund	13-Feb-2007
Steadyhand Savings Fund	13-Feb-2007
Steadyhand Small-Cap Equity Fund	13-Feb-2007
Stelco Holdings Inc.	2-Nov-2017
Stella-Jones Inc.	14-Jun-1994
Stem Holdings, Inc.	19-Apr-2021
STEP Energy Services Ltd.	25-Apr-2017
Steppe Gold Ltd.	27-Sep-2017
Sterling Shoes Inc.	1-Jul-2010
Stinger Resources Inc.	25-Feb-2021
Stingray Digital Group Inc.	26-May-2015
Stone American Dividend Growth Fund	23-Jun-2014
Stone Covered Call Canadian Banks Plus Fund	23-Jul-2014
Stone Dividend Growth Class	12-Apr-1958
Stone Dividend Yield Hog Fund	27-Jun-2003
Stone Global Balanced Fund	20-Dec-1996
Stone Global ESG Strategy Fund	8-Sep-2006
Stone Global Growth Fund	31-Dec-1998
Stone Global Sustainability Fund	5-May-2008
Stone Growth Fund	1-Jun-1995
Stone Investment Group Limited	22-Dec-2006
*Stoney Case Capital Corp. (CT)	4-May-2000
StorageVault Canada Inc.	15-Oct-2007
Storm Resources Ltd.	17-Aug-2010
Stormcrow Holdings Corp.	25-Aug-2020



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Strategic Oil & Gas Ltd.	29-Sep-2010
Strategic Resources Inc.	13-Jul-2005
Strathearn House Group Limited (CT)	27-Mar-1984
Subversive Acquisition LP	23-Dec-2019
SugarBud Craft Growers Corp. (formerly Relentless Resources Ltd.)	12-Jun-2020
Sulliden Mining Capital Inc.	5-Aug-2014
Summit Industrial Income REIT	14-Feb-2013
Summit Limited Partnership (CT)	10-Nov-1982
Sun Life Assurance Company of Canada	24-May-2001
Sun Life BlackRock Canadian Composite Equity Fund	11-Apr-2011
Sun Life BlackRock Canadian Equity Fund	7-Apr-2011
Sun Life BlackRock Canadian Universe Bond Fund	11-Apr-2011
Sun Life Capital Trust	12-Oct-2001
Sun Life Core Advantage Credit Private Pool	14-Feb-2020
Sun Life Dynamic Equity Income Fund	15-Jan-2013
Sun Life Dynamic Strategic Yield Fund	15-Jan-2013
Sun Life Excel Emerging Markets Fund	30-Aug-2011
Sun Life Excel High Income Fund	27-Oct-2010
Sun Life Excel India Balanced Fund	22-Apr-2016
Sun Life Excel India Fund	21-Dec-1998
Sun Life Excel New India Leaders Fund	22-Apr-2016
Sun Life Financial Inc.	23-Mar-2000
Sun Life Global Dividend Private Pool	14-Feb-2020
Sun Life Global Tactical Yield Private Pool	14-Feb-2020
Sun Life Granite Balanced Class	1-Aug-2013
Sun Life Granite Balanced Growth Class	1-Aug-2013
Sun Life Granite Balanced Growth Portfolio	12-Jan-2012
Sun Life Granite Balanced Portfolio	12-Jan-2012
Sun Life Granite Conservative Class	1-Aug-2013
Sun Life Granite Conservative Portfolio	12-Jan-2012
Sun Life Granite Enhanced Income Portfolio	15-Jan-2013
Sun Life Granite Growth Class	1-Aug-2013
Sun Life Granite Growth Portfolio	12-Jan-2012
Sun Life Granite Income Portfolio	15-Jan-2013
Sun Life Granite Moderate Class	1-Aug-2013
Sun Life Granite Moderate Portfolio	12-Jan-2012
Sun Life Granite Tactical Completion Fund	31-Jul-2015
Sun Life JPMorgan International Equity Fund	18-Jul-2018
Sun Life MFS Canadian Bond Fund	7-Nov-1988
Sun Life MFS Canadian Equity Fund	7-Nov-1988
Sun Life MFS Dividend Income Fund	27-Mar-2006
Sun Life MFS Global Growth Class	1-Aug-2013
Sun Life MFS Global Growth Fund	13-Sep-2010
Sun Life MFS Global Total Return Fund	13-Sep-2010
Sun Life MFS Global Value Fund	13-Sep-2010



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Sun Life MFS International Opportunities Class	1-Aug-2013
Sun Life MFS International Opportunities Fund	13-Sep-2010
Sun Life MFS International Value Fund	13-Sep-2010
Sun Life MFS Low Volatility Global Equity Fund	31-Jul-2015
Sun Life MFS Low Volatility International Equity Fund	31-Jul-2015
Sun Life MFS U.S. Equity Fund	7-Nov-1988
Sun Life MFS U.S. Growth Class	1-Aug-2013
Sun Life MFS U.S. Growth Fund	13-Sep-2010
Sun Life MFS U.S. Value Fund	13-Sep-2010
Sun Life Milestone 2025 Fund	13-Sep-2010
Sun Life Milestone 2030 Fund	13-Sep-2010
Sun Life Milestone 2035 Fund	13-Sep-2010
Sun Life Milestone Global Equity Fund	13-Sep-2010
Sun Life Money Market Class	1-Aug-2013
Sun Life Money Market Fund	13-Sep-2010
Sun Life Multi-Strategy Bond Fund	30-Aug-2011
Sun Life NWQ Flexible Income Fund	24-Jan-2014
Sun Life Opportunistic Fixed Income Private Pool	13-May-2016
Sun Life Real Assets Private Pool	30-Jan-2015
Sun Life Schroder Global Mid Cap Fund	30-Jan-2015
Sun Life Tactical Balanced ETF Portfolio	19-Oct-2018
Sun Life Tactical Conservative ETF Portfolio	19-Oct-2018
Sun Life Tactical Equity ETF Portfolio	19-Oct-2018
Sun Life Tactical Fixed Income ETF Portfolio	19-Oct-2018
Sun Life Tactical Growth ETF Portfolio	19-Oct-2018
Sun Life U.S. Core Fixed Income Fund	31-Jul-2017
Sun Residential Real Estate Investment Trust	14-Jun-2019
Sun-Times Media Group, Inc.	1-Aug-1996
Suncoast Petroleum Corporation (CT)	30-Oct-1980
Suncor Energy Inc.	5-Mar-1992
Sundial Growers Inc.	30-Jul-2019
Sunmist Energy '86 Inc. (CT)	2-Jun-1980
SunOpta Inc.	11-Aug-2003
Sunridge Village (CT)	19-Dec-1980
*Sunrise Garden Apartments Co-ownership (CT)	7-Oct-1980
Sunstone Opportunity (2006) Debenture Fund	20-Sep-2006
Sunstone Opportunity (2006) Realty Trust	20-Sep-2006
Sunstone Opportunity (2007) Mortgage Fund	10-Oct-2007
Sunstone Opportunity (2007) Realty Trust	10-Oct-2007
Sunstone Opportunity Fund (2005) Limited Partnership	22-Sep-2005
Superior Gold Inc.	16-Feb-2017
Superior Plus Corp.	31-May-1993
Supremex Inc.	1-Jan-2011
Surge Energy Inc.	25-Jul-2006
Sustainable Infrastructure Dividend Fund	14-Feb-2020
Sustainable Innovation & Health Dividend Fund	23-Jul-2020



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Sustainable Power & Infrastructure Split Corp.	28-Apr-2021
*Sweeprite Mfg. Inc. (CT)	25-Apr-2001
Swiss Water Decaffeinated Coffee Inc.	1-Jan-2011
Sydney Advanced Technology (CT)	10-Nov-1982
Sydney Advanced Technology LP (CT)	23-Nov-1981
Sylvan Park Estates Limited Partnership (CT)	31-Aug-1984
Symmetry Balanced Portfolio	21-Nov-2008
Symmetry Balanced Portfolio Class	21-Nov-2008
Symmetry Canadian Bond Fund	4-Oct-2012
Symmetry Canadian Equity Fund	4-Oct-2012
Symmetry Comprehensive Equity Fund	7-Aug-2015
Symmetry Conservative Income Portfolio	5-Jan-2012
Symmetry Conservative Income Portfolio Class	5-Jan-2012
Symmetry Conservative Portfolio	21-Nov-2008
Symmetry Conservative Portfolio Class	21-Nov-2008
Symmetry Equity Portfolio Class	6-Dec-2006
Symmetry Fixed Income Portfolio	8-Feb-2005
Symmetry Global Bond Fund	28-Sep-2012
Symmetry Growth Portfolio	21-Nov-2008
Symmetry Growth Portfolio Class	21-Nov-2008
Symmetry Low Volatility Fund	4-Oct-2012
Symmetry Moderate Growth Portfolio	21-Nov-2008
Symmetry Moderate Growth Portfolio Class	21-Nov-2008
Symmetry US Equity Fund	6-Oct-2014
Symphony Floating Rate Senior Loan Fund	21-Oct-2011
Synergy American Corporate Class	3-Aug-2001
Synergy American Fund	23-Sep-1992
Synergy Canadian Corporate Class	30-Sep-2005
Synergy Global Corporate Class	29-Jul-2004
Synodon Inc.	29-Sep-2006
T. Rowe Price - IG U.S. Equity Pool	12-Oct-2018
Taal Distributed Information Technologies Inc.	11-Mar-2021
Tactical Sovereign Bond Fund	27-Jun-1997
TAG Oil Ltd	29-Apr-2010
Tahera Diamond Corporation	27-Sep-2004
Taiga Building Products Ltd.	27-May-1993
Taiga Gold Corp.	11-Apr-2018
Taiga Motors Corporation	1-Apr-2019
Talon Metals Corp.	25-Apr-2011
Tamarack Valley Energy Ltd.	4-Mar-2011
Tangerine Balanced ETF Portfolio	11-Nov-2020
Tangerine Balanced Growth ETF Portfolio	11-Nov-2020
Tangerine Balanced Growth Portfolio	18-Nov-2011
Tangerine Balanced Income Portfolio	11-Dec-2008
Tangerine Balanced Portfolio	11-Dec-2008
Tangerine Dividend Portfolio	2-Nov-2016



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Tangerine Equity Growth ETF Portfolio	11-Nov-2020
Tangerine Equity Growth Portfolio	18-Nov-2011
Tap Capital Corporation (CT)	1-Jun-1988
*Tarku Resources Ltd. (formerly ITUNA CAPITAL CORPORATION)	30-Mar-2012
Tarquin Group Inc.	4-Apr-2006
Taseko Mines Limited	23-Oct-2007
Taurus Footwear Inc. (CT)	2-Jul-1987
TC Energy Corporation	15-May-2003
TCT Logistics Inc. (T)	13-Feb-1998
TD Active Global Enhanced Dividend ETF	1-Mar-2019
TD Active Global Equity Growth ETF	2-Mar-2020
TD Active Global Income ETF	4-Nov-2019
TD Active Global Infrastructure Equity ETF	2-Mar-2020
TD Active Global Real Estate Equity ETF	4-Nov-2019
TD Active Preferred Share ETF	1-Nov-2018
TD Active U.S. Enhanced Dividend ETF	2-Mar-2020
TD Active U.S. High Yield Bond ETF	4-Nov-2019
TD Advantage Balanced Growth Portfolio	22-Jul-2009
TD Advantage Balanced Income Portfolio	22-Jul-2009
TD Advantage Balanced Portfolio	22-Jul-2009
TD Balanced Growth Fund	1-Sep-1987
TD Balanced Index Fund	23-Jun-1998
TD Canadian Aggregate Bond Index ETF	1-Mar-2016
TD Canadian Blue Chip Dividend Fund	15-Apr-2010
TD Canadian Bond Fund	9-Feb-1988
TD Canadian Bond Index Fund	5-Jun-1997
TD Canadian Core Plus Bond Fund	24-Jul-2007
TD Canadian Corporate Bond Fund	9-Feb-1998
TD Canadian Diversified Yield Fund	19-Jan-1996
TD Canadian Equity Class	23-Jul-2010
TD Canadian Equity Fund	9-Feb-1988
TD Canadian Equity Index ETF	1-Mar-2016
TD Canadian Equity Pool	28-Jul-2014
TD Canadian Equity Pool Class	24-Feb-2012
TD Canadian Index Fund	29-Aug-1985
TD Canadian Large-Cap Equity Fund	19-Jan-1996
TD Canadian Long Term Federal Bond ETF	4-Nov-2019
TD Canadian Low Volatility Class	27-Jul-2012
TD Canadian Low Volatility Fund	28-Feb-2014
TD Canadian Low Volatility Pool	27-Jul-2012
TD Canadian Money Market Fund	9-Feb-1988
TD Canadian Small-Cap Equity Class	23-Jul-2010
TD Canadian Small-Cap Equity Fund	20-Nov-1986
TD Capital Trust IV	16-Jan-2009
TD China Income & Growth Fund	23-Dec-1994



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
TD Comfort Aggressive Growth Portfolio	25-Jul-2008
TD Comfort Balanced Growth Portfolio	25-Jul-2008
TD Comfort Balanced Income Portfolio	25-Jul-2008
TD Comfort Balanced Portfolio	25-Jul-2008
TD Comfort Conservative Income Portfolio	28-Jul-2011
TD Comfort Growth Portfolio	25-Jul-2008
TD Corporate Bond Plus Fund	1-Sep-2006
TD Diversified Monthly Income Fund	20-Nov-1986
TD Dividend Growth Class	23-Jul-2010
TD Dividend Growth Fund	1-Sep-1987
TD Dividend Income Class	27-Jul-2012
TD Dividend Income Fund	13-Oct-1994
TD Dow Jones Industrial Average Index Fund	17-Apr-1998
TD Emerald Balanced Fund	27-Mar-1992
TD Emerald Canadian Bond Index Fund	28-Jun-1991
TD Emerald Canadian Equity Index Fund	28-Jun-1991
TD Emerald Canadian Short Term Investment Fund	28-Jun-1991
TD Emerald Canadian Treasury Management - Government of Canada Fund	26-Jun-2006
TD Emerald Canadian Treasury Management Fund	26-Jun-2006
TD Emerald International Equity Index Fund	23-Feb-1995
TD Emerald U.S. Market Index Fund	1-Aug-1997
TD Emerging Markets Class	23-Jul-2010
TD Emerging Markets Fund	23-Nov-1992
TD Emerging Markets Low Volatility Fund	26-Jul-2013
TD European Index Fund	17-Apr-1998
TD Fixed Income Pool	24-Feb-2012
TD FundSmart Managed Aggressive Growth Portfolio	6-Nov-1998
TD FundSmart Managed Balanced Growth Portfolio	6-Nov-1998
TD FundSmart Managed Income & Moderate Growth Portfolio	6-Nov-1998
TD Global Balanced Opportunities Fund	28-Jul-2011
TD Global Conservative Opportunities Fund	28-Jul-2011
TD Global Core Plus Bond Fund	23-Dec-1993
TD Global Entertainment & Communications Fund	14-Nov-1997
TD Global Equity Focused Fund	27-Jul-2018
TD Global Equity Pool	28-Jul-2014
TD Global Equity Pool Class	24-Feb-2012
TD Global Healthcare Leaders Index ETF	25-Feb-2021
TD Global Income Fund	28-Jul-2017
TD Global Low Volatility Class	27-Jul-2012
TD Global Low Volatility Fund	28-Jul-2011
TD Global Risk Managed Equity Class	28-Jul-2015
TD Global Risk Managed Equity Fund	28-Jul-2015
TD Global Tactical Monthly Income Fund	27-Jul-2012
TD Global Technology Leaders Index ETF	1-Mar-2019
TD Global Unconstrained Bond Fund	28-Jul-2017



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
TD Health Sciences Fund	3-Oct-1996
TD High Yield Bond Fund	23-Jun-1998
TD Income Advantage Portfolio	3-Oct-2003
TD Income Builder ETF	4-Nov-2019
TD Income Opportunities Pool	24-Jul-2007
TD International Equity CAD Hedged Index ETF	1-Mar-2016
TD International Equity Index ETF	1-Mar-2016
TD International Index Currency Neutral Fund	23-Dec-1997
TD International Index Fund	5-Jun-1997
TD International Stock Class (formerly TD International Growth Class)	23-Jul-2010
TD International Stock Fund	15-Apr-2010
TD Managed Aggressive Growth ETF Portfolio	26-Jan-2017
TD Managed Aggressive Growth Portfolio	6-Nov-1998
TD Managed Balanced Growth ETF Portfolio	26-Jan-2017
TD Managed Balanced Growth Portfolio	6-Nov-1998
TD Managed Income & Moderate Growth ETF Portfolio	26-Jan-2017
TD Managed Income & Moderate Growth Portfolio	6-Nov-1998
TD Managed Income ETF Portfolio	26-Jan-2017
TD Managed Income Portfolio	6-Nov-1998
TD Managed Index Aggressive Growth Portfolio	31-Oct-2008
TD Managed Index Balanced Growth Portfolio	16-Nov-1999
TD Managed Index Income & Moderate Growth Portfolio	6-Nov-1998
TD Managed Index Income Portfolio	6-Nov-1998
TD Managed Index Maximum Equity Growth Portfolio	6-Nov-1998
TD Managed Maximum Equity Growth ETF Portfolio	26-Jan-2017
TD Managed Maximum Equity Growth Portfolio	6-Nov-1998
TD Monthly Income Fund	23-Jun-1998
TD Morningstar ESG Canada Equity Index ETF	29-Oct-2020
TD Morningstar ESG International Equity Index ETF	29-Oct-2020
TD Morningstar ESG U.S. Equity Index ETF	29-Oct-2020
TD Nasdaq Index Fund	18-Nov-1999
TD North American Dividend Fund	9-Aug-1989
TD North American Small-Cap Equity Fund	28-Jul-2017
TD North American Sustainability Balanced Fund	30-Jul-2020
TD North American Sustainability Equity Fund	30-Jul-2020
TD One-Click Aggressive ETF Portfolio	10-Jul-2020
TD One-Click Conservative ETF Portfolio	10-Jul-2020
TD One-Click Moderate ETF Portfolio	10-Jul-2020
TD Opportunities Pool	15-Jan-2009
TD Precious Metals Fund	27-Jul-1994
TD Premium Money Market Fund	14-Apr-1993
TD Q International Low Volatility ETF	1-Mar-2019
TD Q Canadian Dividend ETF	4-Nov-2019
TD Q Canadian Low Volatility ETF	2-Mar-2020
TD Q Global Dividend ETF	4-Nov-2019



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
TD Q Global Multifactor ETF	4-Nov-2019
TD Q U.S. Low Volatility ETF	2-Mar-2020
TD Q U.S. Small-Mid-Cap Equity ETF	4-Nov-2019
TD Real Return Bond Fund	27-Jul-1994
TD Resource Fund	31-Dec-1993
TD Retirement Balanced Portfolio	26-Jul-2013
TD Retirement Conservative Portfolio	26-Jul-2013
TD Risk Management Pool	28-Jul-2015
TD Risk Reduction Pool	26-Jul-2013
TD Risk Reduction Pool - US\$	24-Jul-2015
TD Science & Technology Fund	31-Dec-1993
TD Select Short Term Corporate Bond Ladder ETF	1-Nov-2018
TD Select U.S. Short Term Corporate Bond Ladder ETF	1-Nov-2018
TD Short Term Bond Fund	18-Sep-1996
TD Short Term Investment Class	23-Jul-2010
TD Tactical Monthly Income Class	27-Jul-2012
TD Tactical Monthly Income Fund	27-Jul-2012
TD Tactical Pool	28-Jul-2014
TD Tactical Pool Class	24-Feb-2012
TD U.S. Blue Chip Equity Fund	3-Oct-1996
TD U.S. Corporate Bond Fund	15-Apr-2010
TD U.S. Dividend Growth Fund	28-Jul-2017
TD U.S. Equity CAD Hedged Index ETF	1-Mar-2016
TD U.S. Equity Index ETF	1-Mar-2016
TD U.S. Equity Pool (formerly TD U.S. Equity Portfolio)	7-Oct-2004
TD U.S. Index Currency Neutral Fund	23-Dec-1997
TD U.S. Index Fund	16-Oct-1986
TD U.S. Long Term Treasury Bond ETF	4-Nov-2019
TD U.S. Low Volatility Fund	26-Jul-2013
TD U.S. Mid-Cap Growth Class	23-Jul-2010
TD U.S. Mid-Cap Growth Fund	2-Mar-1993
TD U.S. Money Market Fund	9-Feb-1988
TD U.S. Monthly Income Fund	28-Jul-2011
TD U.S. Monthly Income Fund - C\$	26-Jul-2013
TD U.S. Quantitative Equity Fund	1-Feb-1993
TD U.S. Risk Managed Equity Class	28-Jul-2015
TD U.S. Risk Managed Equity Fund	28-Jul-2015
TD U.S. Small-Cap Equity Fund	14-Nov-1997
TD Ultra Short Term Bond Fund	23-Jul-2010
TD US\$ Retirement Portfolio	28-Jul-2015
TDb Split Corp.	27-Jul-2007
TearLab Corporation	9-Dec-2004
Teck Resources Limited	17-Nov-1986
Tecsys Inc.	17-Jul-1998
Tee-Comm Electronics Inc. (CT)	25-Oct-1993
Telescope Film Group Inc. (CT)	24-Jun-1997



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
TELUS Communications Inc.	8-Jun-1972
TELUS Corporation	11-Sep-1990
TELUS International (Cda) Inc.	2-Feb-2021
Temple Green Apartment Project	4-Dec-1981
Templeton Emerging Markets Fund	25-Sep-1991
Templeton Global Bond Fund	13-Jun-1988
Templeton Global Bond Fund (Hedged)	14-Aug-2013
Templeton Global Smaller Companies Fund	5-Jan-1989
Templeton Growth Fund	1-Sep-2020
Templeton International Stock Fund	6-Jan-1989
Templeton International Stock Fund II	1-Sep-2020
Ten Thousand Day War (CT)	18-Oct-1979
TeraGo Inc.	20-Jun-2007
Terato Resources Ltd. (CT)	8-Jan-1980
Terra Energy Corp.	17-Mar-2010
Terra Firma Capital Corporation	6-Oct-2014
TerraVest Capital Inc.	31-Oct-2012
Tervita Corporation	19-Jul-2018
Tethys Petroleum Limited	20-Jun-2007
Tetra Bio-Pharma Inc.	29-Feb-2008
TFI International Inc.	14-May-2008
TGOD Acquisition Corporation	17-Jan-2020
The Alkaline Water Company Inc.	27-Feb-2019
The Bank of Nova Scotia	11-Mar-1981
The Bitcoin Fund	5-May-2020
The Caldwell Partners International Inc.	16-Jun-1989
The Children's Educational Foundation of Canada	3-May-1991
The Cross Winds Apartment Project	3-Oct-1980
The Descartes Systems Group Inc.	14-Jan-1998
The Empire Life Insurance Company	5-Feb-2016
The Ether Fund	2-Dec-2020
The Flowr Corporation	19-Jun-2017
The Green Organic Dutchman Holdings Ltd.	29-Mar-2018
The Keg Royalties Income Fund	21-May-2002
The Lodge At Kananaskis Limited Partnership	23-Jun-1986
The Manufacturers Life Insurance Company	24-May-1994
The McElvaine Investment Trust	23-Dec-2019
The Medipattern Corporation	25-Jul-2007
The Mountain Inn At Ribbon Creek Limited Partnership	3-Dec-1986
The North West Company Inc.	1-Jan-2011
The Supreme Cannabis Company, Inc.	12-Oct-2018
The TDL Group Corp.	23-Mar-2006
The Toronto-Dominion Bank	21-May-1981
The Valens Company Inc.	3-Oct-2018
The Very Good Food Company Inc.	15-May-2020
The Westaim Corporation	2-Jul-1996



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
The Western Investment Company of Canada Limited	1-Feb-2016
Theratechnologies Inc.	9-Dec-1993
Thermal Control Technologies Corp. (CT)	25-Oct-1990
Thermax International Corp. (T)	2-Apr-1996
Thinkific Labs Inc.	22-Apr-2021
Third CGI Mutualization Corporation (CT)	2-Jul-1992
Thomson Reuters Corporation	10-Jun-1987
ThreeD Capital Inc.	11-Apr-1995
Threegold Resources Inc.	21-Jun-2006
Threshold (CT)	4-Dec-1980
THUNDER RIVER ENTERPRISES INC.	9-Feb-2018
Ticket To Heaven (CT)	3-Dec-1980
Tidewater Midstream and Infrastructure Ltd.	8-Apr-2015
Tilray, Inc.	18-Jul-2018
Timbercreek Financial Corp.	30-Jun-2016
Timeless Capital Corp.	16-Oct-2018
Times Three Wireless Inc.	30-Nov-2011
TIMIA Capital Corp.	29-Oct-2020
Timminco Limited	23-Jul-1986
Tinka Resources Limited	28-Mar-2018
Tinkerine Studios Ltd.	31-Aug-2009
Titan Mining Corporation	13-Oct-2017
Titanium Transportation Group Inc.	23-Mar-2021
Titus Energy Corp	9-Nov-2010
TLC Vision Corporation	25-Sep-1996
TMX Group Limited	14-Sep-2012
Tokens.com Corp	2-May-2011
Top 10 Canadian Financial Trust	16-Feb-2000
Top 10 Split Trust	31-Jan-2006
Topaz Energy Corp.	16-Oct-2020
Topicus.com Inc.	18-Dec-2020
Torex Gold Resources Inc.	15-Jan-2010
Toromont Industries Ltd.	2-Jun-1997
Toronto East (Scarborough) Hotel Development Partnership (CT)	5-Sep-1984
Toronto Hydro Corporation	1-May-2003
Torstar Corporation	1-Oct-1967
Total Energy Services Inc.	28-Sep-1992
Total Erickson Resources Ltd.	1-Mar-1984
Touchstone Exploration Inc.	18-Apr-2000
Tourmaline Oil Corp.	16-Nov-2010
TPCO Holding Corp.	10-Jul-2019
TR Finance LLC	7-Jul-2020
Tracan Oil & Gas Ltd. (CT)	14-Jul-1981
TrackX Holdings Inc.	26-Mar-2007
Tradex Bond Fund	19-Apr-1993



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Tradex Equity Fund Limited	28-Mar-1989
Tradex Global Equity Fund	1-May-1995
TransAlta Corporation	12-May-1975
TransAlta Renewables Inc.	1-Aug-2013
Transat A.T. Inc.	17-Jan-1997
TransCanada Pipelines Limited	17-Apr-1980
TransCanada Trust	15-May-2017
Transcontinental Inc.	25-Jun-1986
TransGlobe Apartment Real Estate Investment Trust	7-May-2010
TransGlobe Energy Corporation	14-Feb-2012
Trapper Resources Ltd. (CT)	17-Dec-1979
Travelbyus.com Ltd. (formerly Latingold Inc.) (CT)	15-Oct-1986
Traverse Energy Ltd.	19-Oct-1995
Tree Island Steel Ltd.	1-Oct-2012
Trenchant Capital Corp.	21-Apr-2017
Tretheway L.P. (CT)	15-Dec-1981
Trevali Mining Corporation	1-Nov-2011
Trez Capital Senior Mortgage Investment Corporation	27-Nov-2012
*Tri-Media Integrated Marketing Technologies Inc.(CT)	19-Oct-2001
Triangulum Corporation (T)	22-Jun-2000
Trican Well Service Ltd.	22-Nov-1996
Tricon Residential Inc.	14-May-2010
Trilogy International Partners Inc.	17-Jun-2015
Trilogy Metals Inc.	25-Apr-2012
Trimark Canadian Bond Fund	21-Oct-1994
Trimark Floating Rate Income Fund	18-Jan-2005
*TriNexus Holdings Ltd. (CT)	24-Jan-2001
*Trirak Industries Corporation (CT)	22-May-1987
TriSummit Utilities Inc.	18-Oct-2018
Trisura Group Ltd.	15-May-2017
Triumph Ventures II Corporation	22-Nov-2011
Troilus Gold Corp.	26-Apr-2019
True North Commercial Real Estate Investment Trust	6-Feb-2013
Trulieve Cannabis Corp.	15-May-2019
Tucows Inc.	11-Aug-2005
Tudor Corporation Ltd.	25-Feb-1981
TURNKEY E&P INC.	29-Nov-2005
Turquoise Hill Resources Ltd.	14-Jun-1996
Tuscany Energy Ltd.	9-Jul-2014
TVA Group Inc.	26-Jul-1999
TVI Pacific Inc.	26-Mar-1996
TWC Enterprises Limited	24-Nov-1997
Twin Butte Energy Ltd.	12-Dec-1996
Twin Richfield Oils Ltd. (CT)	16-Nov-1979
U.F.X. Enterprises Inc. (CT)	24-Mar-1999
U.S. Banks Income & Growth Fund	26-Nov-2015



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
U.S. Dollar Cash Management Fund	1-Jun-2006
U.S. High Yield Bond Fund	11-Aug-2009
U.S. Large Cap InceX Fund	15-Jan-1999
U.S. Large Company Equity Fund	8-Nov-1999
U.S. Small Company Equity Fund	8-Nov-1999
U3O8 Corp.	15-Dec-2006
Ucore Rare Metals Inc.	23-Apr-2013
*UEX Corporation	2-Jul-2002
Uni-Sélect Inc.	22-Dec-2010
Union Agriculture Group Corp	
Unique Broadband Systems, Inc.	14-Jun-2000
Unirom Technologies Inc. (T)	7-Apr-1981
Unitec International Controls Corp. (T)	19-Nov-1998
United Keno Hill Mines Limited (CT)	14-Jun-1984
Ur-Energy Inc.	18-Nov-2005
Uranium Energy Corp	2-Sep-2011
Uranium Participation Corporation	29-Apr-2005
Uranium Royalty Corp.	22-Nov-2019
Uravan Minerals Inc.	23-Apr-1999
Urbana Corporation	19-Dec-2006
UrbanGold Minerals Inc.	14-Dec-2018
UrtheCast Corp.	9-Apr-2015
US Equity Alpha Corporate Class	30-Jul-2008
US Equity Alpha Pool	6-Sep-2018
US Equity Growth Corporate Class	2-Oct-2007
US Equity Growth Pool	28-Apr-2000
US Equity Small Cap Corporate Class	2-Oct-2007
US Equity Small Cap Pool	3-Nov-2005
US Equity Value Corporate Class	2-Oct-2007
US Equity Value Currency Hedged Corporate Class	30-Jul-2008
US Equity Value Currency Hedged Pool	1-Nov-2018
US Equity Value Pool	15-Apr-1994
US Financial 15 Split Corp.	28-Jan-2005
US Financials Income Fund	30-Jan-2015
Vail Resorts, Inc.	17-Oct-2016
Val-d'Or Mining Corporation (formerly Nunavik Nickel Mines Ltd.)	5-Oct-2017
VALEO PHARMA INC.	27-Dec-2018
Valero Energy Corporation	29-Jun-1992
Valeura Energy Inc.	14-May-2010
Valhalla Resources Ltd.	27-Jun-2011
Vanadium One Iron Corp.	29-May-2007
Vanadiumcorp Resource Inc.	2-Mar-1984
Vanguard All-Equity ETF Portfolio	16-Jan-2019
Vanguard Balanced ETF Portfolio	17-Jan-2018
Vanguard Canadian Aggregate Bond Index ETF	8-Nov-2011



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Vanguard Canadian Corporate Bond Index ETF	19-Jan-2017
Vanguard Canadian Government Bond Index ETF	18-Jan-2017
Vanguard Canadian Long-Term Bond Index ETF	18-Jan-2017
Vanguard Canadian Short-Term Bond Index ETF	8-Nov-2011
Vanguard Canadian Short-Term Corporate Bond Index ETF	16-Oct-2012
Vanguard Conservative ETF Portfolio	17-Jan-2018
Vanguard Conservative Income ETF Portfolio	16-Jan-2019
Vanguard FTSE Canada All Cap Index ETF	25-Jul-2013
Vanguard FTSE Canada Index ETF	8-Nov-2011
Vanguard FTSE Canadian capped REIT Index ETF	16-Oct-2012
Vanguard FTSE Canadian High Dividend Yield Index ETF	16-Oct-2012
Vanguard FTSE Developed All Cap ex North America Index ETF	26-Aug-2015
Vanguard FTSE Developed All Cap ex North America Index ETF (CAD-hedged)	26-Aug-2015
Vanguard FTSE Developed All Cap ex U.S. Index EFT	25-Jul-2013
Vanguard FTSE Developed All Cap ex U.S. Index ETF (CAD-hedged)	8-Nov-2011
Vanguard FTSE Developed Asia Pacific Index ETF	18-Jun-2014
Vanguard FTSE Developed Europe All Cap Index ETF	18-Jun-2014
Vanguard FTSE Developed ex North America High Dividend Yield Index ETF	11-Jul-2018
Vanguard FTSE Emerging Markets Index ETF	8-Nov-2011
Vanguard FTSE Global All Cap ex Canada Index	18-Jun-2014
Vanguard Global Aggregate Bond Index ETF (CAD-hedged)	10-Jan-2020
Vanguard Global Balanced Fund	4-May-2018
Vanguard Global Dividend Fund	4-May-2018
Vanguard Global ex-U.S. Aggregate Bond Index ETF (CAD-hedged)	18-Jun-2014
Vanguard Global Minimum Volatility ETF	13-May-2016
Vanguard Global Momentum Factor ETF	13-May-2016
Vanguard Global Value Factor ETF	13-May-2016
Vanguard Growth ETF Portfolio	17-Jan-2018
Vanguard International Growth Fund	4-May-2018
Vanguard Mining Exploration LP (CT)	22-Sep-1987
Vanguard Retirement Income ETF Portfolio	26-Aug-2020
Vanguard S&P 500 Index ETF	16-Oct-2012
Vanguard S&P 500 Index ETF (CAD-hedged)	16-Oct-2012
Vanguard U.S. Aggregate Bond Index ETF (CAD-hedged)	18-Jun-2014
Vanguard U.S. Dividend Appreciation Index ETF	25-Jul-2013
Vanguard U.S. Dividend Appreciation Index ETF (CAD-hedged)	25-Jul-2013
Vanguard U.S. Total Market Index ETF	25-Jul-2013
Vanguard U.S. Total Market Index ETF (CAD-hedged)	8-Nov-2011
Vanguard Venture Corporation (CT)	22-Sep-1987
Vanguard Windsor U.S. Value Fund	4-May-2018



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Vantage Point II (CT)	18-Dec-1980
Vanwin Resources Corporation (CT)	13-Nov-1984
Varity Corporation (CT)	31-May-1991
VBI Vaccines Inc.	13-May-2011
Vecima Networks Inc.	4-Nov-2005
Velan Inc.	19-Sep-1996
*Veltek Investments Ltd. (CT)	17-Jul-1989
Venator Alternative Income Fund	24-Jan-2020
VentureLink Innovation Fund Inc.	10-Sep-2010
Verde AgriTech Plc	13-Nov-2007
Veritas Absolute Return Fund	1-Oct-2019
Veritas Canadian Equity Fund	7-May-2018
Veritas Energy Services Inc.	16-Nov-1993
Vermilion Energy Inc.	1-Sep-2010
VersaBank	20-Aug-2013
Vertex Resource Group Ltd.	4-Feb-2015
*Vertical Software Corporation (CT)	18-Sep-1986
Veta Resources Inc.	28-Nov-2008
VEXT Science, Inc.	27-Oct-2020
Viavi Solutions Inc.	14-Mar-1996
Vibe Growth Corporation	25-Nov-2011
Victoria Gold Corp	17-Aug-2010
Victory Capital Corp.	26-May-2017
Victory Nickel Inc.	1-Feb-2007
Videodrome And Dreamworld (CT)	24-Sep-1981
Village Farms International, Inc.	31-Dec-2009
Virginia Hills Oil Corp.	29-Jun-2010
Virtutone Networks Inc.	14-Mar-2006
Visa Inc.	18-Mar-2008
Visible Gold Mines Inc.	5-Jul-2007
Vision Alternative Income Fund	7-Feb-2019
Vision Market Neutral Alternative Fund	27-Apr-2020
Visionwall Incorporated	26-Mar-1997
Vista Gold Corp.	16-Oct-1984
*Vista Mines Inc. (CT)	23-Dec-1987
Vitality Products Inc.	21-Nov-1985
Vivendi Universal S.A.	1-Oct-1967
ViveRE Communities Inc.	1-Mar-2012
Vivione Biosciences Inc.	25-Jan-2012
VIVO Cannabis Inc.	23-Feb-2018
Vizsla Resources Corp.	3-Dec-2020
VM Hotel Acquisition Corp.	24-Feb-2021
Volcanic Gold Mines Inc.	30-Oct-2007
Voleo Trading Systems Inc.	19-Apr-2019
VOTI Detection Inc. (formerly Steamsand Capital Corp.)	4-Oct-2019
Vox Royalty Corp. (formerly AIM3 Ventures Inc.)	2-Oct-2020



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Voyageur Mineral Explorers Corp.	14-Dec-2007
Voyageur Minerals Ltd.	23-Nov-2011
VPI Canadian Balanced Pool	28-Sep-2007
VPI Canadian Equity Pool	18-Oct-2005
VPI Corporate Bond Pool	30-Jun-2020
VPI Dividend Growth Pool	8-Nov-2019
VPI Income Pool	18-Oct-2005
VPI Mortgage Pool	2-Nov-2012
VPI Value Pool	28-Sep-2007
VSBLTY Groupe Technologies Corp.	15-Feb-2019
VSM Medtech Ltd.	12-Sep-2000
Vuzix Corporation	9-Dec-2009
Wainoco 77 Canada (CT)	1-Sep-1977
Wainoco 80 Canada (CT)	22-Apr-1980
Wajax Corporation	1-Jan-2011
Waldron Energy Corporation	31-Oct-2005
*Wall Street Venture Capital Ltd. (CT)	27-May-1987
Wallbridge Mining Company Limited	29-Sep-2020
Walter Energy, Inc.	1-Apr-2011
Walton Big Lake Development L.P.	28-Oct-2010
Walton Edgemont Development Corporation	29-Jun-2011
Walton Ontario Land L.P. 1	29-Jan-2010
Walton Westphalia Development Corporation	28-Feb-2012
Waratah Alternative Equity Income Fund	25-Jul-2019
Waratah Alternative ESG Fund	18-Jun-2020
Wasabi Resources Ltd. (CT)	23-Oct-1980
Waste Connections, Inc.	1-Oct-2008
Waterford House L.P. (CT)	2-Jun-1983
Wavefront Global Diversified Investment Class	28-Apr-2009
WaveRider Communications Inc.	7-Nov-2001
Wayland Group Corp.	29-Mar-2018
Waypoint All Weather Alternative Fund	11-Oct-2019
WE X.L. Holdings Corporation (CT)	1-Aug-1995
Wealthsimple Developed Markets ex North America Socially Responsible Index ETF	22-Apr-2020
Wealthsimple North America Socially Responsible Index ETF	22-Apr-2020
Wealthsimple Shariah World Equity Index ETF	26-Apr-2021
WeCommerce Holdings Ltd.	27-Sep-2019
WeedMD Inc.	3-Jan-2018
Wekusko Gold Resources Ltd. (CT)	16-Oct-1984
WELL Health Technologies Corp.	4-Oct-2019
Wellington - IG Global Equity Hedge Pool	29-May-2020
Wells Fargo Canada Corporation	1-Dec-1995
Werner Dahnz Company Limited (CT)	28-Aug-1986
*Wescan Goldfields Inc.	31-Aug-2004
Wesdome Gold Mines Ltd.	15-Mar-2006



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Wespac Mining Corp. (CT)	2-Dec-1981
West African Resources Limited	17-Jan-2014
*West Central Pelleting Ltd.	21-Sep-2001
West Fraser Timber Co. Ltd.	23-Apr-1986
West High Yield (W.H.Y.) Resources Ltd.	16-Jun-2006
West Vault Mining Inc.	9-Apr-2014
Westar Mining Ltd. (CT)	14-Sep-1983
Westcoast Energy Inc.	26-Jul-1978
*Westcore Energy Ltd.	25-Jan-2008
Western Copper and Gold Corporation	3-May-2006
Western Energy Services Corp.	12-Mar-2010
Western Forest Products Inc.	27-Jul-2004
Western Plains Petroleum Ltd.	26-May-2005
Western Resources Corp.	31-Mar-2017
Westhampton Court L.P. (CT)	4-Nov-1983
Westhaven Gold Corp.	1-Mar-2021
Westport (CT)	7-Dec-1981
Westport Fuel Systems Inc.	29-Jun-1998
Westshore Terminals Investment Corporation	1-Jan-2011
Wheaton Precious Metals Corp.	9-Dec-2005
Whistler Blackcomb Holdings Inc.	2-Nov-2010
White Gold Corp.	25-Aug-2020
Whitecap Resources Inc.	23-Jul-2010
Whitemud Resources Inc.	16-Feb-2007
Willoughby Investment Pool	7-Apr-2016
Willow Biosciences Inc.	13-Oct-2020
Wilmington Capital Management Inc.	22-May-1986
Winchester Group Inc. (CT)	17-Apr-1991
Windsor Point (CT)	26-Jun-1981
Windsor Resources Inc. (CT)	22-Jul-1980
Winpak Ltd	18-Aug-1986
Wise 100 per cent Equity ETF Portfolio	28-Jun-2019
Wise Balanced ETF Portfolio	28-Jun-2019
Wise Conservative ETF Portfolio	28-Jun-2019
Wise Fixed Income ETF Portfolio	28-Jun-2019
Wise Growth ETF Portfolio	28-Jun-2019
Wise Maximum Growth ETF Portfolio	28-Jun-2019
Wishpond Technologies Ltd. (formerly, Antera Ventures I Corp.)	1-Feb-2021
Wolfden Resources Corporation	2-Oct-2012
Wolverine Energy and Infrastructure Inc.	20-Dec-2018
*Wood Lily Garden Apartments (CT)	5-Aug-1983
Woodfine Professional Centres Limited Partnership	18-Dec-2012
World Financial Split Corp.	28-Jan-2004
WPT Industrial Real Estate Investment Trust	19-Apr-2013
WSP Global Inc.	1-Jan-2014



Financial and
Consumer
Affairs Authority 

Reporting Issuers Listing

Current Date as of May 14, 2021

Issuer Name	Start Date
Xebec Adsorption Inc.	12-Jun-2009
Xerox Capital LLC	9-Oct-1996
Xerox Corporation	6-Feb-1990
XXL Energy Corp.	1-Nov-2006
Yamana Gold Inc.	12-Jun-1996
Yangarra Resources Ltd.	22-Nov-2010
YDX Innovation Corp.	31-Jul-2008
Yellow Pages Digital & Media Solutions Limited	8-Apr-2004
Yellow Pages Limited	20-Dec-2012
Ynvisible Interactive Inc.	27-Dec-2017
Yorkville American QVR Enhanced Protection Class	15-May-2014
Yorkville Canadian QVR Enhanced Protection Class	15-May-2014
Yorkville Enhanced Protection Class	24-May-2013
Yorkville Global Opportunities Class	24-May-2013
Yorkville Health Care Opportunities Class	7-Apr-2015
Yorkville International QVR Enhanced Protection Class	17-May-2016
Yorkville Optimal Return Bond Class	24-May-2013
Young Australian Mines Ltd. (formerly, Moly Mines Limited)	20-Oct-2006
YRI-York Ltd. (CT)	1-Jan-1967
YTM Capital Fixed Income Alternative Fund	23-May-2019
Yuntone Capital Corp.	17-Feb-2009
Zargon Oil & Gas Ltd.	1-Jan-2011
Zedcor Inc.	1-Oct-2011
ZEN Graphene Solutions Ltd	16-Dec-2010
Zenabis Global Inc.	10-Apr-2019
Zenith Capital Corp.	3-Jun-2013
Zeox Corporation	26-Mar-1998
Zone Resources Inc.	28-Oct-1998
Zungui Haixi Corporation	11-Dec-2009
Zymeworks Inc.	27-Apr-2017