

APPENDIX D

CATEGORIES OF OUTCOMES

Enforcement referral/ Default list/ Cease trade order

If the issuer has critical CD deficiencies, we may add the issuer to our default list, issue a cease trade order and/or refer the issuer to Enforcement.

Refiling

The issuer must amend and refile certain CD documents.

Prospective Changes

The issuer is informed that certain changes or enhancements are required in its next filing as a result of deficiencies identified.

Education and Awareness

The issuer receives a proactive letter alerting it to certain disclosure enhancements that should be considered in its next filing.

No action required

The issuer does not need to make any changes or additional filings. The issuer could have been selected in order to monitor overall quality disclosure of a specific topic, observe trends and conduct research.

Questions

Please refer your questions to any of the following:

<p>Nadine Gamelin Analyst, Continuous Disclosure Autorité des marchés financiers 514-395-0337, ext. 4417 Toll-free: 1-877-525-0337, ext. 4417 nadine.gamelin@lautorite.qc.ca</p> <p>Nicole Parent Analyst, Continuous Disclosure Autorité des marchés financiers 514-395-0337, ext. 4455 Toll-free: 1-877-525-0337, ext. 4455 nicole.parent@lautorite.qc.ca</p>	<p>Allan Lim Manager British Columbia Securities Commission 604-899-6780 Toll-free 800-373-6393 alim@bcsc.bc.ca</p> <p>Sabina Chow Senior Securities Analyst British Columbia Securities Commission 604-899-6797 Toll-free 800-373-6393 schow@bcsc.bc.ca</p>
<p>Cheryl McGillivray Manager, Corporate Finance Alberta Securities Commission 403-297-3307 cheryl.mcgillivray@asc.ca</p> <p>Elena Kim Securities Analyst, Corporate Finance Alberta Securities Commission 403-297-4226 elena.kim@asc.ca</p>	<p>Tony Herdzik Deputy Director, Corporate Finance Financial and Consumer Affairs Authority of Saskatchewan 306-787-5849 tony.herdzik@gov.sk.ca</p>
<p>Bob Bouchard Director, Corporate Finance Manitoba Securities Commission 204-945-2555 bob.bouchard@gov.mb.ca</p> <p>Patrick Weeks Analyst, Corporate Finance Manitoba Securities Commission 204-945-3326 patrick.weeks@gov.mb.ca</p>	<p>Kathryn Daniels Deputy Director, Corporate Finance Ontario Securities Commission 416-593-8093 kdaniels@osc.gov.on.ca</p> <p>Christine Krikorian Senior Accountant, Corporate Finance Ontario Securities Commission 416-593-2313 ckrikorian@osc.gov.on.ca</p>
<p>Pierre Thibodeau Senior Securities Analyst Financial and Consumer Services Commission (New Brunswick) 506-643-7751 pierre.thibodeau@fcnb.ca</p>	<p>Kevin Redden Director, Corporate Finance Nova Scotia Securities Commission 902-424-5343 reddenkg@gov.ns.ca</p> <p>Junjie (Jack) Jiang Securities Analyst, Corporate Finance Nova Scotia Securities Commission 902-424-7059 jiangjj@gov.ns.ca</p>