## IN THE MATTER OF THE SECURITIES ACT, 1988, S.S. 1988, c. s-42.2

## AND IN THE MATTER OF

## MARTIN KREAUS WILLIAM CARPENTIER

## ORDER (Section 134)

**WHEREAS** the staff of the Saskatchewan Securities Commission (the "Commission") has applied to the Commission for an order pursuant to:

- 1. Clause 134(1)(a) of *The Securities Act, 1988*, S.S. 1988, c. S-42.2 (the "Act") that the exemptions contained in:
  - a. sections 38, 39, 39.1, 81, 82 and 102 of the Act;
  - b. *The Securities Regulations*, R.R.S., c. S-42.2, Reg 1 (the "Regulations") providing for exemptions from sections 27, 58, 71 or 104 to 109; and
  - c. any decision of the Commission providing for an exemption from any provision of the Act or Regulations;

do not apply to Martin Kreaus Williams Carpentier ("Carpentier") for a period of three years;

2 Clause 134(1)(d) of the Act that Carpentier cease trading in securities or exchange contracts in the Province of Saskatchewan for a period of three years;

**AND WHEREAS** Carpentier and the Director of the Commission have entered into an Agreement dated August 19, 1999, the original of which is attached as Schedule "A" to this Order;

**AND WHEREAS** Carpentier has consented to the issuance of this Order and has waived any right to a hearing;

**AND WHEREAS** the Commission is of the opinion that it is in the public interest to make this order;

THE COMMISSION HEREBY ORDERS, pursuant to:

- 1. Clause 134(1)(a) of the Act that the exemptions contained in:
  - a. sections 38, 39, 39.1, 81, 82 and 102 of the Act;
  - b. the Regulations providing for exemptions from sections 27, 58, 71 or 104 to 109; and
  - c. any decision of the Commission providing for an exemption from any provision of the Act or Regulations;

do not apply to Carpentier for a period of three years from the date of this Order;

- 2 Clause 134(1)(d) of the Act that Carpentier cease trading in securities or exchange contracts in the Province of Saskatchewan for a period of three years from the date of this Order, except as provided in paragraph three hereunder;
- 3. Carpentier may trade in securities in his own account through a registrant for the purposes of investing in his own registered retirement savings plan as defined in the *Income Tax Act* (Canada).

**DATED** September 16, 1999 at Regina, Saskatchewan.

"Marcel de la Gorgendière"
Marcel de la Gorgendière, Q.C.
Chairman

TO: Martin K.W. Carpentier # 61 - 2703 - 79th Street Edmonton, Ab T6K 3Z6