

IN THE MATTER OF *THE SECURITIES ACT, 1988*

AND

IN THE MATTER OF

General Order 31-904 *Exemption from certain sections of National Instrument 31-103 in connection with transition and grandfathering matters*

General Order 31-907 *Exemption from section 3.3 of National Instrument 31-103 Registration Requirements and Exemptions for representatives of scholarship plan dealers*

General Order 31-916 *Exemption from the Registration Requirement in NI 31-103 for International Dealers*

General Order 31-917 *Exemption from the Registration Requirement in NI 31-103 for International Advisors*

General Order 31-920 *Order Extending Transitional Relief from Investment Fund Manager Registration Requirement Pursuant to Sections 16.5 and 16.6 of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations*

REVOCATION ORDER

WHEREAS the Financial and Consumer Affairs Authority of Saskatchewan (FCAA) has assigned to the Director of the Securities Division, FCAA (the Director) the power to make exemption orders under the provisions of *The Securities Act, 1988* (the Act) including orders of general application;

AND WHEREAS on February 25, 2010 the Director issued General Order 31-904 *Exemption from certain sections of National Instrument 31-103 in connection with transition and grandfathering matters* (GO 31-904) pursuant to section 160 of the Act and section 15.1 of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (NI 31-103) exempting certain persons and companies from certain requirements of NI 31-103;

AND WHEREAS on February 25, 2010 the Director issued General Order 31-907 *Exemption from section 3.3 of National Instrument 31-103 Registration Requirements and Exemptions for representatives of scholarship plan dealers* (GO 31-907) pursuant to section 160 of the Act and

section 15.1 of NI 31-103 exempting certain persons and companies from certain requirements of NI 31-103;

AND WHEREAS on September 29, 2011 the Director issued General Order 31-916 *Exemption from the Registration Requirement in NI 31-103 for International Dealers* (GO 31-916) pursuant to section 83 of the Act exempting certain persons and companies from the dealer registration requirement in section 27 of the Act;

AND WHEREAS on September 29, 2011 the Director issued General Order 31-917 *Exemption from the Registration Requirement in NI 31-103 for International Advisors* (GO 31-917) pursuant to section 83 of the Act exempting certain persons and companies from the advisor registration requirement in section 27 of the Act;

AND WHEREAS on September 25, 2012 the Director issued General Order 31-920 *Order Extending Transitional Relief from Investment Fund Manager Registration Requirement Pursuant to Sections 16.5 and 16.6 of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations* (GO 31-920) pursuant to section 83 of the Act exempting certain persons and companies from the investment fund manager registration requirement;

AND WHEREAS the staff of the FCAA has applied to the Director for an Order pursuant to section 158(4) of the Act revoking GO 31-904, GO 31-907, GO 31-916, GO 31-917, and GO 31-920;


AND WHEREAS it has been represented to the Director that:

1. CSA staff have determined that as a result of amendments to NI 31-103 there are 11 CSA Staff Notices that are no longer required as outlined in CSA Staff Notice 11-329 *Withdrawal of Notices and Revocation of Omnibus/Blanket Orders* (CSA Staff Notice 11-329); and
2. In addition to the CSA Staff Notices outlined in CSA Staff Notice 11-329 there are five (5) general orders that also are no longer required as a result of amendments to NI 31-103;

AND WHEREAS the Director is of the opinion that that it would not be prejudicial to the public interest to make this Order;

IT IS HEREBY ORDERED pursuant to subsection 158(4) of the Act that GO 31-904, GO 31-907, GO 31-916, GO 31-917, and GO 31-920 are revoked.

Dated July 16, 2015.


Dean Murrison
Director
Securities Division