## In the Matter of The Securities Act, 1988

and

#### In the Matter of

#### Fluid FX

Also known as: Fluid FX Inc.; Fluid FX International; and Fluid FX Offshore LLC)

PAUL ANDERSON,

ALAN P. HOWARD

and

### **JOHN ANDREWS**

#### NOTICE OF FIRST APPEARANCE

TO: Fluid FX

Paul Anderson Alan P. Howard John Andrews

TAKE NOTICE THAT you or a representative are to attend before a Panel of the Financial and Consumers Affairs Authority of Saskatchewan (the Authority) via teleconference on Friday August 14, 2020 at 8:30 a.m. (CST) to set a date for a hearing into the matters alleged in the Statement of Allegations dated May 01, 2020, a copy of which was previously sent to you via email on May 05, 2020 and July 06, 2020.

The teleconference number	is:	
Passcode:		

TAKE NOTICE that you are entitled to be represented by legal counsel and to make representations on the return date;

AND FURTHER TAKE NOTICE that if you do not attend at the time and place as aforesaid, the conference call will proceed in your absence and the Panel of the Authority may set hearing dates in the above matter without further notice to you;

AND FURTHER TAKE NOTICE that any order made by the Authority may form the basis for a parallel order in another jurisdiction in Canada. The securities laws of some other Canadian jurisdictions may allow orders made in this matter to take effect in other jurisdictions automatically, without further notice to you. If an order is made or a settlement is reached in

relation to the matters set out in the Statement of Allegations attached hereto, you should contact the securities regulator of any other jurisdiction in which you may intend to engage in any securities related activities.

DATED at Regina, Saskatchewan on August 7, 2020.

Linda Patton Registrar

See attached Order dispensing with service.

**Note:** Saskatchewan Policy Statement 12-602 *Procedure on Hearings and Reviews* (SP 12-602) sets out information on the procedures for this hearing. SP 12-602 can be found on the Authority's website at <a href="https://www.fcaa.gov.sk.ca">www.fcaa.gov.sk.ca</a>.

## In the Matter of *The Securities Act, 1988,* SS 1988, c S-42.2

and

In the Matter of
Fluid FX

(also known as: Fluid FX Inc., Fluid FX International, and Fluid FX Offshore LLC)
Paul Anderson
Alan P. Howard
John Andrews

# ORDER WAIVING SERVICE Pursuant to Saskatchewan Policy Statement 12-602 s. 1.4.2 Inability to Effect Service

**WHEREAS** the Chairperson or the Director may refer a matter to the Commission to conduct a hearing pursuant to section 8.1 of *The Securities Act, 1988* (the *Act*);

**AND WHEREAS** cogent evidence has been heard in this matter that all reasonable efforts have been made to service the Respondents in this matter;

**IT IS HEREBY ORDERED**, pursuant to the provisions of s. 1.4.2 of Saskatchewan Policy Statement 12-602 that service be waived on the following Respondents:

Fluid FX International
Fluid FX Inc.
Fluid FX Offshore LLC
Paul Anderson
Alan P. Howard
John Andrews

Dated at Regina, Saskatchewan on August 5, 2020.

Howard Crofts

Chairman of the Panel

Howard but