## In the Matter of The Securities Act, 1988, SS 1988, c S-42.2

and

In the Matter of Fluid FX, Paul Anderson, Alan P. Howard, and John Andrews.

## ORDER GRANTING LEAVE TO FILE SUBMISSIONS ON SANCTIONS AND COSTS Pursuant to Saskatchewan Policy Statement 12-602 s. 1.5(2)

ORDER WAIVING SERVICE
Pursuant to Saskatchewan Policy Statement 12-602
s. 1.4.2 Inability to Effect Service

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**WHEREAS** the Chairperson or the Director may refer a matter to the Commission to conduct a hearing pursuant to section 8.1 of *The Securities Act, 1988* (the *Act*);

AND WHEREAS a hearing on the merits on this matter was held on October 13 and 14, 2020;

AND WHEREAS the decision on the merits was released on December 18, 2020;

**AND WHEREAS** the Respondents were found to have contravened sections 27(2)(a) and 27(2)(b) of the *Act* as well as sections 13.2 and 13.3 of National Instrument 31-103;

**AND WHEREAS** submissions on the issues of sanctions and costs were to be filed no later than February 15, 2021;

**AND WHEREAS** neither Staff of the Authority nor the Respondents filed written submissions on the issues of sanctions and costs by February 15, 2021;

**AND WHEREAS** cogent evidence has been heard in this matter that all reasonable efforts have been made to service the Respondents in this matter;

AND WHEREAS having heard the evidence and submissions of Staff of the Authority;

**IT IS HEREBY ORDERED**, pursuant to the provisions of s. 1.5(2) of Saskatchewan Policy Statement 12-602 that the deadline to file written submissions on the issues of sanctions and costs is extended to thirty (30) days from the date of this Order;

**IT IS HEREBY ORDERED**, pursuant to the provisions of s. 1.4.2 of Saskatchewan Policy Statement 12-602 that service be waived on the following Respondents:

Fluid FX International Fluid FX Inc.

Fluid FX Offshore LLC Paul Anderson Alan P. Howard John Andrews

Dated at Regina, Saskatchewan on September 17, 2021.

Howard Crofts

Chairperson of the Hearing Panel Financial and Consumer Affairs Authority of

Saskatchewan