

**In the Matter of  
The Securities Act, 1988**

**and**

In the Matter of Fluid  
FX  
Paul Anderson  
Alan P. Howard  
And  
John Andrews  
(The Respondents)

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**ORDER  
WRITTEN HEARING AND WAIVER OF SERVICE**

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**WHEREAS**, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act*, SS 2012, c F-13.5 [*FCAA Act*], the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the "Authority") has appointed a panel (the "Hearing Panel") to hear this matter;

**AND WHEREAS**, by virtue of subsection 17(7) of the *FCAA Act*, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

**AND WHEREAS**, Staff of the Authority have brought a Notice of Motion ("Motion") for an order waiving all further service and sending on the Respondents;

**AND WHEREAS**, the Respondents have not appeared before the Panel in these proceedings;

**AND WHEREAS**, Staff of the Authority have filed cogent evidence indicating that all reasonable efforts have been made to effect service and sending on the Respondents, yet have not been able to effect service and sending, as the case may be, such that a waiver of service and sending pursuant to *Saskatchewan Policy Statement 12-602 – Procedure for Hearings and Reviews [Local Policy]* is necessary;

**AND WHEREAS**, the Hearing Panel has considered Part 12 of the *Local Policy* and wishes to exercise its discretion and direct that it is appropriate for the hearing in respect to sanctions and costs be a written hearing;

**IT IS HEREBY ORDERED THAT:**

1. Pursuant to provision 1.4.2(3) and 3.8(a) of the *Local Policy*, sending of the Notice of Motion for the Order waiving sending and for a written hearing on the Respondents is hereby waived;
2. Pursuant to provision 1.4.2(3) and 3.8(a) of the *Local Policy*, sending of Staff's Written Submissions on Sanctions and Costs on the Respondents is hereby waived;
3. Pursuant to provision 1.4.2(3) and 3.8(a) of the *Local Policy*, sending of this Order and any subsequent Order on sanctions and costs made by the Panel is hereby waived; and
4. Pursuant to provision 12.4(1) of the *Local Policy*, the sanctions and costs hearing required by provision 19.3 of the *Local Policy* is to be a written hearing.

DATED at Regina, Saskatchewan on this 3<sup>rd</sup> day of November, 2021.



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Howard Crofts  
Chairperson of the Hearing Panel  
Financial and Consumer Affairs Authority of  
Saskatchewan