

In the Matter of  
*The Securities Act, 1988, SS 1988-89, c S-42.2*  
(the *Act*)

and

In the Matter of  
Vincent John Mullee  
and  
Vince Mullee Financial Inc.  
(the Respondents)

Extending Order  
(Section 134)

WHEREAS the Director, Securities Division, Financial and Consumer Affairs Authority of Saskatchewan issued an order on July 25, 2018 (the Temporary Order) pursuant to section 134 of *The Securities Act, 1988, SS 1988-89, c S-42.2* (the *Act*) against Vincent John Mullee and Vince Mullee Financial Inc. as follows:

1. Pursuant to sections 134(1)(a) and 134(3) of the *Act*, the exemptions in Saskatchewan securities laws do not apply to the Respondents up to and including August 9, 2018;
2. Pursuant to sections 134(1)(d) and 134(3) of the *Act*, the Respondents shall cease trading in securities and derivatives in Saskatchewan up to and including August 9, 2018;
3. Pursuant to sections 134(1)(d.1) and 134(3) of the *Act*, the Respondents shall cease acquiring securities and derivatives for and on behalf of residents of Saskatchewan up to and including August 9, 2018; and
4. Pursuant to sections 134(1)(e) and 134(3) of the *Act*, the Respondents shall cease giving advice respecting securities and derivatives in Saskatchewan up to and including August 9, 2018.

AND WHEREAS the Temporary Order expires at the end of the day on August 9, 2018;

AND WHEREAS pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Authority has appointed a panel (the Hearing Panel) to hear this matter;

AND WHEREAS Staff of the Authority has applied for an extension of the Temporary Order to the end of the day on January 11, 2019 to obtain the bank records belonging to the Respondents, interview and obtain statements from additional investors, collect and review further documentary evidence, prepare an investigation brief, prepare and serve the Statement of Allegations of FCAA Staff, set a hearing date, and prepare documents and witnesses for the hearing;

AND WHEREAS the Financial and Consumer Affairs Authority of Saskatchewan (the FCAA) has not received a request to review the Temporary Order from the Respondents;

AND WHEREAS by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the FCAA;

AND WHEREAS the Hearing Panel is of the opinion that it does not have satisfactory information to make a final decision in this matter;

AND WHEREAS the Hearing Panel is of the opinion that it is in the public interest to make this Order;

IT IS HEREBY ORDERED that:

1. Pursuant to section 134(3) of the *Act*, the Temporary Order is extended to the end of the day on January 11, 2019 unless the Hearing Panel makes a further order in this matter;
2. FCAA Staff shall send the Respondents a copy of this Order; and
3. This Order shall be posted for public notice in the normal course to continue to alert investors and as a further means of notification to the Respondents.

DATED at the City of REGINA, in the Province of Saskatchewan, this 4<sup>th</sup> day of August, 2018.

  
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Chairperson of the Panel  
Financial and Consumer Affairs Authority  
of Saskatchewan