

In the Matter of
The Securities Act, 1988

and

In the Matter of
Vincent John Mullee
and
Vince Mullee Financial Inc.

Extending Order

WHEREAS the Director, Securities Division, Financial and Consumer Affairs Authority of Saskatchewan issued an order dated July 25, 2018 (the Temporary Order) against Vincent John Mullee and Vince Mullee Financial Inc. (the Respondents) as follows:

1. Pursuant to sections 134(1)(a) and 134(3) of *The Securities Act, 1988* (the Act), the exemptions in Saskatchewan securities laws do not apply the Respondents up to and including August 9, 2018;
2. Pursuant to sections 134(1)(d) and 134(3) of the Act, the Respondents shall cease trading in securities and derivatives in Saskatchewan up to and including August 9, 2018;
3. Pursuant to sections 134(1)(d.1) and 134(3) of the Act, the Respondents shall cease acquiring securities and derivatives for and on behalf of residents of Saskatchewan up to and including August 9, 2018; and
4. Pursuant to sections 134(1)(e) and 134(3) of the Act, the Respondents shall cease giving advice respecting securities and derivatives in Saskatchewan up to and including August 9, 2018.

AND WHEREAS, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has appointed a panel (the Hearing Panel) to hear this matter;

AND WHEREAS, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

AND WHEREAS the Temporary Order was to expire on August 9, 2018, but upon applications of staff of the Authority (Staff of the Authority), was extended by the Hearing Panel firstly, to the end of the day on January 11, 2019, and subsequently to the end of the day on July 9, 2019, and then the end of the day on October 9, 2019;

AND WHEREAS the Hearing Panel has not received a written request to review the Temporary Order from the Respondents;

AND WHEREAS Staff of the Authority has applied for an extension of the Temporary Order to the end of the day on January 10, 2020;

AND WHEREAS the Hearing Panel is of the opinion that it does not have satisfactory information to make a final decision in this matter;

AND WHEREAS the Hearing Panel is of the opinion that it is in the public interest to make this Order;

IT IS HEREBY ORDERED THAT:

1. Pursuant to subsection 134(3) of the Act, the Temporary Order is extended to the end of the day on January 10, 2020, unless the Hearing Panel makes a further Order in this matter;
2. Staff of the Authority shall send the Respondents a copy of this Order; and
3. This Order shall be posted for public notice in the normal course to continue to alert investors and as a further means of notification to the Respondents.

DATED at Regina, Saskatchewan on October 1, 2019.



Howard Crofts
Chairperson of the Hearing Panel
Financial and Consumer Affairs Authority
of Saskatchewan