

**In the Matter of
*The Securities Act, 1988***

and

**In the Matter of
Chad Tanner**

**Temporary Order
(Section 134)**

WHEREAS the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has delegated to the Director of the Securities Division (the Director) the power to make orders pursuant to section 134 of *The Securities Act, 1988* (the Act);

AND WHEREAS staff of the Authority has applied for Temporary Order, pursuant to subsections 134(1) and 134(3) of the Act;

AND WHEREAS, upon a review of staff of the Authority's application, it appears to the Director that:

1. Chad Tanner (Tanner) is an individual who has been recently present in the city of Regina;
2. Tanner appears to have solicited in furtherance of trades in securities, via a typewritten document, delivered by hand to the residence of at least one Saskatchewan resident;
3. The document delivered identifies that the residence was chosen because it was nice home, which indicates to the writer that the homeowner is 'pretty wealthy' and therefore has the money to put towards starting a company;
4. Tanner appears to be providing advice with respect to the buying or selling of securities;
5. Tanner is not registered as a representative of a registered dealer in Saskatchewan;
6. Tanner is not registered as a representative of a registered adviser in Saskatchewan;
7. Tanner has not filed a preliminary prospectus nor a prospectus with the Authority in relation to any distributions of securities;
8. Tanner appears to acting as a dealer in Saskatchewan without registration, contrary to clause 27(2)(a) of the Act;
9. Tanner appears to be acting as an adviser in Saskatchewan without registration, contrary to clause 27(2)(b) of the Act;

10. Tanner appears to be trading in a security where that trade would be a distribution without having filed a preliminary prospectus or a prospectus or having obtained receipts for the same from the Director, contrary to subsection 58(1) of the Act.

AND WHEREAS the Director is of the opinion that the length of time required for a hearing would be prejudicial to the public interest;

AND WHEREAS the Director is of the opinion that it is in the public interest to make this order;

THE DIRECTOR HEREBY ORDERS THAT:

1. Pursuant to subsection 134(3) and clause 134(1)(a) of the Act, the exemptions in Saskatchewan securities laws do not apply to Tanner up to and including May 21, 2019;
2. Pursuant to subsection 134(3) and clause 134(1)(d) of the Act, Tanner shall cease trading in securities in Saskatchewan up to and including May 21, 2019; and
3. Pursuant to subsection 134(3) and clause 134(1)(e) of the Act, Tanner shall cease giving advice respecting securities or trades in Saskatchewan up to and including May 21, 2019.

TAKE NOTICE THAT this Order may be extended for such period as the Authority considers necessary where satisfactory information is not provided to the Authority prior to the expiration of this Order.

DATED at Regina, Saskatchewan on May 6, 2019.



Dean Murrison
Director,
Securities Division
Financial and Consumer Affairs Authority of
Saskatchewan