

**In the Matter of
*The Securities Act, 1988***

and

**In the Matter of
Gaeten Daniel Blouin**

Sanctions Order

WHEREAS, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has appointed a panel (the Hearing Panel) to hear this matter;

AND WHEREAS, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

AND WHEREAS the Hearing Panel held a hearing on November 2, 3, and 4, 2020 into the allegations and claims against Gaetan Daniel Blouin (the Respondent) as set out in the Statement of Allegations of Staff of the Authority dated June 13, 2019;

AND WHEREAS the Hearing Panel issued a decision on the merits dated January 13, 2021;

AND WHEREAS the Hearing Panel held a hearing on sanctions and costs on April 20, 2021;

AND WHEREAS the Hearing Panel issued a decision on sanctions and costs on June 25, 2021 (“the Sanctions and Costs Decision”);

AND WHEREAS this Order reflects the operative provisions of the Sanctions and Costs Decision;

IT IS HEREBY ORDERED THAT:

1. Pursuant to clause 134(1)(d) of the Act, the Respondent shall cease trading in any securities or derivatives in Saskatchewan for a period of 36 months commencing June 25, 2021;
2. Pursuant to clause 134(1)(d.1) of the Act, the Respondent shall cease acquiring any securities or derivatives for and on behalf of residents of Saskatchewan for a period of 36 months commencing June 25, 2021;
3. Pursuant to clause 134(1)(e) of the Act, the Respondent shall cease giving advice respecting any securities, trades or derivatives for a period of 36 months commencing June 25, 2021;

4. Pursuant to clause 134(1)(h)(iii) of the Act, the Respondent shall not be employed by any issuer, registrant, or investment fund manager in any capacity that would allow him to trade in securities or derivatives for a period of 36 months commencing June 25, 2021;
5. Pursuant to clause 134(1)(h.1) of the Act, the Respondent is prohibited from becoming or acting as registrant, investment fund manager, or promoter for a period of 36 months commencing June 25, 2021;
6. Pursuant to section 135.1 of the Act, the Respondent shall pay a \$32,500 administrative penalty to the Authority; and
7. Pursuant to section 161 of the Act, the Respondent shall pay costs in the amount of \$6,000.

DATED at Regina, Saskatchewan on July 6, 2021.

"Howard Crofts"

Howard Crofts
Chairperson of the Hearing Panel
Financial and Consumer Affairs Authority of
Saskatchewan