

**In the Matter of
*The Securities Act, 1988***

and

**In the Matter of
Adele Kaminsky, carrying on business as
AK Financial Planning Services**

Sanctions Order

WHEREAS, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has appointed a panel (the Hearing Panel) to hear this matter;

AND WHEREAS, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

AND WHEREAS the Hearing Panel held a hearing on April 6, 2016 into the allegations and claims against Adele Kaminsky, carrying on business as AK Financial Planning Services (Kaminsky) as set out in the Statement of Allegations of Staff of the Authority dated March 26, 2014;

AND WHEREAS the Hearing Panel issued a decision dated June 20, 2017 (the Decision):

AND WHEREAS this Order reflects the operative provisions of the Decision;

IT IS HEREBY ORDERED THAT:

1. Pursuant to clause 134(1)(a) of the Act, the exemptions in Saskatchewan securities laws do not apply to Kaminsky, permanently;
2. Pursuant to clause 134(1)(d) of the Act, Kaminsky shall cease trading in any securities or exchange contracts in Saskatchewan, permanently;
3. Pursuant to clause 134(1)(d.1) of the Act, Kaminsky shall cease acquiring securities for and on behalf of residents of Saskatchewan, permanently;
4. Pursuant to clause 134(1)(e) of the Act, Kaminsky shall cease giving advice respecting securities, trades or exchange contracts in Saskatchewan, permanently;
5. Pursuant to clause 134(1)(h)(i) of the Act, Kaminsky shall resign any position that she holds as a director or officer of any issuer, registrant or investment fund manager;

6. Pursuant to clause 134(1)(h)(ii) of the Act, Kaminsky is prohibited from becoming or acting as a director or officer of any issuer, registrant or investment fund manager, permanently;
7. Pursuant to clause 134(1)(h)(iii) of the Act, Kaminsky shall not be employed by any issuer, registrant or investment fund manager in any capacity that would entitle her to trade in or advise on securities;
8. Pursuant to clause 134(1)(h.1) of the Act, Kaminsky is prohibited from becoming or acting as a registrant, an investment fund manager or a promoter, permanently; and
9. Pursuant to section 135.1 of the Act, Kaminsky shall pay an administrative penalty of \$40,000.

DATED at Regina, Saskatchewan on August 16, 2017.

A handwritten signature in black ink, appearing to read 'Derrek Fahl', written in a cursive style.

Derrek Fahl
Chairperson of the Hearing Panel
Financial and Consumer Affairs Authority of
Saskatchewan