

**In the Matter of  
The Securities Act, 1988**

**and**

**In the Matter of**

**Edna Keep**

**and**

**3D Real Estate Investments Ltd.**

**Sanctions Order**

**WHEREAS**, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has appointed a panel (the Hearing Panel) to hear this matter;

**AND WHEREAS**, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

**AND WHEREAS** the Hearing Panel held a hearing on November 22, 23, 24, 25, 26 and December 22, 2021 into the allegations and claims against the Respondents Edna Keep and 3D Real Estate Investments Ltd. ("Respondents") as set out in the Statement of Allegations of Staff of the Authority dated July 15, 2021;

**AND WHEREAS** the Hearing Panel issued an amended decision on the merits dated March 7, 2022;

**AND WHEREAS** the Hearing Panel held a hearing on sanctions and costs on April 28, 2022;

**AND WHEREAS** the Hearing Panel issued a decision on sanctions and costs on August 9, 2022; ("the Sanctions and Costs Decision");

**AND WHEREAS** this Order reflects the operative provisions of the Sanctions and Costs Decision;

**IT IS HEREBY ORDERED THAT:**

1. Pursuant to subsection 134(1)(a) of the Act, all of the exemptions in Saskatchewan securities laws do not apply to the Respondents for a period of 4 years;
2. Pursuant to subsection 134(1)(d) of the Act, the Respondents shall cease trading in any securities and derivatives in Saskatchewan, for period of 4 years;
3. Pursuant so subsection 134(1)(d.1) of the Act, the Respondents shall cease acquiring securities and derivatives for and on behalf of residents of Saskatchewan, for a period of 4 years;
4. Pursuant to subsection 134(1)(e) of the Act, the Respondents shall cease giving advice respecting securities, trades, and derivatives in Saskatchewan, for a period of 4 years;
5. Pursuant to clause 134(1)(h)(iii) of the Act, the Respondents shall not be employed by any issuer, registrant, or investment fund manager in any capacity that would allow them to trade in securities or derivatives for a period of 4 years;

6. Pursuant to clause 134(1)(h.1) of the Act, the Respondents are prohibited from becoming or acting as registrant, investment fund manager, or promoter for a period of 4 years;
7. Pursuant to section 135.1 of the Act, the Respondents shall pay a \$30,000.00 administrative penalty to the Authority; and
8. Pursuant to section 161 of the Act, the Respondents shall pay costs in the amount of \$8,700.00.

DATED at Regina, Saskatchewan on August 18, 2022.

"Norman Halldorson"

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Norman Halldorson  
Chairperson of the Hearing Panel  
Financial and Consumer Affairs Authority of  
Saskatchewan