In the Matter of The Securities Act, 1988

and

In the Matter of
Libertygate Investment Corp.,
Dedric Joseph Robinson,
D. Robinson & Associates Inc., and
Allan Dwayne Holomey

Order (Subsection 134(1.1))

WHEREAS staff of Financial and Consumer Affairs Authority of Saskatchewan (FCAA Staff) have applied to the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) for an order against Dedric Joseph Robinson (Robinson), D. Robinson & Associates Inc. (DR Associates), Libertygate Investment Corp. (LibertyGate) and Allan Dwayne Holomey (Holomey) (collectively, the Respondents) pursuant to subsection 134(1.1) of *The Securities Act*, 1988 (the Act);

AND WHEREAS the Respondents are subject to an Order issued by the Alberta Securities Commission pursuant to the Securities Act, R.S.A. 2000, c. S-4, as follows:

<u>Robinson</u>

- ...Robinson cease trading in or purchasing securities, and all of the exemptions contained in Alberta securities laws do not apply to him, for 20 years to and including 24 July 2033, except that these orders do not preclude him from trading in or purchasing securities through a registrant (who has first been given a copy of this decision) in registered retirement savings plans (RRSPs) or registered education savings plans (RESPs) (as defined in the *Income Tax Act* (Canada)) for the benefit of one or more of himself, his spouse and his dependent children;
- ...Robinson resign from all positions he holds as a director or officer of any issuer, registrant or investment fund manager, and he is prohibited from becoming or acting as a director or officer (or both) of any issuer, registrant or investment fund manager, for 20 years to an including 24 July 2033, except that these orders do not preclude him from becoming or acting as a director or officer (or both) of one non-reporting issuer that is wholly owned by one or more of his immediate family members and does not issue or propose to issue securities to the public;

- ... Robinson is prohibited from acting in a management or consultative capacity in connection with activities in the securities market, for 20 years to and including 24 July 2033;
- ...Robinson pay an administrative penalty of \$200 000;
- ...Robinson pay \$77 000 of the costs of the investigation and hearing;

<u>DR Associates</u>

- ...all trading in or purchasing of securities of DR Associates shall cease, permanently;
- ...DR Associates cease trading in or purchasing securities, and all of the exemptions contained in Alberta securities laws do not apply to it, permanently;
- ...DR Associates is prohibited from becoming or acting as a registrant, investment fund manager or promoter, permanently;
- ...DR Associates is prohibited from acting in a management or consultative capacity in connection with activities in the securities market, permanently;

LibertvGate

- ...all trading in or purchasing of securities of LibertyGate shall cease, permanently;
- ...LibertyGate cease trading in or purchasing securities, and all of the exemptions contained in Alberta securities laws do not apply to it, permanently;
- ...LibertyGate is prohibited from becoming or acting as a registrant, investment fund manager or promoter, permanently;
- ...LibertyGate is prohibited from acting in a management or consultative capacity in connection with activities in the securities market, permanently;

Holomey

- ...Holomey cease trading in or purchasing securities, and all of the exemptions contained in Alberta securities laws do not apply to him, for 10 years to and including 24 July 2023, except that these orders do not preclude him from trading in or purchasing securities through a registrant (who has first been given a copy of this decision) in RRSPs or RESPs for the benefit of one or more of himself, his spouse and his dependent children;
- ...Holomey resign from all positions he holds as a director or officer of any issuer, registrant or investment fund manager, and he is prohibited from becoming or acting as a director or officer (or both) of any issuer, registrant or investment fund manager, for 10 years to an including 24 July 2023, except that these orders do not preclude him from becoming or acting as a director or officer (or both) of one non-reporting issuer that is wholly owned by one or more of his immediate family members and does not issue or propose to issue securities to the public;

- ... Holomey is prohibited from acting in a management or consultative capacity in connection with activities in the securities market, for 10 years to and including 24 July 2023;
- ...Holomey pay an administrative penalty of \$100 000; and
- ...Holomey pay \$19 000 of the costs of the investigation and hearing.

AND WHEREAS the Respondents have traded in securities with at least one resident of Saskatchewan;

AND WHEREAS the Authority is of the opinion that it is in the public interest to make this Order;

IT IS HEREBY ORDERED, in accordance with clause 134(1.1)(c) of the Act, that:

Robinson

- Pursuant to clause 134(1)(a) of the Act, except for the purposes of trading in or purchasing securities through a registrant (who has first been given a copy of the July 24, 2013 Decision of the Alberta Securities Commission in Re: Robinson 2013 ABASC 317 [the ASC Decision]) in registered retirement savings plans (RRSPs) or registered education savings plans (RESPs) (as defined in the *Income Tax Act* (Canada)) for the benefit of one or more of himself, his spouse and his dependent children, the exemptions in Saskatchewan securities laws do not apply to Robinson until July 25, 2033;
- 2. Pursuant to clause 134(1)(d) of the Act, except for trading in securities through a registrant (who has first been given a copy of the ASC Decision) in registered RRSPs or RESPs for the benefit of one or more of himself, his spouse and his dependent children, Robinson shall cease trading in securities until July 25, 2033;
- Pursuant to clause 134(1)(d.1) of the Act, except for purchasing securities through a registrant (who has first been given a copy of the ASC Decision) in registered RRSPs or RESPs for the benefit of one or more of himself, his spouse and his dependent children, Robinson shall cease acquiring securities until July 25, 2033;
- 4. Pursuant to clauses 134(1)(h)(i) and 134(1)(h)(ii) of the Act, Robinson shall resign any position that he holds as a director or officer of any issuer, registrant or investment fund manager, and he is prohibited from becoming or acting as a director or officer (or both) of any issuer, registrant or investment fund manager until July 25, 2033, except that he may act as a director or officer (or both) of one non-reporting issuer that is wholly owned by one or more of his immediate family members and does not issue or propose to issue securities to the public;
- 5. Pursuant to clause 134(1)(h)(iii) of the Act, Robinson shall not be employed by any issuer, registrant or investment fund manager in any management or consultative capacity in connection with activities in the securities market until July 25, 2033;

DR Associates

- 6. Pursuant to clause 134(1)(a) of the Act, the exemptions in Saskatchewan securities laws do not apply to DR Associates, permanently;
- 7. Pursuant to clause 134(1)(b) of the Act, all trading in securities of DR Associates shall cease, permanently;
- Pursuant to clause 134(1)(d) of the Act, DR Associates shall cease trading in securities, permanently;
- 9. Pursuant to clause 134(1)(d.1) of the Act, DR Associates shall cease acquiring securities, permanently;
- 10. Pursuant to clause 134(1)(h.1) of the Act, DR Associates is prohibited from becoming or acting as a registrant, an investment fund manager or a promoter, permanently;
- Pursuant to clause 134(1)(h)(iii) of the Act, DR Associates shall not be employed by any issuer, registrant or investment fund manager in any management or consultative capacity in connection with activities in the securities market, permanently;

LibertyGate

- 12. Pursuant to clause 134(1)(a) of the Act, the exemptions in Saskatchewan securities laws do not apply to LibertyGate, permanently;
- Pursuant to clause 134(1)(b) of the Act, all trading in securities of LibertyGate shall cease, permanently;
- 14. Pursuant to clause 134(1)(d) of the Act, LibertyGate shall cease trading in securities, permanently;
- Pursuant to clause 134(1)(d.1) of the Act, LibertyGate shall cease acquiring securities, permanently;
- Pursuant to clause 134(1)(h.1) of the Act, LibertyGate is prohibited from becoming or acting as a registrant, an investment fund manager or a promoter, permanently;
- Pursuant to clause 134(1)(h)(iii) of the Act, LibertyGate shall not be employed by any issuer, registrant or investment fund manager in any management or consultative capacity in connection with activities in the securities market, permanently;

Holomey

Pursuant to clause 134(1)(a) of the Act, except for the purposes of trading in or purchasing securities through a registrant (who has first been given a copy of the ASC Decision) in RRSPs or RESPs for the benefit of one or more of himself, his spouse and his dependent children, the exemptions in Saskatchewan securities laws do not apply to Holomey until July 25, 2023;

- 19. Pursuant to clause 134(1)(d) of the Act, except for trading in securities through a registrant (who has first been given a copy of the ASC Decision) in registered RRSPs or RESPs for the benefit of one or more of himself, his spouse and his dependent children, Holomey shall cease trading in securities until July 25, 2023;
- Pursuant to clause 134(1)(d.1) of the Act, except for purchasing securities through a registrant (who has first been given a copy of the ASC Decision) in registered RRSPs or RESPs for the benefit of one or more of himself, his spouse and his dependent children, Holomey shall cease acquiring securities until July 25, 2023;
- Pursuant to clauses 134(1)(h)(i) and 134(1)(h)(ii) of the Act, Holomey shall resign any position that he holds as a director or officer of any issuer, registrant or investment fund manager, and he is prohibited from becoming or acting as a director or officer (or both) of any issuer, registrant or investment fund manager until July 25, 2023, except that he may act as a director or officer (or both) of one non-reporting issuer that is wholly owned by one or more of his immediate family members and does not issue or propose to issue securities to the public; and
- Pursuant to clause 134(1)(h)(iii) of the Act, Holomey shall not be employed by any issuer, registrant or investment fund manager in any management or consultative capacity in connection with activities in the securities market until July 25, 2023.

Dated at Regina, Saskatchewan this 17 day of October, 2014.

Dave Wild

Chair,

Financial and Consumer Affairs Authority of

Saskatchewan