



Financial and
Consumer
Affairs Authority

GENERAL ORDER 31-924

Transitional Relief from Complying with Consequential Amendments to NI 13-101, NI 31-102 and NI 55-102

IN THE MATTER OF *THE SECURITIES ACT, 1988*,

ORDER

WHEREAS the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has assigned to the Director of the Securities Division (the Director) the power to make exemption orders under provisions of *The Securities Act, 1988* (the Act) including orders of general application;

WHEREAS the staff of the Authority have applied to the Director for an Order pursuant to section 160 of the Act exempting certain persons and companies from some requirements in National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)* (NI 13-101), National Instrument 31-102 *National Registration Database* (NI 31-102), National Instrument 55-102 *System for Electronic Disclosure by Insiders (SEDI)* (NI 55-102);

WHEREAS terms defined in the Act, NI 13-101, NI 31-102, NI 55-102 or National Instrument 14-101 *Definitions* (NI 14-101) have the same meaning in this Order;

AND WHEREAS it has been represented to the Director that:

1. The operation of SEDAR, SEDI and National Registration Database (NRD) are being transferred from CDS Inc. to CGI Information Systems and Management Consultants Inc. (the Transition).
2. In connection with the Transition, new regulations for system user fees to be charged in connection with the use of SEDAR, SEDI and NRD have been made under the Act and came into effect October 12, 2013.
3. In order to effect the Transition, amendments to NI 3-101, NI 31-102 and NI 55-102

(together, the Consequential Amendments) have been made.

4. The Consequential Amendments came into force on October 12, 2013, which was the anticipated effective date of the Transition.
5. It was anticipated that the Transition will occur on December 2, 2013.
6. The Transition will not be completed on December 2, 2013.
7. Until the Transition occurs, CDS Inc. will continue the operation of SEDAR, SEDI and NRD.
8. Compliance with the changes implemented by the Consequential Amendments would prevent CDS Inc. from continuing the operation of SEDAR, SEDI and NRD until the Transition.

AND WHEREAS the Director is of the opinion that it would not be prejudicial to the public interest to make this Order;

IT IS HEREBY ORDERED pursuant to section 160 of the Act, that a person or company is exempt from complying with the Consequential Amendments, provided the person or company complies with the equivalent provisions in NI 31-101, NI 31-102 or NI 55-102, as applicable, as in effect on October 11, 2013.

IT IS ALSO ORDERED that General Order 31-923 is hereby revoked.

Dated November 21, 2013.

A handwritten signature in black ink, consisting of a series of loops and a long horizontal stroke at the end, positioned above a solid horizontal line.

Dean Murrison
Director