

## In the Matter of The Securities Act, 1988, S.S. 1988, c. S-42.2

and

In the Matter of

Ocean International Ltd
Wealth Capital Corp
Manhattan Capital Corp
James Lee
Jamie Lyons
Jamie Marsh
Roger White
(the Respondents)

Temporary Order (Section 134)

WHEREAS staff of the Commission has represented the following facts to the Commission:

- 1. Ocean International Ltd (Ocean Ltd), Wealth Capital Corp (Wealth Corp) and Manhattan Capital Corp (Manhattan Corp) are holding their companies out with identical designs on their Website at <a href="http://www.oceaninternationalltd.com/">http://www.oceaninternationalltd.com/</a>, <a href="http://www.manhattancapitalcorp.com">http://www.manhattancapitalcorp.com</a> respectively are companies trading in securities;
- 2. The office addresses of Ocean Ltd, Wealth Corp and Manhattan Corp (the Companies) are the same, that is at Tesbury Centre, 24-32 Queen's Road East, Wanchai Hong Kong. The telephone number of the Companies are the same, that is +852 2251 1756;
- During the week of August 2012 (2012), resident of Saskatchewan was cold called by James Lee (Lee), who held himself out as a Senior Trading Adviser with Ocean Ltd soliciting for investment in gold options (GCZ12). Lee's residence is unknown;
- 4. On August 2012 Lee emailed a link to an application form to him to purchase GCZ12;
- 5. On August 2012 Jamie Lyons (Lyons) from the administrative department of Ocean Ltd requested to wire \$ to Bank of East Asia for the purchase of GCZ12. Lyons residence is unknown;

- 6. During the week of August 2012 received a call from Jamie Marsh (Marsh) of Ocean Ltd requesting that the funds to purchase GCZ12 be transferred to the Bank of East Asia. Marsh's residence is unknown;
- 7. On August 2012 (the 100) of Saskatchewan were cold called by Roger White (White) who held himself out as a Senior Advisor of Manhattan Corp soliciting investment in Green Automotive (GACR) that trades in over-the-counter market. White's residence is unknown;
- 8. On August 2012 White emailed a link to an application forms to and encouraged him to purchase GACR;
- 9. Lee of Ocean Ltd and White of Manhattan Corp have been trying to convince to purchase the GACR and wire funds to Green World Financial;
- 10. In carrying out the activities outlined in paragraphs 3 to 9 above, the Respondents solicited residents of Saskatchewan to purchase securities
- 11. None of the Respondents are registered under the Act to either trade in securities or exchange contracts or act as advisors in Saskatchewan, and they have therefore contravened the registration requirement in section 27 of the of *The Securities Act, 1988*, SS 1988, c. S-42.2 (the Act);

AND WHEREAS the Commission is of the opinion that it is in the public interest to make this order;

AND WHEREAS the Commission is of the opinion that the length of time required for a hearing would be prejudicial to the public interest;

## THE COMMISSION HEREBY ORDERS:

- 1. Pursuant to subsection 134(3) and clause 134(1)(a) of the Act that the exemptions in Saskatchewan securities laws do not apply to the Respondents up to and including September 7, 2012;
- 2. Pursuant to subsection 134(3) and clause 134(1)(d) of the Act that the Respondents cease trading securities and exchange contracts in Saskatchewan up to and including September 7, 2012;
- 3. Pursuant to subsection 134(3) and clause 134(1)(d.1) of the Act that the Respondents cease acquiring securities for and on behalf of residents of Saskatchewan up to and including September 7, 2012; and
- 4. Pursuant to subsection 134(3) and clause 134(1)(e) of the Act that the Respondents cease giving advice respecting any securities, trades or exchange contracts in Saskatchewan up to and including September 7, 2012;

AND TAKE NOTICE THAT this Order may be extended for such period as the Commission considers necessary.

DATED at Regina, Saskatchewan on August 24, 2012.

Paul Robinson, Commissioner

Vice-Chairperson

Saskatchewan Financial Services Commission