

**In the Matter of
*The Securities Act, 1988***

and

**In the Matter of
RTG Direct Trading Group Ltd.
and
RTG Direct Trading Limited**

Order

WHEREAS a Hearing Panel of the Financial and Consumer Affairs Authority of Saskatchewan (the Hearing Panel) held a hearing on January 22, 2016 into the allegations and claims against RTG Direct Trading Group Ltd. And RTG Direct Trading Limited (the Respondents) as set out in the Statement of Allegations of Staff of the Financial and Consumer Affairs Authority of Saskatchewan dated November 2, 2016;

AND WHEREAS the Hearing Panel issued a decision dated February 19, 2016 (the Decision);


AND WHEREAS this Order reflects the operative provisions of the Decision;

THE FINANCIAL AND CONSUMER AFFAIRS AUTHORITY OF SASKATCHEWAN
HEREBY ORDERS THAT:

1. pursuant to clause 134(1)(a) of *The Securities Act, 1988* (the Act), all of the exemptions in Saskatchewan securities laws do not apply to the Respondents, permanently;
2. pursuant to clause 134(1)(d) of the Act, the Respondents shall cease trading in any securities or exchange contracts in Saskatchewan, permanently;
3. pursuant to clause 134(1)(d.1) of the Act, the Respondents shall cease acquiring securities for and on behalf of residents of Saskatchewan, permanently;
4. pursuant to section 135.1 of the Act, the Respondents shall pay an administrative penalty to Financial and Consumer Affairs Authority of Saskatchewan, in the amount of \$25,000;

5. pursuant to section 135.6 of the Act, the Respondents shall pay financial compensation to each person or company found to have sustained financial loss as a result, in whole or in part, of the respondents' contraventions of the Act, in an amount to be determined; and
6. pursuant to section 161 of the Act, the Respondents shall pay costs of and related to the hearing in this matter in the amount of \$2,195.88.

DATED at Saskatoon, Saskatchewan, this 28th day of April, 2016.



Gordon Hamilton
Chairperson of the Hearing Panel
Financial and Consumer Affairs Authority of
Saskatchewan